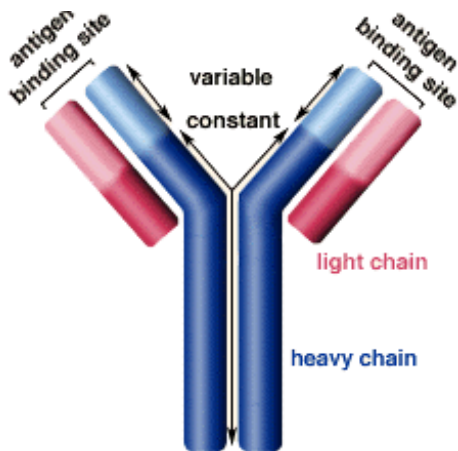




**Carbone Cancer Center**

UNIVERSITY OF WISCONSIN  
SCHOOL OF MEDICINE AND PUBLIC HEALTH

# Activation of Innate and Adaptive Immunity as an “*In Situ* Vaccine”



**SITC**

**National Harbor, MD**

**11/11/17**

**Paul M. Sondel, MD, PhD**

**UW Depts. of Pediatrics, Human Oncology and Genetics**

**[pmsondel@humonc.wisc.edu](mailto:pmsondel@humonc.wisc.edu) 608-263-9069**





**Madison WI**

**University of Wisconsin**

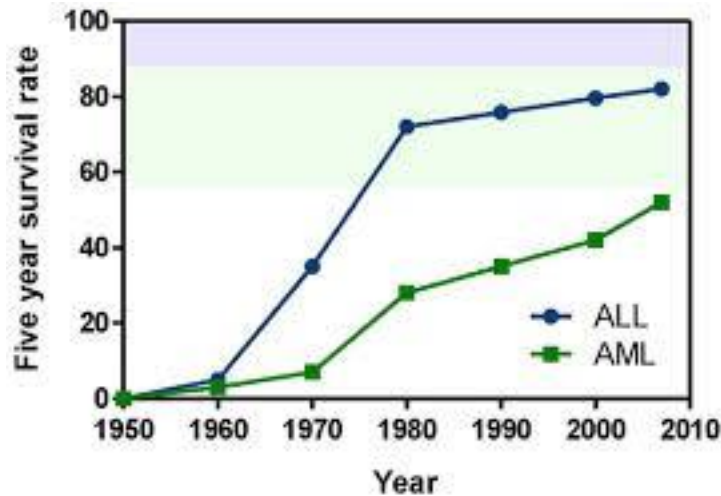
**UW Hospital and Carbone  
Cancer Center (UWCCC)**

**American Family  
Children's Hospital**

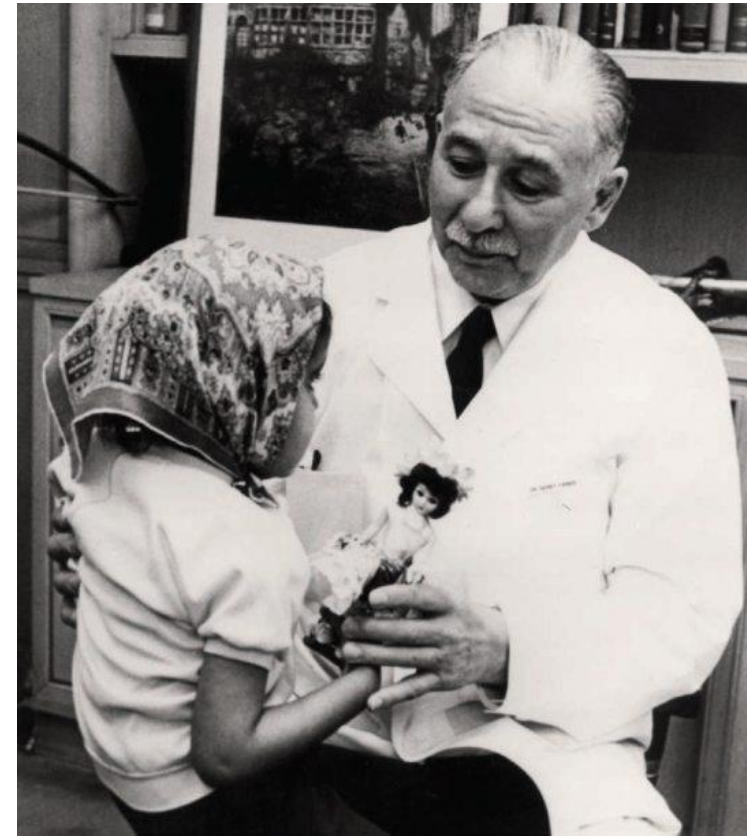
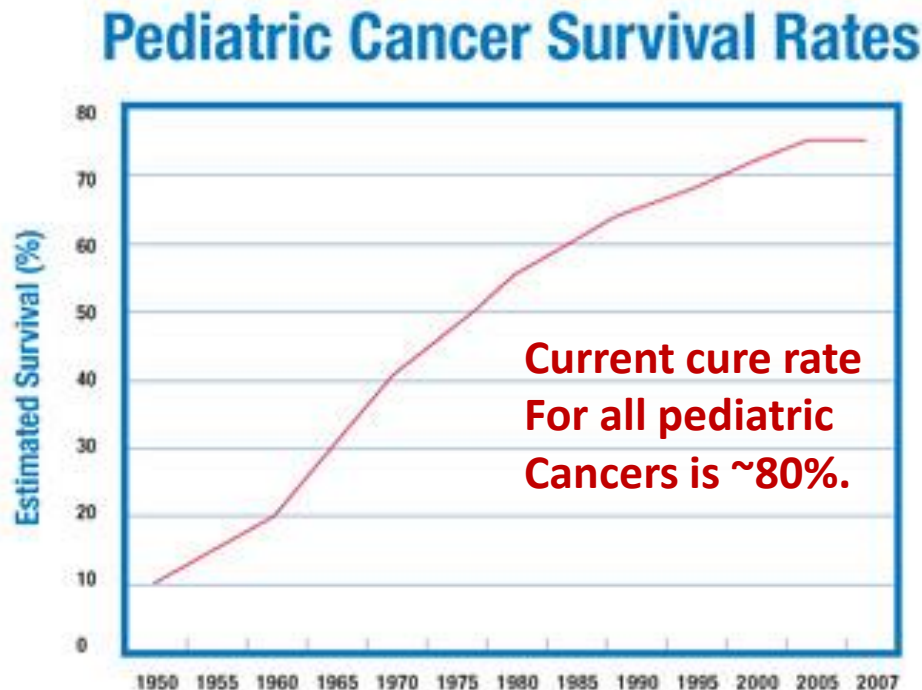
**Disclosure:**  
**Neither I nor any member of my family**  
**has a financial relationship or interest**  
**with any proprietary entity producing**  
**health care goods or services related**  
**to the content of this presentation**



**65+ years of progress in childhood cancer treatment: Surgery, Radiation, Chemotherapy and Collaboration (Farber, Frei, Freireich, Pinkel, Hammond, CCG, POG, COG, etc.)**



**1949: Dr. Sidney Farber:  
First prospective use of  
chemotherapy (amethopterin),  
hoping to kill the cancer, but not the  
child.**



**With this dramatic progress over 65+ years  
against childhood cancer  
and  
46 years since the USA declared  
“War on Cancer” in 1971**

**HOW ARE WE DOING?**



# Leading causes of Death in The USA (cases per year)

	All Ages-Male 1,328,241	All Ages-Female 1,298,177	1-19 Male 12,128	1-19-Female 6538
1	Heart 325,077	Heart 289,271	Accidents, unintentional 4409*	Accidents, unintentional 2023*
2	<b>Cancer 311,077</b>	<b>Cancer 280,403</b>	Suicide 1681**	<b>Cancer 757</b>
3	Accidents (unintentional) 85,448	Chronic lung 77,645	Homicide 1563**	Suicide 581**
4	Chronic Lung 69,456	CVA 77,632	<b>Cancer 1028</b>	Homicide 477**

\*Most due to auto accidents

Siegel et al

CA: A Cancer Journal for Clinicians

JAN 2017

\*\*Childhood deaths due to firearms, 25X higher than other developed countries. Fowler K.A. et al. J. Peds, 2017

# But in 2017, why do so many still die of cancer?

- Distant/advanced disease is seldom curable in adults, and difficult to cure in children
- Relapse is usually resistant to our “best” treatments.
- **HOWEVER**, we are finally seeing, and participating in, an expanding and promising clinical initiative, after 50-100 years of development: Cancer Immunotherapy.
- In the pediatric setting, the transfer of immune cells was the first effective immunotherapy, in the form of....



# BONE-MARROW TRANSPLANTATION IN A PATIENT WITH THE WISCOTT-ALDRICH SYNDROME

Fritz H. Bach

M.D. Harvard

ASSISTANT PROFESSOR OF MEDICAL GENETICS AND MEDICINE

RICHARD J. ALBERTINI

M.D. Wisconsin

POST-DOCTORAL FELLOW  
IN MEDICAL GENETICS

PATRICIA JOO

M.D. Wisconsin

ASSISTANT CLINICAL PROFESSOR  
OF PEDIATRICS

JAMES L. ANDERSON

M.D. Wisconsin

CHIEF RESIDENT  
IN PEDIATRICS

MORTIMER M. BORTIN

M.D. Marquette

ASSOCIATE CLINICAL PROFESSOR  
OF MEDICINE

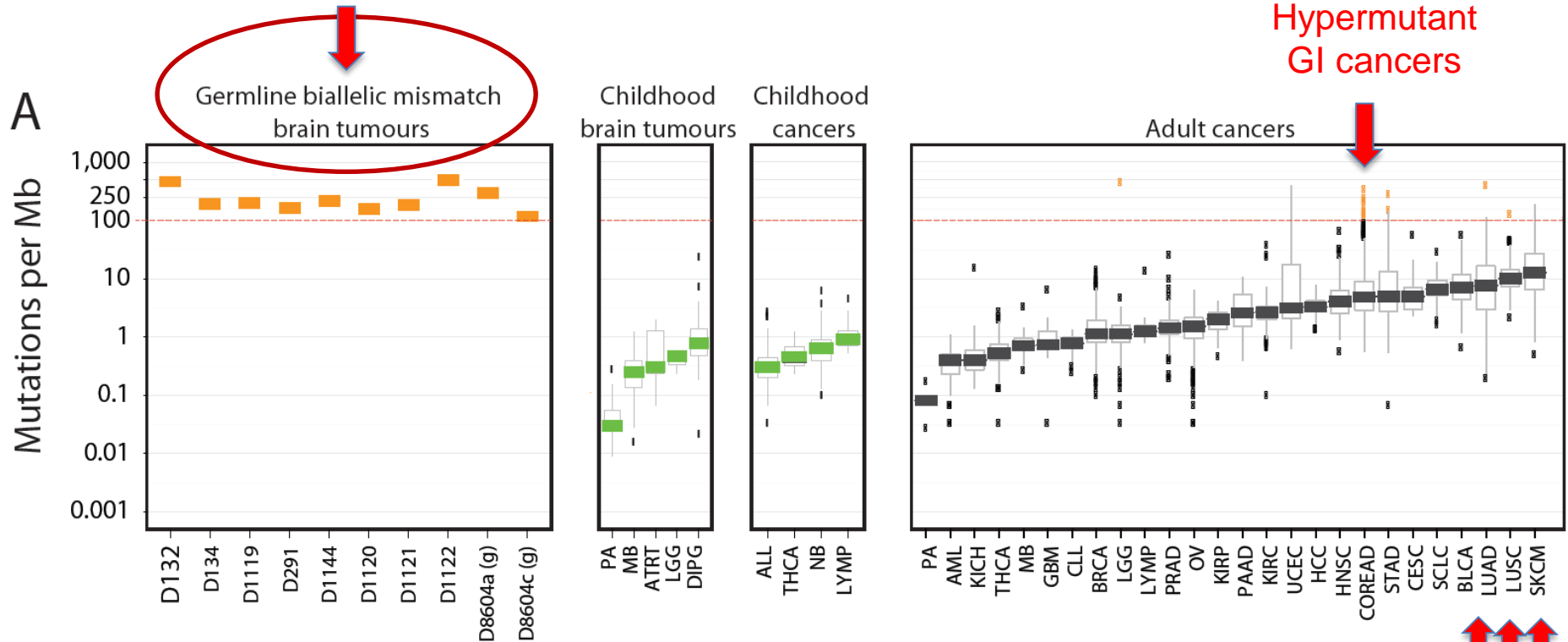
*From the Department of Medical Genetics, Medicine, and Pediatrics, University of Wisconsin, Madison, Wisconsin, 53706, the May and Sigmund Winter Research Laboratory, Mount Sinai Hospital, and the Department of Medicine, Marquette Medical School, Milwaukee, Wisconsin*



**First successful allogeneic BMTs, done in summer 1968 in Madison and Minneapolis (Robert Good et al);  
using method developed by F.H. Bach:  
Now ~ 30,000 BMTs done yearly.**

# Cancer Immunotherapy 2017: Where are we now?

## Mutation burden across 7000 cancers



Checkpoint Inhibition (Allison, Honjo, and others),  
more effective in “hot” tumors:

More neoantigens

More immune infiltration

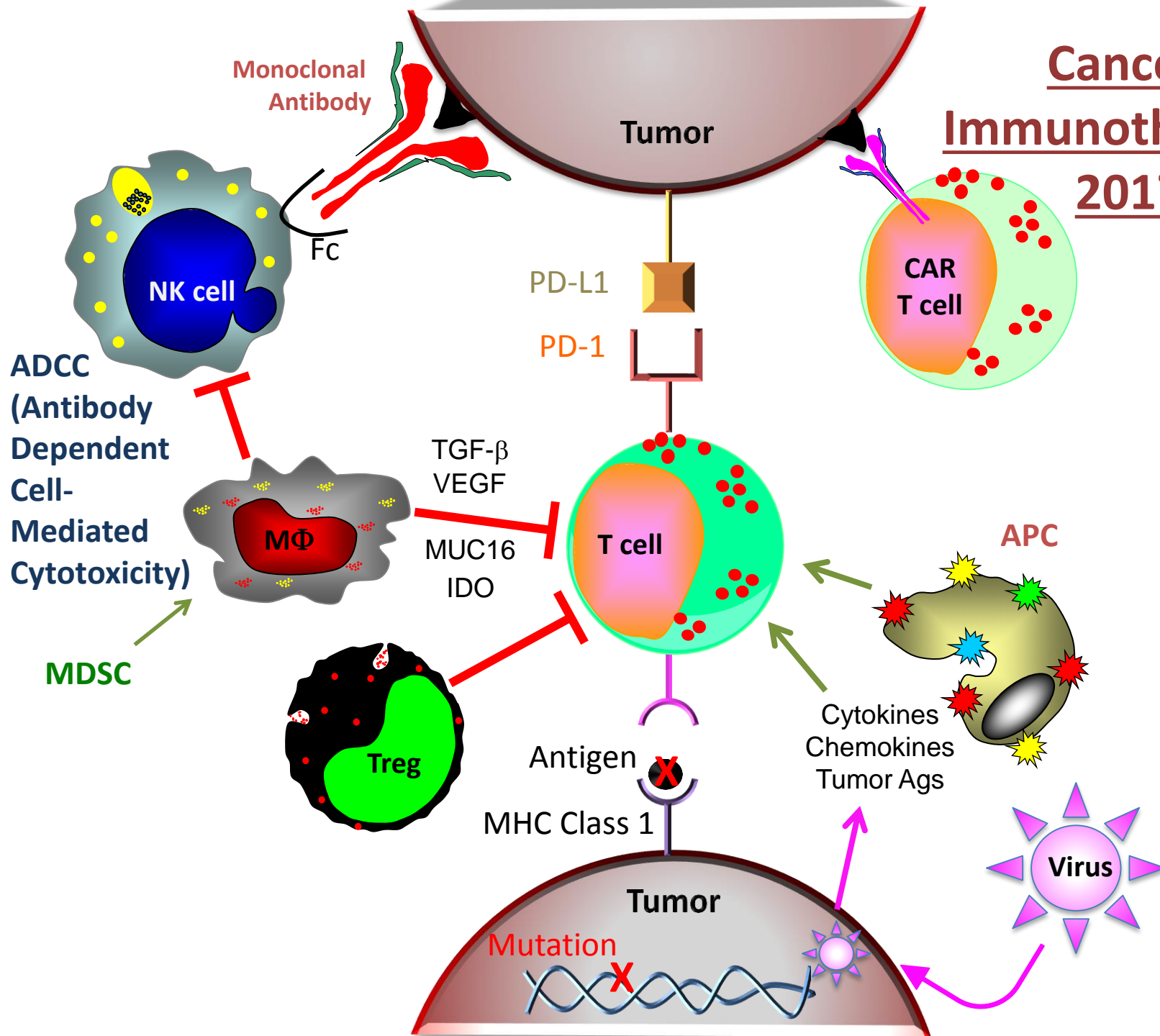
**WHAT CAN WE DO TO GET THE IMMUNE SYSTEM  
INTERESTED IN DESTROYING “COLD” TUMORS?**

Some cancers responsive to  
Immune Checkpoint  
Inhibition (ICI)

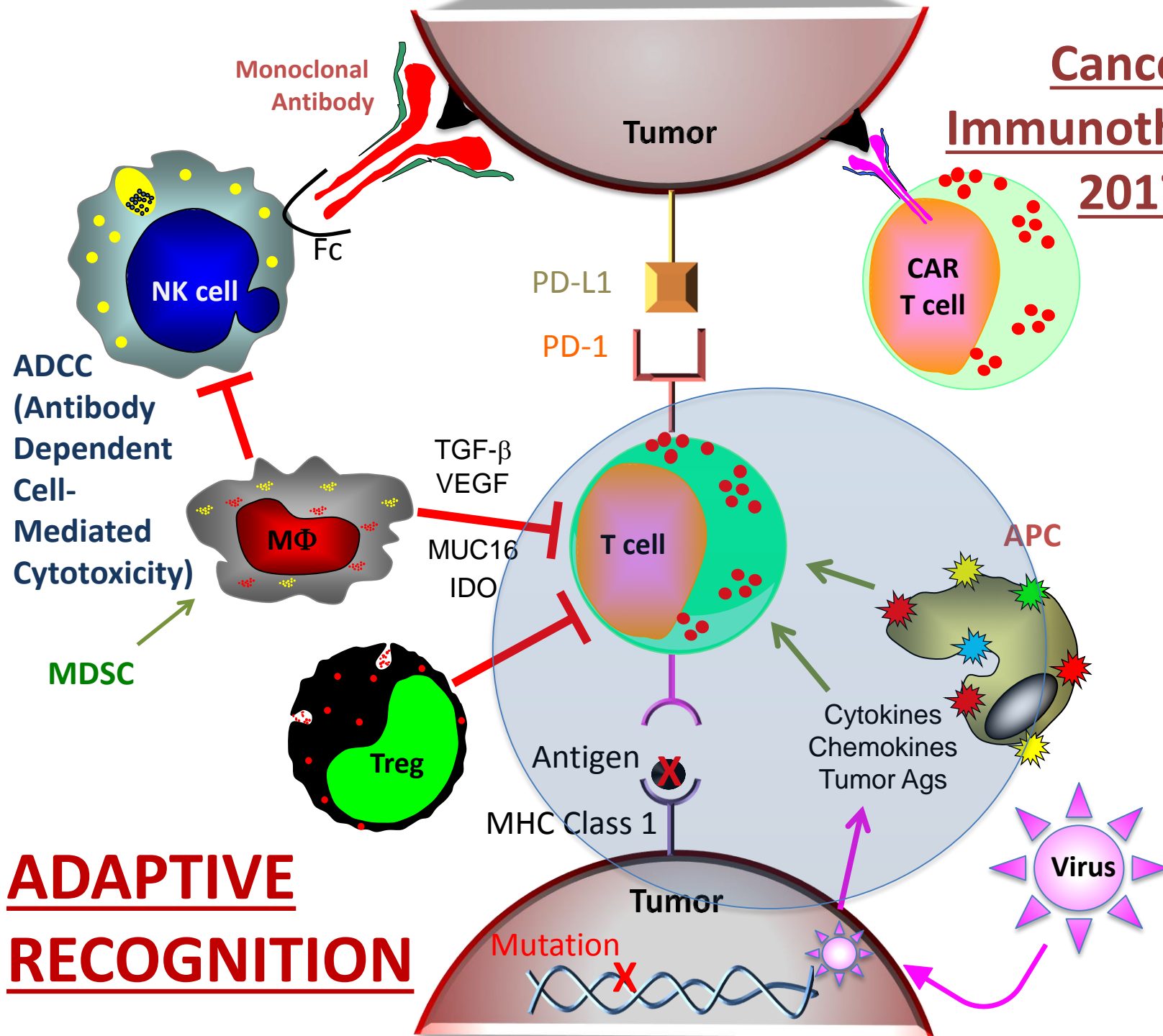
Shlien, Campbell et al. 2015 *Nature Genet.*  
Bouffet et al. 2016 *JCO*



