

# **NOVEL NEOADJUVANT DENDRITIC CELL VACCINE IN EARLY HER-2+ BREAST CANCER**

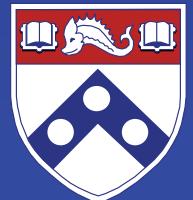
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**Brian J Czerniecki MD, PhD**

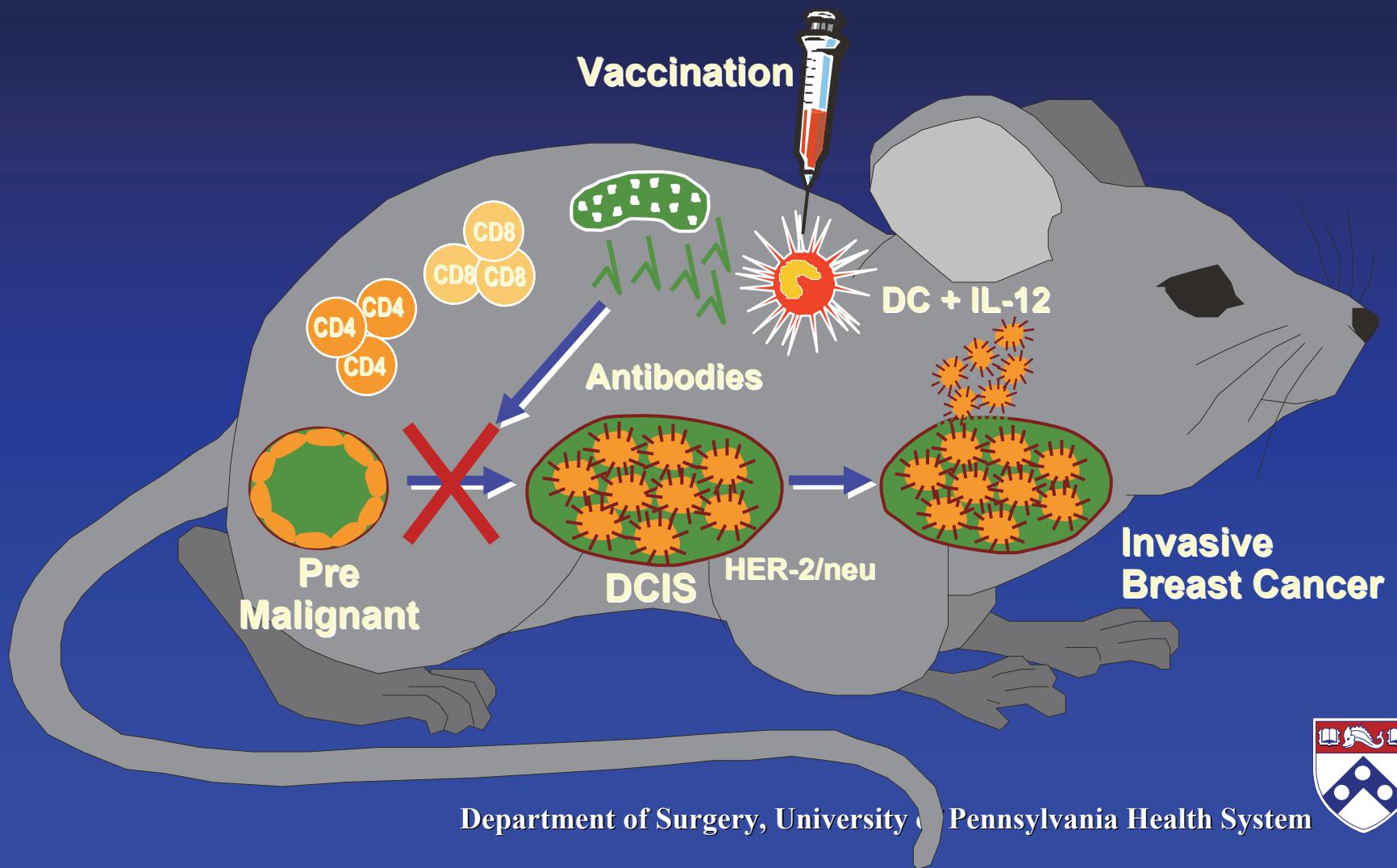
**Rena Rowan Breast Center**

**Perelman School of Medicine**

**University of Pennsylvania**



# Pre-Clinical Breast Cancer Prevention Vaccines

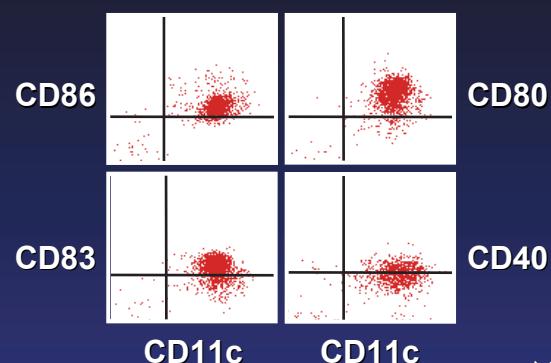


Department of Surgery, University of Pennsylvania Health System



# HER-2/neu Peptide Pulsed DC1 Vaccine for DCIS

## INNATE

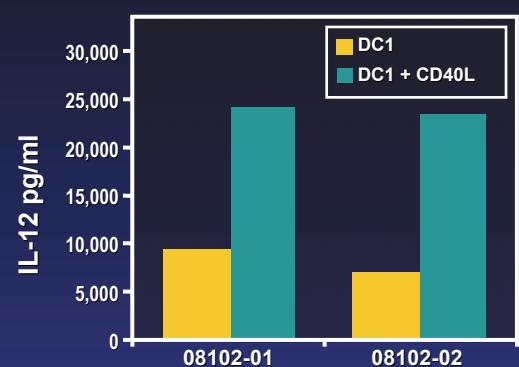


CD80

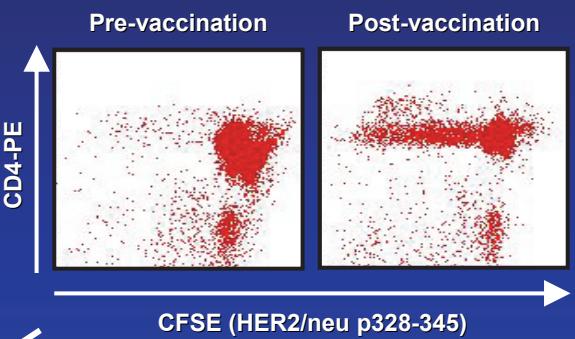
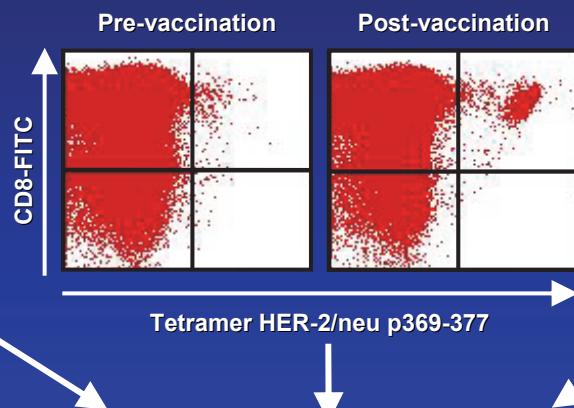
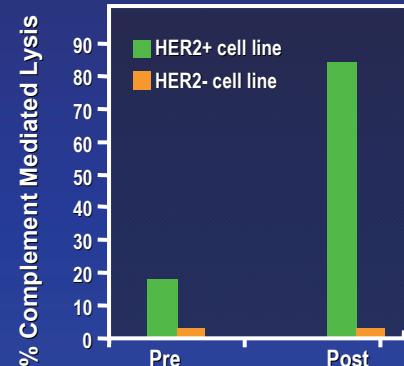
CD40



