



Biology of Innate Immunity:
NK cells, Macrophages, PMN,
PAMP/TLR

Lewis.Lanier@ucsf.edu

Innate vs. Adaptive Immunity

Innate

Immediate response

Receptors -invariant,
germline encoded

No 'memory'- constant
number of precursors,
constant response
kinetics

Adaptive

Delayed response

Receptors - require
somatic genetic
recombination

Memory'- after primary
exposure higher
precursor frequency
and faster response
kinetics

Innate vs. Adaptive Immunity

Innate

Epithelial cells

Granulocytes

Monocytes, dendritic cells
& macrophages

Mast cells

NK cells

Adaptive

T cells

B cells

Does innate immunity prevent or promoter tumor growth?

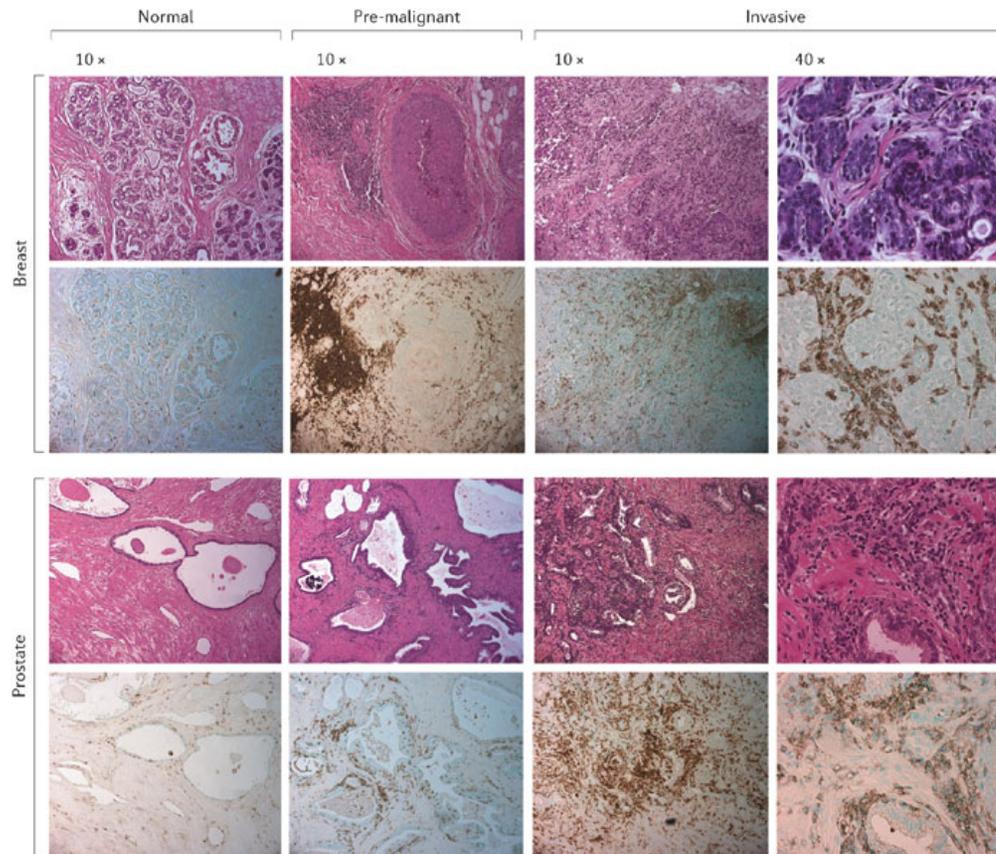
Innate immunity promotes tumor growth

Inflammation - activated macrophages & granulocytes
-provide angiogenic factors & growth factors and maxtrix metalloproteinases that promote tumor spread

Innate immunity prevents tumor growth

NK cells kill tumors and dendritic cells process tumor antigens and prime an adaptive (B & T cell) response

Inflammation in human breast and prostate cancer



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Nature Reviews | Cancer

de Visser KE et al. (2006) Paradoxical roles of the immune system during cancer development
Nat. Rev. Cancer. 6: 24-37 doi:10.1038/nrc1782

Innate immunity promotes tumor growth

- Chronic inflammation predisposes to cancer (liver, colon)
- COX2 inhibitors diminish cancer risk
- TNF α activates NF κ b promotes tumor survival of hepatic and colon carcinomas in mouse models
(Pikarsky et al. Nature 431, 461, 2004 & Greten et al. Cell 118, 285, 2004)

Innate immunity prevents tumor growth

- Direct cell-mediated cytotoxicity
- Cytokine-mediated anti-tumor effects

Innate Cytokines

Epithelial cells ---- Type I interferon, pro-inflammatory cytokines

Granulocytes --- Pro-inflammatory cytokines, reactive oxygen species (ROS), IL-12

Macrophages -- Pro-inflammatory cytokines, ROS, VEGF

Conventional Dendritic Cells -- pro-inflammatory cytokines, IL-12, IL-15

Interferon-producing Dendritic Cells - Type I interferon, IL-12

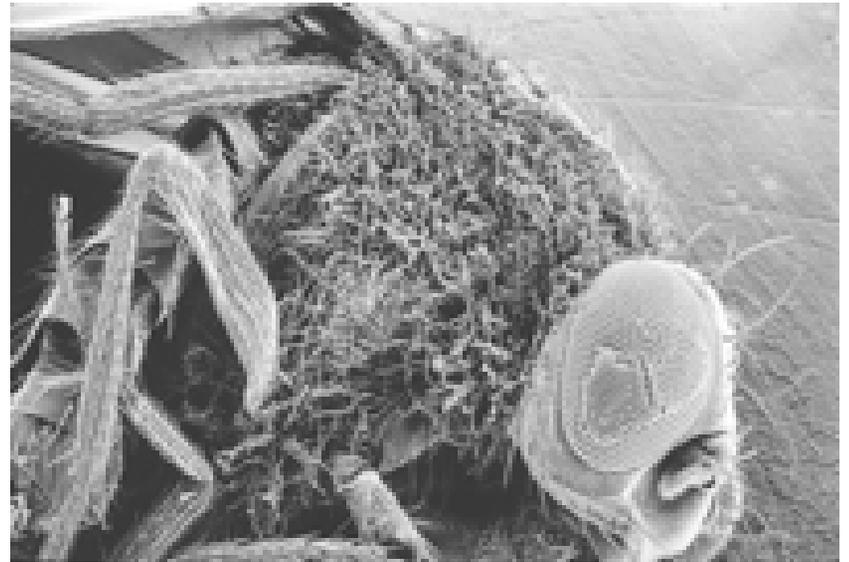
Mast cells - Pro-inflammatory cytokines, arachidonic acid, IL-4

NK cells - Interferon- γ , TNF, chemokines

What initiates cytokine production
by innate immune cells?