# Cancer Immunotherapy 2017

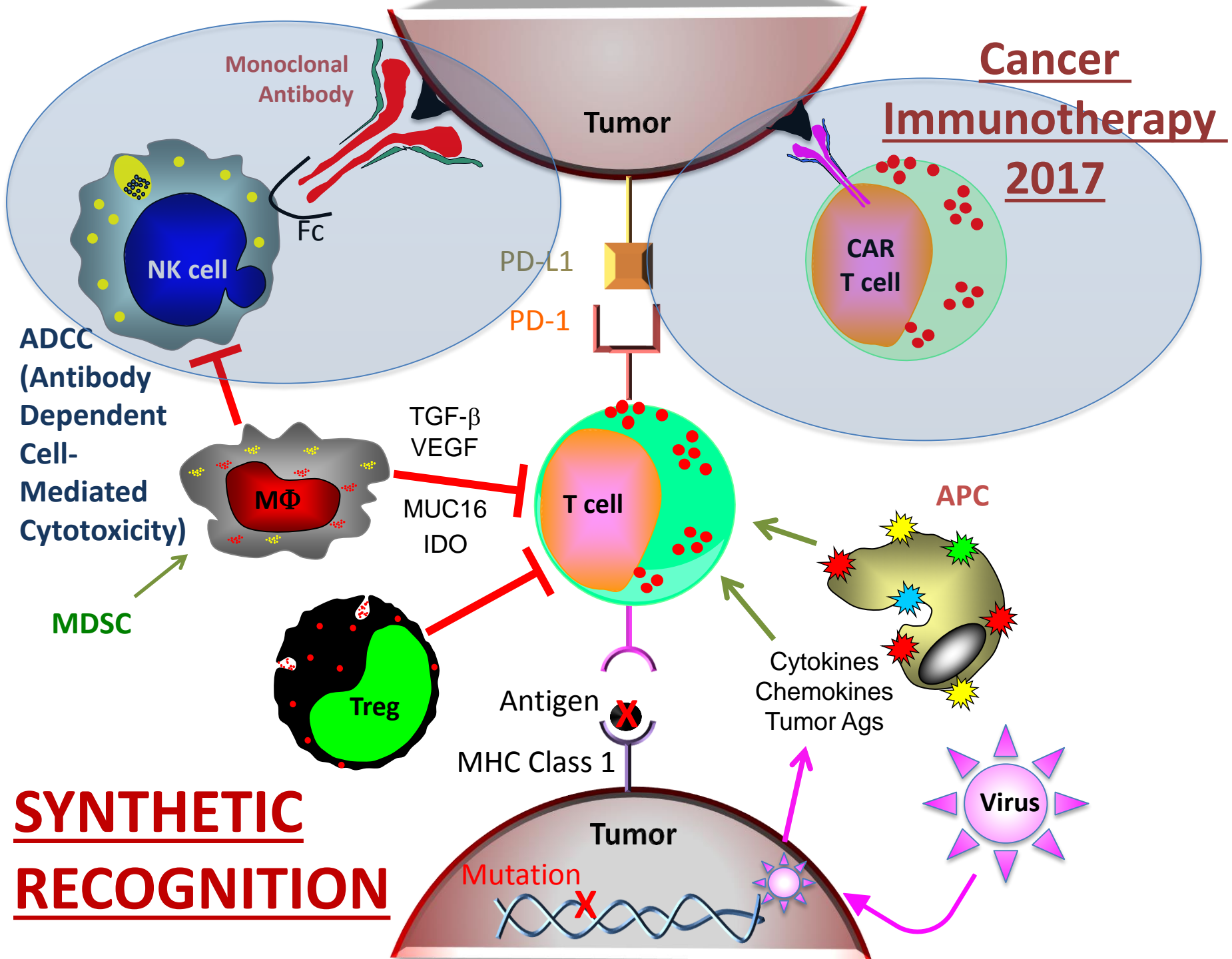


# Cancer Immunotherapy 2017





# Cancer Immunotherapy 2017



**1987**

Monoclonal  
Antibody

Tumor

Fc

NK cell

ADCC  
(Antibody  
Dependent  
Cell-  
Mediated  
Cytotoxicity)



**SYNTHETIC**  
**RECOGNITION**

**Ralph Reisfeld PhD**





2017

Monoclonal Antibody

Tumor

Fc

NK cell

ADCC  
(Antibody  
Dependent  
Cell-  
Mediated  
Cytotoxicity)

MΦ

TGF- $\beta$   
VEGF

MUC16  
IDO

MDSC

Treg

T cell

Antigen

MHC Class 1

Tumor

Mutation

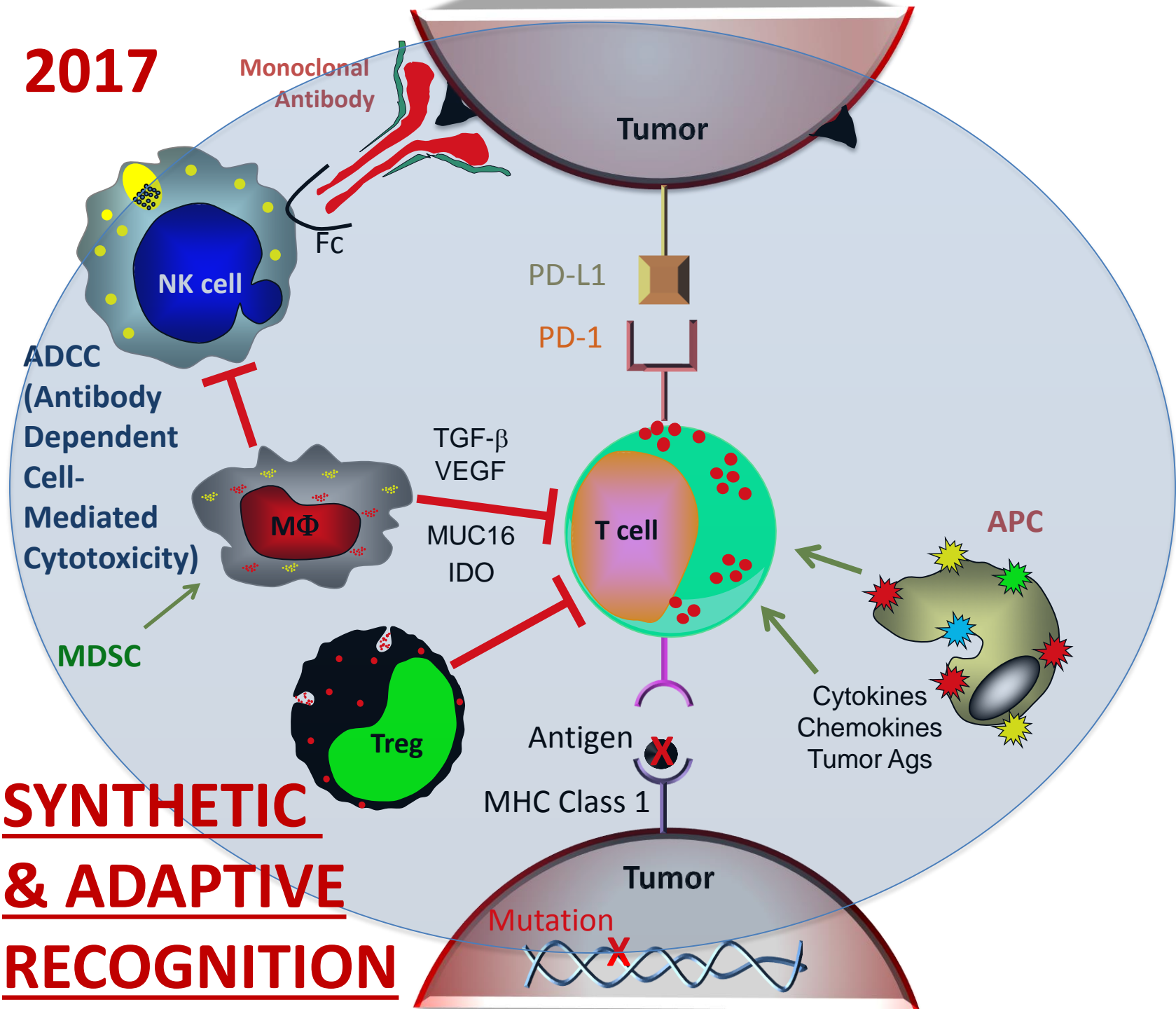
APC

Cytokines  
Chemokines  
Tumor Ags

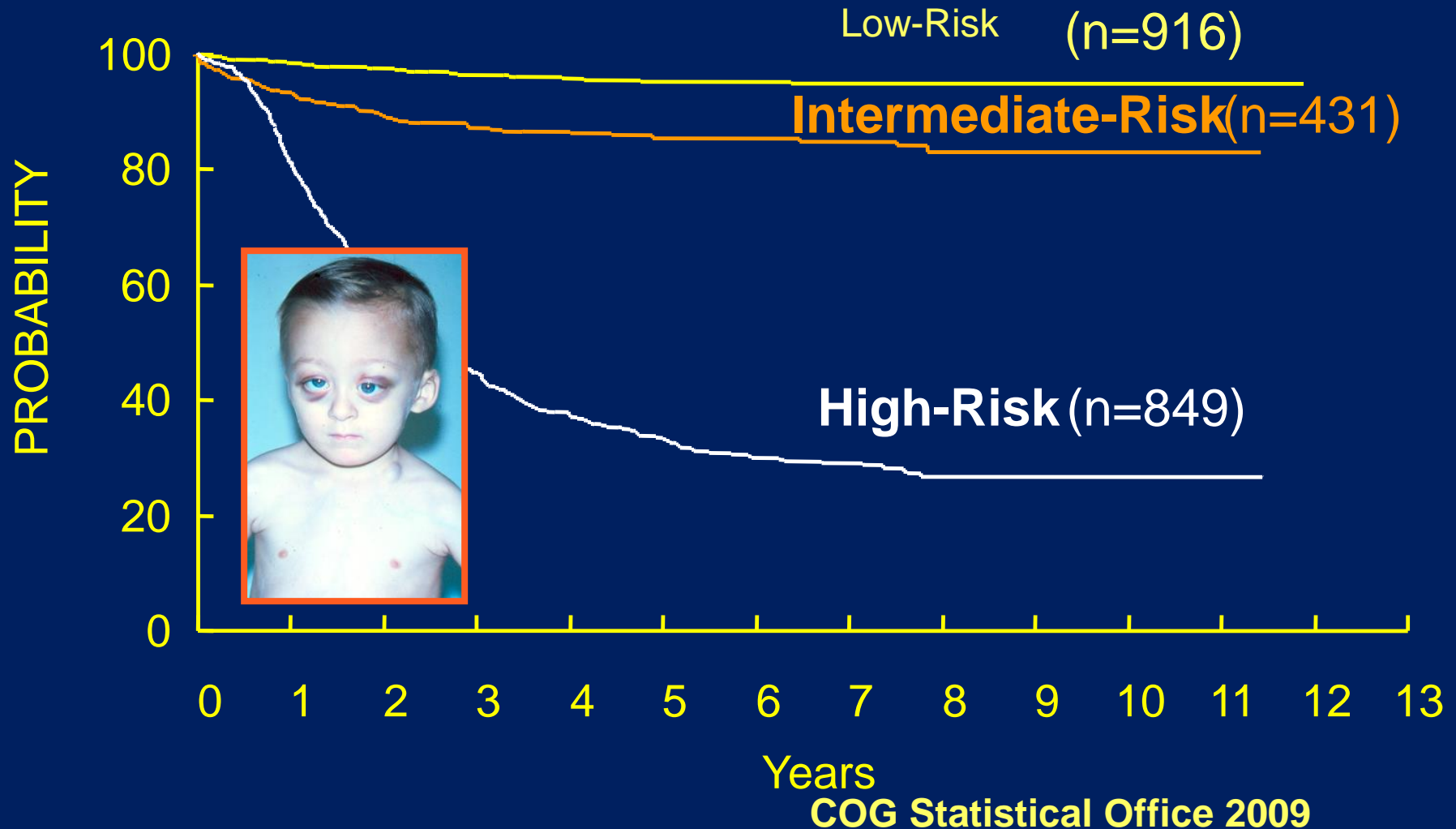
PD-L1

PD-1

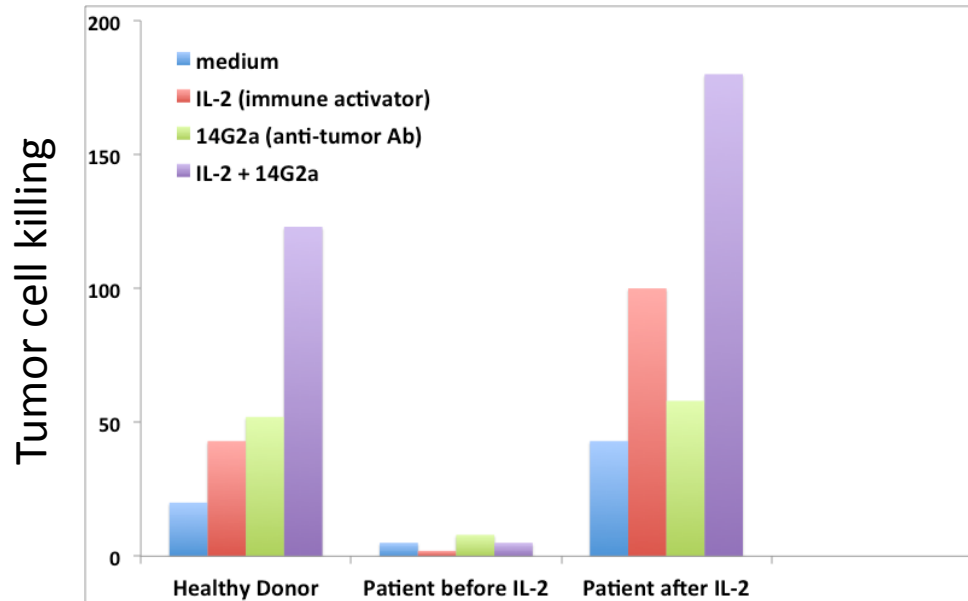
SYNTHETIC  
& ADAPTIVE  
RECOGNITION



# Neuroblastoma, a major challenge: Can a “tumor-reactive” antibody help?



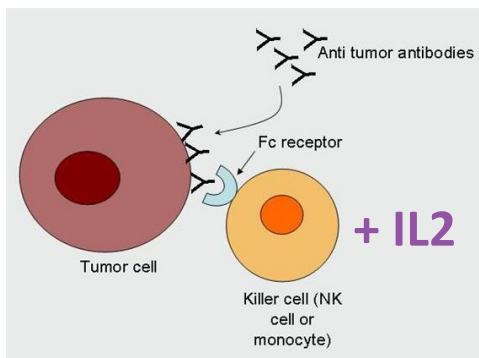
# IL2 activates NK cells to kill neuroblastoma cells coated with an anti-GD2 mAb



Jackie  
Hank PhD

Hank JA, Robinson RR, Surfus J, Mueller BM, Reisfeld RA, Cheung NK, Sondel PM. ,  
*Cancer Res.* 50:5234, 1990

1. NK cells from healthy donors kill best with IL2 AND anti-GD2 mAb
2. NK cells from cancer patients receiving **IL2**, kill best with anti-tumor antibody AND IL2



**IL2 augments  
anti-GD2 mAb  
mediated NK ADCC**



Ralph Reisfeld PhD

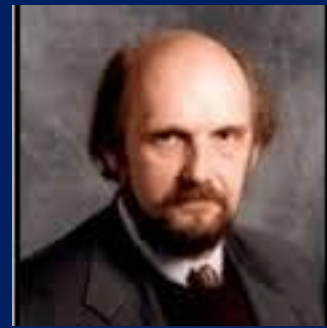
**How to move this into effective clinical treatment? (*Phase I/II studies at UWCCC and COG*)**





Ernie Borden MD  
UWCCC ImmRx Leader 1978-90  
2<sup>nd</sup> SITC President (1986-88)

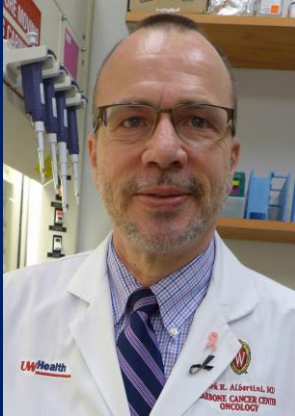
Steve Gillies PhD  
Creator of ch14.18,  
hu14.18-IL2 and  
many other agents



Phase I-II 14.G2a\* or ch14.18\* + IL2 studies:  
PK, Tox., MTD, Biology but little measurable antitumor effect