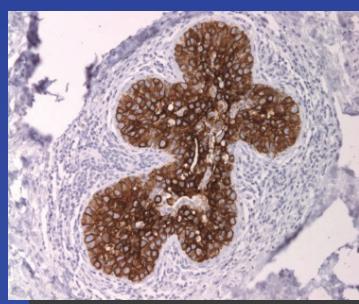
DC1



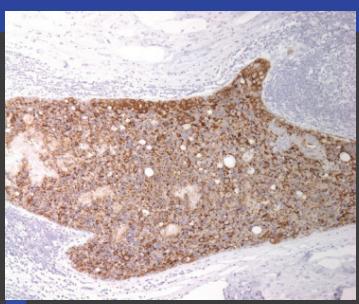
## ADAPTIVE



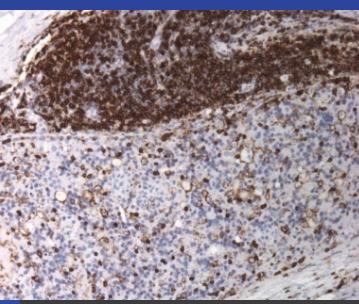
## DCIS



Pre-Vaccine HER-2/neu



Post-Vaccine HER-2/neu



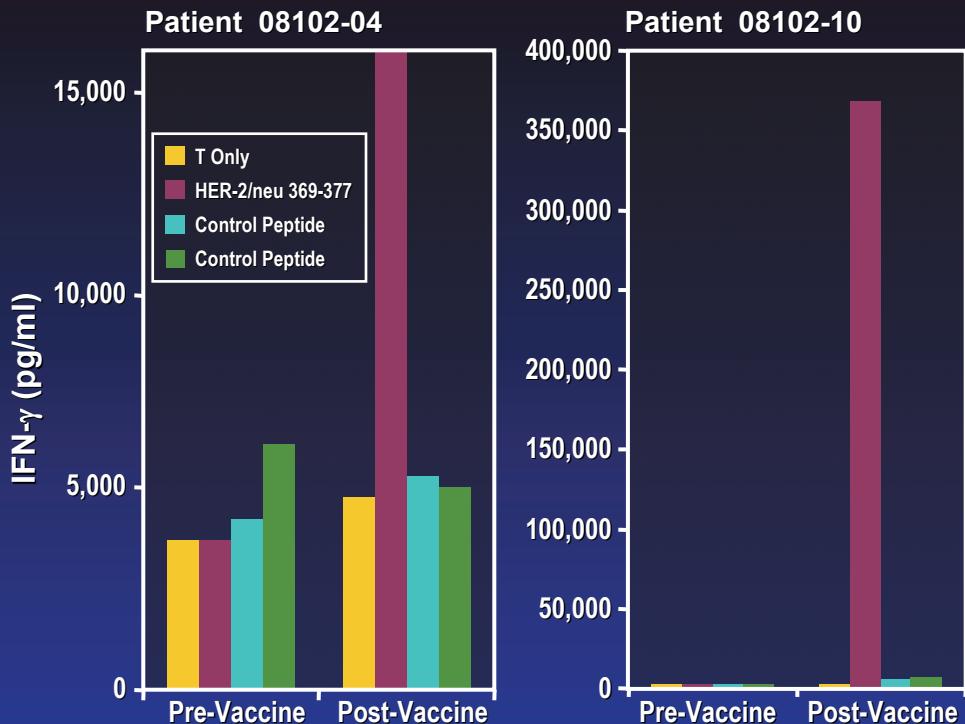
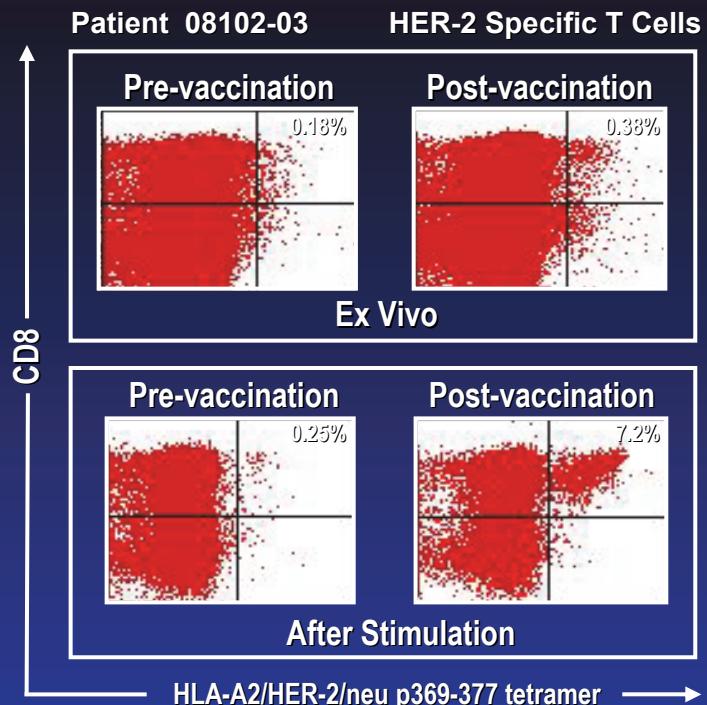
Post-Vaccine CD40L

# Safety of DC1 Vaccines

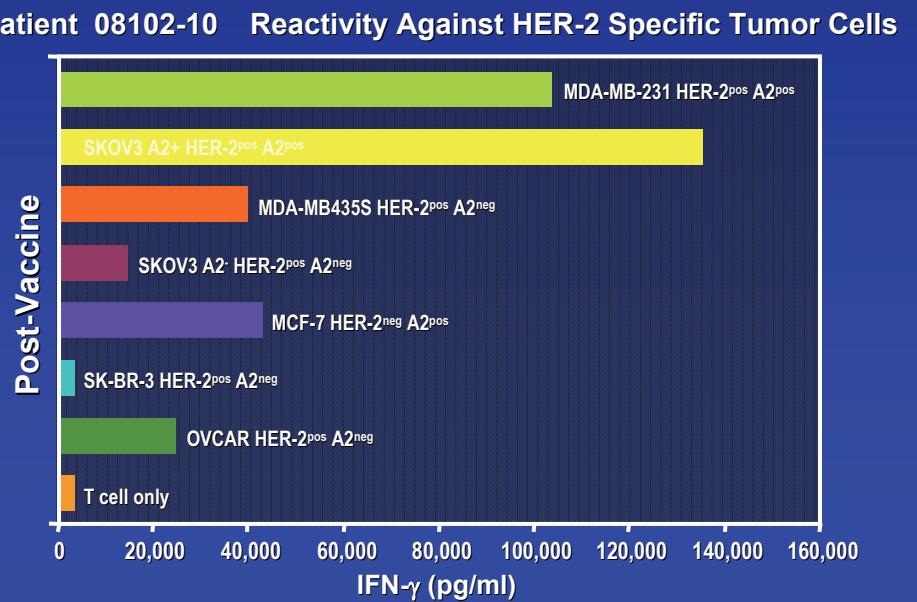
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- Grade I, II - fevers, chills, headache, fatigue, injection site soreness
- Cardiac 5/57 patients Asymptomatic declines in MUGA 10-20% **within normal range** all resolved on repeat 30 days
- No long term sequela patients out range 6 months – 84 months