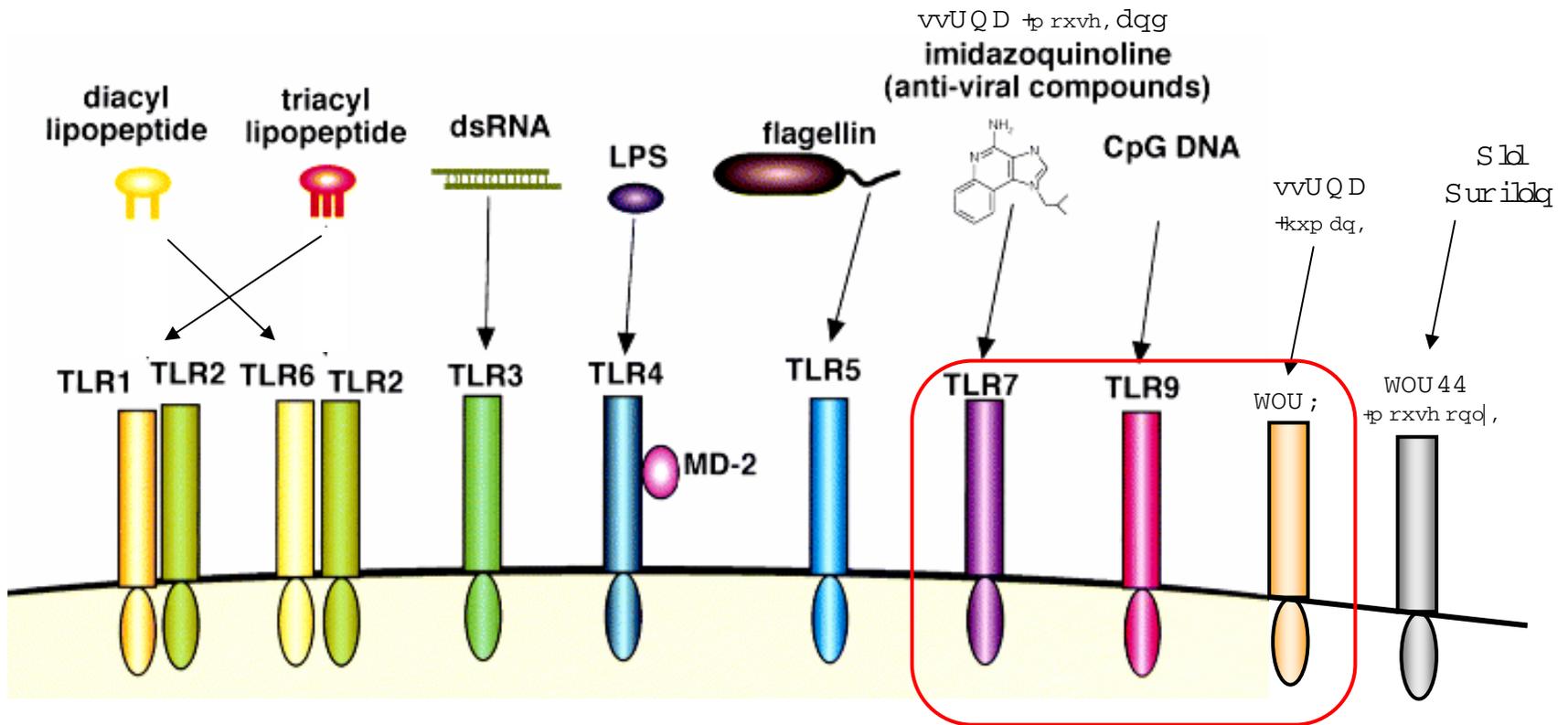
The story of the Toll-like receptors begins with insect immunity

Toll-dependent innate
immune responses in
Drosophila to fungus and
Gram+ bacteria



Lemaitre et al. 1996 *Cell* 86:973

Mammalian Toll-Like Receptors



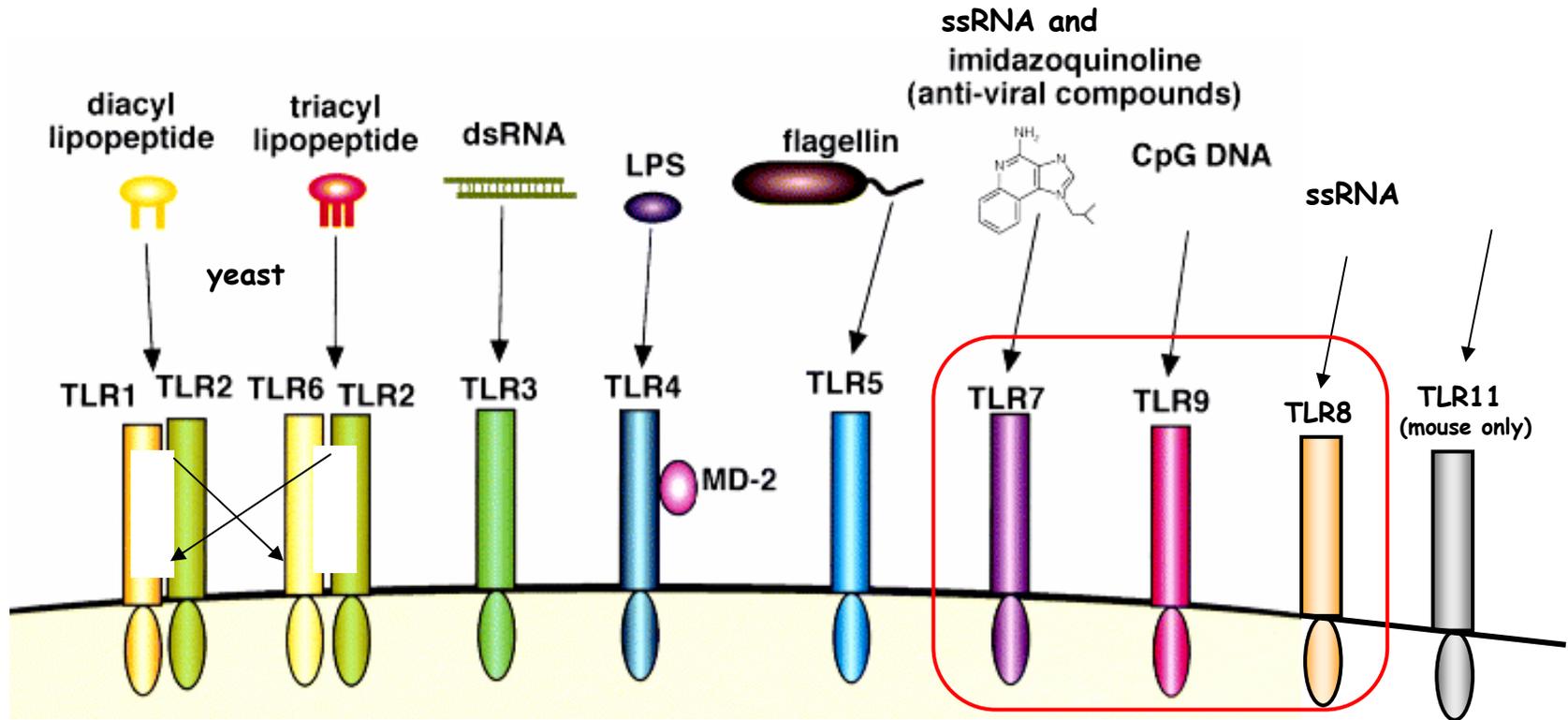
TLR recognize conserved structures in microbes

Courtesy Mitch Kronenberg

TLR signaling pathways

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Mammalian Toll-Like Receptors



Interferon-producing dendritic cells - TLR 7, TLR9

Conventional dendritic cells - TLR1, 2, 4, 5, 6, 8

Resting NK cells - No functional TLR
Activated NK cells - TLR3, TLR9

TLR-based cancer therapy 100 years ago!

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Bacterial infection post-surgery for cancer
induces regression and prevention of
metastasis

Tumor Immunology and Immunotherapy

First, a little history...

William B. Coley

- Cancer Surgeon at Memorial Hospital (NYC) at the turn of the 20th Century
- Observed that a cancer patient who developed a severe bacterial infection (*strep. pyogenes*) had spontaneous regression of his tumors.
- Treated **over 900 solid tumor patients** with a crude bacterial extract ("Coley's Toxin") and reported a **40% response rate**, some leading to long term remissions.
- Approach largely abandoned after his death.
- His daughter, Helen Coley Nauts founded the Cancer Research Institute, which is one of the largest private foundations supporting basic and applied research in tumor immunology.