- Melanoma -UWCCC

M.Albertini Chair

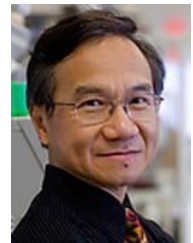
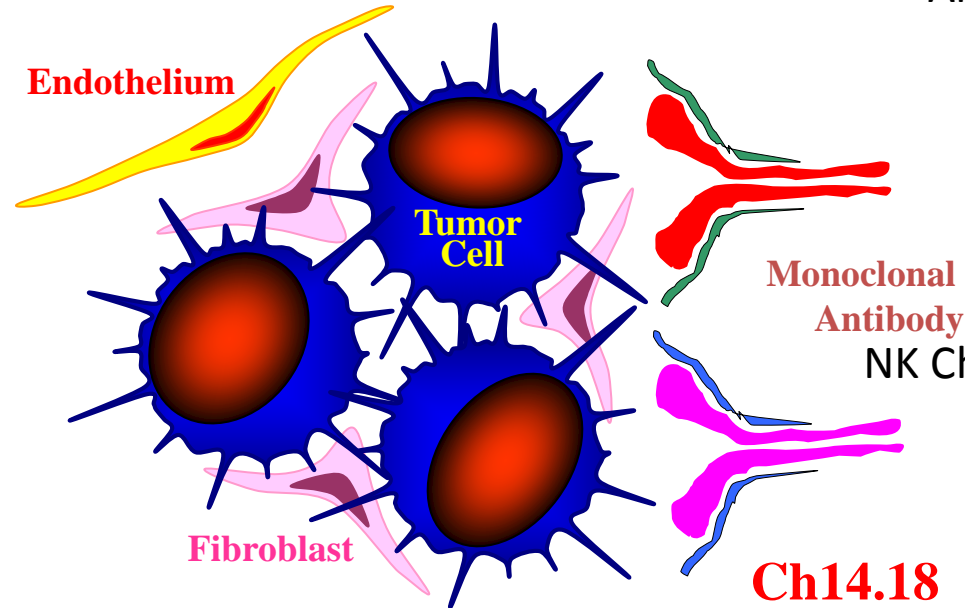
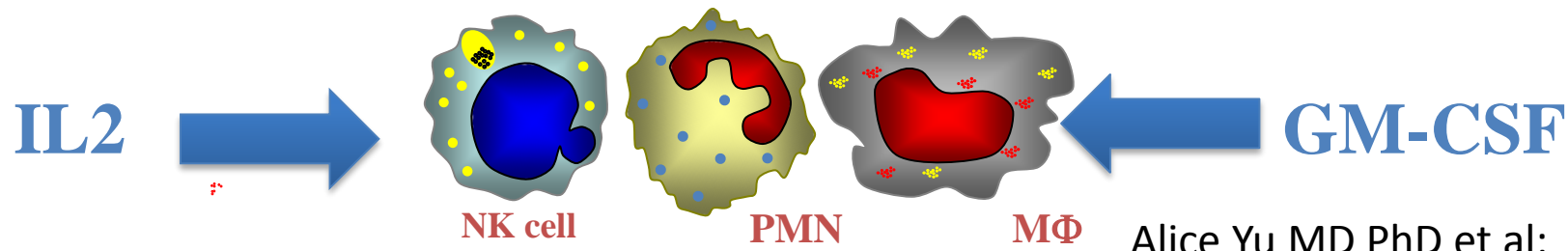


- 14.G2a + IL2
- Ch14.18 + IL2
- Influence of IL2 on HACA
- ch14.18 + R24 +IL2

- Neuroblastoma-COG

- 14.G2a + IL2
- Ch14.18 + GM-CSF after ASCT
- Ch14.18 + GM-CSF + IL2 after ASCT
- \*14.G2a and ch14.18 available via NCI: groundwork by Drs. Reisfeld, Gillies, Yu and others
- Frost et al .Cancer 80:317, '97
- Albertini et al, Clin.Can.Res. 3:1227, '97
- Albertini et al,J. Immther. 4:278, '96
- Choi et al, Canc. Imm. Imm. 7:761, '06

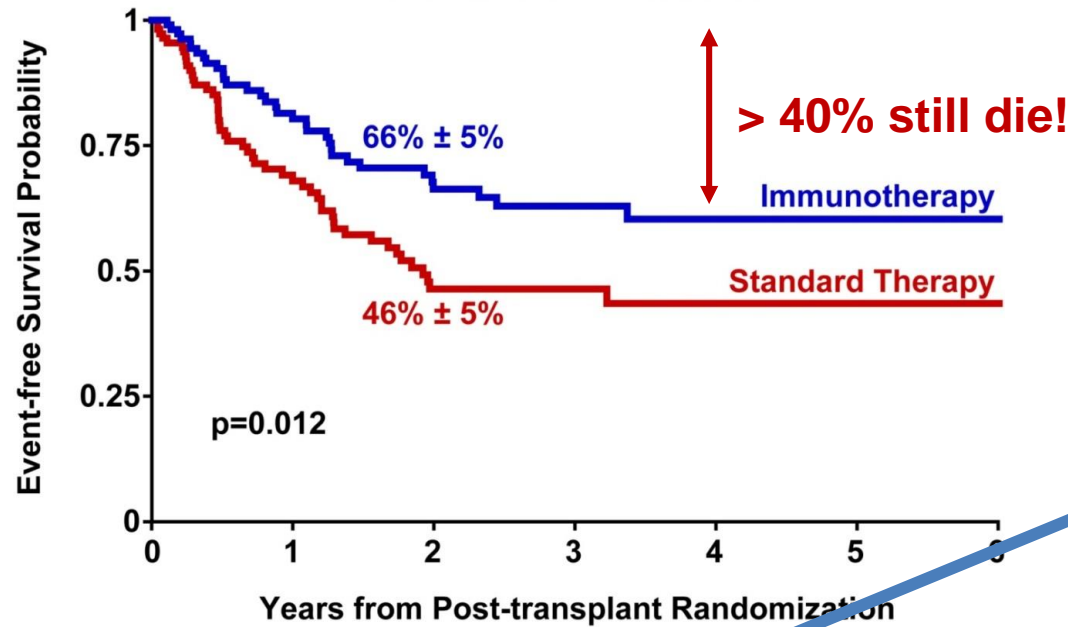
# COG's approach to Innate Immunity and ADCC for NBL (ANBL0032)



1. Activate Multiple Pathways of ADCC (ie: stimulate and engage several different populations of ADCC innate effector Cells)
2. Administer Immunotherapy in Minimal Residual Disease  
[ie: patients in remission, at risk of relapse, to circumvent poor penetration, Tregs, myeloid derived suppressor cells (MDSCs)]

# Cancer-Free Survival for 226 Children with Neuroblastoma

## Event-free Survival



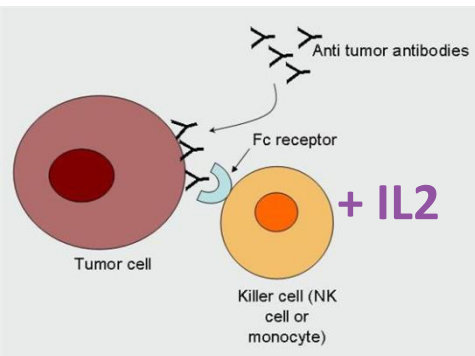
The NEW ENGLAND  
JOURNAL of MEDICINE

Yu AL, Gilman AL, Ozkaynak MF, London WB, Kreissman S, Chen H, Smith M, Anderson B, Villablanca J, Matthay KK, Shimada H, Grupp SA, Seeger R, Reynolds CP, Buxton A, Reisfeld RA, Gillies SD, Cohn SL, Maris JM, Sondel PM. *New Eng. J. Med.* 335: 1324, 9/30/10



Alice Yu MD PhD

JA Hank PhD



FDA approves as standard of care in 2015:  
**Dinutuximab**

Clinical benefit for some, and only in Minimal Residual Disease Setting:

**We must improve further and FASTER!**

Hank et al, *Cancer Res.* 50:5234, 1990

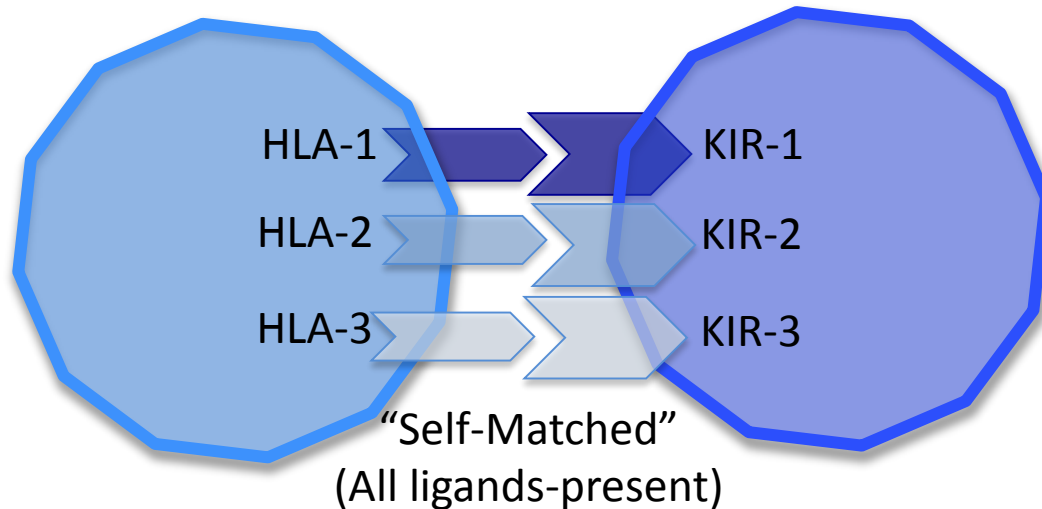
Basic in vitro observation, leads to ultimate clinical regimen of ch14.18 (dinutuximab) anti-GD2 mAb + IL2 + GM-CSF for high risk neuroblastoma in remission.



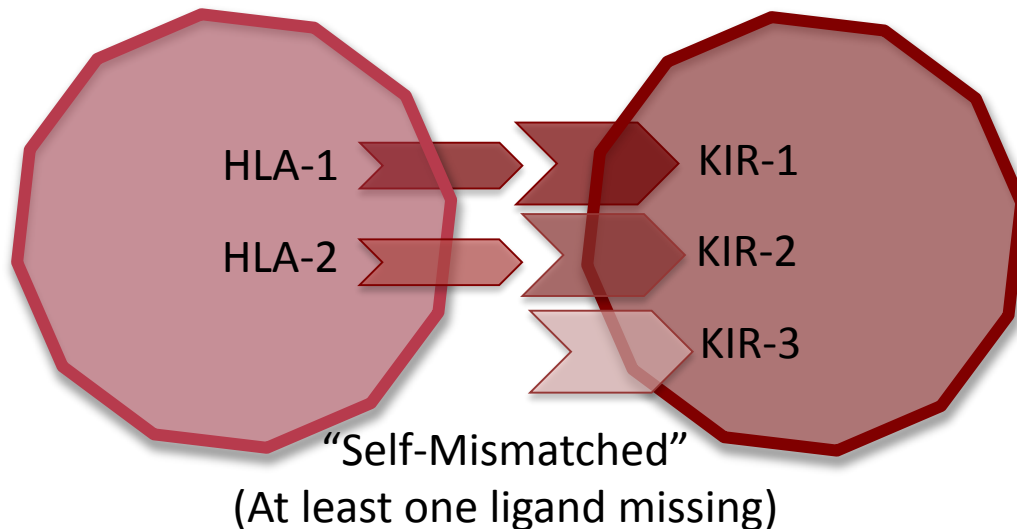
# The Role of KIR/KIR-Ligand Interactions (Biomarker for ADCC?)

Self Cells

NK Cell

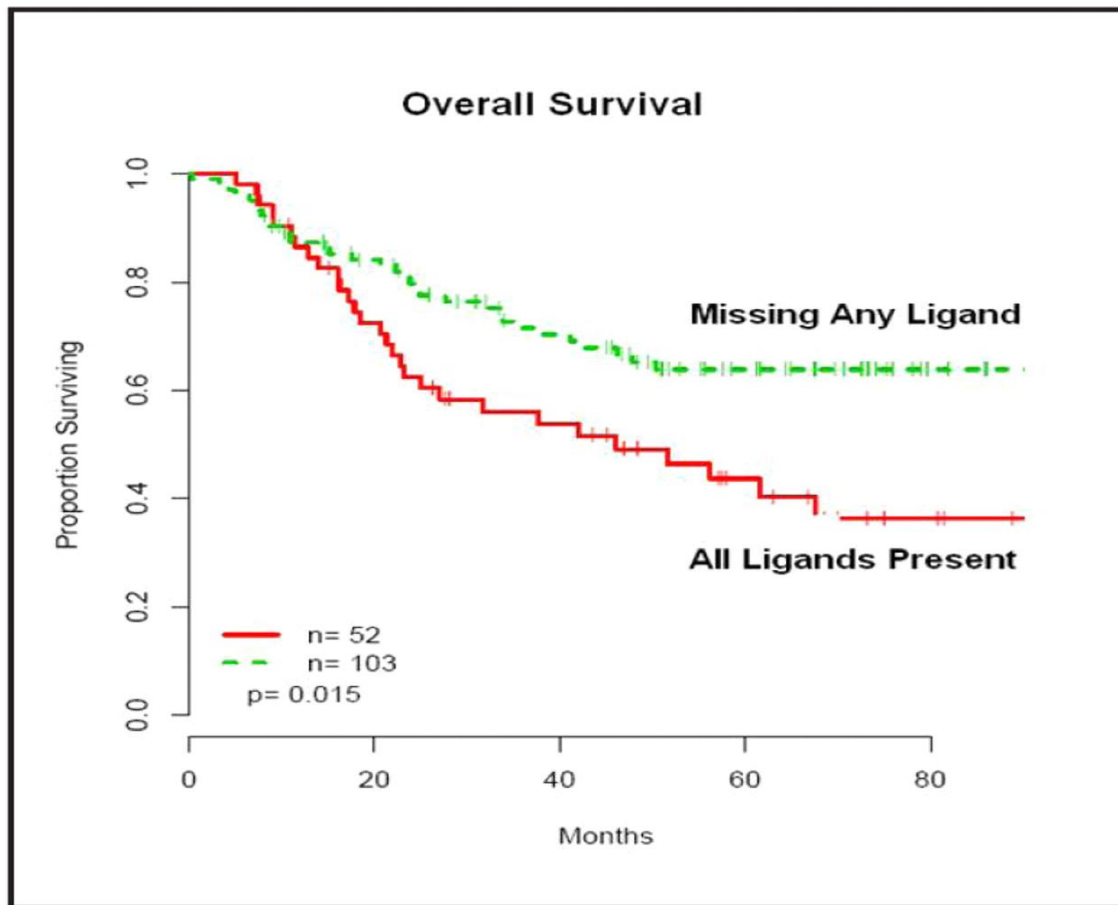


40% of population:  
all iKIR present have a  
corresponding ligand, and  
thus are **INHIBITED** by  
HLA-expressing self



60% of population:  
(at least 1 iKIR does not  
have a corresponding  
Ligand; thus **SOME** NK  
Cells are **NOT INHIBITED**  
By HLA-expressing self):  
**IMPLIES BETTER ANTI-  
TUMOR NK FUNCTION!**

# KIR ligand mismatch helps ABMT



Less Inhibited NKs  
(KIR-mismatched,  
~60% of pop.)

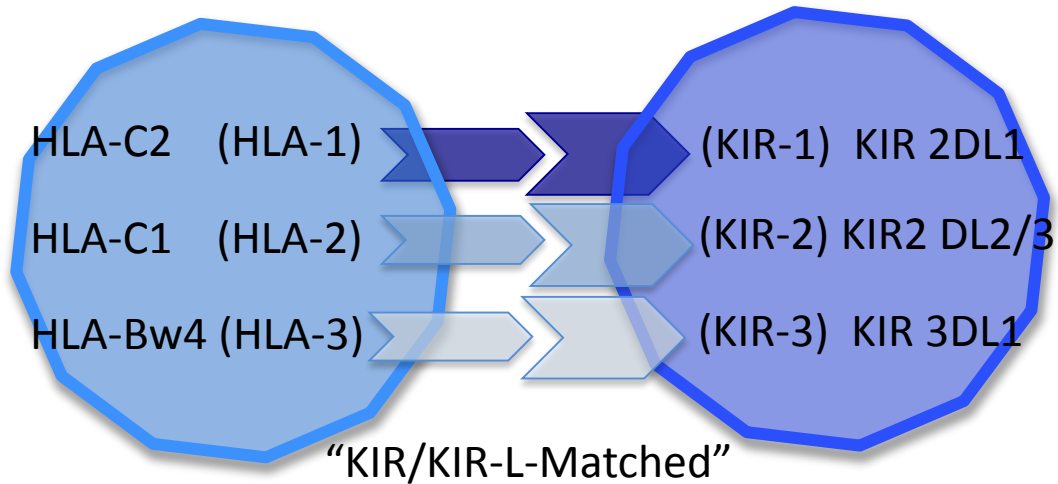
More Inhibited NKs  
(KIR-matched,  
~40% of pop.)

**155 neuroblastoma pts: those with KIR mismatch w/ 45% lower risk of death after ASCT**

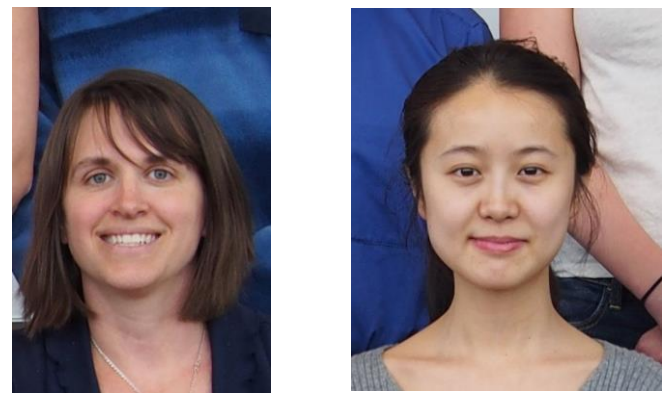
**Venstrom et al, Clin. Can. Res 15:7330, 2009; similar to data from Leung et al, Br. J. Cancer, 97:539, 2007**

Autologous KIR-Ligand  
Repertoire (Chr.6)

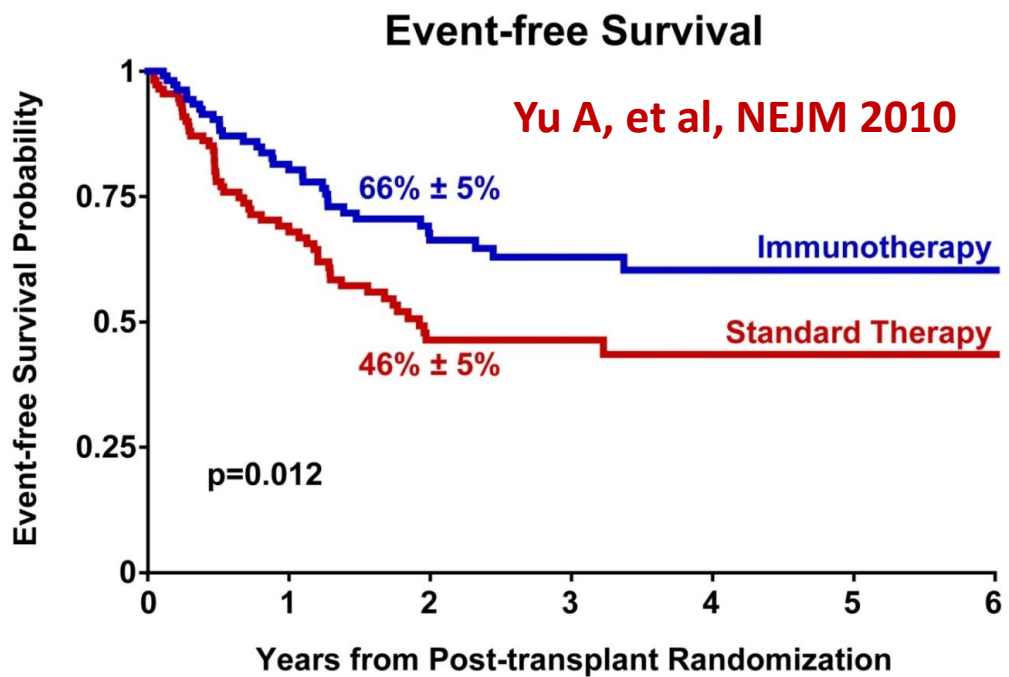
NK Cell **Inhibitory KIR**  
Repertoire (Chr.19)