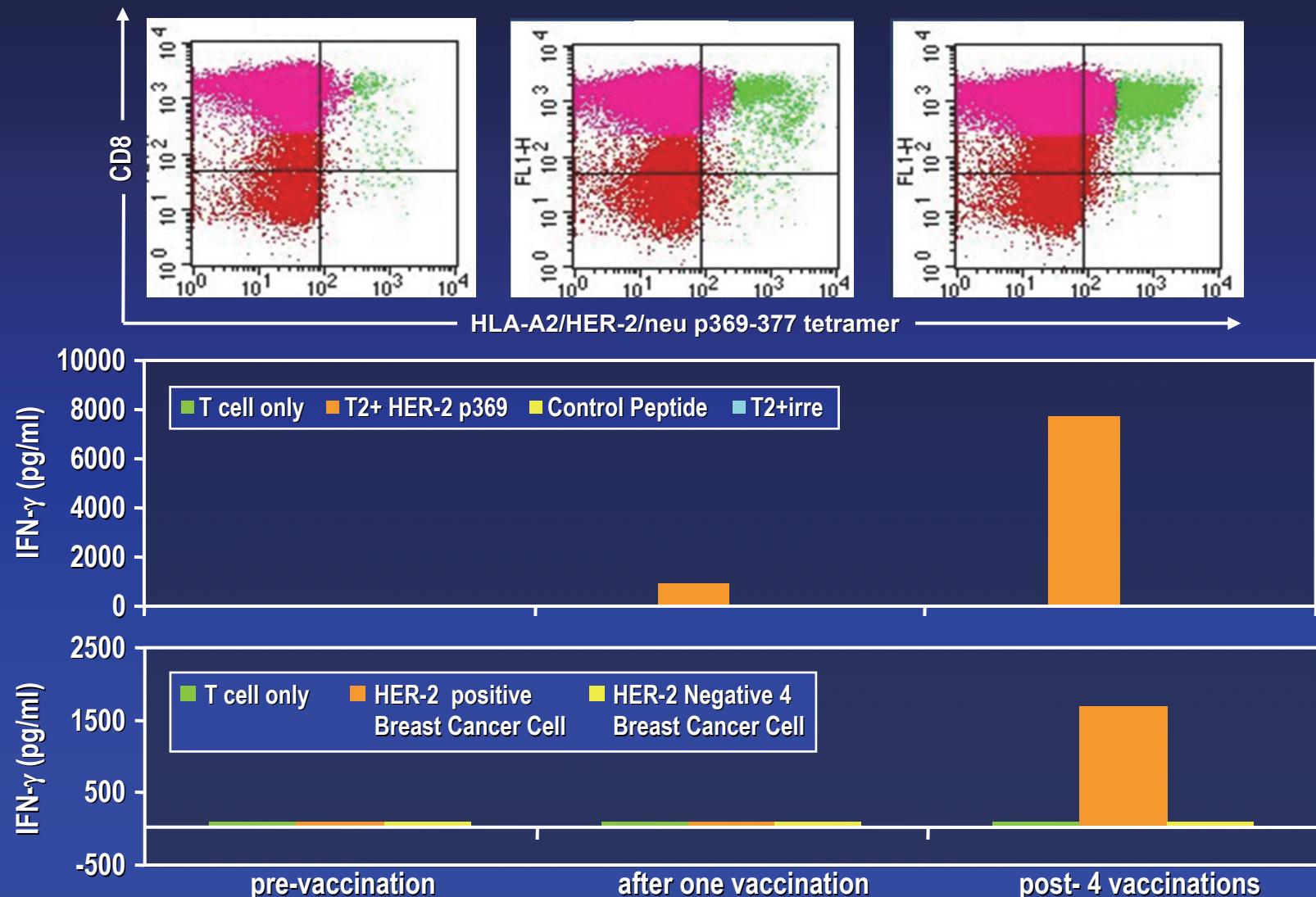




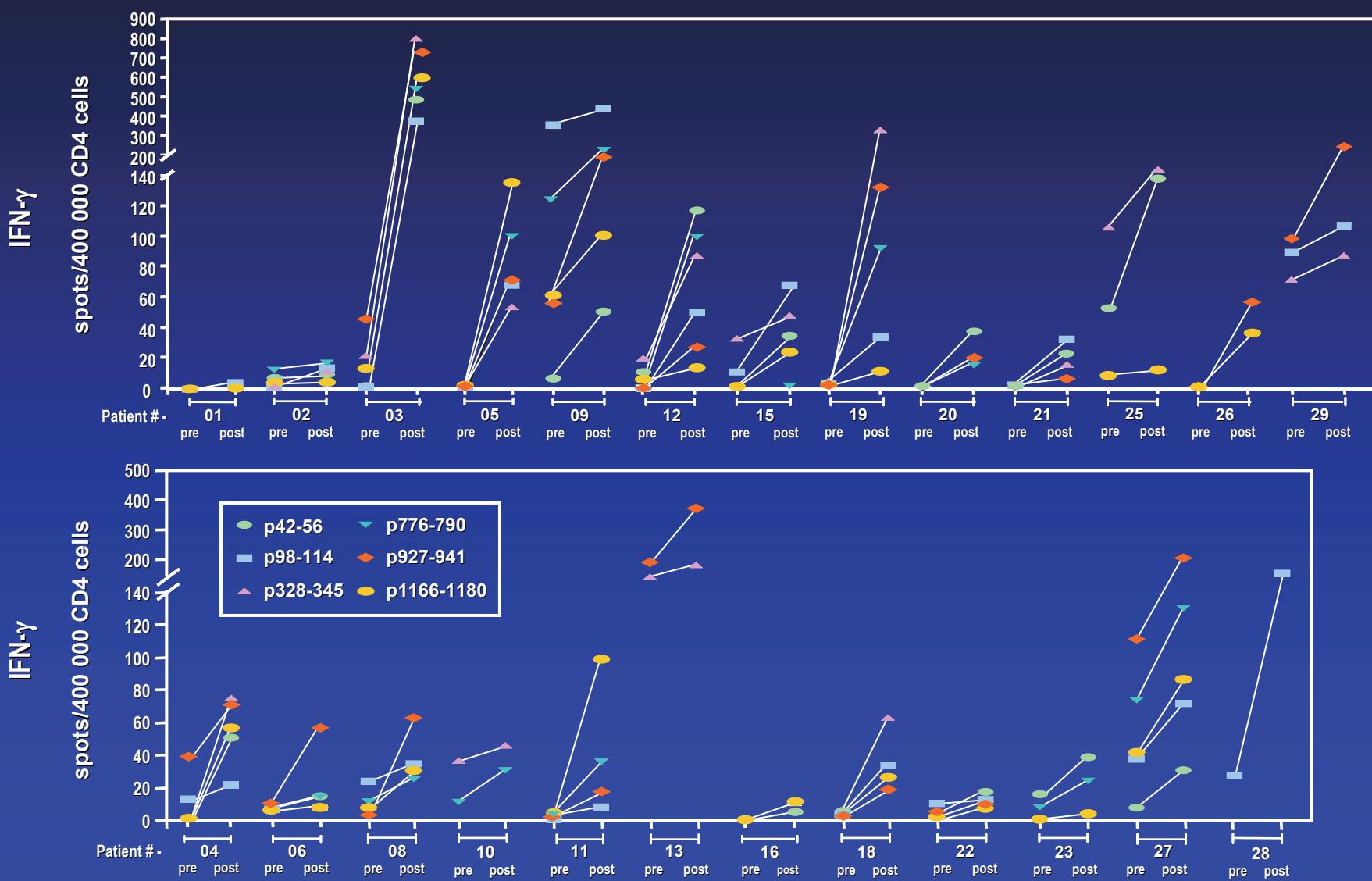
***HER2/neu pulsed-  
ICAIT DC1 activate  
anti-HER2/neu CD8  
T cells in DCIS  
patients***