DR. WILLIAM B. COLEY

TLR ligands as cancer therapies

Coley's Pharma TLR9 agonist CpG
effective in Non-small cell lung cancer

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3M TLR7 agonist imiquimod
Approved for superficial basal carcinoma

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Role of macrophages and granulocytes in innate tumor immunity?

In vivo

- primary tumorigenesis take weeks or months
- no feasible way to deplete granulocytes and macrophages for extended periods

In vitro

- macrophages kill tumors *in vitro* but receptors (other than FcR) on macrophages & ligands on tumors not defined

Eosinophil-mediated tumor immunity

Renca-IL4
wt

Renca-IL4
Scid or nude

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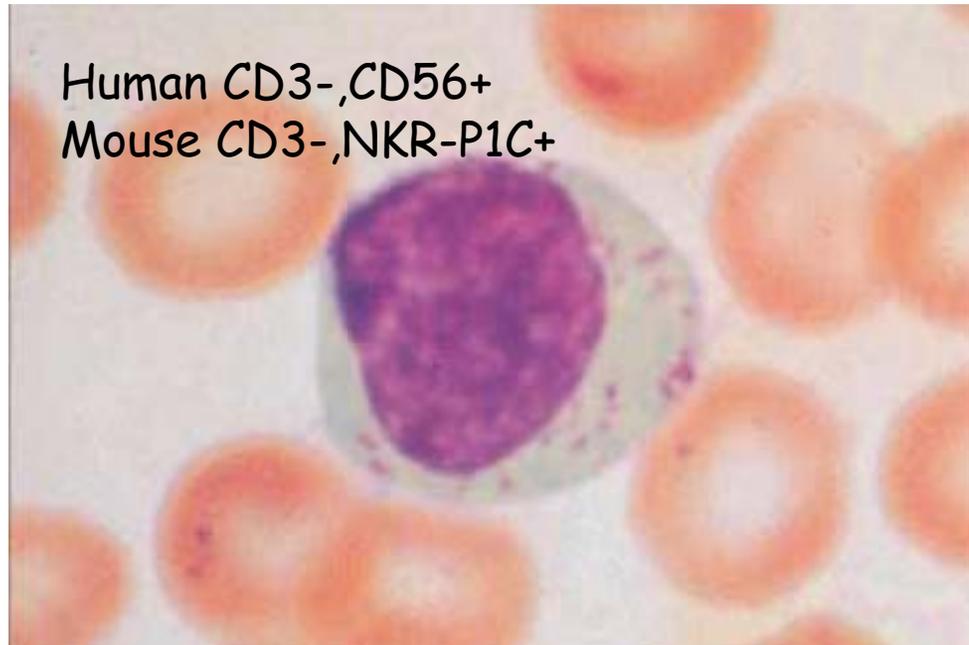
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Higher incidence of 3-MCA-induced fibrosarcomas in interferon- α/β receptor-/- mice

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NK cells and tumor immunity



- Identified in '70s as lymphocytes from healthy humans and mice able to kill certain tumors in vitro
- Function in innate immunity to protect against viruses, bacteria, & tumors
- Produce cytokines & kill abnormal cells

Immune surveillance against cancer by NK cells

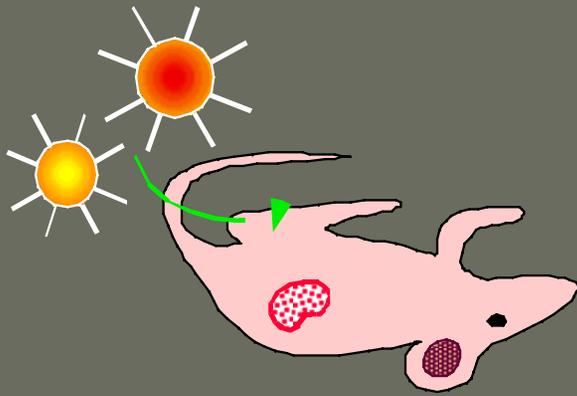
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Mice depleted of NK cells with anti-AsialoGM1 or
depleted of NK cells and NKT cells with anti-NK1.1 have
higher incidence of 3-MCA-induced sarcomas

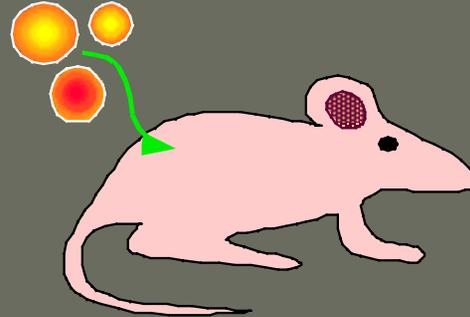
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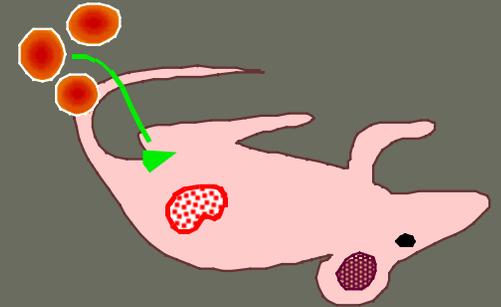
NK Cells Reject Tumors Lacking MHC Class I



