

# Immunotherapy for the Treatment of Head and Neck Cancer

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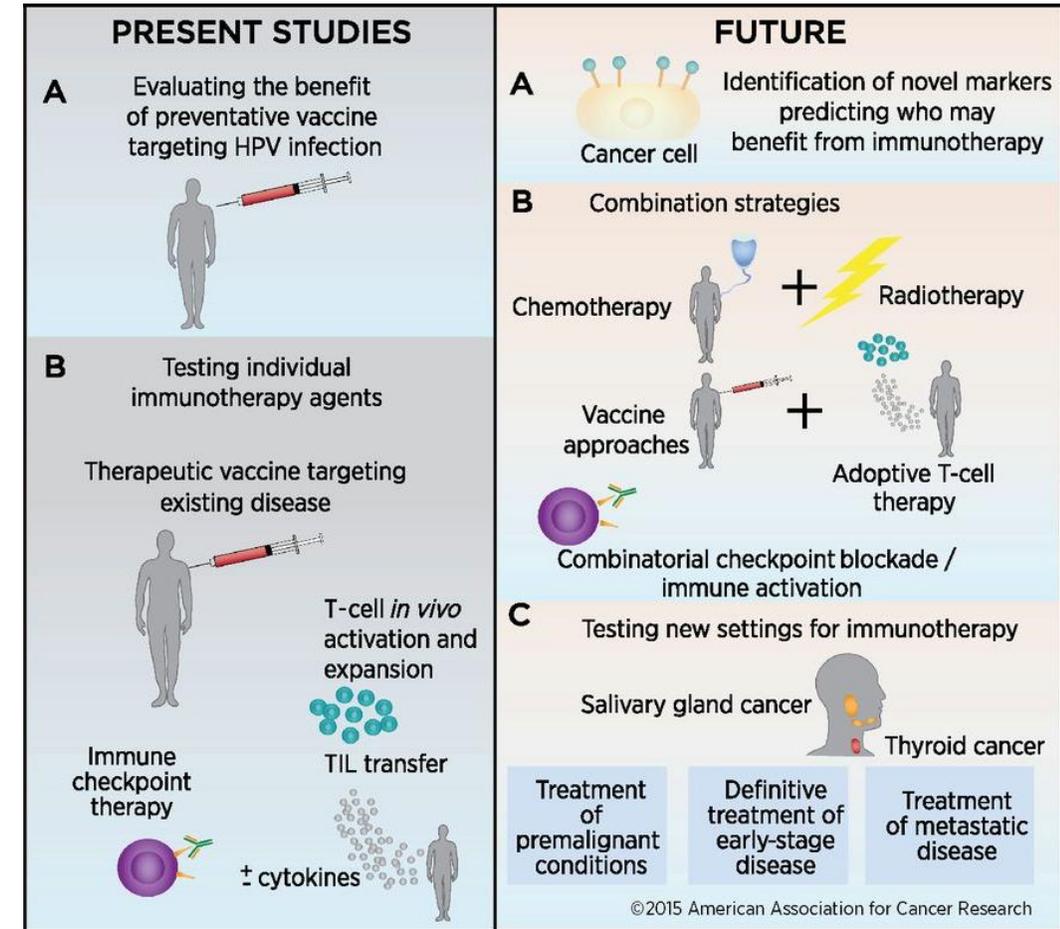
Assistant Professor, Lerner College of Medicine of Case  
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# Disclosures

- Regeneron (research funding, advisory board)
- Genentech (research funding)
  
- I will not be discussing non-FDA approved indications during my presentation.

# Immunotherapy for the Treatment of Head and Neck Cancers

- Immuno-Oncology (I-O) developments in treatment of head and neck cancers
  - Expression of immunologic markers to guide treatment
  - Preventive vaccination against virally mediated cancers
  - Therapeutic vaccines for established cancers
  - CAR-T and cell-mediated therapies
  - Combinations with immunotherapies

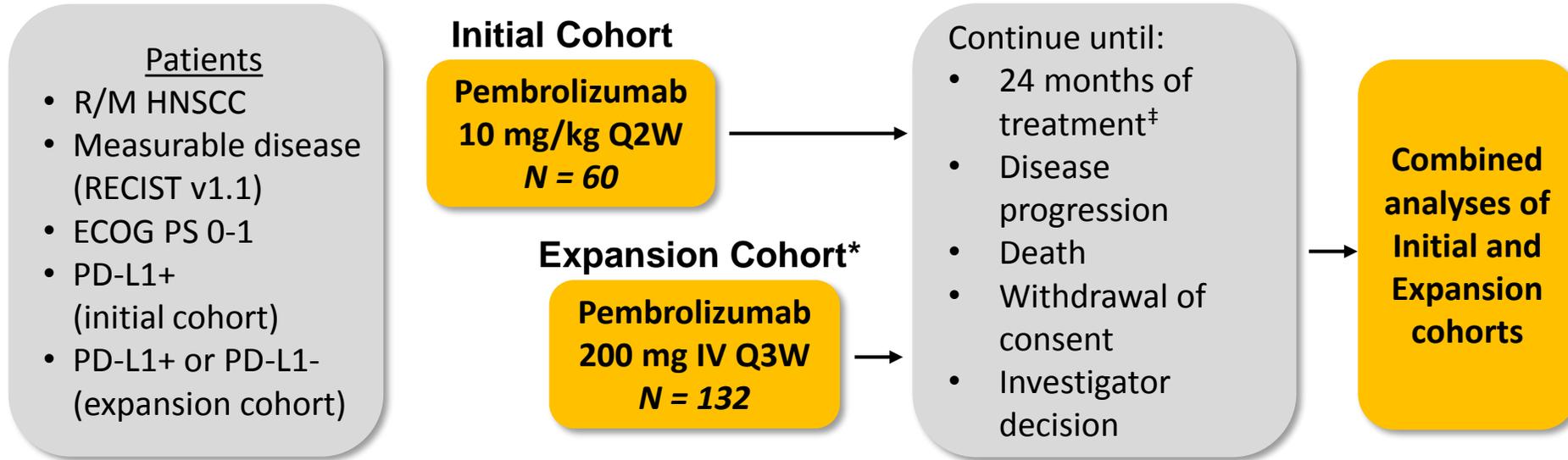


# Approved checkpoint inhibitors in Head and Neck Cancers

Drug	Approved	Indication	Dose
Pembrolizumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	200 mg Q3W
Nivolumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	240 mg Q2W or 480 mg Q4W
Cemiplimab-rwlc	2018	Metastatic cutaneous squamous cell carcinoma, not candidate for curative therapies (any site)	350 mg Q3W
Pembrolizumab + platinum + fluorouracil	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – all patients	200 mg Q3W
Pembrolizumab	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – PD-L1 CPS ≥ 1	200 mg Q3W

# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2



**Response assessment:** Every 8 weeks until disease progression

**Primary end points:** ORR (RECIST v1.1, central imaging vendor review), safety

**Secondary end points:** ORR (investigator), PFS, OS, duration of response (DOR), ORR in HPV+ patients<sup>§</sup>

<sup>†</sup>Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

<sup>‡</sup>Treatment beyond progression was allowed.