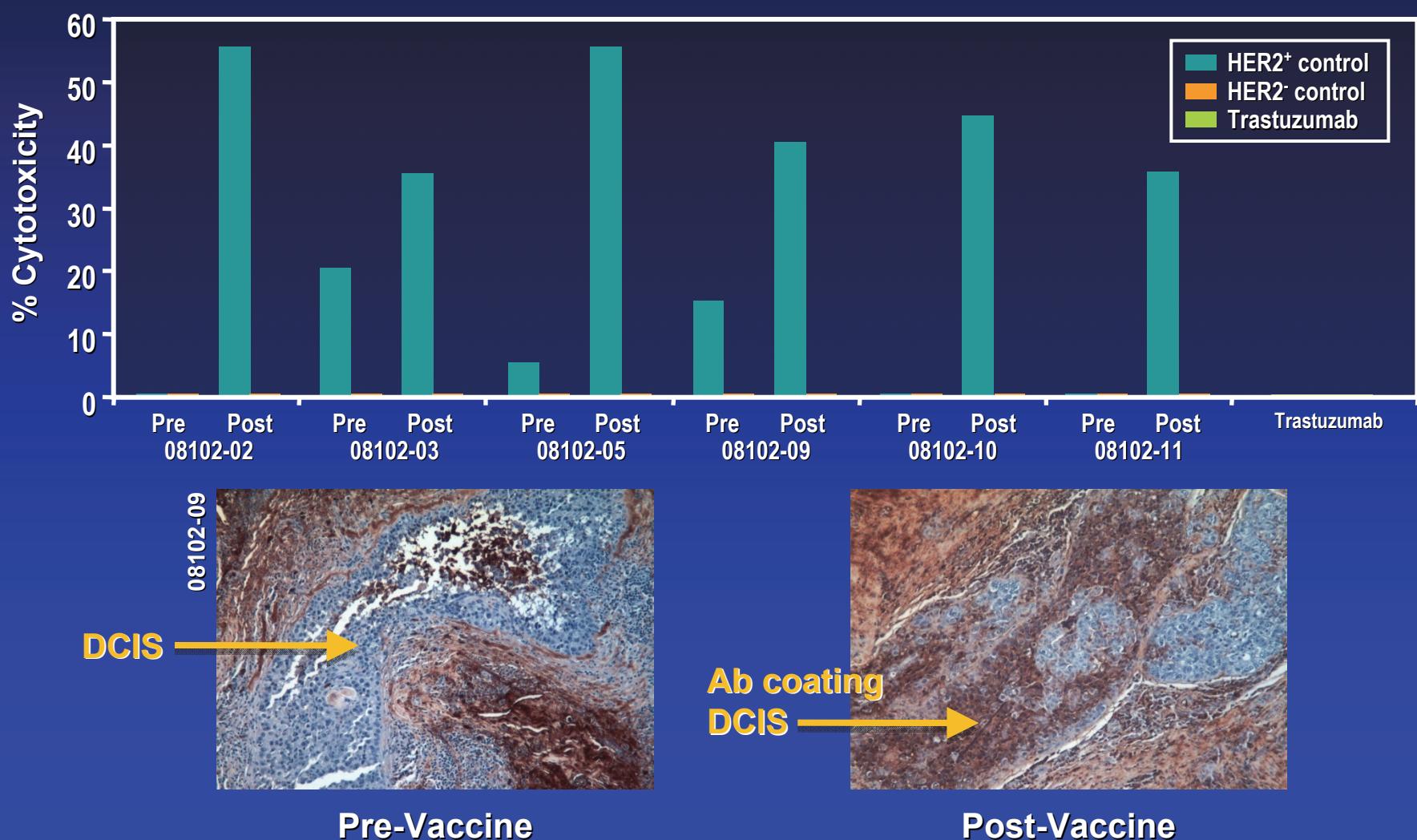
# *Optimal induction of anti-tumor effector CD8<sup>+</sup> T Cell responses requires multiple vaccinations*



# *Induction of CD4 T cell anti-HER2 responses after ICAIT DC1 vaccination in DCIS patients*

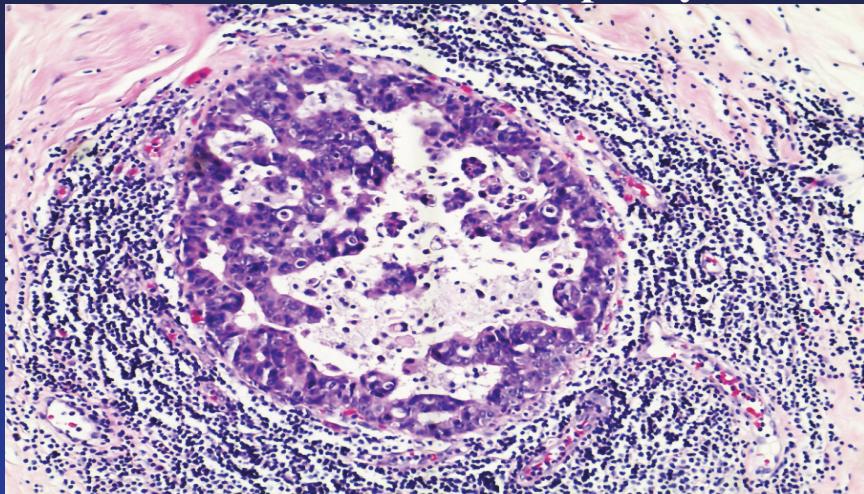


# *Induction of Complement fixing anti-HER2/neu ABs post-vaccine: Direct antibody-mediated tumor cell killing*

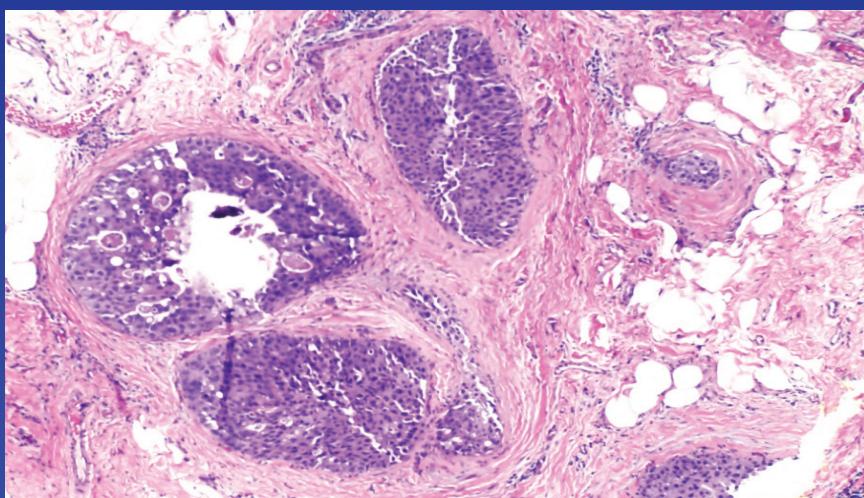
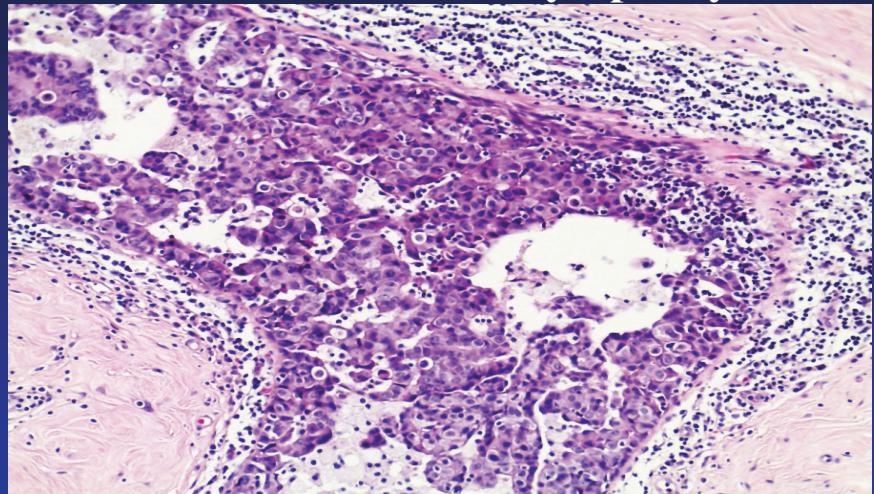