Inhibitory KIRs on NK cells and their  
Ligands: Biology and association with  
ImmRx outcome



**Drs. Amy Erbe-Gurel and Wei Wang**

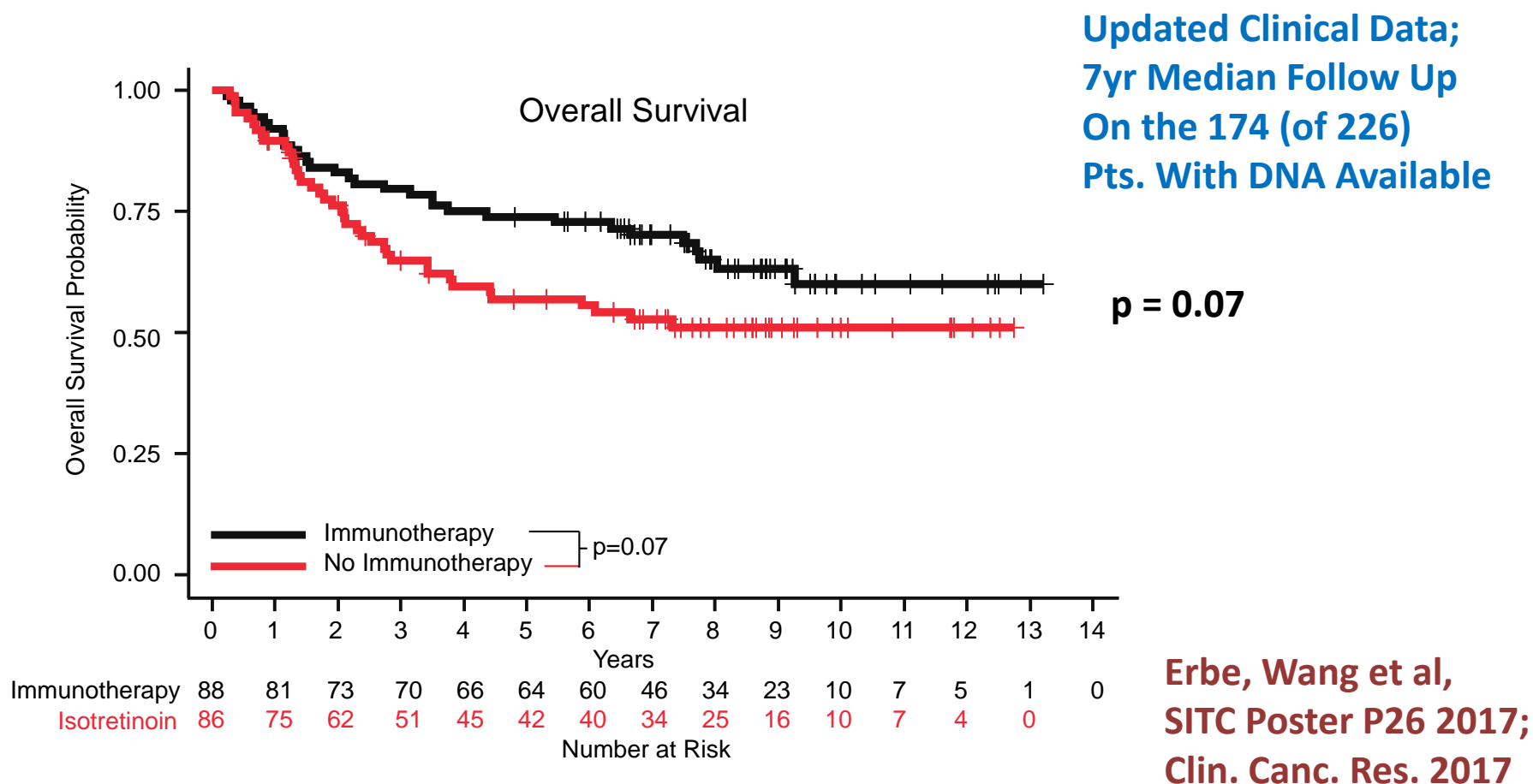


Evaluation of KIR and KIR-Ligand  
Genotype and associations with  
Outcome in this  
COG trial of  
Anti-GD2 + IL2 + GM-CSF:

Do some genotypes predict  
response to Immunotherapy?

Erbe, Wang et al,  
SITC Poster P26 2017;  
Clin. Canc. Res. 2017

# Overall Survival for 174 pts: based on Immunotherapy vs. **No Immunotherapy**.



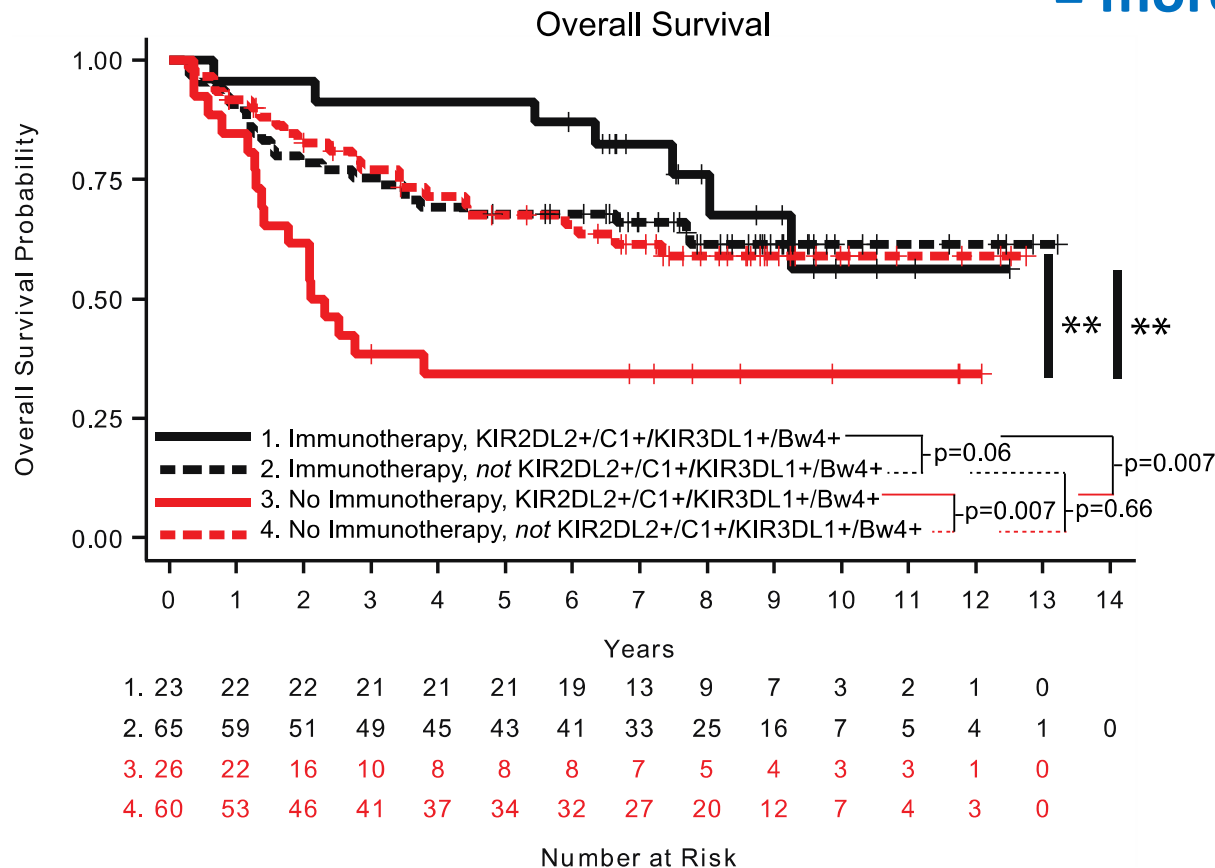


# Overall Survival for 174 pts: based on

\*KIR-2DL2+/C1+/KIR3DL1+/Bw4+:#Yes(—)or No (---)

## ImmRx vs. No ImmRx

# = more inhibited



\*Analyzed based on findings from labs of J Venstrom and H Lode and our findings from an ECOG NHL study

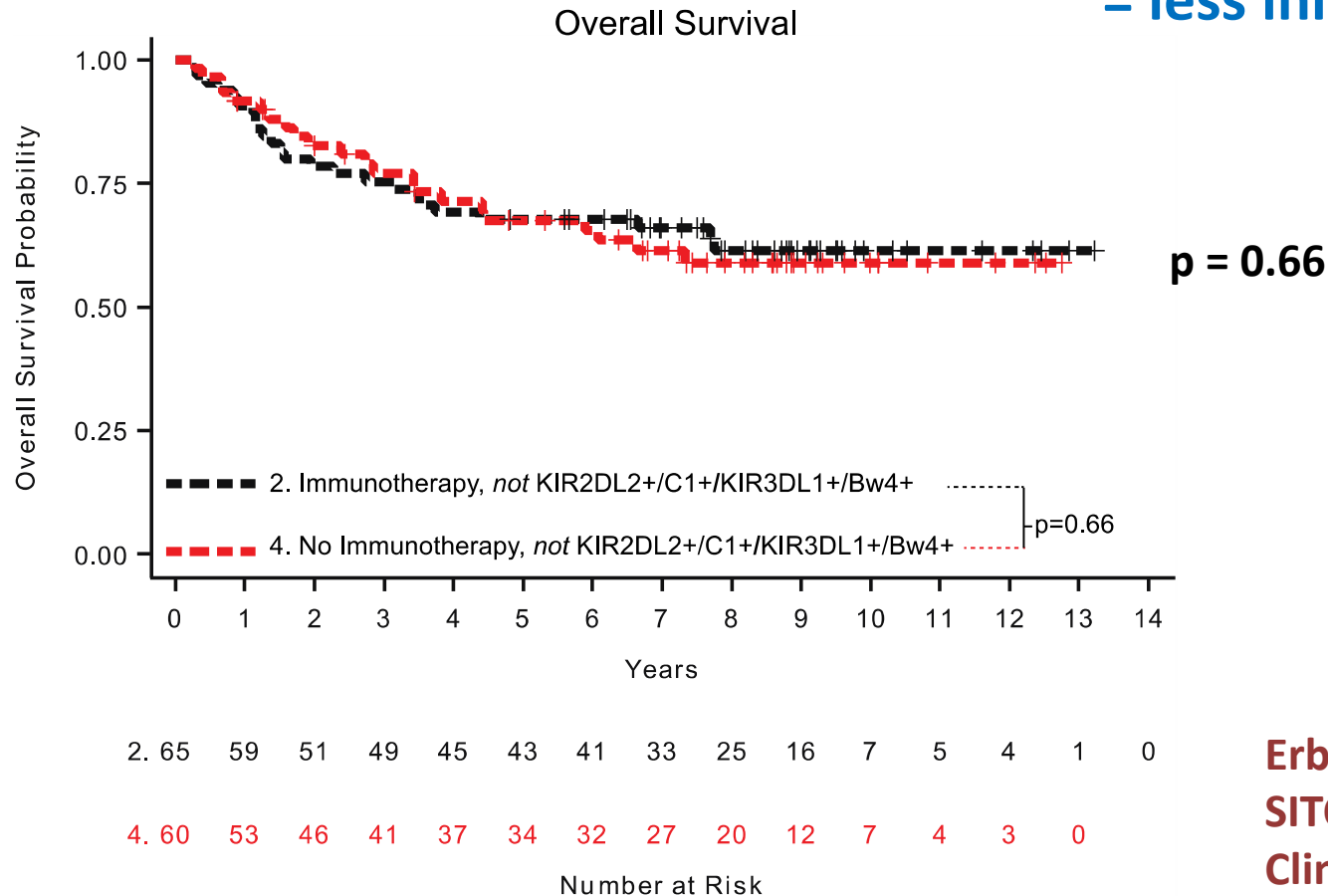
Erbe, Wang et al,  
 SITC Poster P26 2017;  
 Clin. Canc. Res. 2017

# Overall Survival for **125** of 174 pts (72%):

**KIR-2DL2+/C1+/KIR3DL1+/Bw4+ : ##No (-----).**

## ImmRx vs. **No ImmRx**

**##** = less inhibited



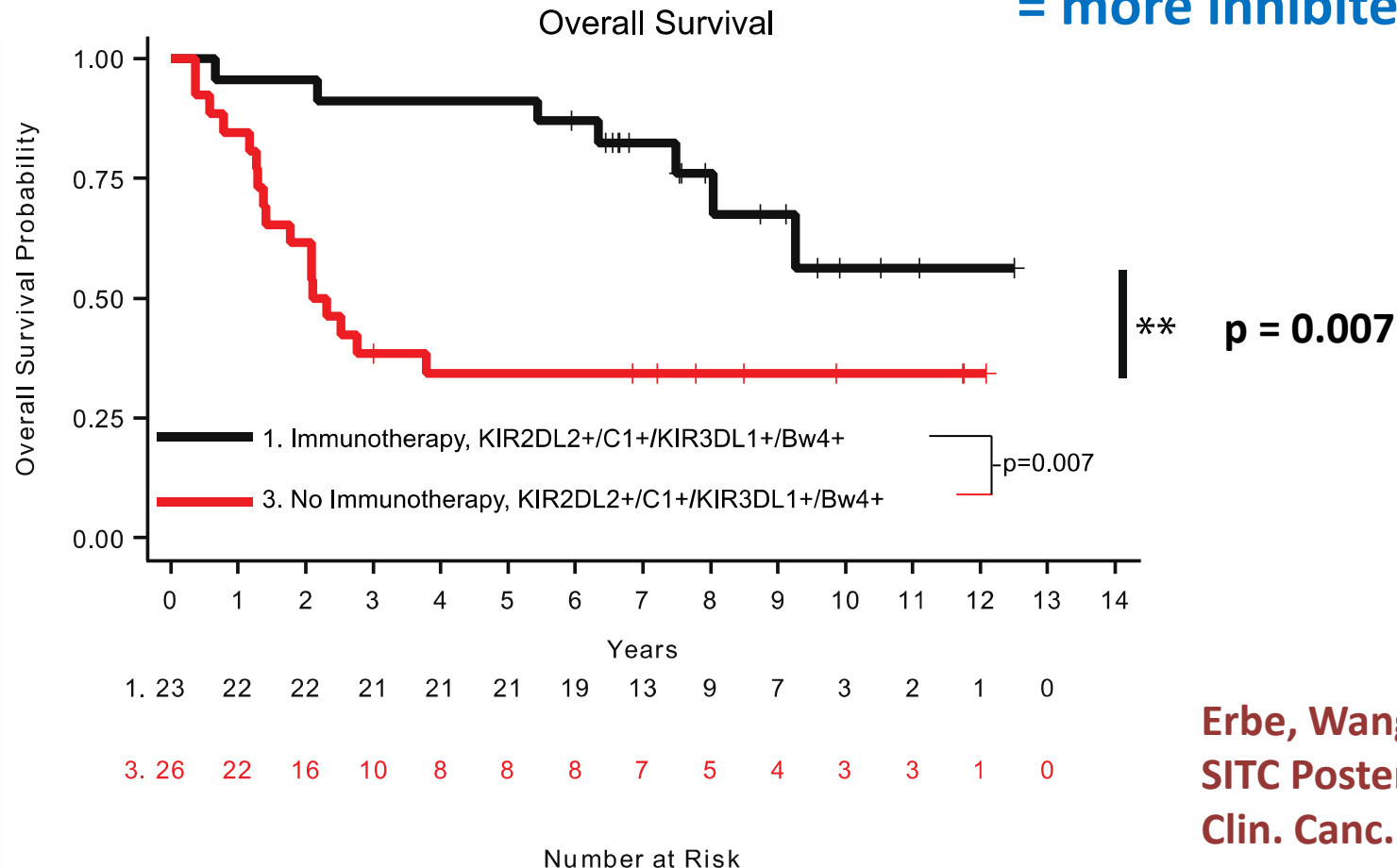
Erbe, Wang et al,  
SITC Poster P26 2017;  
Clin. Canc. Res. 2017

# Overall Survival for 49 of 174 pts (28%):

KIR-2DL2+/C1+/KIR3DL1+/Bw4+: #Yes (—).

**ImmRx vs. No ImmRx**

# = more inhibited



Erbe, Wang et al,  
SITC Poster P26 2017;  
Clin. Canc. Res. 2017

# Summary of KIR Analyses for this Anti-GD2 + GM-CSF + IL2 regimen:

1. KIR/KIR-L interactions modify ADCC in vitro\* and clinical outcome\*\* (NK cells involved)
2. This ImmRx may selectively help patients with some KIR/KIR-L genotypes:  
**2DL2+/C1+/KIR3DL1+/Bw4+ (49 of 174 = 28%);**  
**But the others (72%) don't seem to benefit**
3. IF VALIDATED, *could use KIR/KIR-L genotype as potential eligibility criteria.*

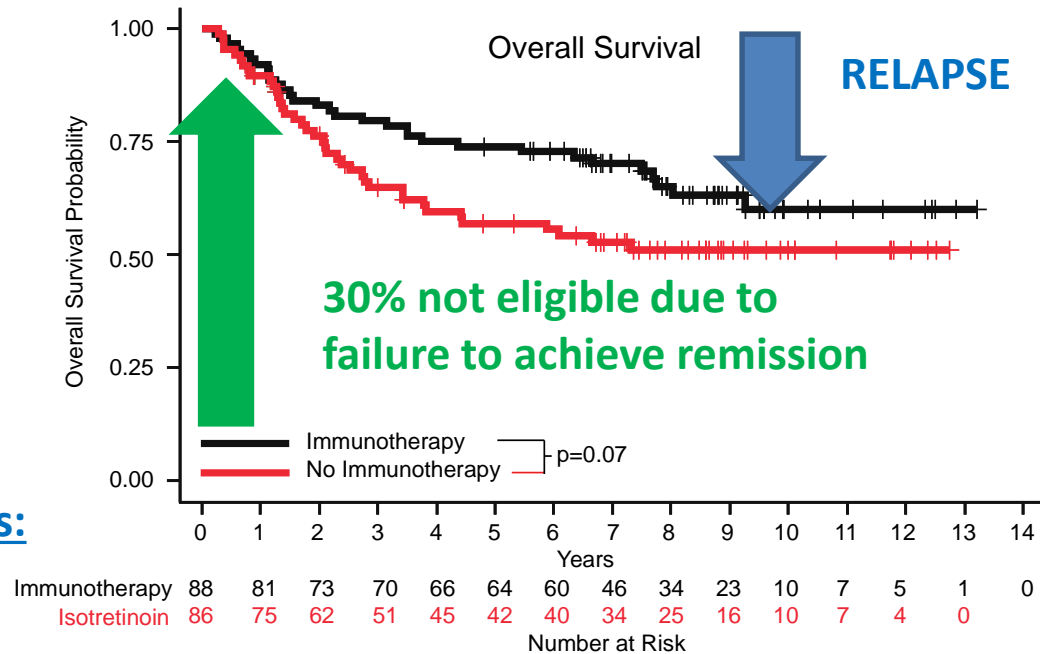
\*Wang W, Erbe A. et al. Cancer Imm. Immunother. 65:1047, 2016

\*\*Erbe, Wang et al, SITC Poster P26 2017; Clin. Canc. Res. 2017



# Challenges for Anti-GD2 based ImmRx for NBL:

**>40% of patients in remission still die of disease and 30% don't achieve remission.** What else can be done for Minimal Residual and Measureable Disease?