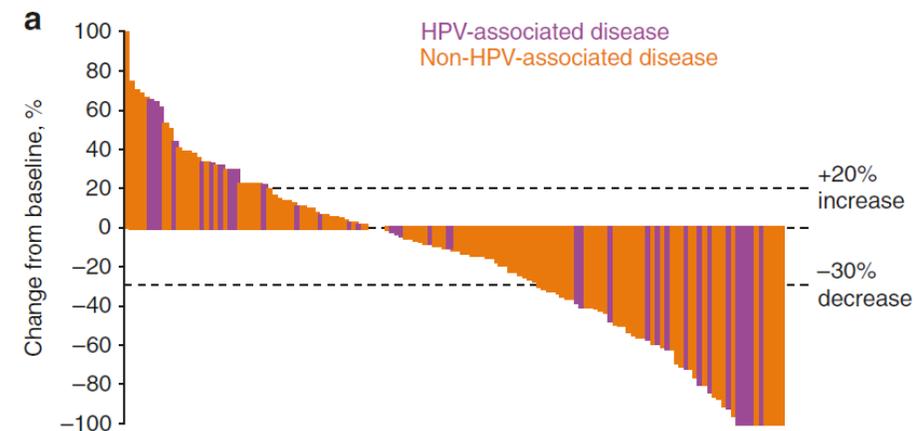
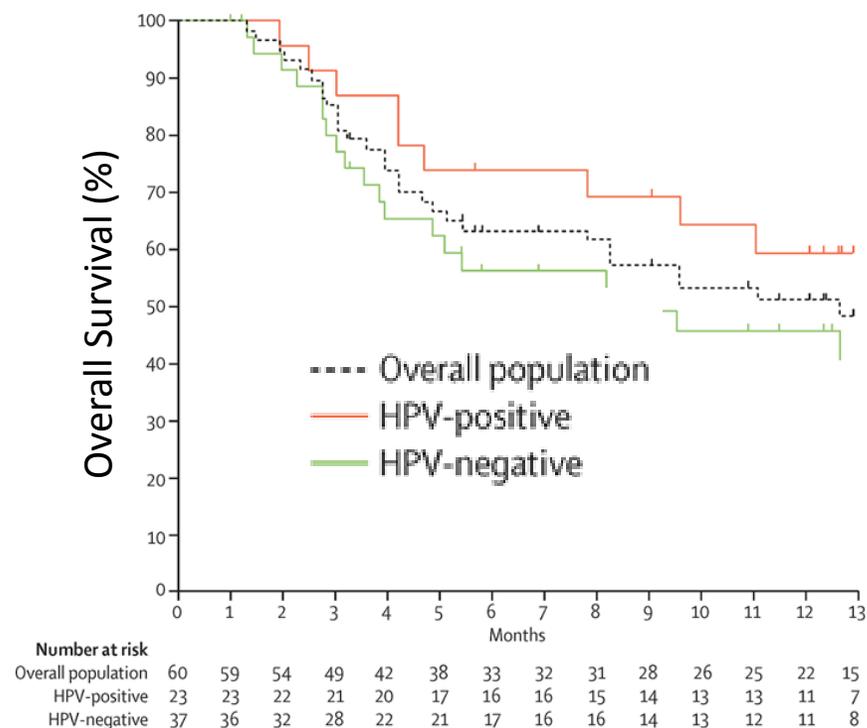
<sup>§</sup> Initial cohort only.

\*Median duration of disease not reached.

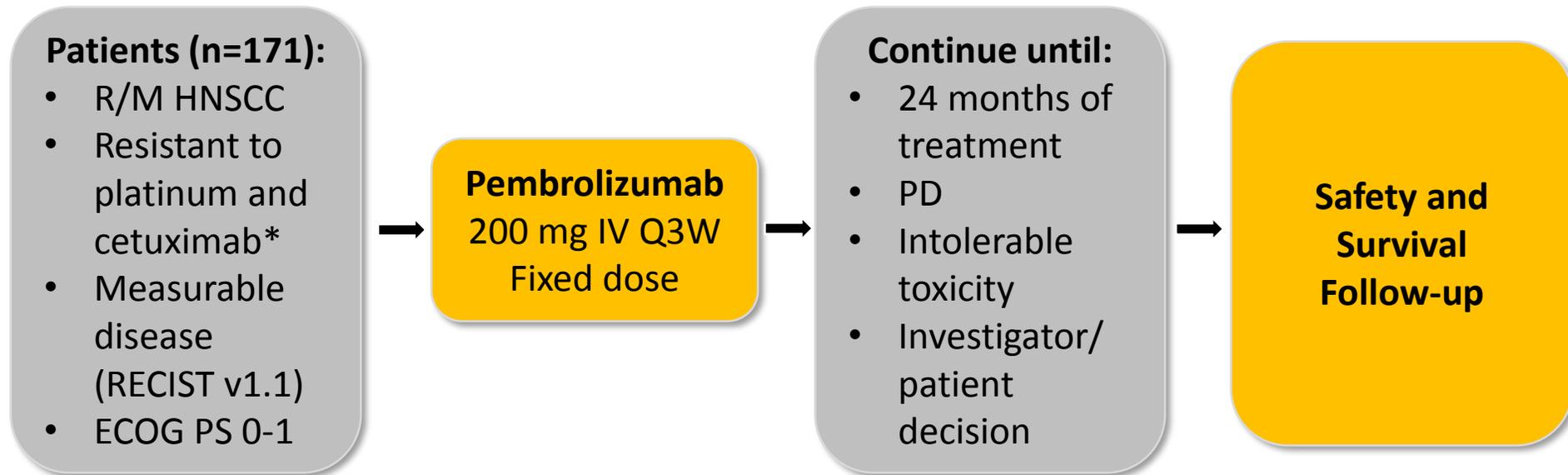
# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2