Class I⁺ tumors
grow *in vivo*

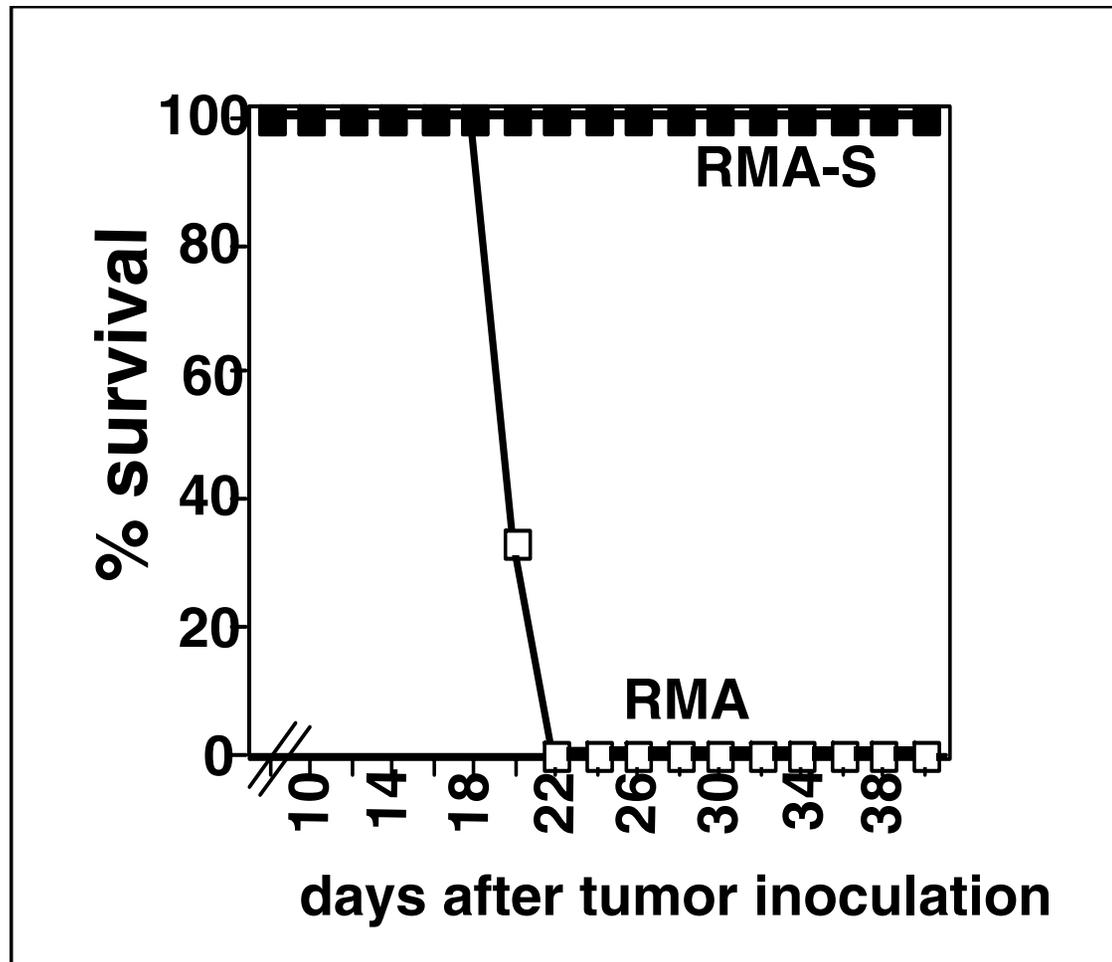


Class I⁻ tumors
are rejected



Class I⁻ tumors
in NK-depleted
mice grow *in vivo*

Mice reject MHC class I-negative RMA/S, but not class I-positive RMA lymphoma



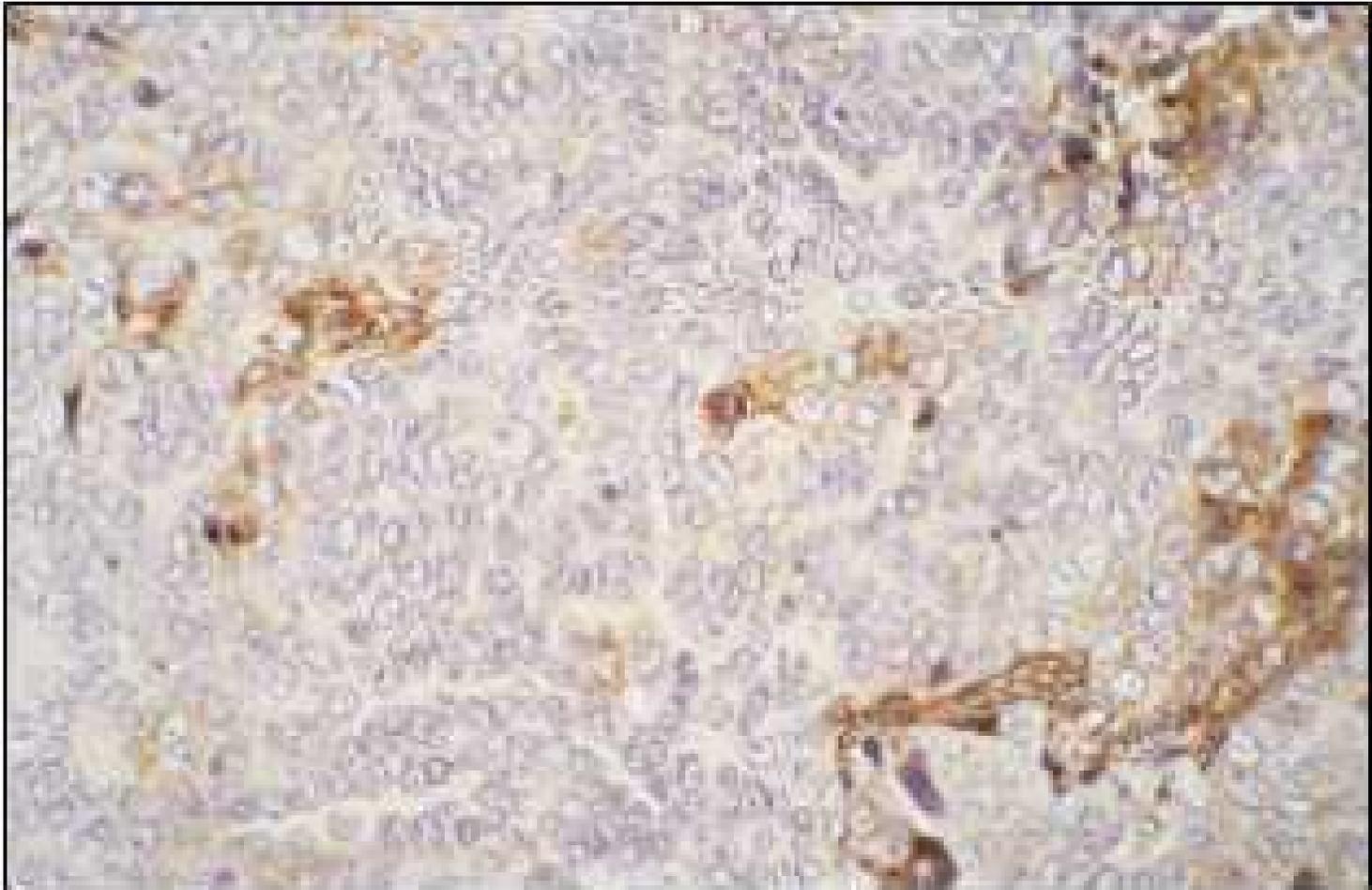
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Immune surveillance for 'Missing Self'

- NK cells preferentially kill cells that have lost MHC class I
- Provides protection against cells escaping T cell recognition
- Predicts existence of inhibitory receptors for MHC class I that spare normal cells from NK cell attack

– *Karre et al Nature 319:675, 1986*

Loss of Class I MHC Expression in a Prostate Carcinoma



How are NK cells activated when they encounter tumors or virus-infected cells?