# Immune Response in the DCIS to DC1 Vaccination

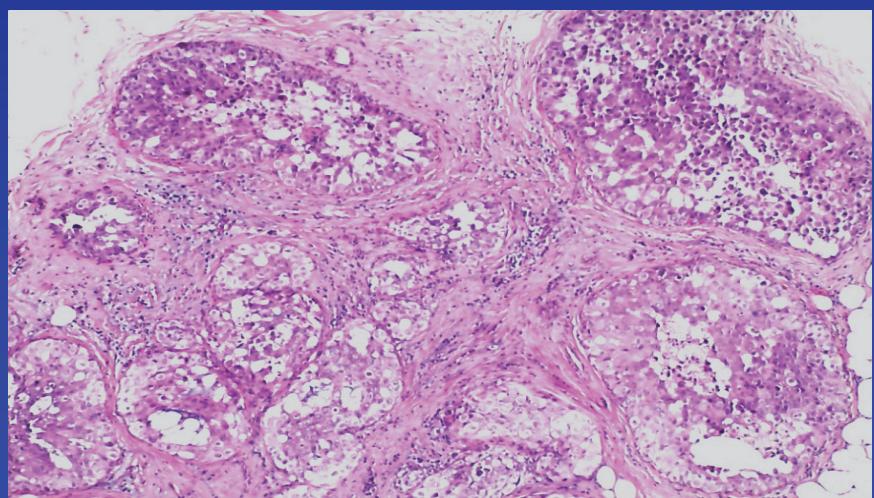
Post-Vaccine Lymphocytes



Post-Vaccine Lymphocytes

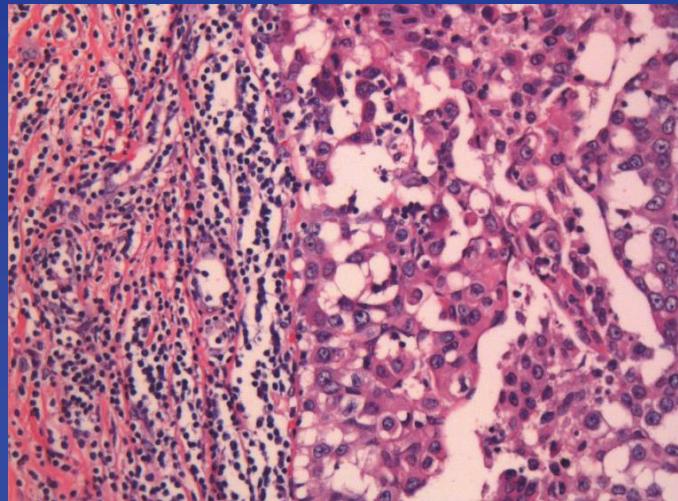
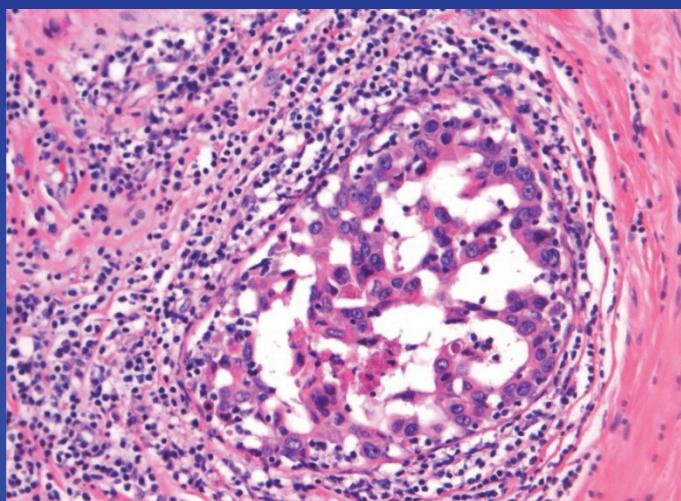
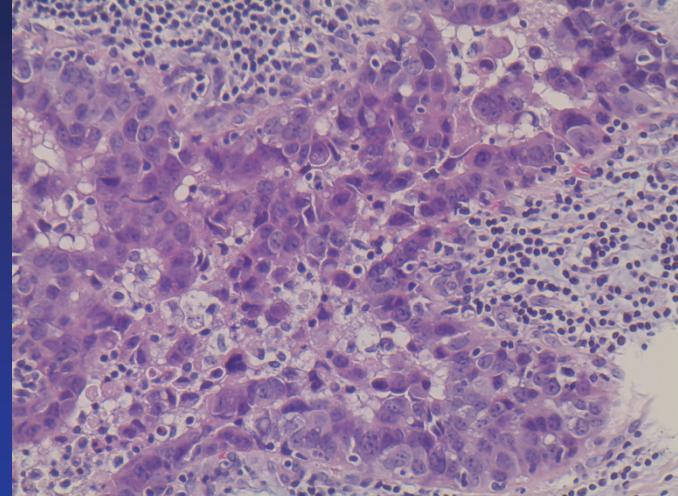
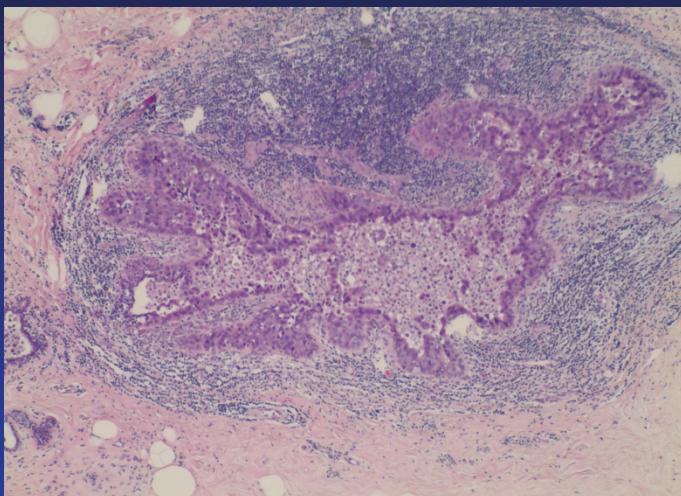