- ORR = 18%
  - CR = 4%
  - PR = 14%
- mOS = 8.0 months
- mPFS = 2.1 months



# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



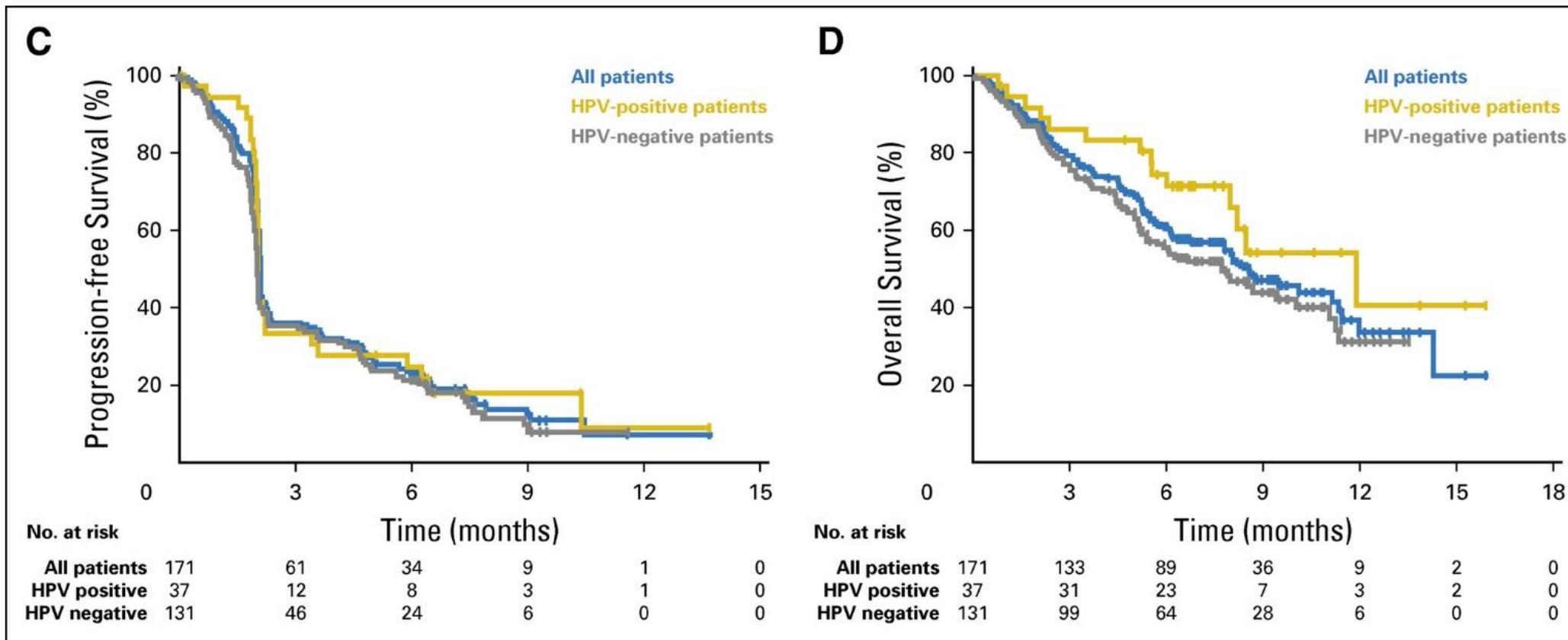
**Response assessment:** Imaging every 6 to 9 weeks (central radiology review)

**Primary end points:** ORR (RECIST v1.1) by Response Evaluation Criteria in Solid Tumors and safety

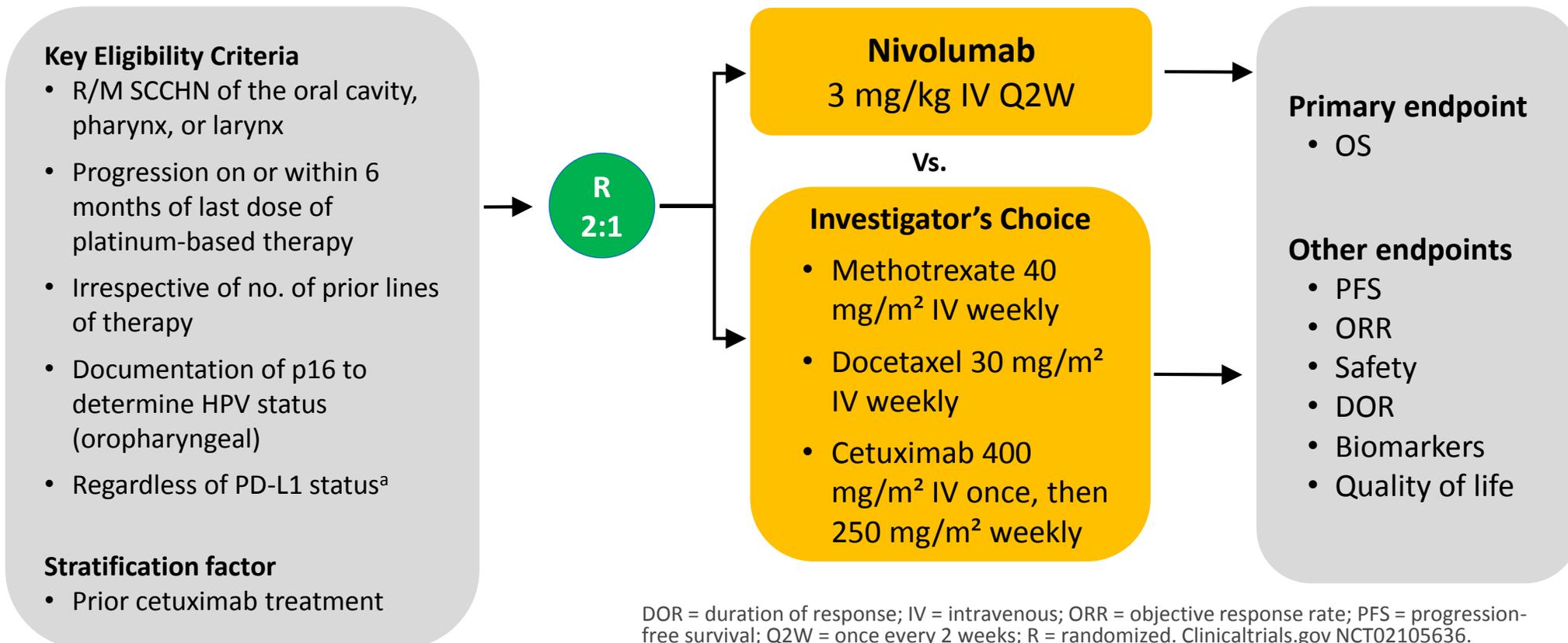
**Secondary end points:** ORR (RECIST v1.1) in all dosed patients, ORR for HPV+, PD-L1+, DOR, PFS, OS