## Ongoing Clinical and Preclinical Initiatives:

1. **Anti-GD2 mAb + chemotherapy\***
2. **Anti-GD2 based CAR-T cells\*\***
3. **Anti-GD2 based immunocytokine**
4. **Local delivery of anti-GD2-based Rx**

\*Mody R, et al, Lancet Oncology, 2017

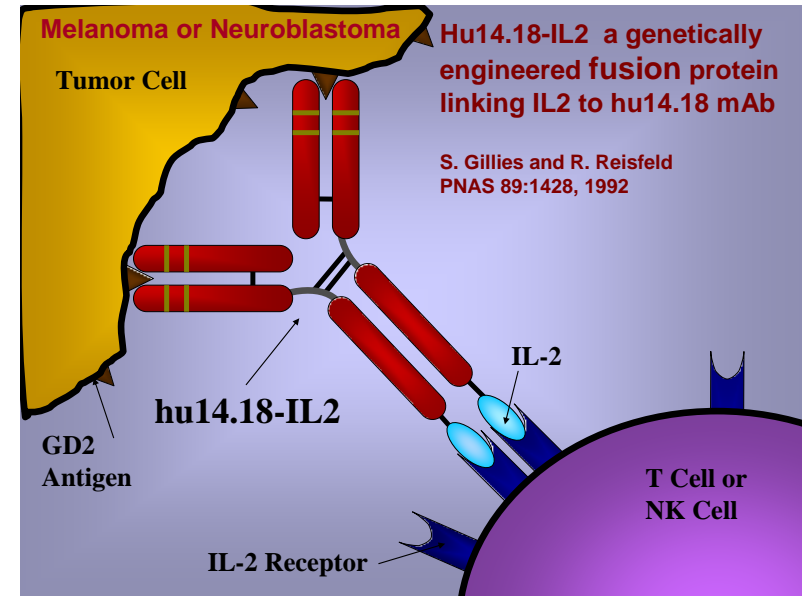
\*\*Long A, et al, Nature Med. 2015

# hu14.18-IL2 Immunocytokine (Anti-GD2/IL2 fusion protein)

1. Anti-GD2/IL2 fusion protein 14.18-IL2
2. More effective than 14.18 + IL2; I.V.
3. NK cells involved (ADCC)
4. Efficacy in minimal disease setting\*\*

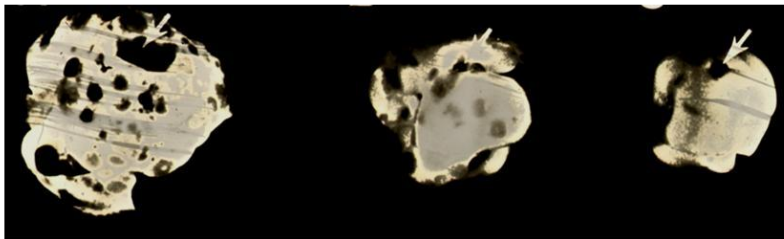
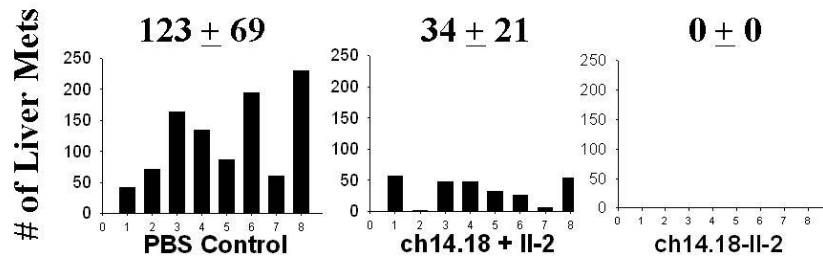
(mouse)\*Neal ZC, et al Clin. Cancer Research 2004

(human)\*Shusterman S. et al, J. Clin. Onc., 2010, and ASCO Abstract 2015.

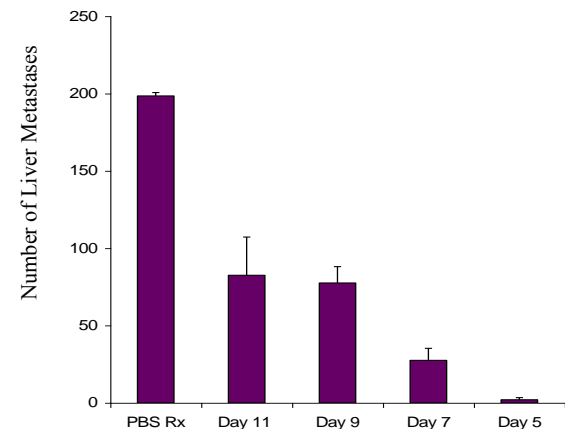


## Efficacy of ch14.18-IL2 Immunocytokine against Murine Neuroblastoma Liver Metastases

Lode et al: *J. Natl. Cancer Inst.* 89:1586, 1997



## Effective anti-GD2 Immunotherapy: Dependence on Minimal Tumor Status



hu14.18-IL2 (10ug/d) for 5 days starting on day 5, 7, 9, or 11 following  $5 \times 10^5$  NXS2 cells injected on day 0, and harvested on day 28.

Neal ZC, et al Clin. Cancer Research 2004

**An NK cell mediated response**

# Preclinical Conclusions for hu14.18-IL2 (IC)

## More Effective:

1. than 14.18 mAb + IL2 when given IV
2. in MRD setting

## WHY?

Gubbels J, et al: CII , 2011

Buhtoiarov IN, J. Leukocyte Bio. 2011

# COG Phase II NBL Trial\*\*- includes minimal residual disease (MRD) Stratum\*

- Stratum 1: residual/refractory NBL measurable by standard radiographic criteria
- \*Stratum 2: residual/refractory NBL not measurable by standard radiographic criteria, but evaluable by MIBG scanning or by bone marrow histology

Shusterman S, London WB, Gillies SD, et al. Hank JA, Voss S, Seeger RC, Reynolds CP, Kimball J, Albertini MA, Wagner B, Gan J, Eickhoff J, DeSantes KD, Cohn SL, Hecht T, Gadban B, Reisfeld RA, Maris JM, Sondel PM. J.Clin. Oncol. 28:4969, 2010

# Hu14.18-IL2 as a MRD agent

- **Stratum 1:** 0 of 13 patients respond
- **Stratum 2:** 5 of 24 patients with CR, (+ 2 with clear improvement)
- **7 (improved) of 24 (stratum 2) > 0 of 13 (stratum 1)**  
**(p= 0.03) as hypothesized by preclinical data**

**IMPLICATION:** Clinical studies confirm biology from preclinical studies IF the clinical study simulates the setting of the preclinical trial.

**(Shusterman S, et al. J.Clin. Oncol. 28:4969, 2010)**

**Confirmatory Phase II trial from COG presented at ASCO-2015**

**(Shusterman et al, ASCO-2015; MS in preparation)**

**Response associated with KIR-ligand missing genotype:**

**(Delgado DC, et al. Cancer Res. 70:9554-61. 2010.)**



# How to increase the efficacy of IC against macroscopic solid tumors?

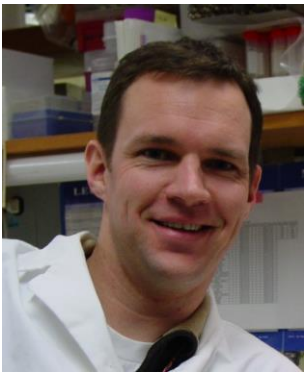
## **BETTER ENGAGE ADAPTIVE RESPONSE**

1. Local delivery, intratumoral injection,  
provides better activity against  
*macroscopic tumors*
2. Combine IC with
  - a. immunomodulatory radiotherapy
  - b. checkpoint blockade
3. Goal: Make the Tumor an *\*In Situ Vaccine*

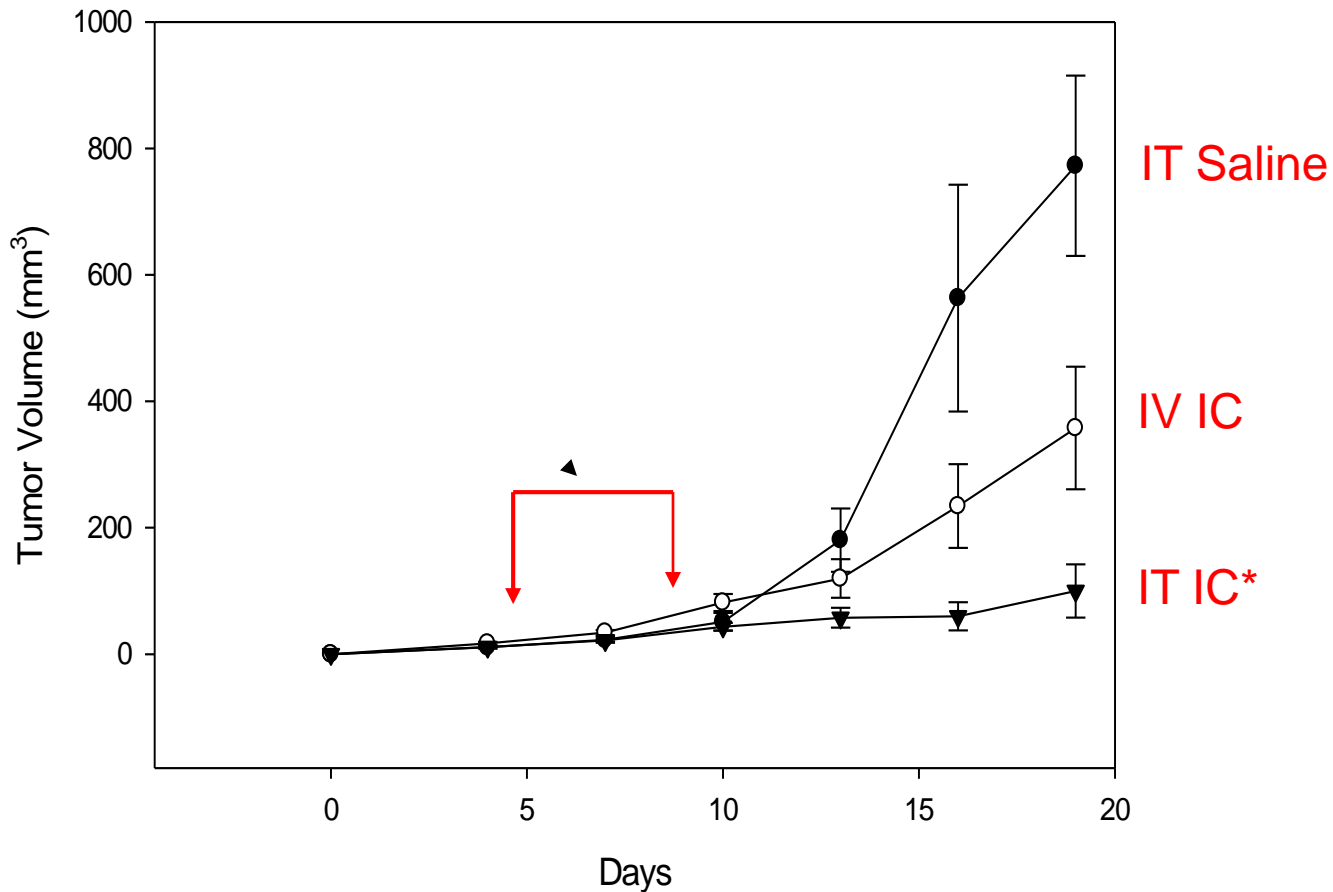
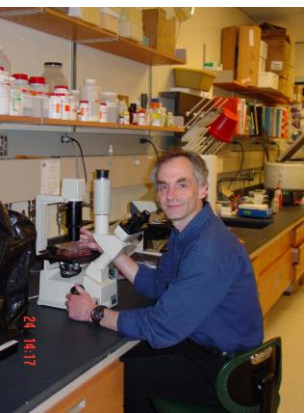
\* Marabelle A, Kohrt H, Caux C, Levy R. Clin. Cancer Res. 2014

\* Moynihan KD, ..... Wittrup KD, Irvine DJ. Nat. Med, 2016

# IT IC is More Effective than IV IC in the Treatment of Macroscopic-Palpable (day 5) Murine Melanoma



Drs. Eric Johnson and Alexander Rakhmievich

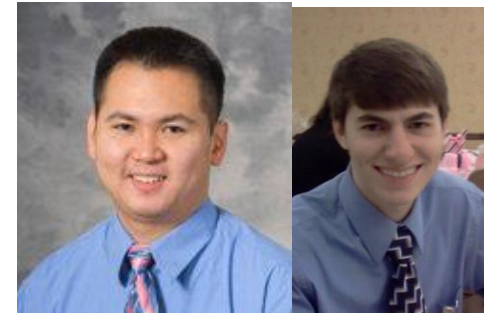
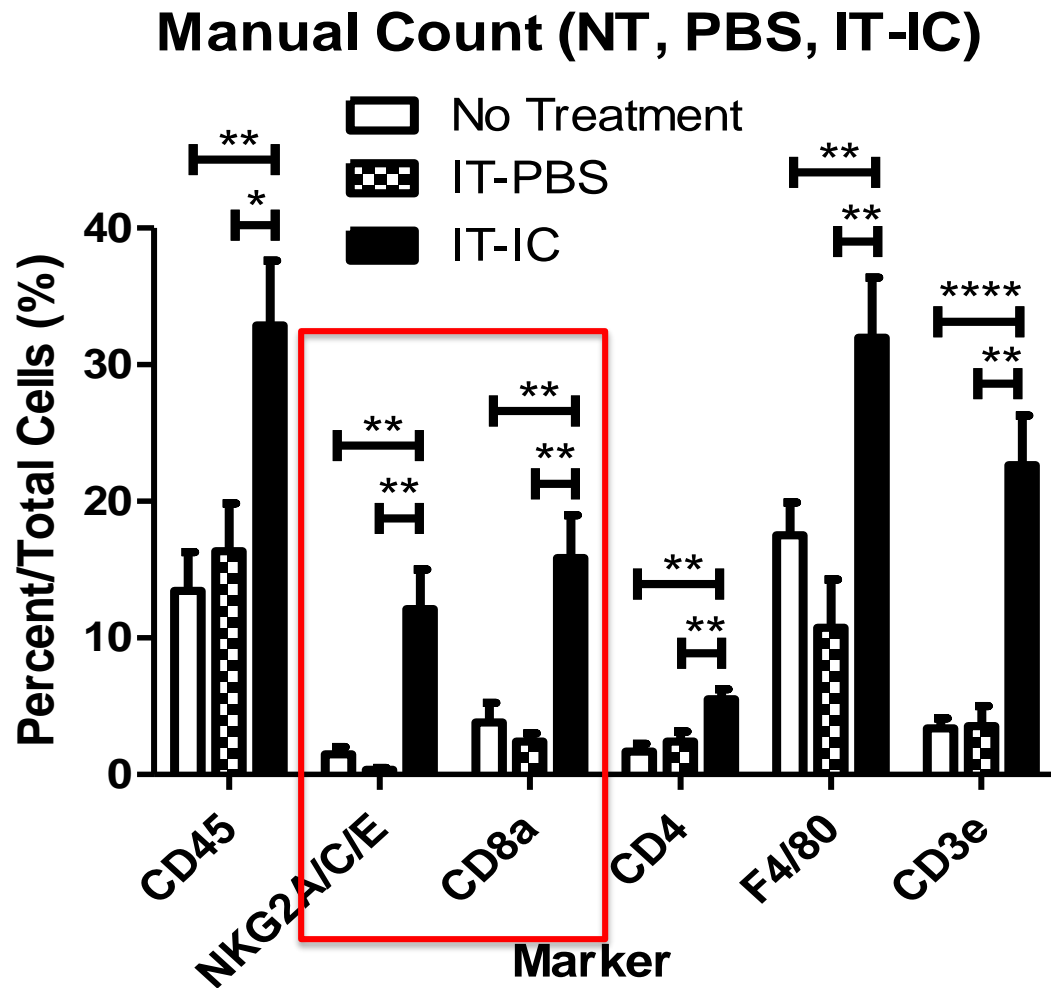


Conclusion: better response with IT than IV IC (5 daily doses).  
(Johnson et al, *Canc. Imm. Immunother.* 57:1891, 2008)

\*T-cells are required here and in murine neuroblastoma (not shown)

Yang RK et al. *Jl.* 189:2656, 2012

# Intratumoral hu14.18-IL2 is Distinguished by Many Increased Tumor (NXS2-NBL) Infiltrating Lymphocytes (TILs)



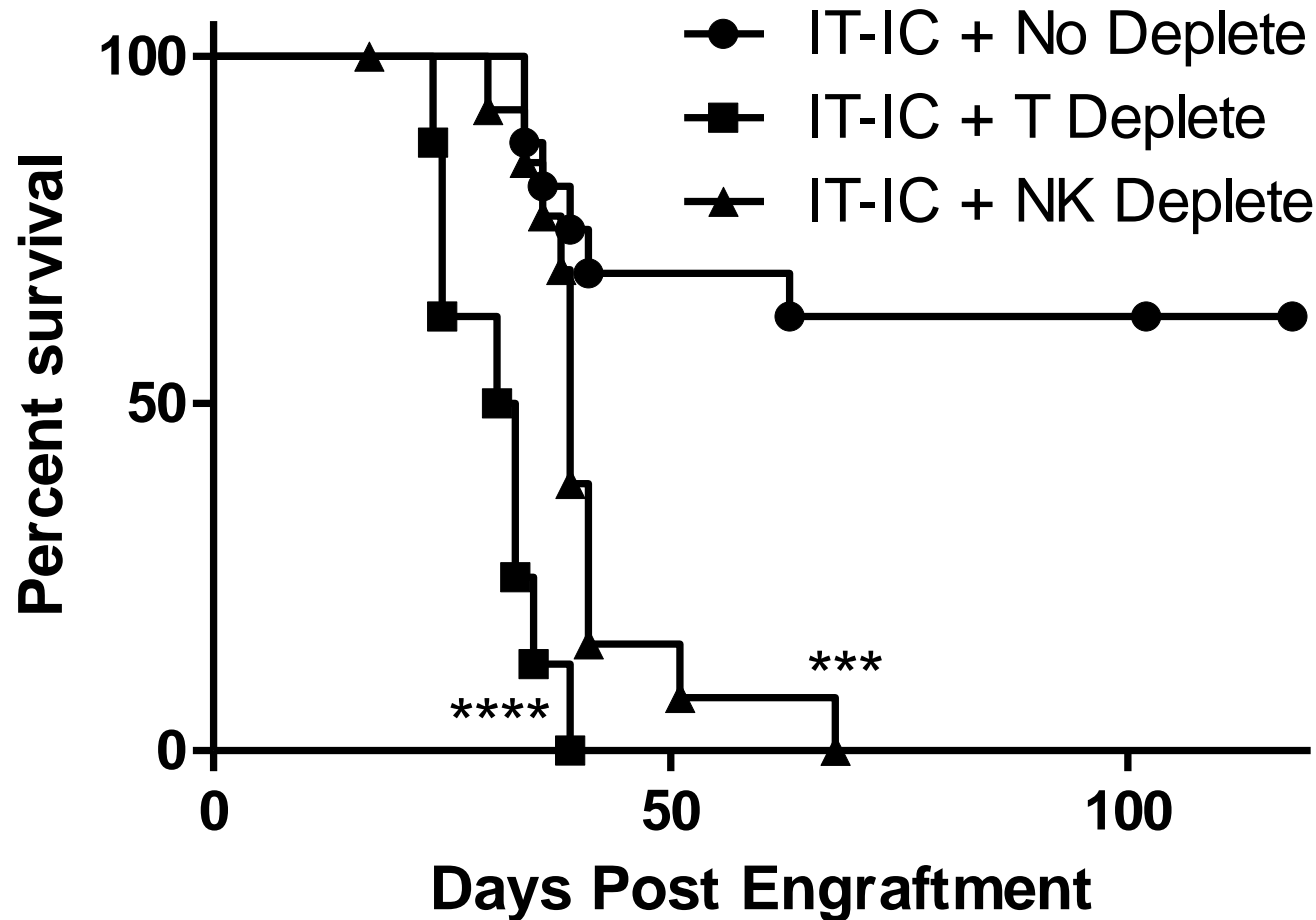
Richard Yang MD PhD,  
Nick Kalogriopoulos  
And  
Erik Ranheim MD PhD