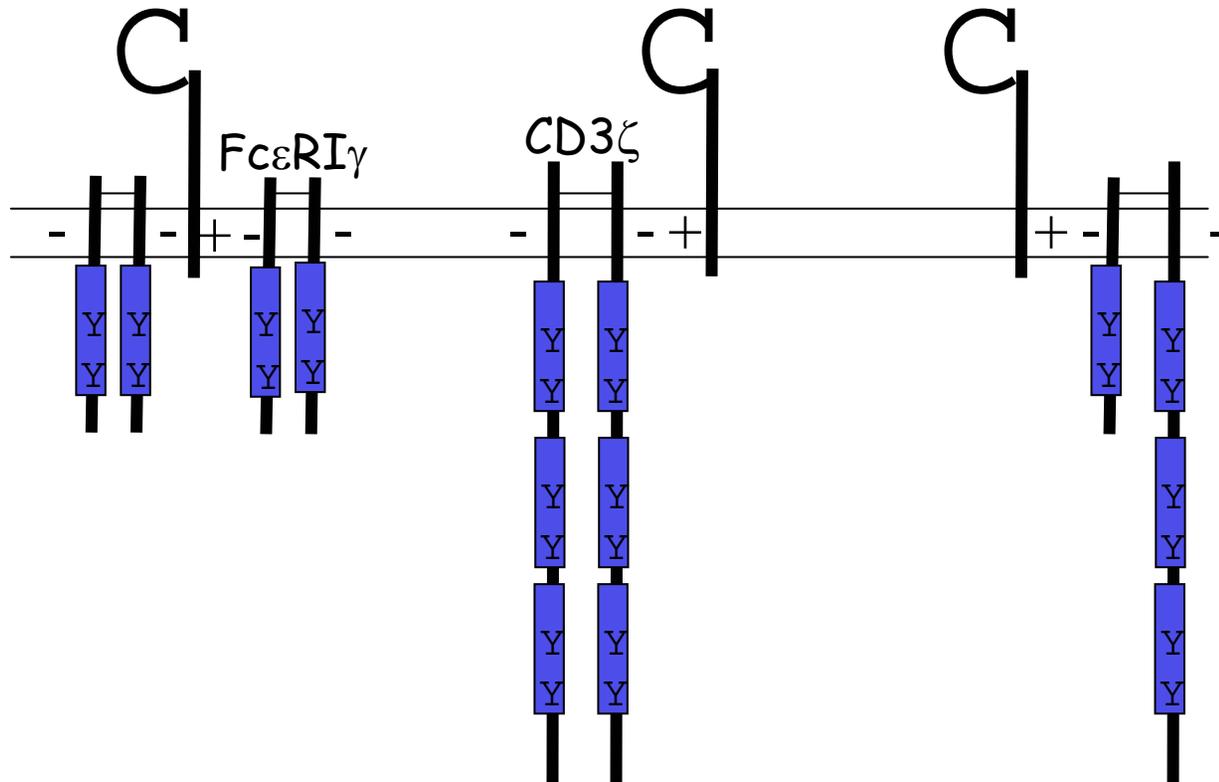
Activating NK receptors - ligands

- Human/mouse CD16-Fc ϵ RI γ / ζ IgG
- Human CD2..... CD58
- Human 2B4 (CD244)-SAP... CD48
- Human DNAM-1 (CD226)... CD112, CD155
- Mouse PILR β -DAP12..... PILR-L
- Human NKG2D-DAP10..... MICA/B, ULBP
- Mouse NKG2D-DAP10/12... RAE-1,H60, MULT1
- Human/mouse NKp46-Fc ϵ RI γ / ζ ?
- Human NKp30-Fc ϵ RI γ / ζ ?
- Human NKp44-DAP12..... ?
- Mouse NKR-P1c-Fc ϵ RI γ ?

Antibody-dependent cellular cytotoxicity

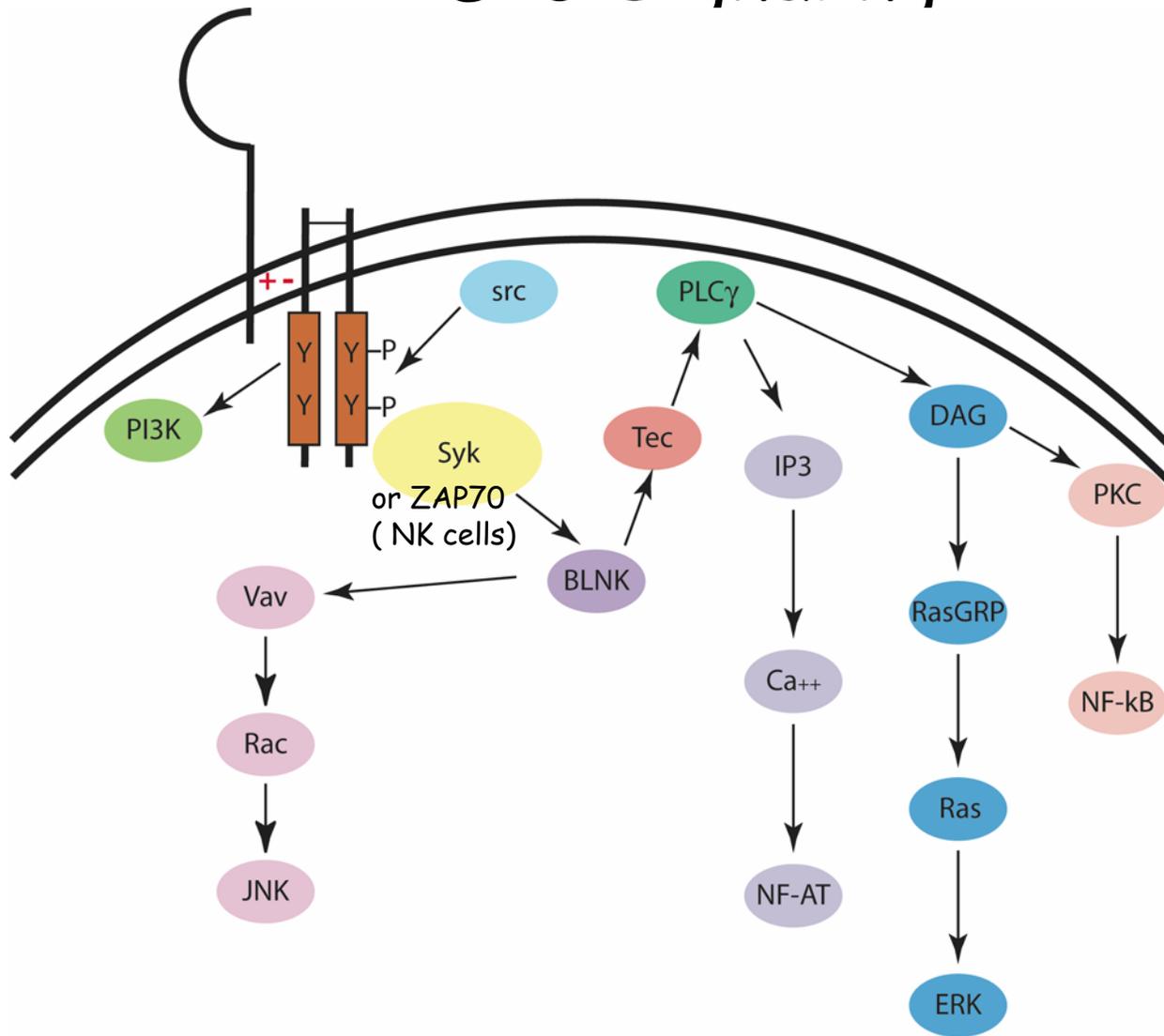
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CD16 (Fc γ RIIIA)



Expressed by NK cells and macrophages

CD16 Signaling



Cytotoxicity, cytokine production

FDA-approved therapeutic monoclonal antibodies

CD20

Her2

CD33

CD52

CD20

CD20

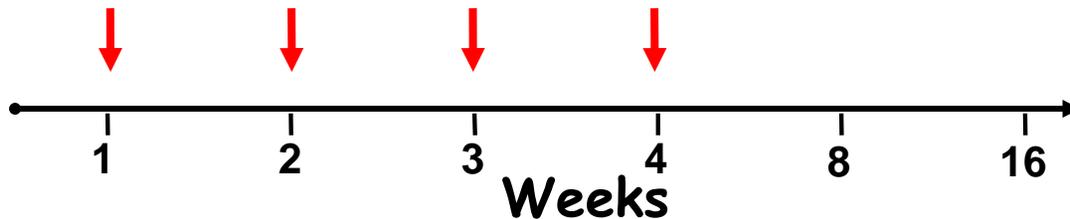
EGF-R

VEGF

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Rituxan Pivotal Trial: Treatment of Patients With Relapsed Lymphoma

Rituxan® 375 mg/m² (IV)



Monitoring
every 3 months
x2 years

Evaluable Patients	166
Overall Response	80 (48%)
Complete Response	10 (6%)
Partial Response	70 (42%)

Polymorphisms in CD16 correlate with therapeutic effects of anti-tumor monoclonal antibodies

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CD16 (Fc γ RIII) mediates Herceptin and Rituxan mediate human tumor elimination in nude mice

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Activating NK receptors - ligands

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- Human NKG2D-DAP10..... MICA/B, ULBP
- Mouse NKG2D-DAP10/12... RAE-1, H60, MULT1
- Human/mouse NKp46-Fc ϵ RI γ / ζ ?
- Human NKp30-Fc ϵ RI γ / ζ ?
- Human NKp44-DAP12..... ?
- Mouse NKR-P1c-Fc ϵ RI γ ?