\*75% of patients had  $\geq 2$  prior lines of therapy for metastatic disease

# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



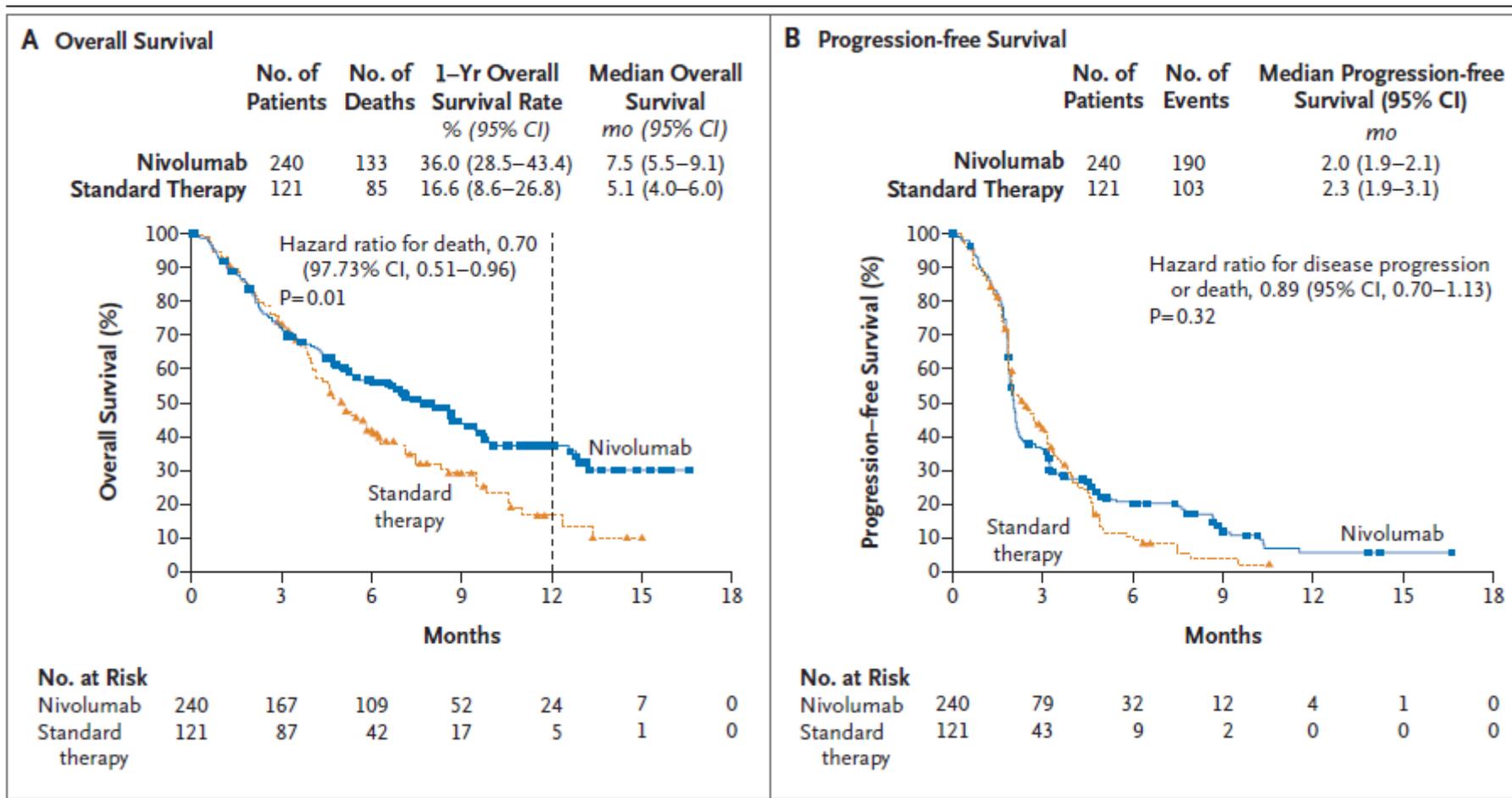
# CheckMate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy Phase III Randomized, Safety and Efficacy Trial



DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized. Clinicaltrials.gov NCT02105636.

<sup>a</sup>Tissue required for testing

# Checkmate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy



# Cemiplimab in advanced/metastatic cutaneous squamous-cell carcinoma

## Key Eligibility Criteria

- Advanced cutaneous squamous-cell carcinoma (any site)
- Not eligible for surgery
- ECOG 0-1
- ≥1 assessable lesion