Yang RK et al. JI. 189:2656, 2012

Clinical translation – Yang et al SITC Poster P103 2017

# T cell and NK cell Depletion Disrupts Full IT-IC Induced Anti-NXS2 NBL Effects

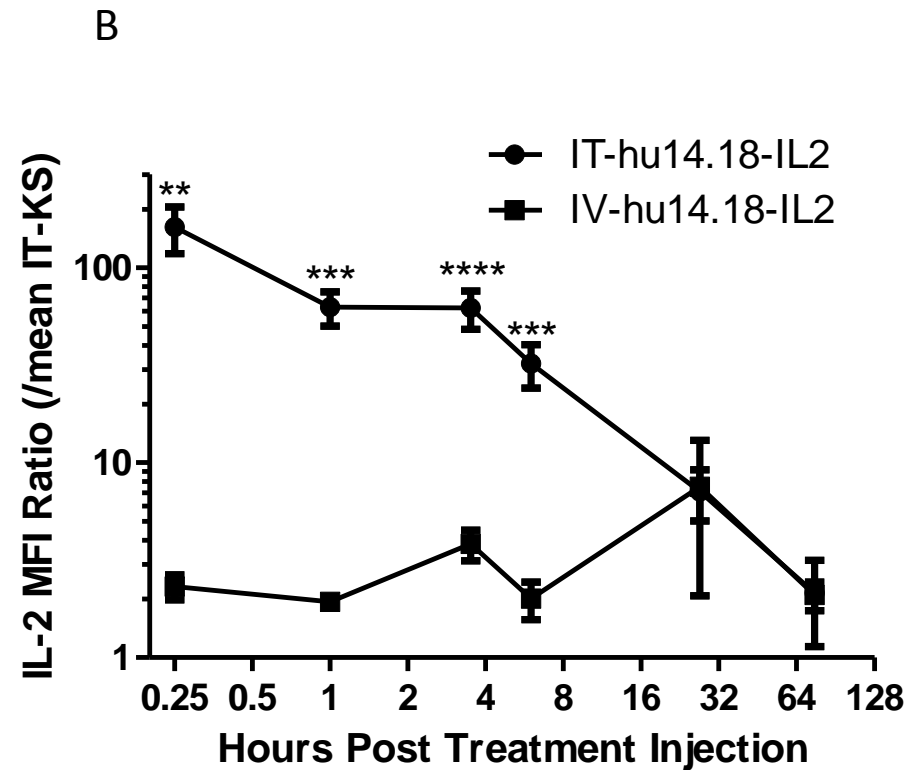
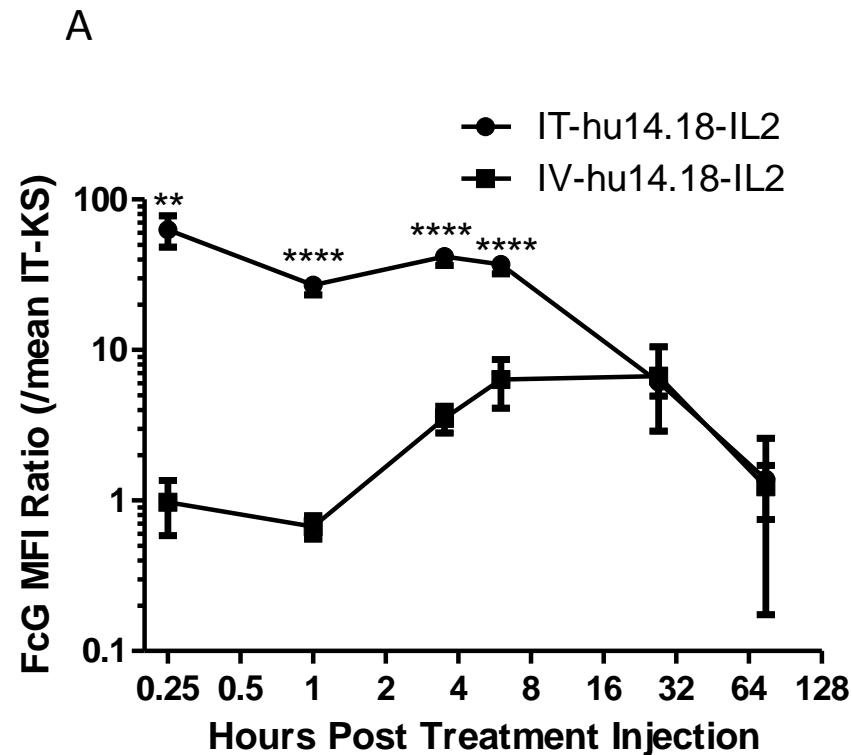


Yang RK et al. JI. 189:2656, 2012

## T cells and NK cells Depletion Disrupts Full IT-IC Induced Anti-Tumor Effects

T cell or NK cell depleted, but IT-IC treated mice bearing NXS2 tumor are characterized by increased tumor growth and worse survival outcomes compared to non-depleted IT-IC treated mice bearing NXS2 tumor. (C) Kaplan-Meier survival curves of IT-IC treated subcutaneous NXS2, with and without NK and T cell depletion.

# IT-IC Shows 100-fold Augmented IC Localization and Increased IC Retention Compared to IV-IC



**Yang RK et al. JI. 189:2656, 2012**

## IT-IC Shows Augmented IC Localization and Increased IC Retention Compared to IV-IC

Tumor-bearing mice given hu14.18-IL2 IT or IV were sacrificed at varying times and their tumors disaggregated. (A) Flow cytometric measurements of levels of human IgG Fcγ antibody fragment on NXS2 tumor cells ex-vivo at various times post treatment. (B) Flow cytometric measurements of levels of human IL-2 on NXS2 tumor cells ex-vivo at various times post treatment. All values of MFI (mean fluorescent intensity) are normalized to an intratumoral non-specific control immunocytokine (IT-KS-IL-2).



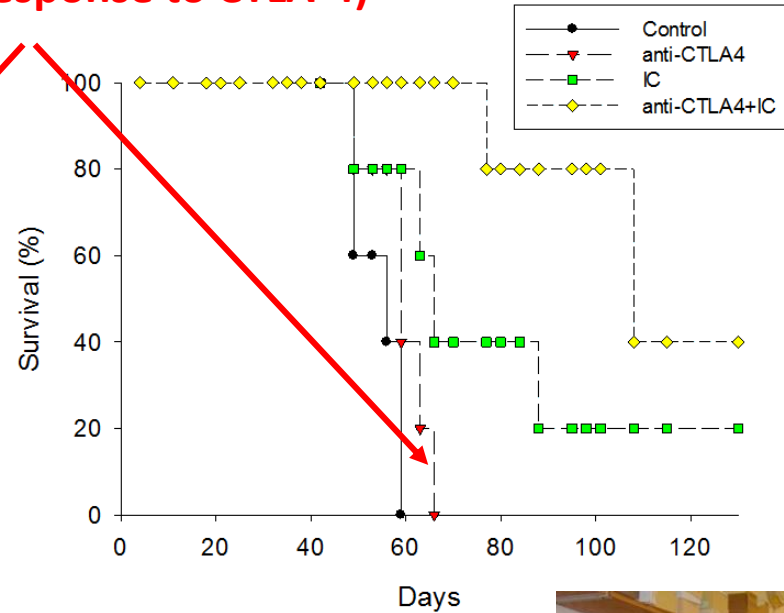
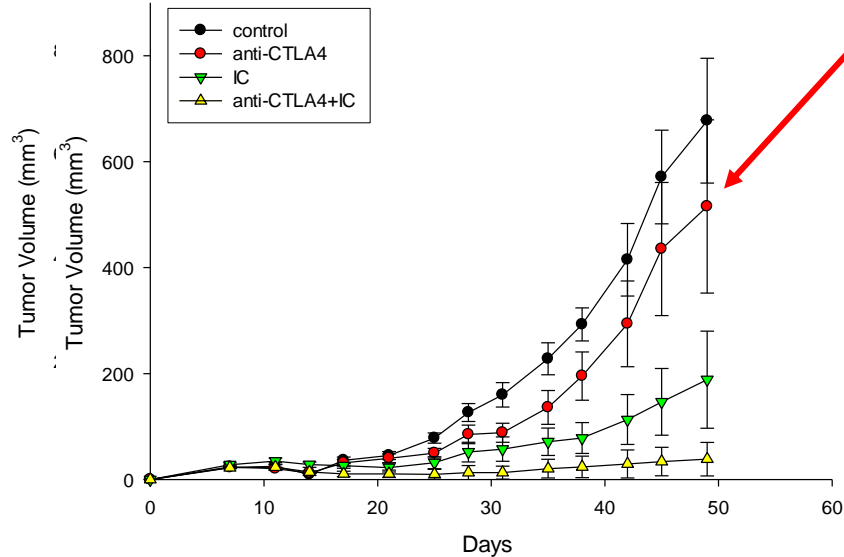
**Since T cells are now involved, can we enhance the response with checkpoint blockade?**

# Can Checkpoint Blockade enhance this T-cell response?

[ALL SUBSEQUENT SLIDES WITH B78 (GD2+B16) MEL (weakly immunogenic)]

Effect of anti-CTLA-4 mAb and IT-IC are synergistic on d-7 B78 (<50mm<sup>3</sup>)

B78 is a “cold” tumor  
(no response to CTLA-4)

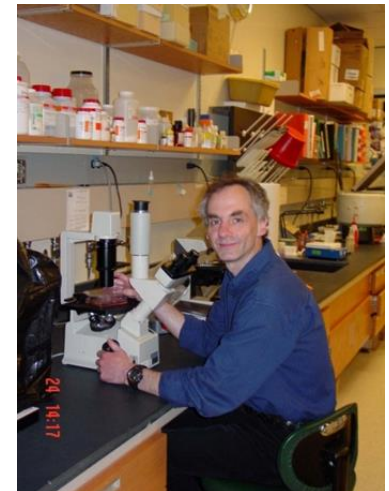


Day 0: B78 s.c. (2x10<sup>6</sup>/mouse)

**Day 7-11:** 14.18-IL2 i.t. (5 mcg/mouse)

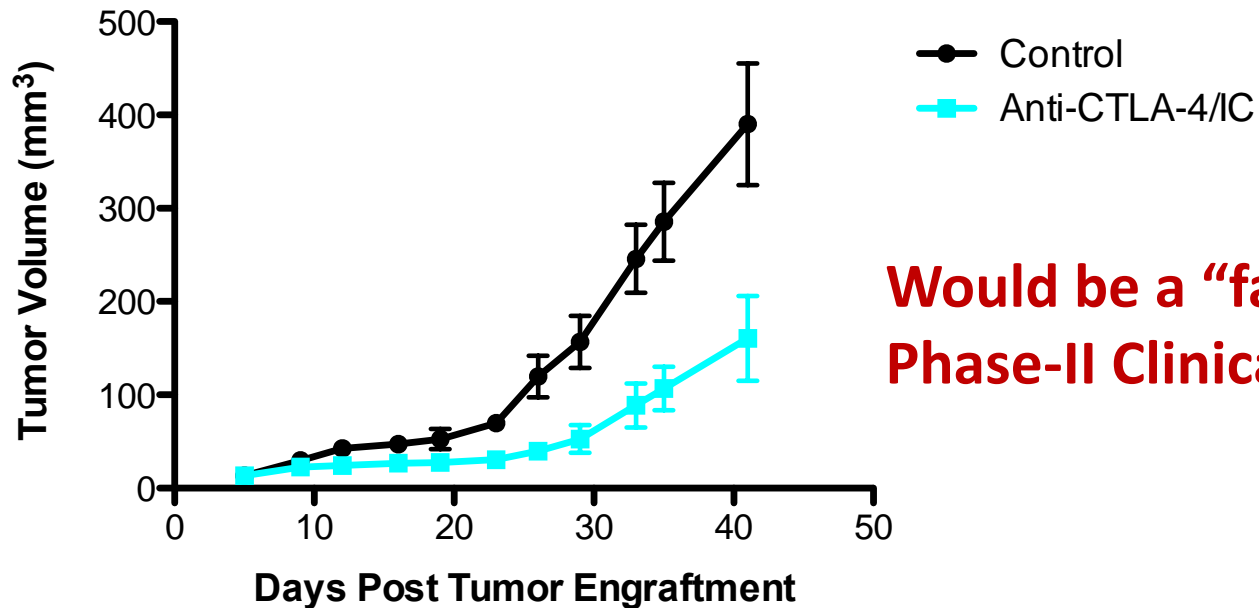
Day 7,9,11,14,16,18: anti-CTLA4 i.p. (200 mcg/mouse)

Rakhmilevich AL et al, JI, 2017.



## Beneficial effect of IT-IC + anti-CTLA-4 is LESS EVIDENT on more advanced (d12) B78 tumors

Larger (**d-12**) tumors grow more slowly (but still grow)

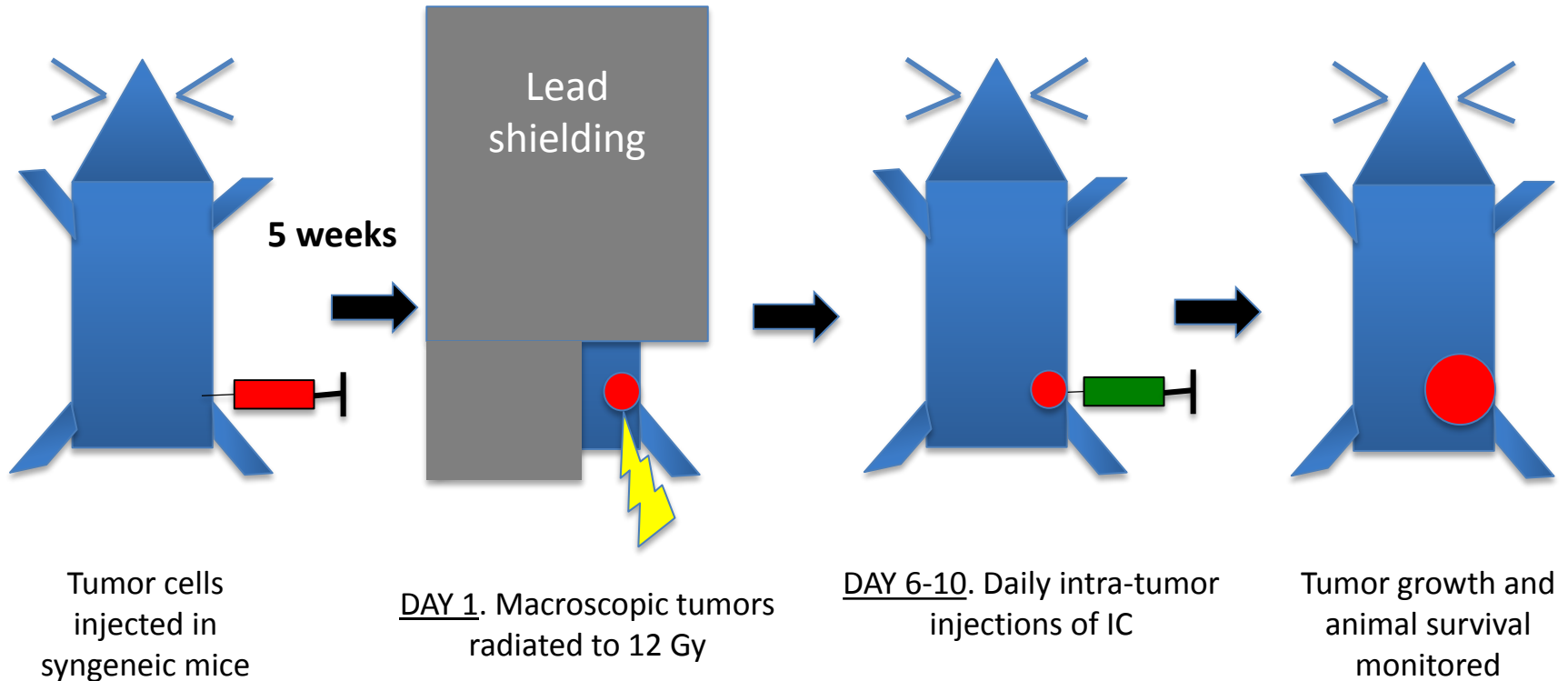


Would be a “failed”  
Phase-II Clinical Trial

Day 0: B78 s.c. ( $2 \times 10^6$ /mouse)

IC IT (5 mcg), **d.12-16**; anti-CTLA-4 i.p., d. 12,14,16,19,26,33

Can augmented activity to macroscopic disease (200mm<sup>3</sup>) be obtained by combination with immunomodulatory radiation therapy (RT)?