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NKG2D

- C-type lectin-like superfamily
- 1 gene, non-polymorphic, conserved mice - humans
- Homodimer expressed on all NK cells, $\gamma\delta$ T cells, and CD8⁺ T cells
- R in transmembrane associates with D in DAP10 transmembrane

DAP10

- 10 kd homodimer
- Cytoplasmic YINM recruits Grb2 & p85 PI3-kinase

NKG2D ligands in mice and humans

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Many genes
Many alleles

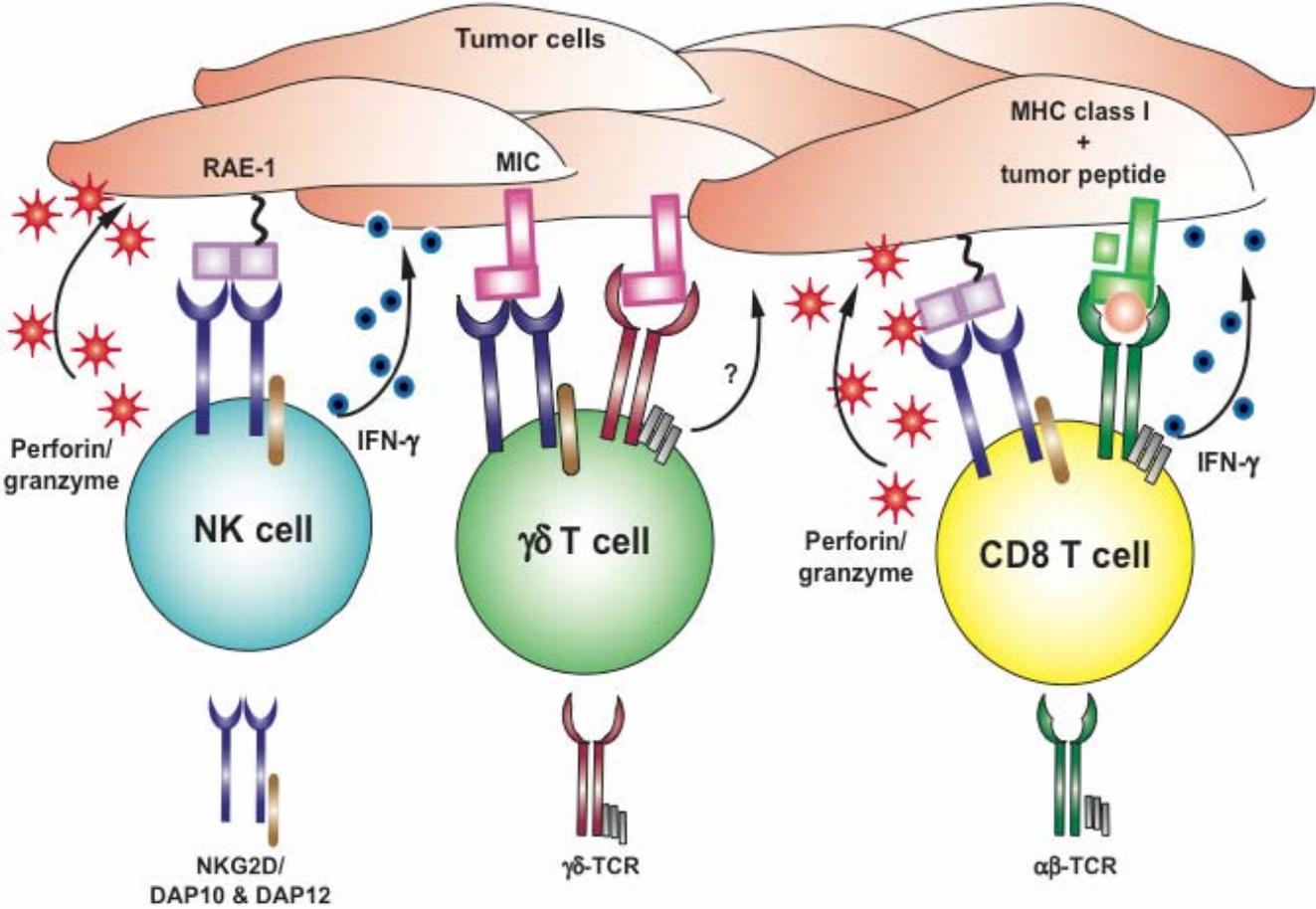
NKG2D ligands

- MHC class I-like
 - don't require peptide or β 2-microglobulin
- Bind with nM affinity to NKG2D
- Low levels expressed on healthy tissues
- Induced on virus-infected cells and tumor cells
- Induced by DNA damage
- Elevated in autoimmune diseases

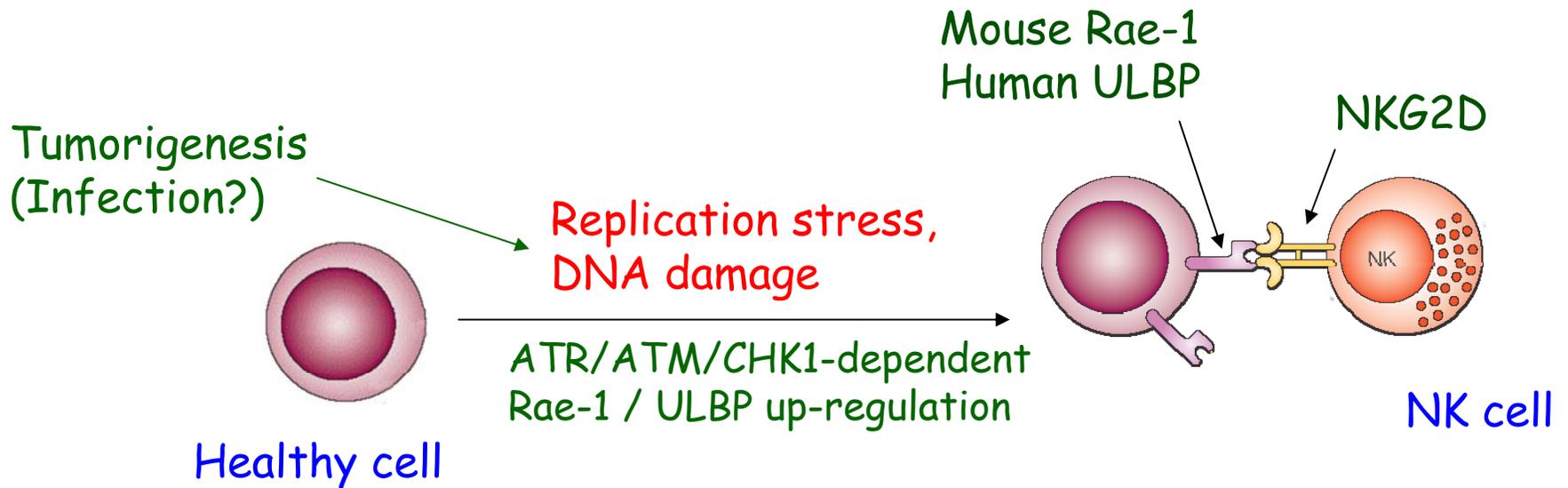
What is the biological role
of the NKG2D ligands?