**Cemiplimab**  
3 mg/kg IV Q2W



## Primary endpoint

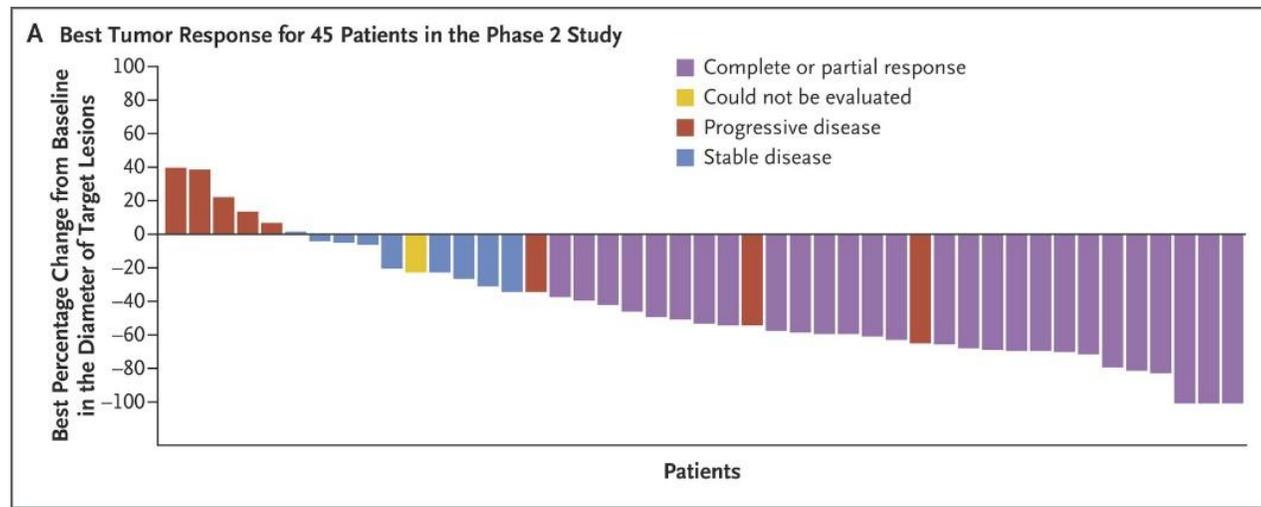
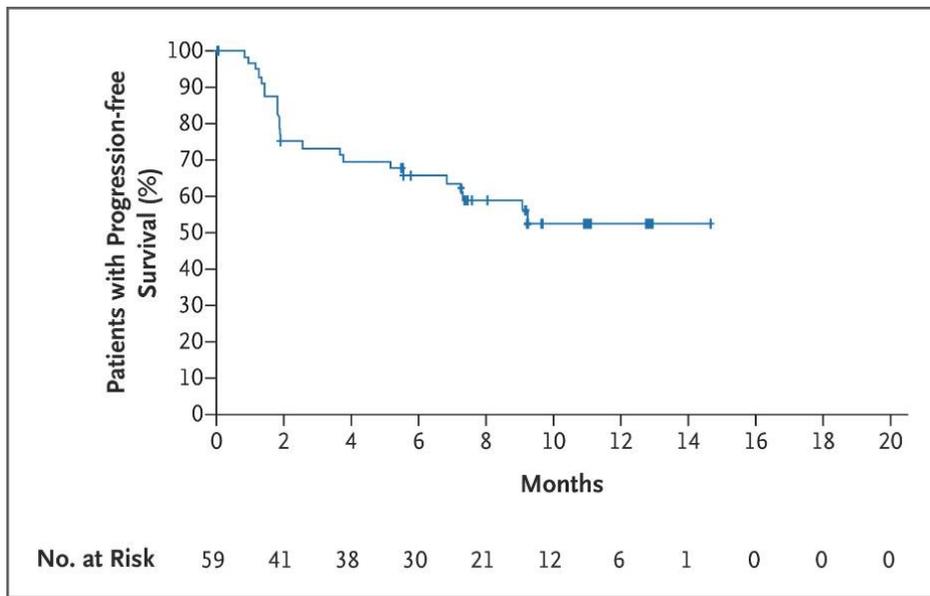
- Response rate

## Other endpoints

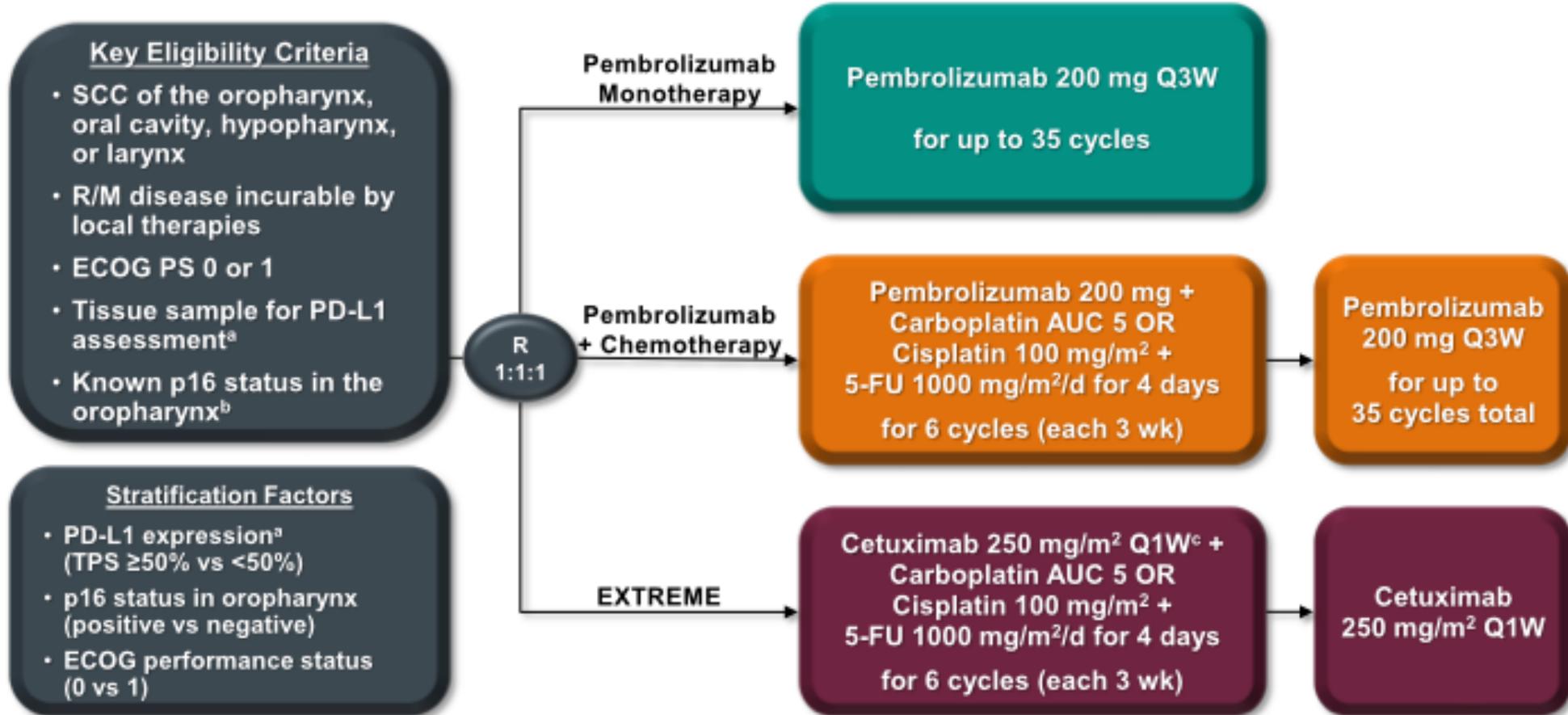
- Duration of response
- PFS
- OS
- Side effects
- Durable disease control

# Cemiplimab in advanced/metastatic cutaneous squamous-cell carcinoma

- Cemiplimab (anti-PD-1) 3 mg/kg Q2W
- 47% response rate in metastatic patients
- 60% of locally advanced had objective response