Tumor cells

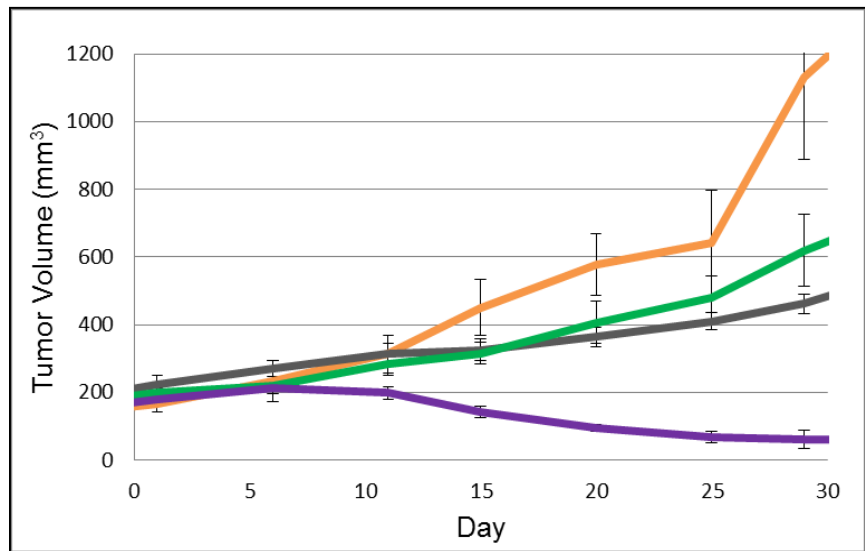
Morris Z et al Can. Res. 2016

**B78 melanoma – poorly immunogenic B16 melanoma that expresses GD2**

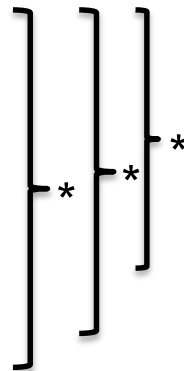
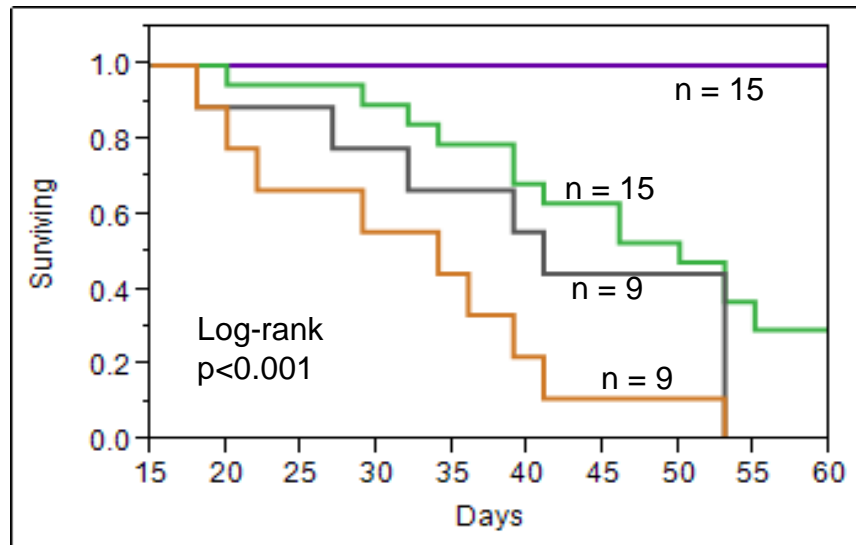
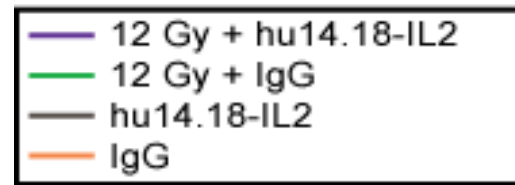
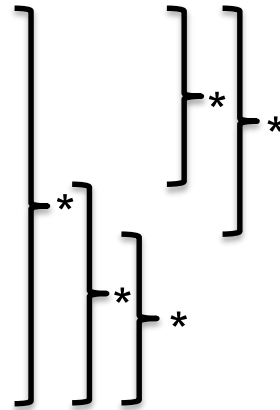
Zach Morris MD PhD



# Radiation and IT hu14.18-IL2 results in cure of most 5-week (200mm<sup>3</sup>) B78 tumors



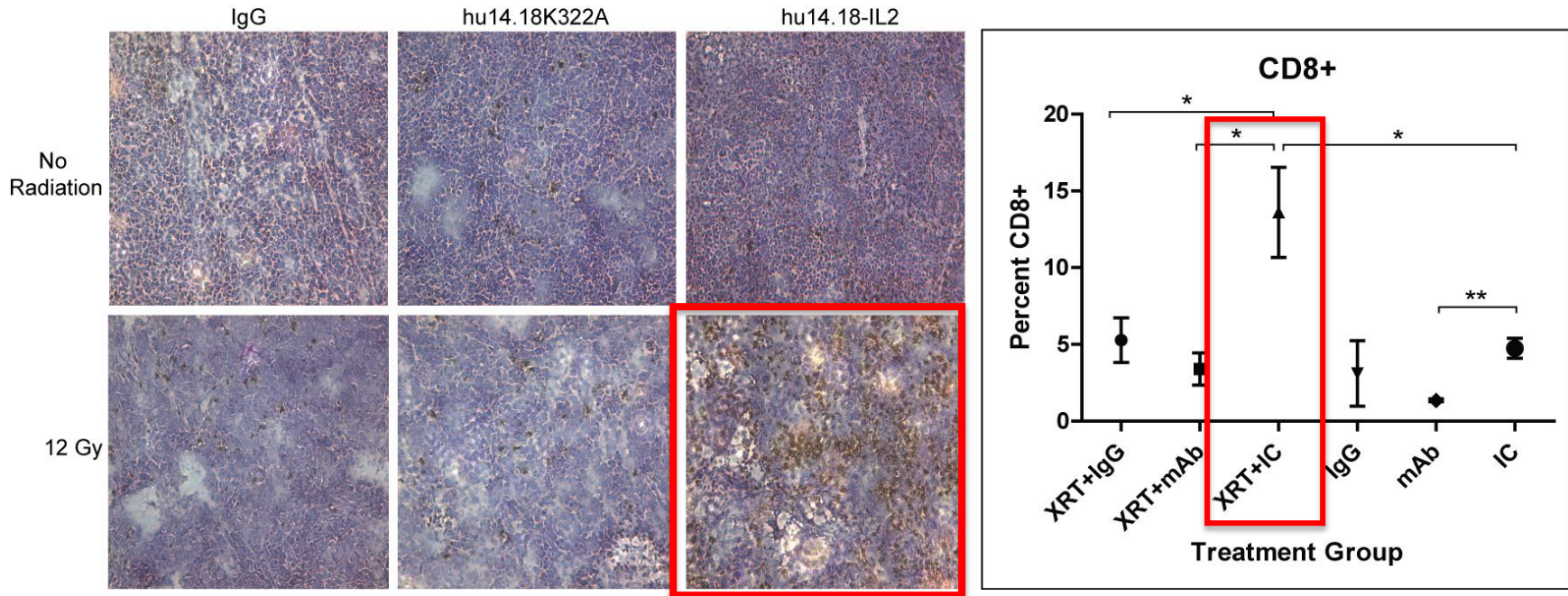
Day 30 mean tumor volume (mm <sup>3</sup> ) +/- SE
1161 +/- 233
619 +/- 106
462 +/- 29
61 +/- 26**



\* p < 0.05

**\*\* 73% (11/15)** of mice had durable complete tumor regression vs. none of the control mice

# RT + IT-IC makes B78 “hot” (increases tumor infiltration by CD8+ T cells)

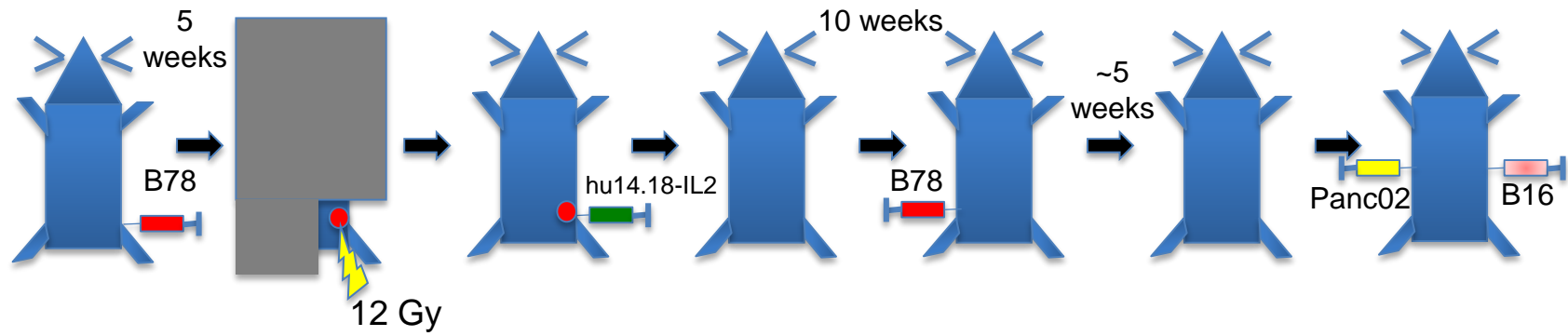


Day 12 post radiation  
B78 melanoma tumors

Morris Z. et al. Can. Res.  
76:3929, 2016



# RT + IT-IC induces a tumor-specific T cell response with epitope spread *(In Situ Vaccine Effect, as introduced by R. Levy and colleagues)*



## Mechanisms for this In Situ Vaccine Effect<sup>A</sup> (not shown):

1. IC is needed (anti-GD2 alone with RT yields no vaccine effect)
2. T cells required (minimal effect if T-cells depleted)
3. **FcR required (minimal effect in FcR<sup>-/-</sup> mice)<sup>B</sup>**
4. GD2 on tumor is needed (weak effect on GD2- MEL)
5. Timing is key (give IT-IC 6d after RT)
6. **Fas is upregulated by RT on tumor (no vaccine effect in FasL<sup>-/-</sup> mice)<sup>C</sup>**
7. Tumor reactive antibody found in serum<sup>D</sup>
8. **Protection from rechallenge is systemic (even in the brain)<sup>E</sup>**

<sup>A</sup>Morris Z. et al. Cancer Research 76:3929, 2016

<sup>B</sup>*Suggests mAb/FcR-dependent antigen uptake/presentation rather than ADCC*

<sup>C</sup>Werner L. et al, Radiation and Oncology, 124; 418, 2017

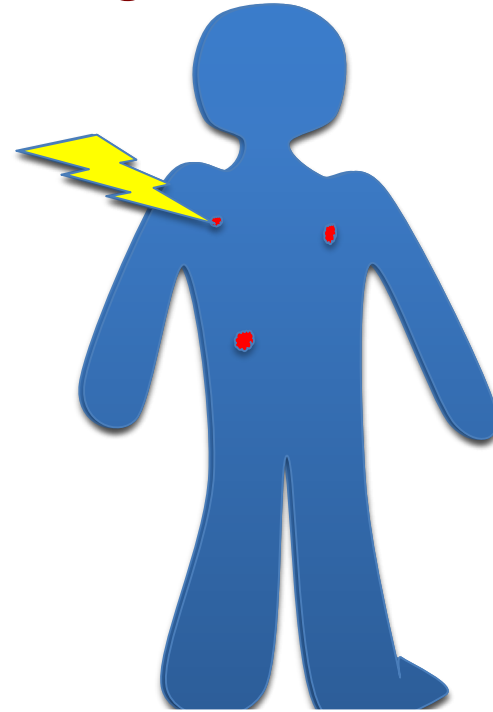
<sup>D</sup>Heinze et al, Poster P37, SITC 2017

<sup>E</sup>Sriramaneni R. et al, Poster P142, SITC 2017

**Cancer is seldom an isolated primary tumor. What about metastases?**  
The abscopal response to radiation is thought to be immune-mediated

“The abscopal effect”

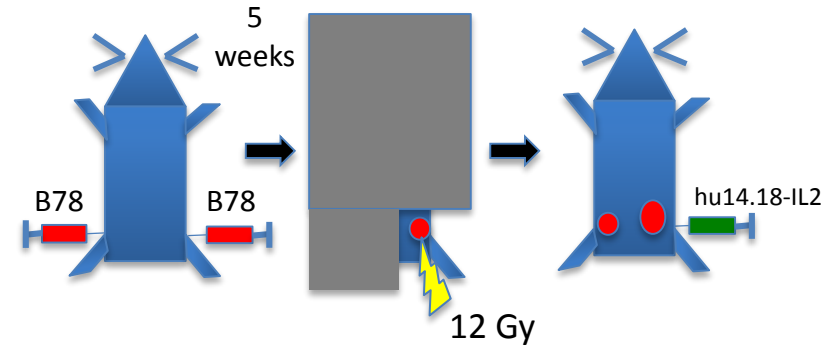
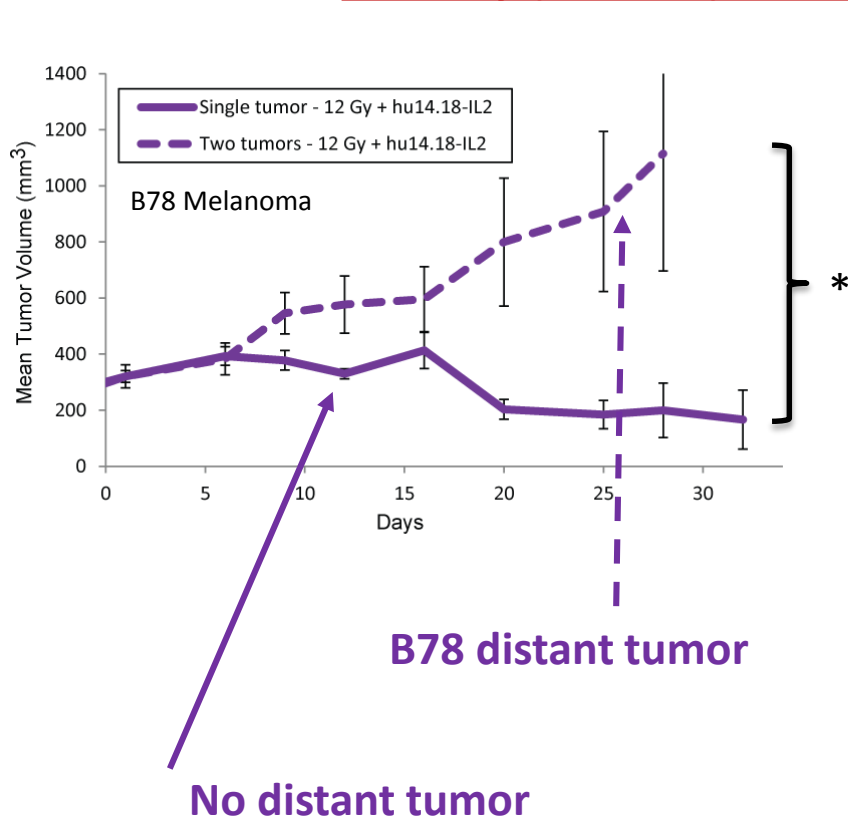
- *Mole, Br J Radiology 1953*



**Morris Z et al. Abstract at AACR 2016, manuscript  
Submitted 2017**

# Concomitant Immune Tolerance of primary B78 tumor response to RT and IT-IC by a distant un-treated B78 tumor

## Primary (treated) B78 tumor responses



## Tumor-induced Concomitant Immune Tolerance

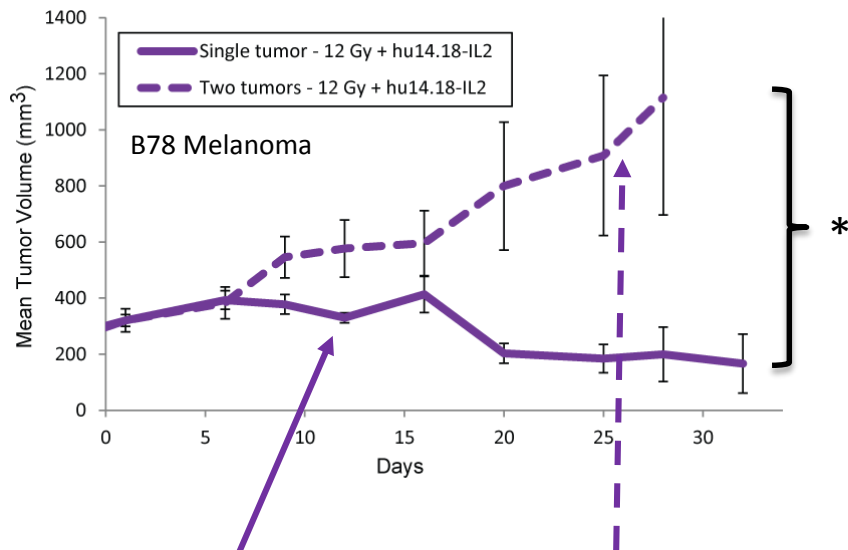
Morris Z et al. Abstract at AACR 2016, manuscript submitted

Radiation: 12 Gy x 1 - Day 1  
hu14.18-IL2: 50 µg /mouse daily - Days 6-10

\* p < 0.001

# The B78 (but not Panc02) distant tumor suppresses In Situ Vaccination of the primary B78 tumor

## Primary (treated) B78 tumor responses

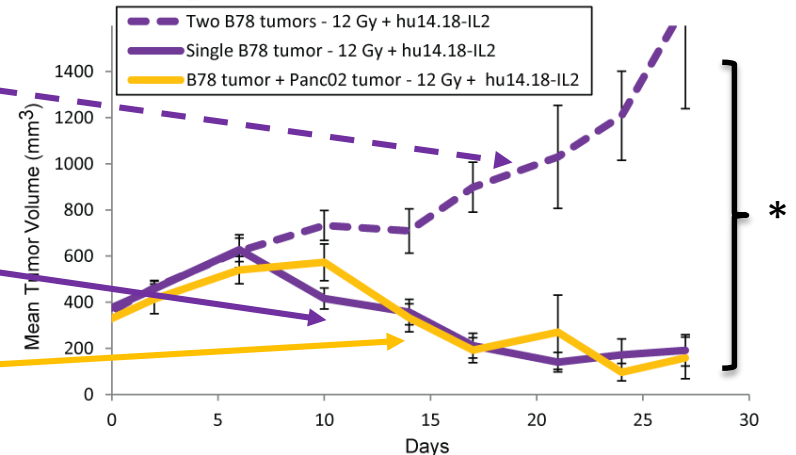
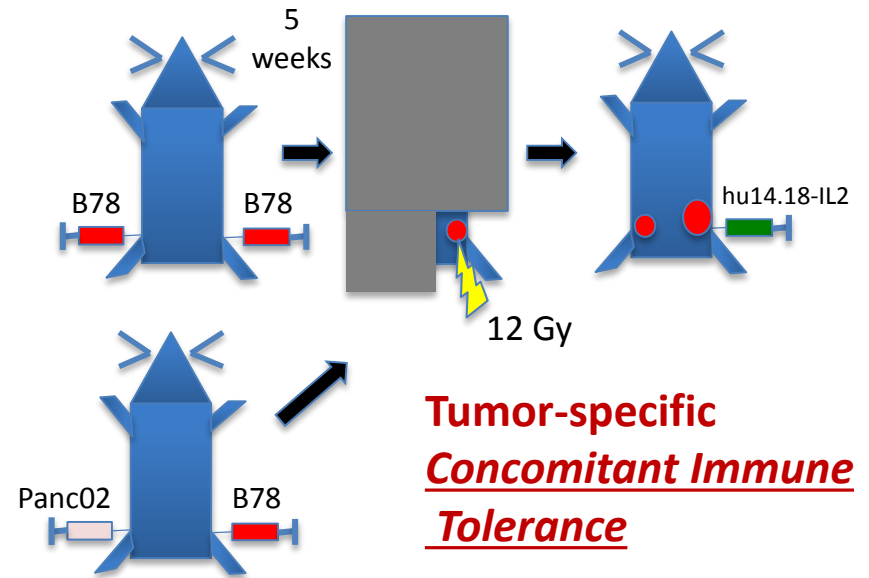


B78 distant tumor

No distant tumor

Morris Z et al. Abstract at AACR 2016,  
manuscript submitted

Panc02 distant tumor



Radiation: 12 Gy x 1 - Day 1  
hu14.18-IL2: 50 µg /mouse daily - Days 6-10

\* p < 0.001

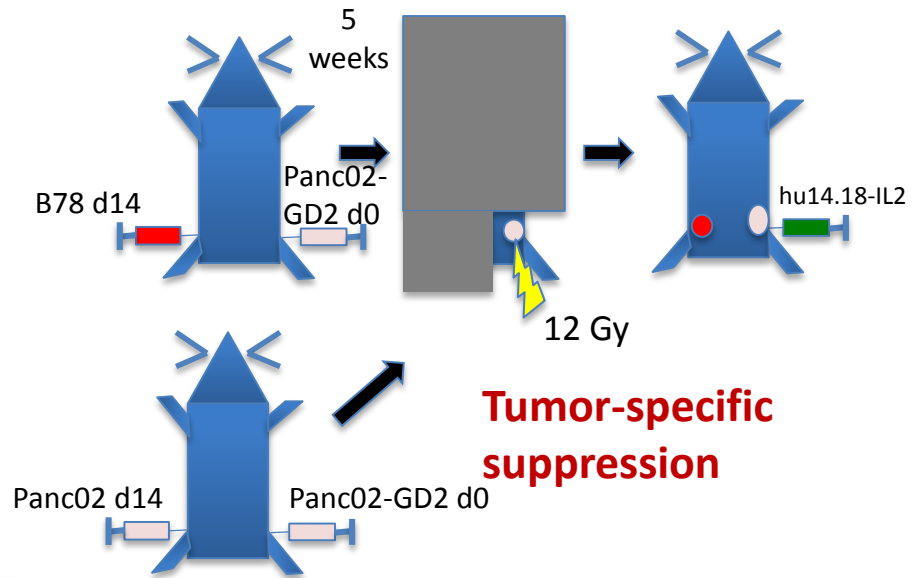
## Concomitant Immune Tolerance shows reciprocal specificity.