“Danger signals” to alert the
immune system to infection

NKG2D on NK cells, $\gamma\delta$ T cells and CD8⁺ T cells detect NKG2D ligands on abnormal cells



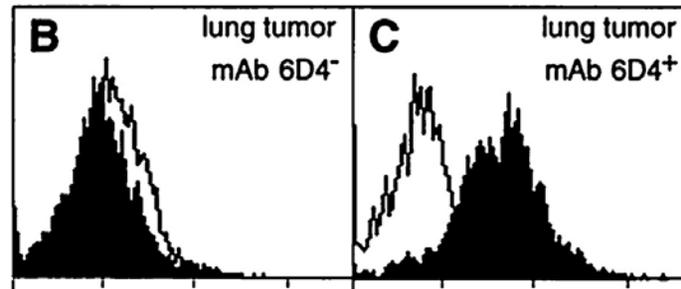
Induction of NKG2D ligands



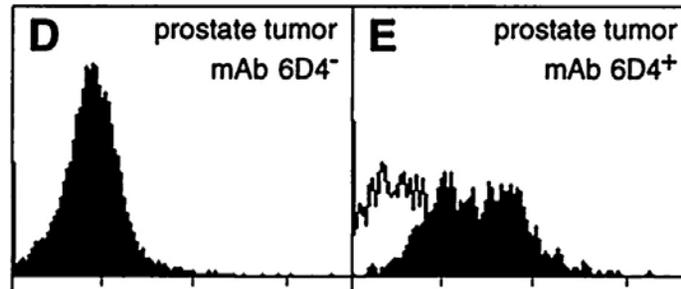
Thanks D. Raulet
Nature 2005

NKG2D ligands (MICA/B) are expressed on many primary human tumors

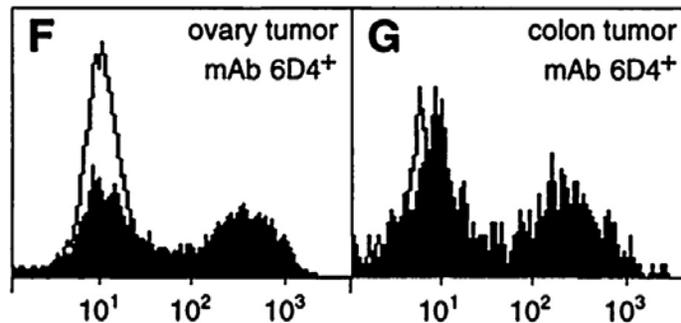
Lung tumors



Prostate tumors

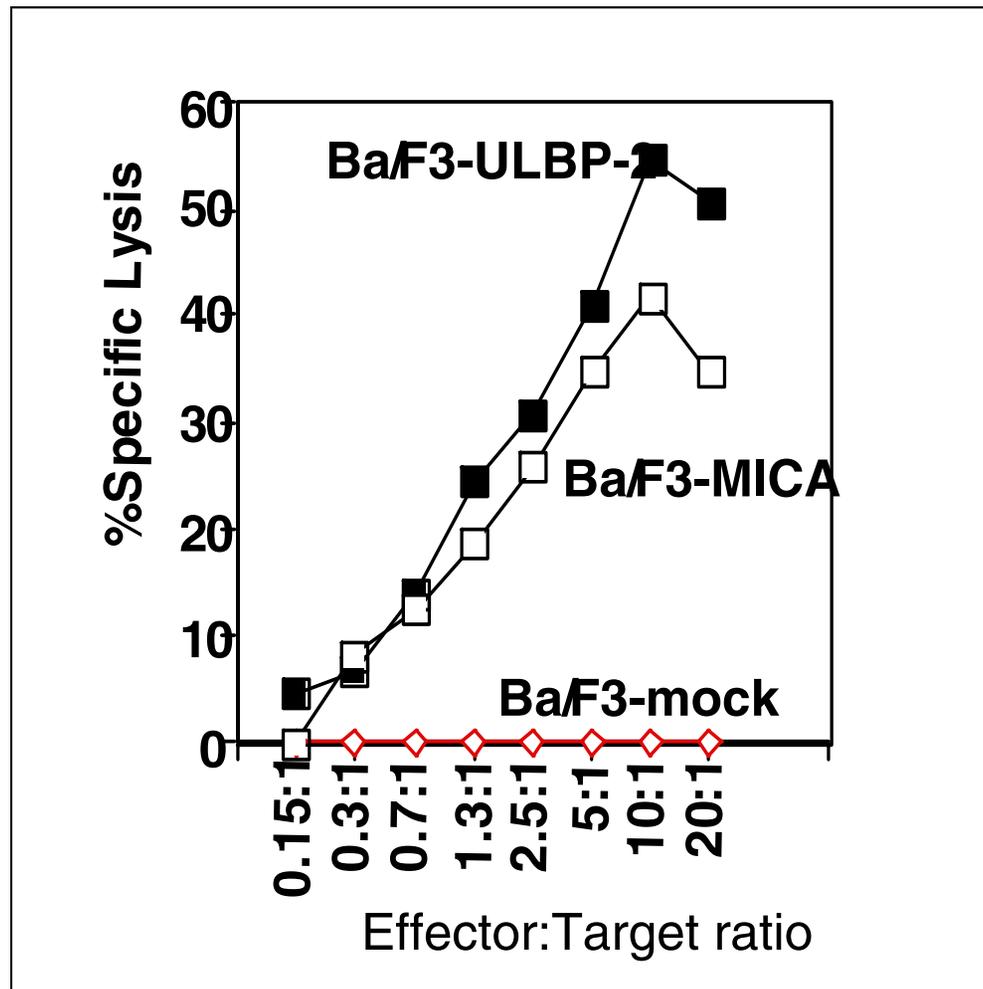


Ovarian tumors

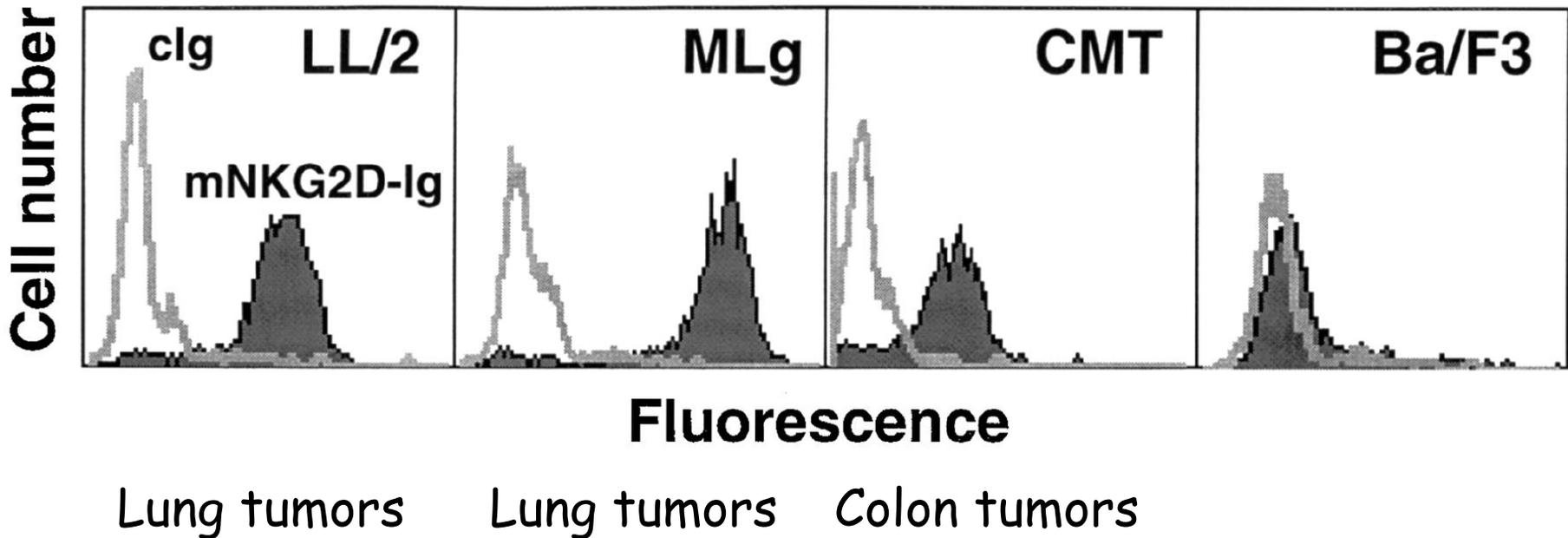


Colon tumors

Human NK cells kill NK-resistant mouse cells transfected with human NKG2D ligands