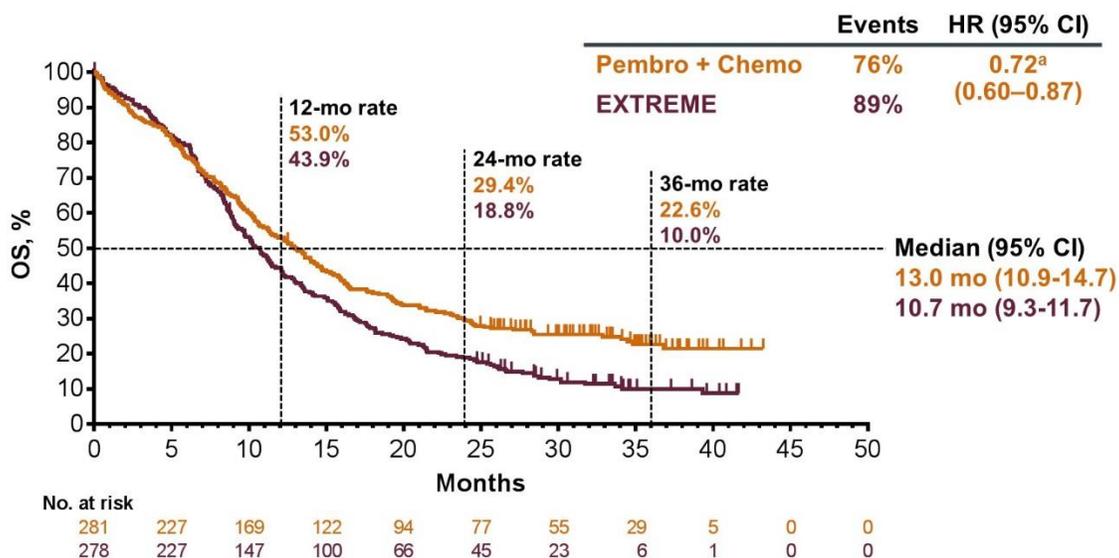
# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC



<sup>a</sup>Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. <sup>b</sup>Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. <sup>c</sup>Following a loading dose of 400 mg/m<sup>2</sup>.

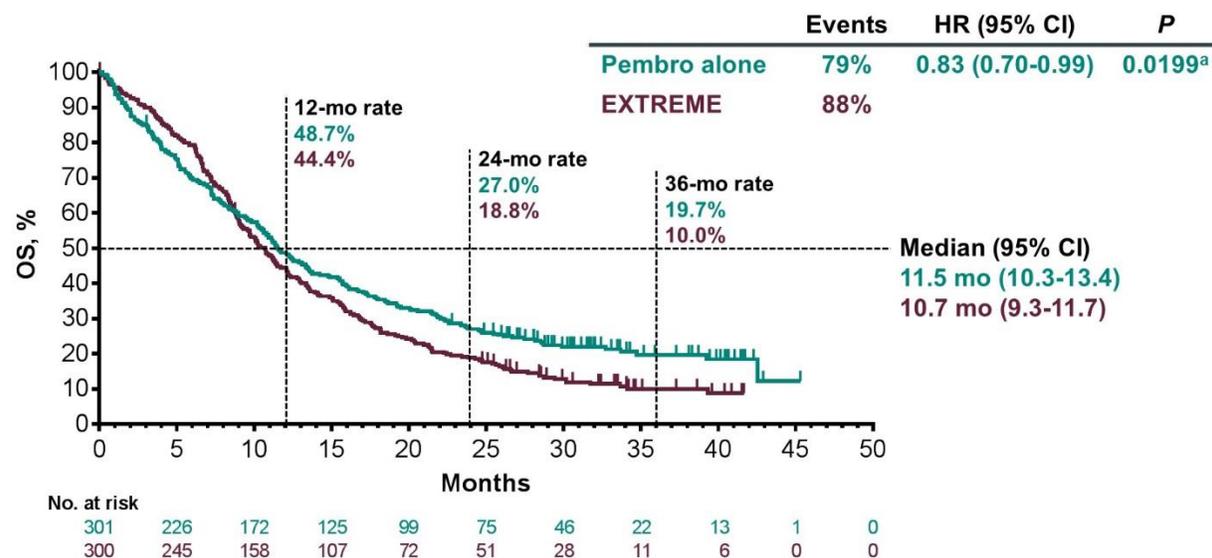
# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC

## OS, P+C vs E, Total Population



<sup>a</sup>At IA2 (data cutoff date: Jun 13, 2018): HR 0.77 (95% CI 0.53-0.93).  
 FA (data cutoff date: Feb 25, 2019).

## OS, P vs E, Total Population



<sup>a</sup>Not statistically significant at the superiority threshold of  $P = 0.0059$ .  
 FA (data cutoff date: Feb 25, 2019).

# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC

## Summary of Overall Survival

Population	IA2 <sup>1</sup> HR (95% CI)	FA HR (95% CI)
<b>Pembrolizumab monotherapy vs EXTREME</b>		
PD-L1 CPS ≥20	0.61 (0.45–0.83); <i>P</i> = 0.0007 <sup>a</sup>	0.58 (0.44–0.78) <sup>c</sup>
PD-L1 CPS ≥1	0.78 (0.64–0.96); <i>P</i> = 0.0086 <sup>a</sup>	0.74 (0.61–0.90) <sup>c</sup>
Total	0.85 (0.71–1.03) <sup>b</sup>	0.83 (0.70–0.99); <i>P</i> = 0.0199 <sup>d</sup>
<b>Pembrolizumab + chemotherapy vs EXTREME</b>		
PD-L1 CPS ≥20	—	0.60 (0.45–0.82); <i>P</i> = 0.0004 <sup>a</sup>
PD-L1 CPS ≥1	—	0.65 (0.53–0.80); <i>P</i> < 0.0001 <sup>a</sup>
Total	0.77 (0.63–0.93); <i>P</i> = 0.0034 <sup>a,b</sup>	0.72 (0.60–0.87) <sup>c</sup>

<sup>a</sup>Superiority demonstrated. <sup>b</sup>Noninferiority demonstrated (boundary of 1.2). <sup>c</sup>No statistical testing performed. <sup>d</sup>Superiority not demonstrated.  
 1. Burtness B et al. *Ann Oncol* 2018;29(suppl 8):LBA8\_PR.