Pre-Vaccine

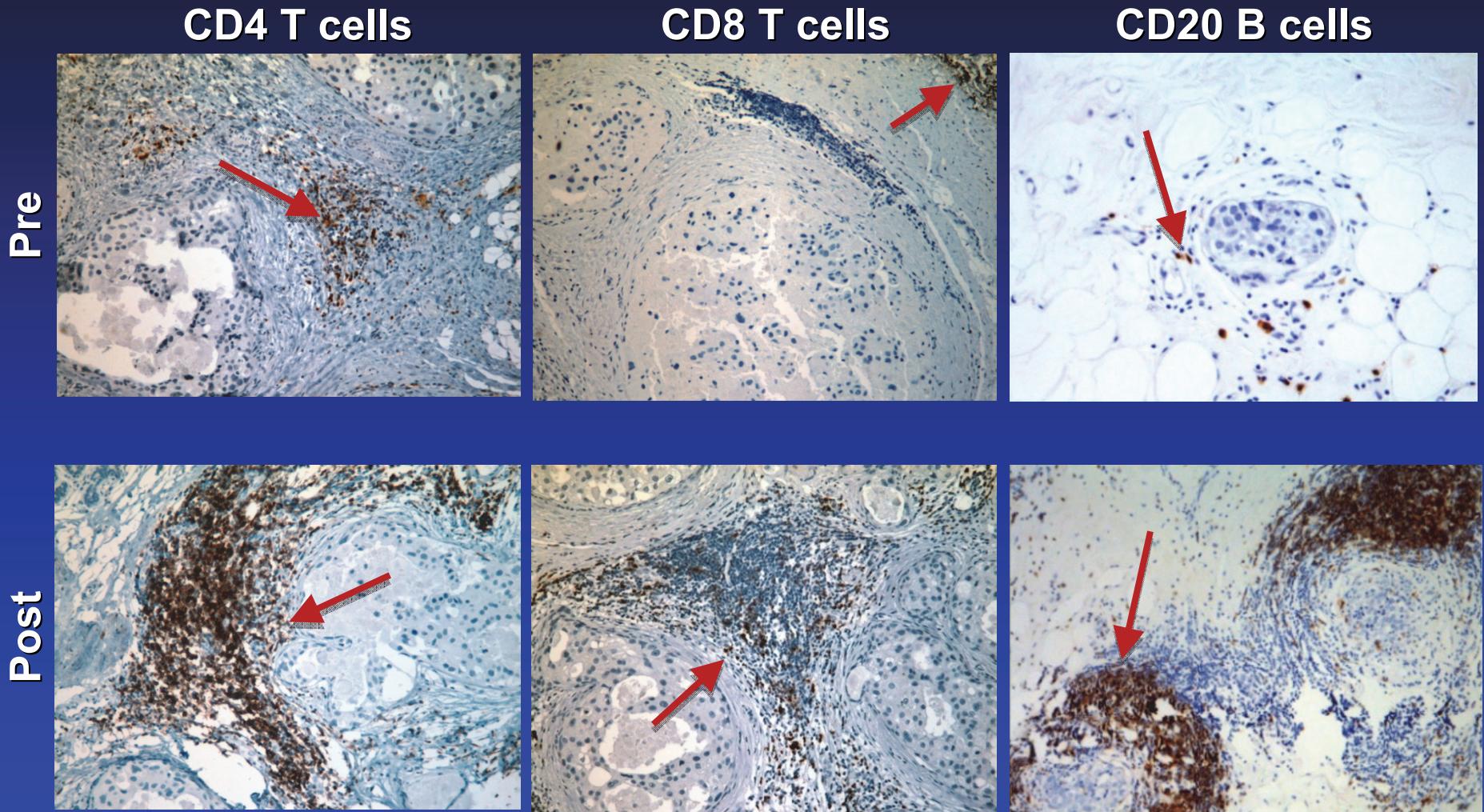


Post-Vaccine

# Lymphocyte Infiltration Within Residual DCIS Ducts Following Vaccination

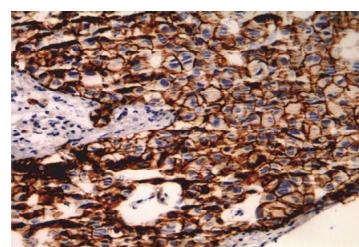


# *Trafficking of Lymphocytes into breast post-vaccination of DCIS patients*

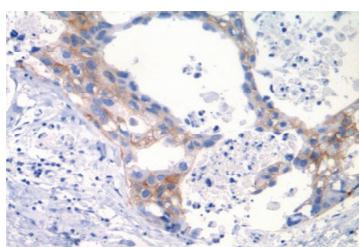


# Response to Vaccines

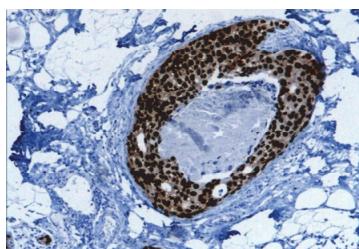
**A** Pre Vaccine HER-2/neu



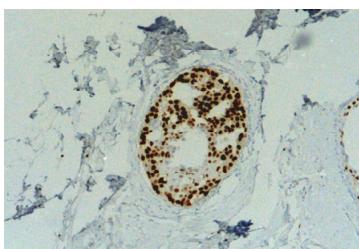
Post Vaccine HER-2/neu



Pre Vaccine ER

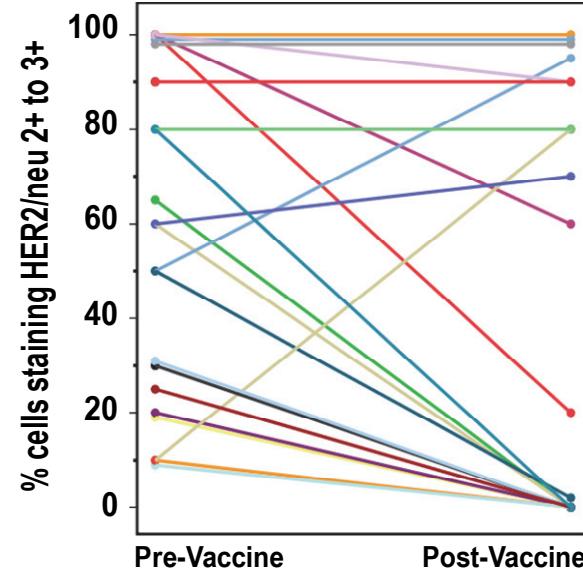


Post Vaccine ER



**B**

Vaccinated N=22



**C**

Percent of cells staining HER-2/neu 2+ to 3+ Pre- and Post-vaccination  
Patients sorted by Phenotype and Pre-vaccination HER-2/neu expression

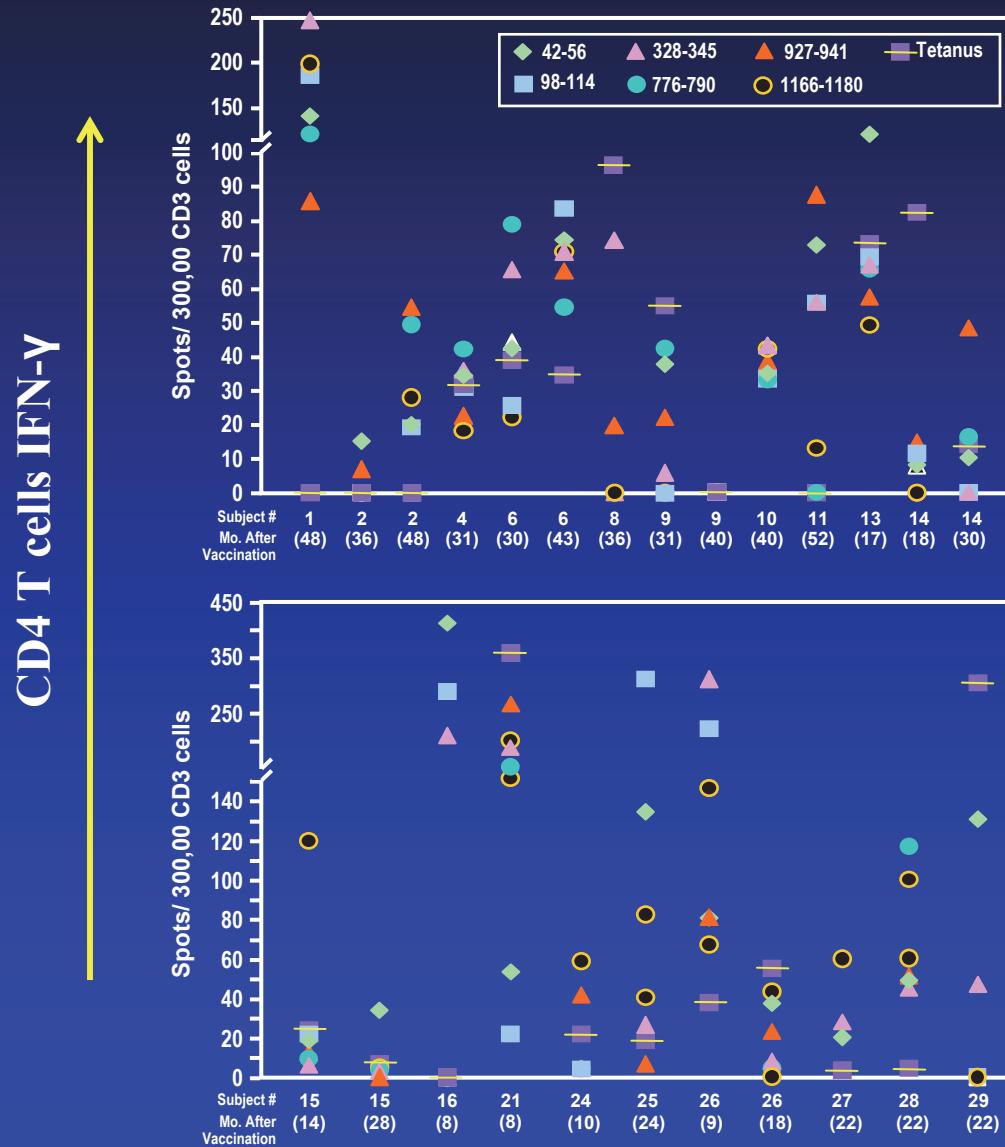
ER <sup>pos</sup> HER-2/neu <sup>pos</sup>					
% Pre-vaccine	% Post-vaccine	Percent Change	% Pre-vaccine	% Post-vaccine	Percent Change
10	0	-100%*	65	0	-100%*
20	0	-100%*	80	0	-100%*
20	0	-100%*	80	80	0%
25	0	-100%*	90	90	0%
30	0	-100%*	100	90	-10%
50	2	-96%*	100	>90	0%
50	95	+90%	100	100	0%
60	70	+17%	100	100	0%

ER <sup>neg</sup> HER-2/neu <sup>pos</sup>		
% Pre-vaccine	% Post-vaccine	Percent Change
10	0	-100%*
10	80	+700%
30	0	-100%*
60	0	-100%*
100	20	-80%
100	60	-40%