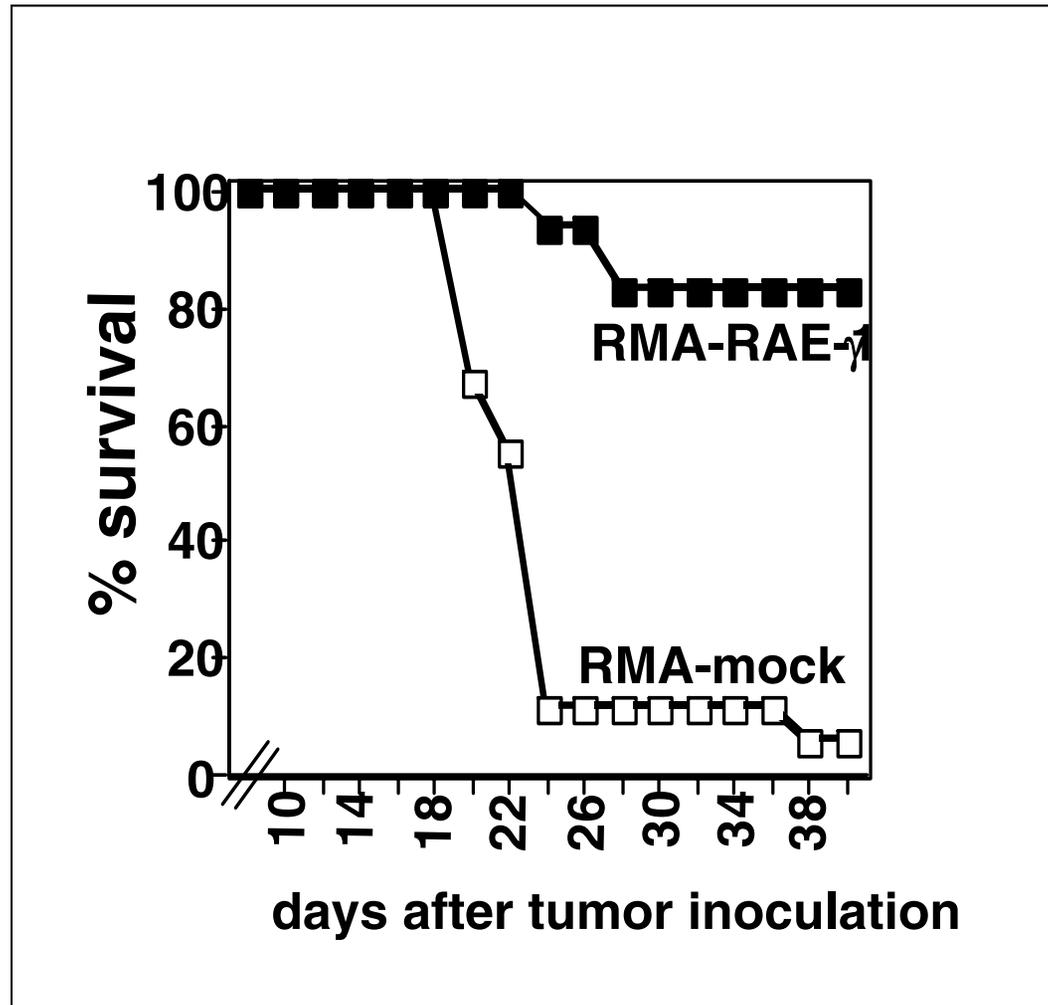
NKG2D ligands are expressed
on many mouse tumors



Mouse NK cells kill NK-resistant lymphomas transfected with NKG2D ligands

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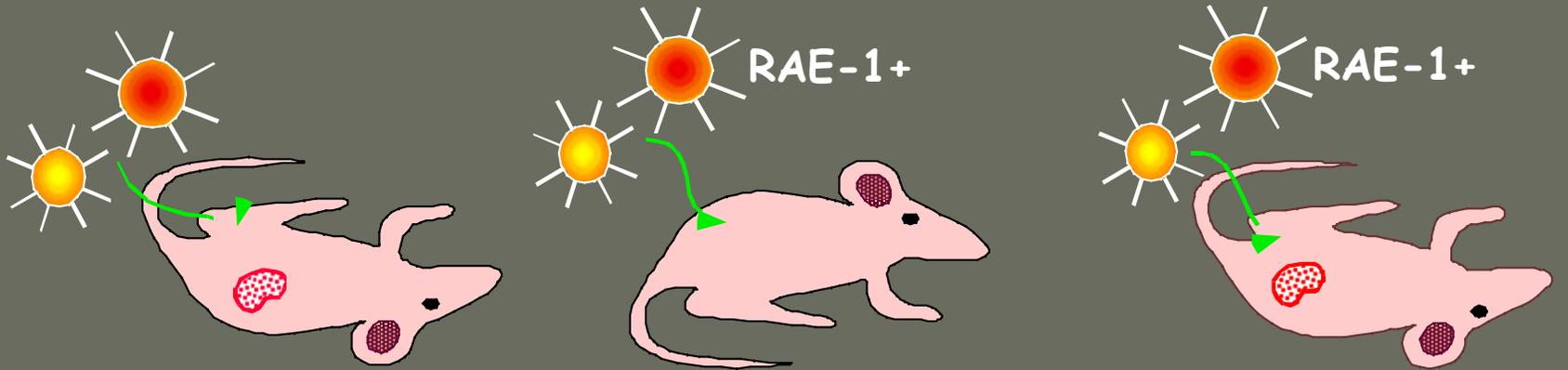
Mice reject lymphomas transfected with NKG2D ligands



Rejection mediated by NK cells

NKG2D-RAE-1 interaction overrides “self class I-inhibition” *in vivo*

NK Cells Reject RAE-1+ MHC class I+ Tumors!



Class I⁺ tumors
grow *in vivo*

RAE-1⁺ Class I⁺ tumors
are rejected

RAE-1⁺ tumors
in NK-depleted
mice grow *in vivo*

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Increased 3-MCA induced tumors in
mice treated with anti-NKG2D mAb

If NK cells kill tumors expressing NKG2D ligands - how do the tumors survive?

Shed or secreted NKG2D ligands in the sera of cancer patients

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NKG2D and Cancer

- Tumors frequently over-express NKG2D ligands
- DNA-damage induces expression of NKG2D ligands on tumors
- NK cells eliminate tumors expressing NKG2D ligands
- NKG2D ligands on tumors can (sometimes) augment tumor antigen-specific CD8⁺ CTL
- Tumors shed or secrete soluble NKG2D ligands to act as decoys - immune evasion