# Approved checkpoint inhibitors in Head and Neck Cancers

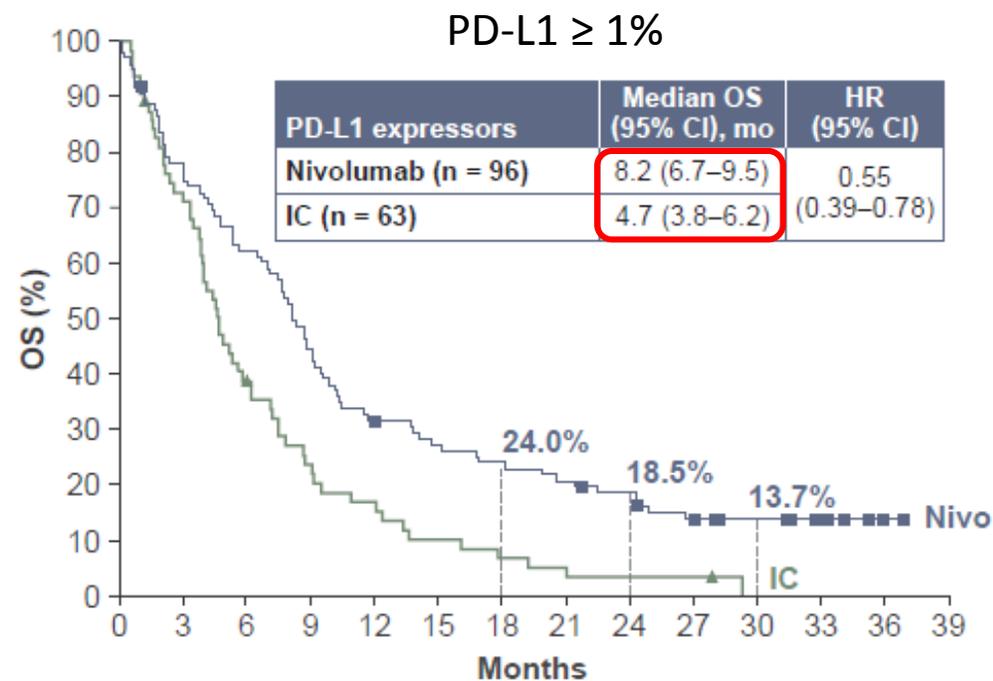
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# Evaluating Biomarkers in HNSCC

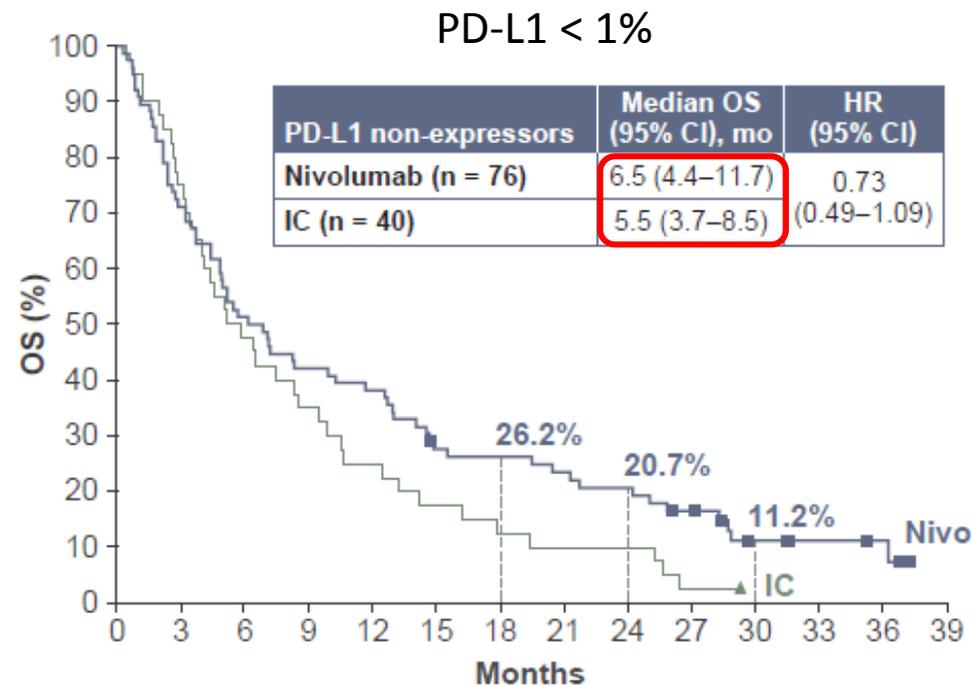
- Only indication that relies on PD-L1 expression: pembrolizumab monotherapy in 1<sup>st</sup> line HNSCC – CPS  $\geq$  1 (KEYNOTE-048)
- All other approvals not dependent on PD-L1 expression
  - KEYNOTE-012/055: Response rates not significantly different on the basis of tumor PD-L1 staining
  - Checkmate 141: Most benefit seen in PD-L1 positive tumors
  - KEYNOTE-040: pembrolizumab vs investigator's choice chemotherapy – did not meet survival endpoints in total population but improved outcomes in PD-L1-expressors

# Evaluating Biomarkers in HNSCC

## CheckMate 141: 2 year update



No. at risk		0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	96	74	59	42	30	25	22	19	16	11	8	5	1	0	
IC	63	45	24	14	10	6	4	3	2	2	0	0	0	0	



No. at risk		0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	76	54	39	32	29	20	19	17	15	11	5	4	3	0	
IC	40	30	19	14	10	7	5	4	4	1	0	0	0	0	

# In development: T-VEC + pembrolizumab KEYNOTE-137

- T-Vec  $10^6$  PFU/mL intratumoral injection followed by  $10^8$  PFU/mL Q3W
- Pembrolizumab 200 mg IV Q3W
- Eligibility:
  - R/M HNSCC not suitable for curative therapy
  - Progressed after platinum treatment
  - At least 1 injectable cutaneous, subcutaneous, or nodal tumor  $\geq 10$  mm in longest diameter
- ORR: 16.7%

# In development: Checkpoint inhibitors + radiotherapy

- NCT03247712: neoadjuvant nivolumab + SBRT
  - Decreased tumor size prior to surgery; high pathologic CR rate
- KEYNOTE-412: pembrolizumab + chemoradiation
  - Safety confirmed
- REACH: avelumab + cetuximab + radiation
  - Safety confirmed

# Conclusions

- Cytotoxic chemotherapy achieves limited survival with unfavorable side effects.
- Checkpoint inhibitors that target the PD-1 axis, nivolumab and pembrolizumab, are approved in platinum-refractory/exposed recurrent/metastatic HNSCC.
- Nivolumab and pembrolizumab are in general better tolerated than cytotoxic chemotherapy.
- Ongoing areas of research include: combinations of immunotherapy with radiation and/or other drugs, development of predictive biomarkers and approaches to overcoming resistance.