\*patient scored as HER-2/neu<sup>neg</sup>

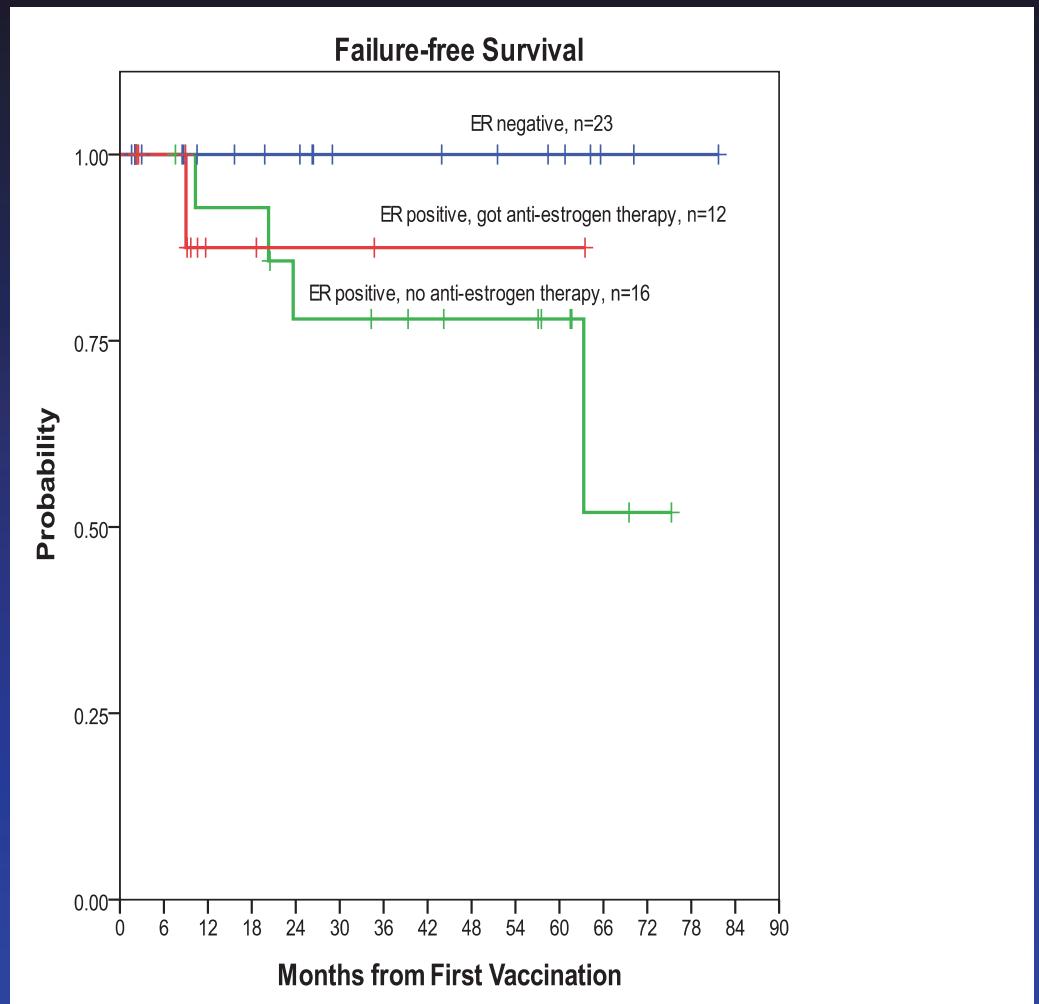
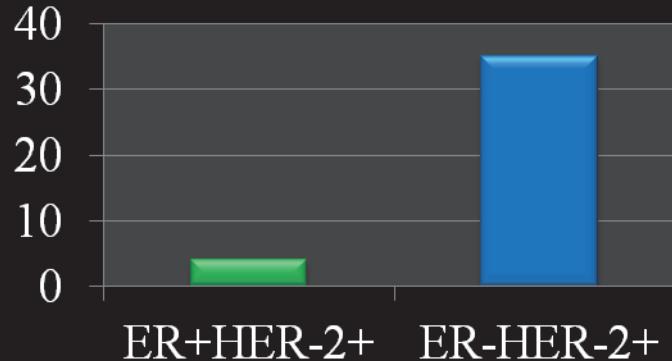
# *Induction of long-term anti-tumor immunity*

## *Robust anti-HER2 responses more than 4 yrs post vaccination*

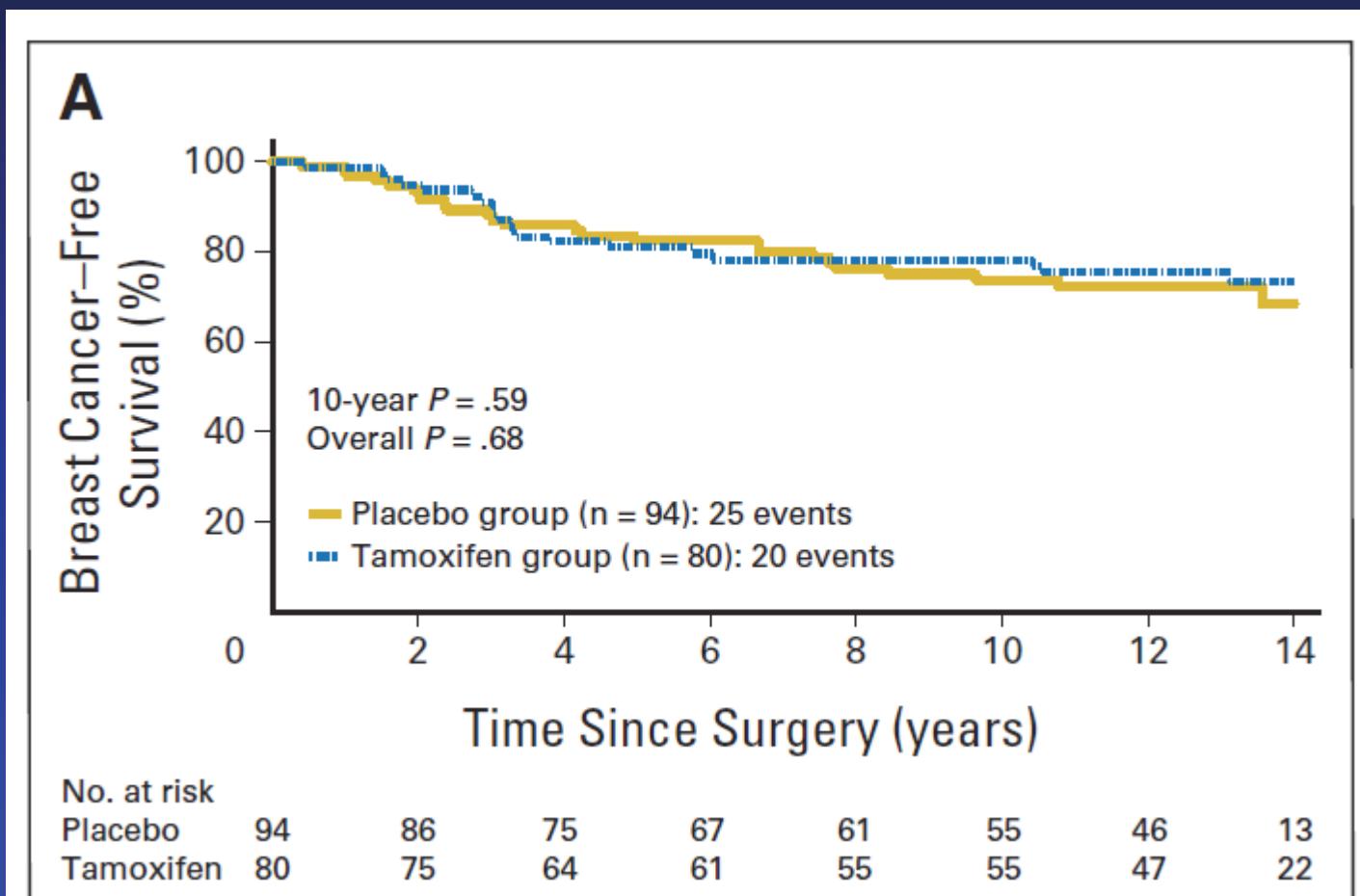


# Comparison of Absence of Disease Post DC1 Vaccine with Disease Free Recurrence

% Complete Response  
Post DC1 Vaccine

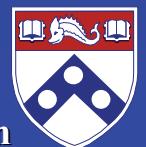


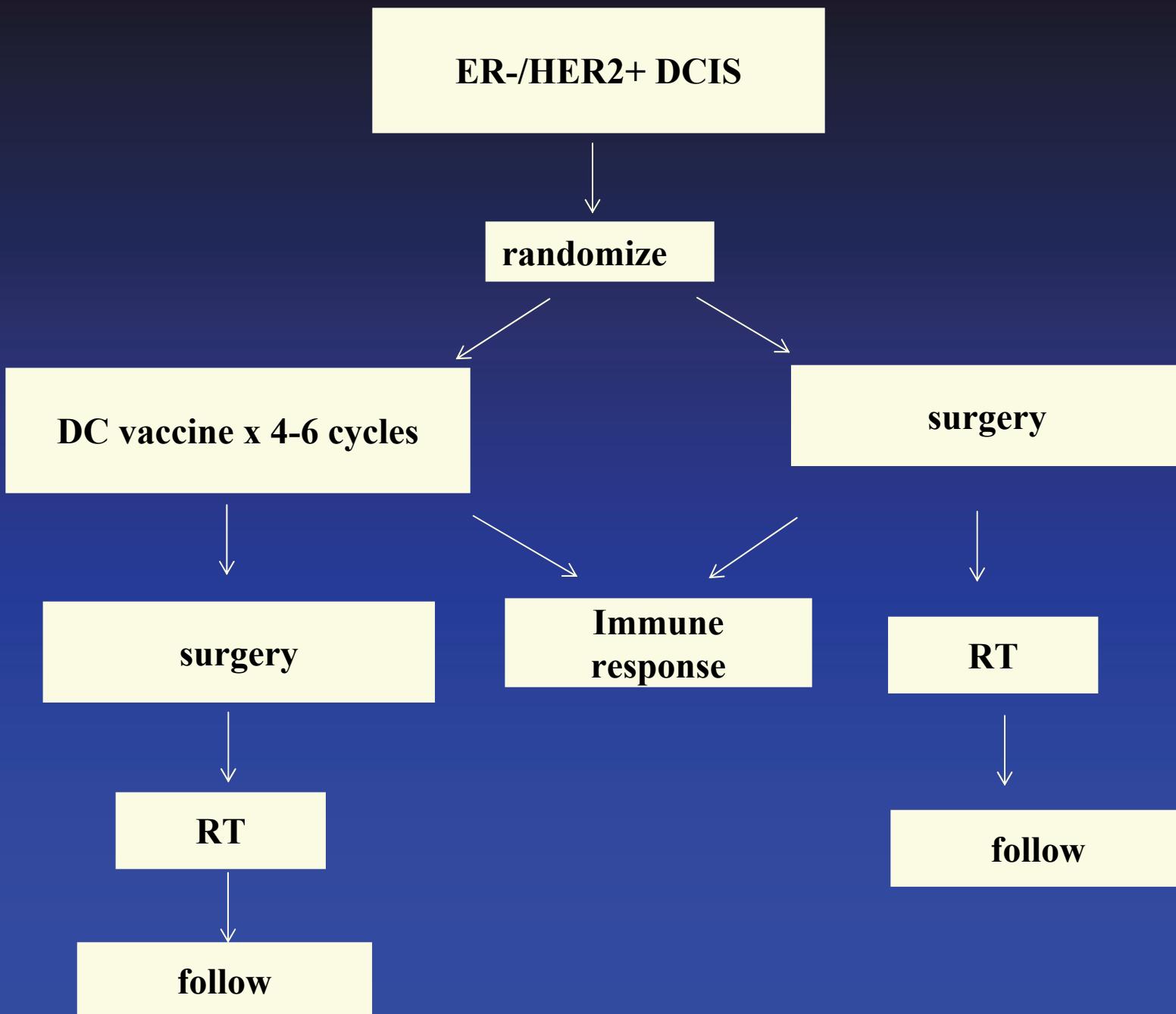
# NSABP-B24: Outcomes of women with ER- DCIS



Allred, JCO, 2012

Department of Surgery, University of Pennsylvania Health System

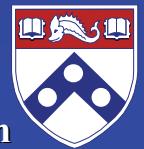




# Summary

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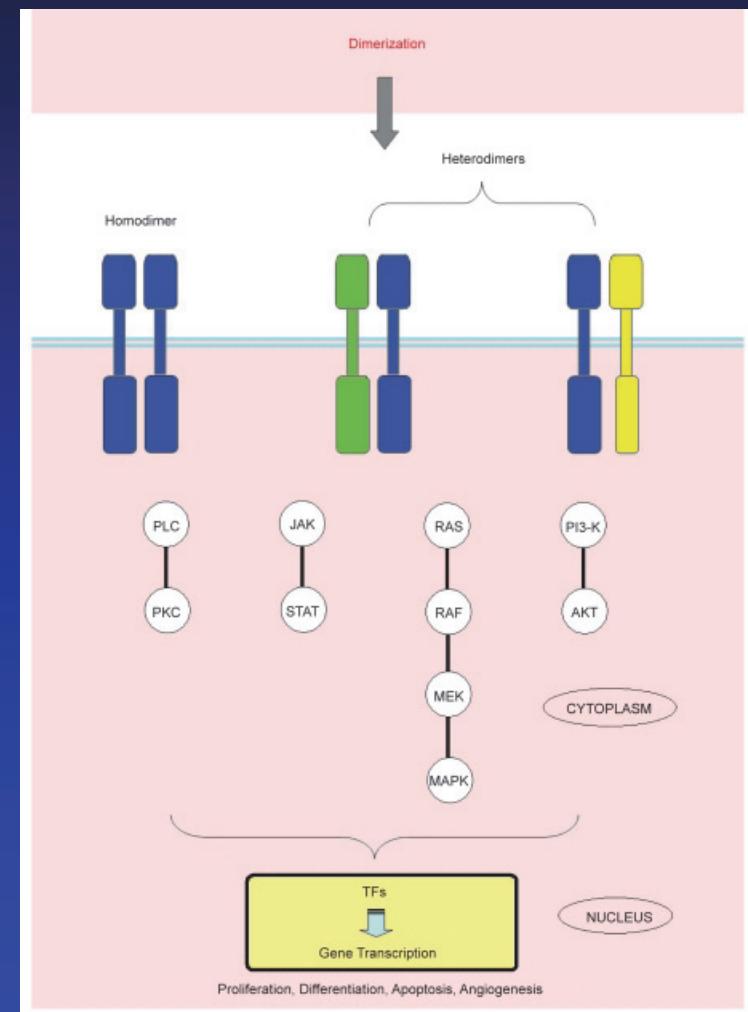
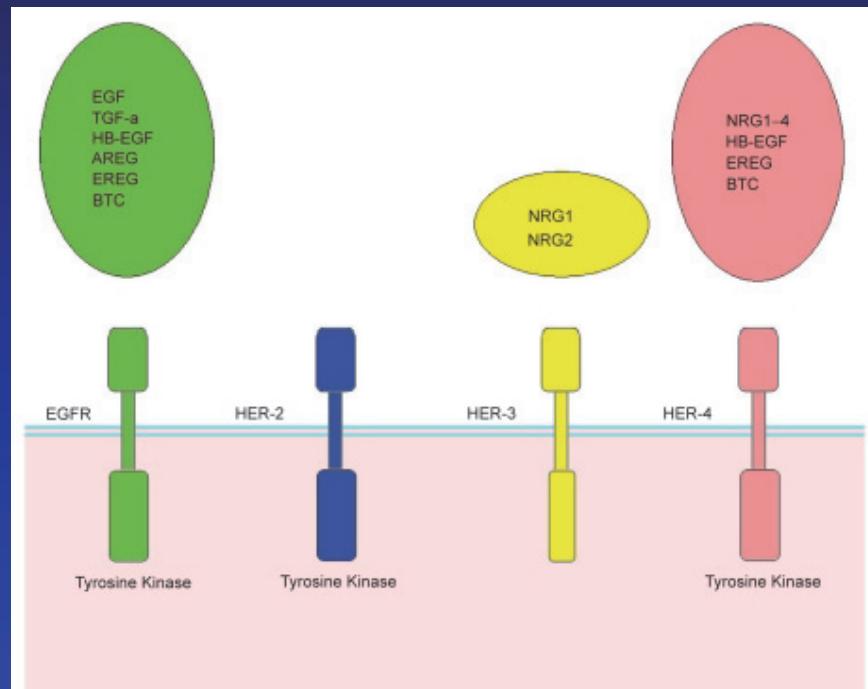
- ICAIT DC1 Vaccines Induce Long Lasting Th1 CD4 and CD8 T cell responses
- They Induce Lymphocyte Migration into DCIS Ducts
- Induce Elimination of HER-2 Expressing DCIS
- Can Prevent Recurrence Of HER-2 Phenotype
- Suggest Vaccination Against Breast Cancer can be Effective if Targets are Right
- Caution that Multiple targets will be needed in true primary breast cancer prevention



# Acknowledgements

- UPENN
  - Shuwen Xu
  - Ursula Koldovsky
  - Paul Zhang
  - Kevin Fox
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  - Bruce Levine
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  - Anu Sharma
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- Sara Matthews
- Kent State University
  - Gary Koski
- NIH
  - Steve Rosenberg
- Mayo Clinic
  - Peter A Cohen

# HER Family



# HER Family Pre and Post-Vaccination