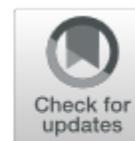
Cohen *et al.* *Journal for Immunotherapy of Cancer* (2019) 7:184  
<https://doi.org/10.1186/s40425-019-0662-5>

Journal for Immunotherapy  
of Cancer

**POSITION ARTICLE AND GUIDELINES**

**Open Access**

## The Society for Immunotherapy of Cancer consensus statement on immunotherapy for the treatment of squamous cell carcinoma of the head and neck (HNSCC)



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Quynh-Thu Le<sup>6</sup>, Nancy Y. Lee<sup>7</sup>, Rom Leidner<sup>2</sup>, Rebecca L. Lewis<sup>8</sup>, Lisa Licitra<sup>9</sup>, Hisham Mehanna<sup>10</sup>, Loren K. Mell<sup>1</sup>,  
Adam Raben<sup>11</sup>, Andrew G. Sikora<sup>12</sup>, Ravindra Uppaluri<sup>13</sup>, Fernanda Whitworth<sup>14</sup>, Dan P. Zandberg<sup>8</sup> and  
Robert L. Ferris<sup>8\*</sup>

# Case Studies

# Case Study 1

- A 59 year old male was diagnosed with T1 N1 (multiple cervical LN, <3 cm) SCC of the left base of tongue, p16+ (Stage I, AJCC 8<sup>th</sup> edition; Stage IVA, AJCC 7<sup>th</sup> edition)
- Completed definitive CRT with weekly cisplatin and post-treatment imaging confirms complete response with no evidence of disease
- Approximately 2 years later, the patient presents with new cough. CT chest reveals new bilateral pulmonary nodules
- CT guided biopsy of lung nodule: poorly differentiated SCC, non-keratinizing, p16+

# Case Study 1

- **What is the next step in management?**
- A. Treat with EXTREME chemotherapy regimen
- B. Treat with PD-1 inhibitor
- C. Continue to monitor given low tumor burden
- D. Treat with combination chemotherapy plus PD-1 inhibitor
- E. Request PD-L1 CPS testing on biopsy specimen to guide therapy

# Case Study 1

- A. Treat with EXTREME chemotherapy
  - Incorrect – while this may be an option, the patient may also be considered for immunotherapy incorporated into treatment, either single-agent or in combination with chemotherapy, based on CPS score
- B. Treat with PD-1 inhibitor
  - Incorrect – while single-agent pembrolizumab or nivolumab are approved in the second line of treatment following platinum, single agent pembrolizumab is also approved frontline in patients with CPS $\geq$ 1. This may or may not be an option in this patient.
- C. Continue to monitor
  - Incorrect – would not wait for further progression to initiate systemic therapy
- D. Treat with combination chemotherapy plus PD-1 inhibitor
  - Incorrect – this may be a correct answer as pembrolizumab is approved in combination with platinum/5-FU chemotherapy in the frontline setting regardless of PD-L1 expression; however, we should first obtain PD-L1 information
- B. Request PD-L1 CPS testing on tissue
  - Correct – PD-L1 expression will help guide the most appropriate therapy for this patient

# Case Study 1

- The patient's PD-L1 CPS $\geq$ 1. What are the treatment options for this patient?
- A. Pembrolizumab
- B. Nivolumab
- C. EXTREME regimen
- D. cisplatin + 5-FU + pembrolizumab
- E. A and B
- F. A and D
- G. A, C, and D
- H. A, B, C, and D

# Case Study 1

- A. Pembrolizumab
- B. Nivolumab
- C. EXTREME regimen
- D. cisplatin + 5-FU + pembrolizumab
- E. A and B
- F. A and D
- G. A, C, and D
- H. A, B, C, and D

- As the patient demonstrates a positive CPS, his frontline therapeutic options include:
  - Single-agent pembrolizumab (KN048 for CPS $\geq$ 1)
  - Pembrolizumab + platinum/5-FU (KN048, regardless of PD-L1 expression)
  - EXTREME chemotherapy

# Case Study 1

- A 62 year old gentleman presents with a neck mass and odynophagia. He is found to have a 2.5 cm left tonsillar lesion and left-sided cervical lymphadenopathy. FNA of the neck mass reveals squamous cell carcinoma. IHC for p16 is strongly and diffusely positive. He is an active smoker with a history of 2 ppd x 40 years. PET/CT is negative for distant metastatic disease, and the patient is clinically staged as T2 N1 (Stage I, AJCC 8<sup>th</sup> edition) with multiple ipsilateral cervical lymph nodes involved. The patient declines primary surgery and wishes to proceed with definitive CRT.

# Case Study 1

- What do you recommend for this patient?
- A. Concurrent chemoradiation with cisplatin
- B. Cisplatin, pembrolizumab, and RT

## Case Study 2

- What do you recommend for this patient?
- Option A (cisplatin + RT) is currently the standard of care for definitive treatment of advanced HNSCC.
- The addition of immunotherapy to the backbone of chemoradiation is under investigation but should not be utilized outside of a clinical trial.

## Case Study 2

- The patient completes treatment, receiving a total of 70 Gy of radiation to the left tonsil and left neck in addition to a total of 200 mg/m<sup>2</sup> cisplatin chemotherapy administered concurrently.
- Post-treatment PET/CT imaging demonstrates complete anatomic and metabolic response to treatment.
- The patient continues with oncologic surveillance with annual chest imaging given smoking history. One year later, imaging reveals multiple bilateral lung nodules.

## Case Study 2

- What do you recommend for this patient?
- A. Proceed with frontline therapy in the metastatic setting.
- B. Proceed with biopsy to confirm metastatic disease.

## Case Study 2

- What do you recommend for this patient?
- Option B is the appropriate answer – to confirm metastatic disease but also to evaluate for PD-L1 expression, as this may influence recommendations for treatment frontline.

## Case Study 2

- The patient undergoes CT-guided biopsy of a lung nodule, confirming metastatic disease.
- PD-L1 testing is negative (CPS<1).
- The patient maintains an excellent performance status and has no risk factors precluding use of immunotherapy.

## Case Study 2

- What do you recommend for this patient?
- A. Pembrolizumab or nivolumab single-agent therapy
- B. Chemotherapy + pembrolizumab

## Case Study 2

- Option B is the appropriate answer (chemotherapy + pembrolizumab). This combination is approved in all patients regardless of PD-L1 expression as per Keynote 048.
- Single-agent pembrolizumab is approved in the frontline R/M setting only in CPS $\geq$ 1 patients, as per Keynote 048.
- Nivolumab as a single agent is not approved as frontline therapy in R/M HNSCC.