Use Panc02-GD2 as the primary (d0) tumor.

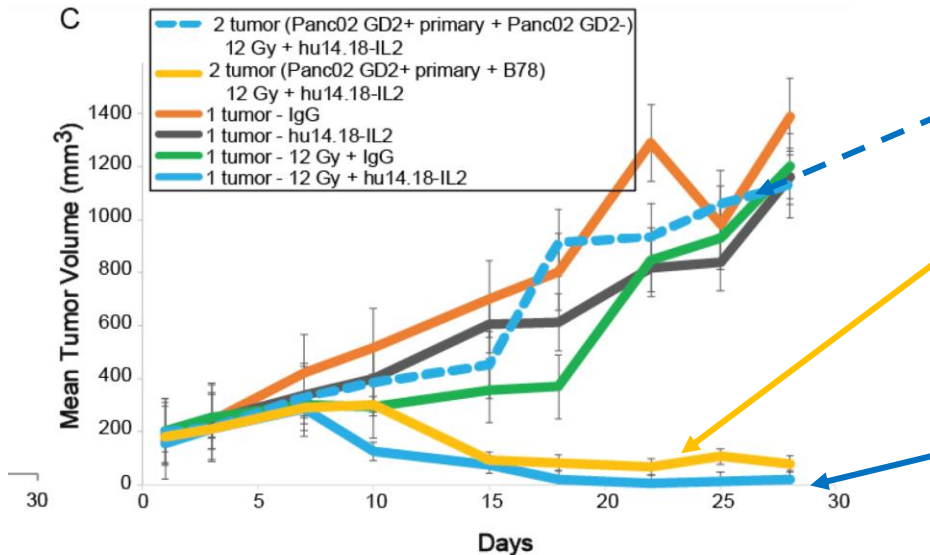
Immune destruction of the Primary Panc02-GD2 tumor is prevented in animals with a Panc02 2<sup>nd</sup> tumor

BUT occurs in animals with A B78 2<sup>nd</sup> tumor

Tumor Specific Concomitant Immune Tolerance



**Tumor-specific suppression**



Panc02 distant tumor

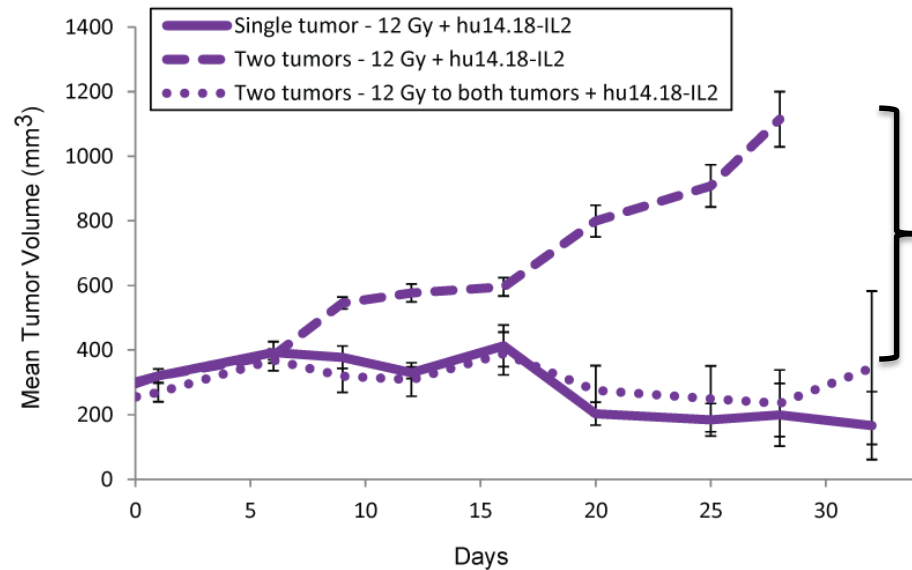
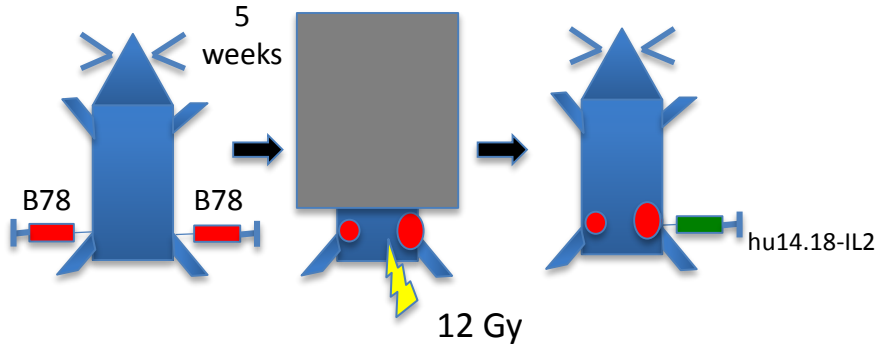
B78 distant tumor

No distant tumor

Morris Z et al. Abstract AACR 2016, manuscript submitted

# Can inhibition of primary tumor response to RT + IT-IC by 2<sup>nd</sup> tumor be overcome?

(How to overcome Concomitant Immune Tolerance?)



**Yes; by RT to both tumors**

\*  $p < 0.001$

Radiation: 12 Gy x 1 – Day 1  
hu14.18-IL2: 50 µg /mouse IT - daily Days 6-10

**Primary tumor response**

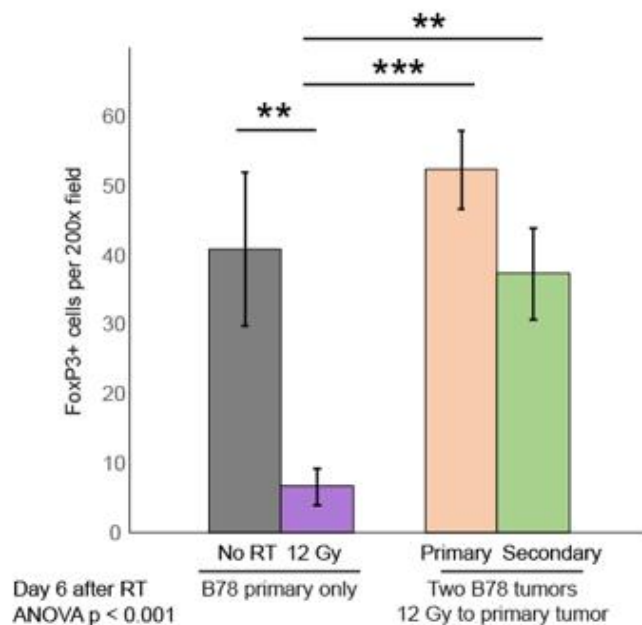
Morris Z et al. Abstract AACR 2016,  
manuscript submitted



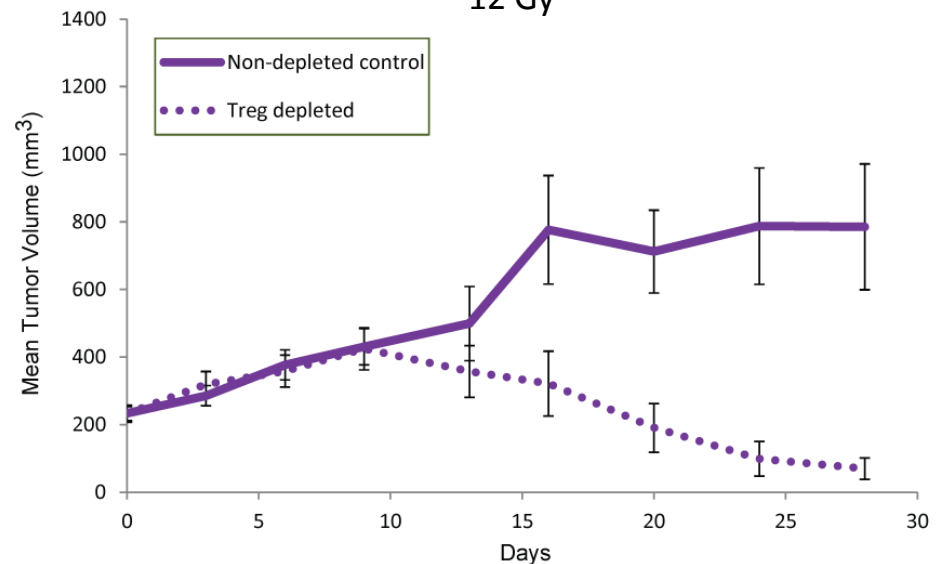
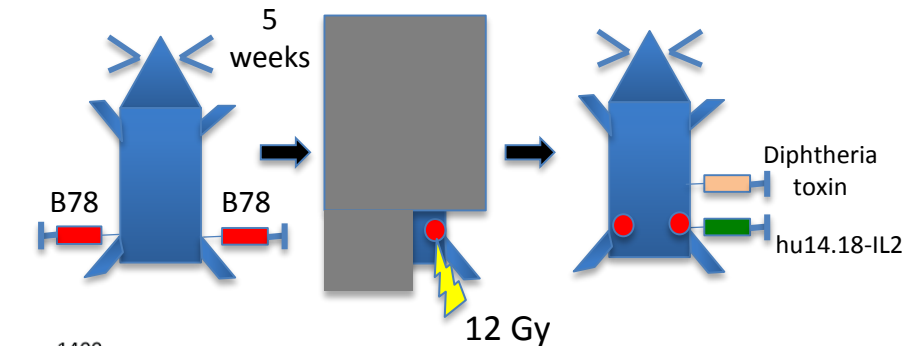
# Tumor-specific, RT-sensitive immune tolerance: Role of Tregs

(Tregs cells from a distant B78 site suppress the In Situ Vaccine effect of RT + IT-IC)

## Cross-talk with Treg distribution Between irradiated and non-irradiated tumor



## *C57BL/6-Tg(Foxp3-DTR/EGFP)23.2Spar/Mmjax* “DEREG mice”

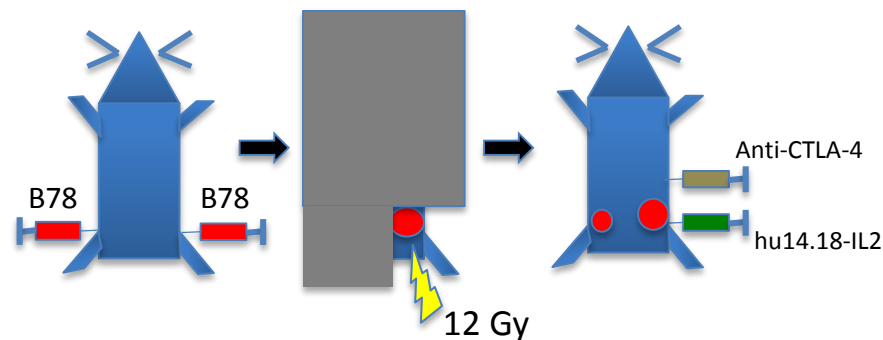


Morris Z et al. Abstract AACR 2016,  
manuscript submitted

B78 Melanoma  
Radiation: 12 Gy x 1 – Day 1  
hu14.18-IL2: 50 µg /mouse daily – Days 6-10  
Diphtheria toxin: 1 µg IP – Day 1

# Concomitant Immune Tolerance can be overcome by a Treg-depleting anti-CTLA4

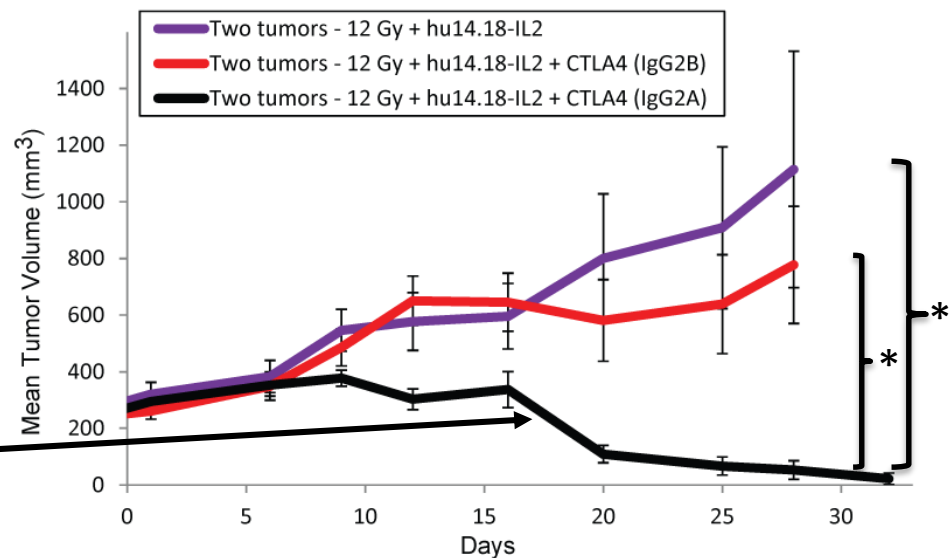
Alan Korman PhD, BMS



**Treg depleting (IgG2a) anti-CTLA-4**

**Primary 200 mm<sup>3</sup> B78 tumor receiving  
RT + IT-IC**

The IgG2a but **not the IgG2b** anti-CTLA4  
depletes Tregs and enables the  
immunotherapeutic effect to the  
PRIMARY (injected) tumor



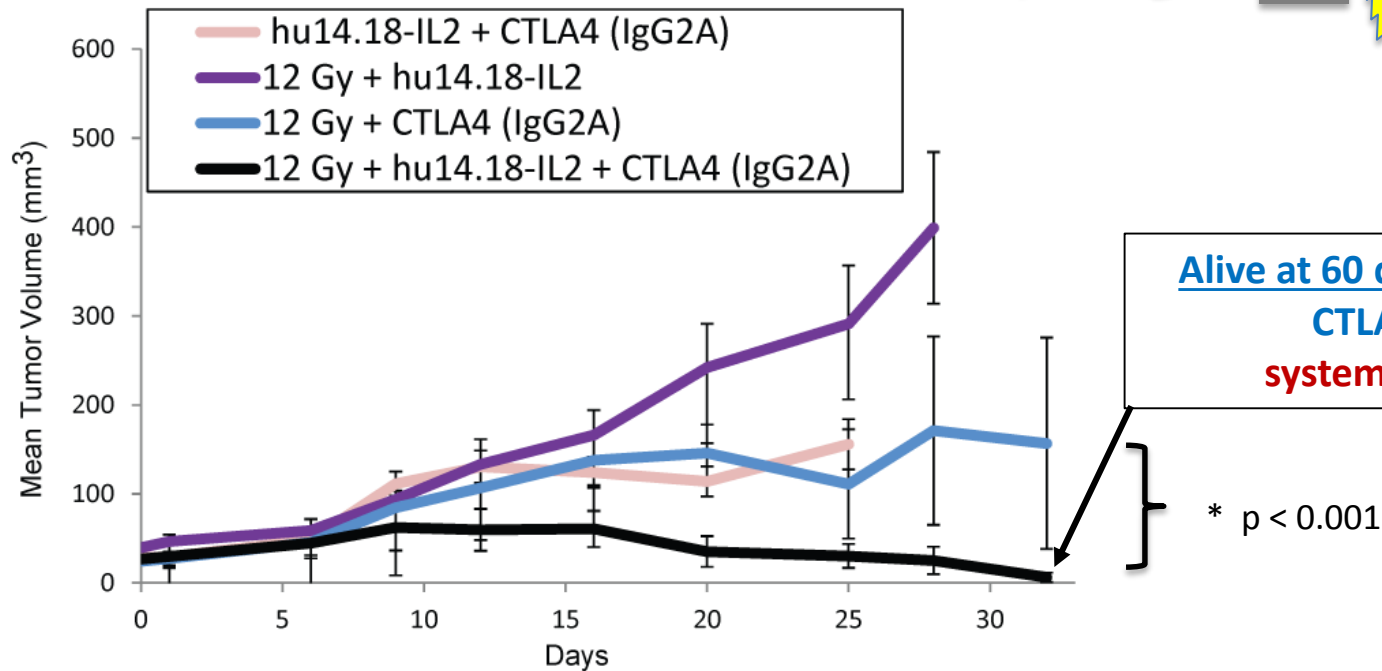
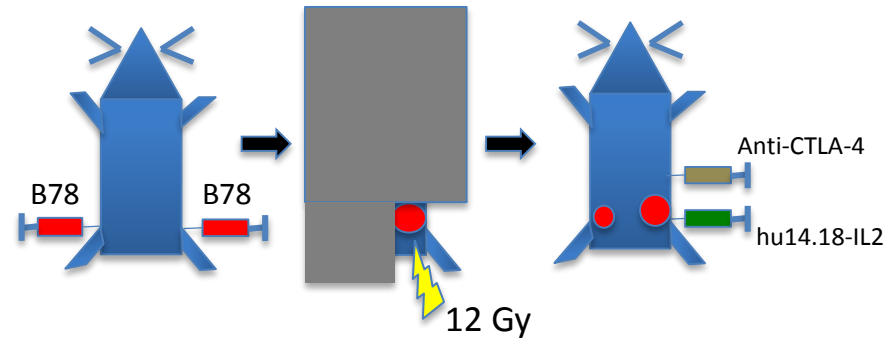
Radiation: 12 Gy x 1 – Day 1

hu14.18-IL2: 50 µg /mouse IT - daily Days 6-10

anti-CTLA-4: 200 µg /mouse IP – Days 3, 6, 9

# Concomitant Immune Tolerance can be overcome by a Treg-depleting anti-CTLA4 mAb And effectively eliminate the non-irradiated non-injected tumor

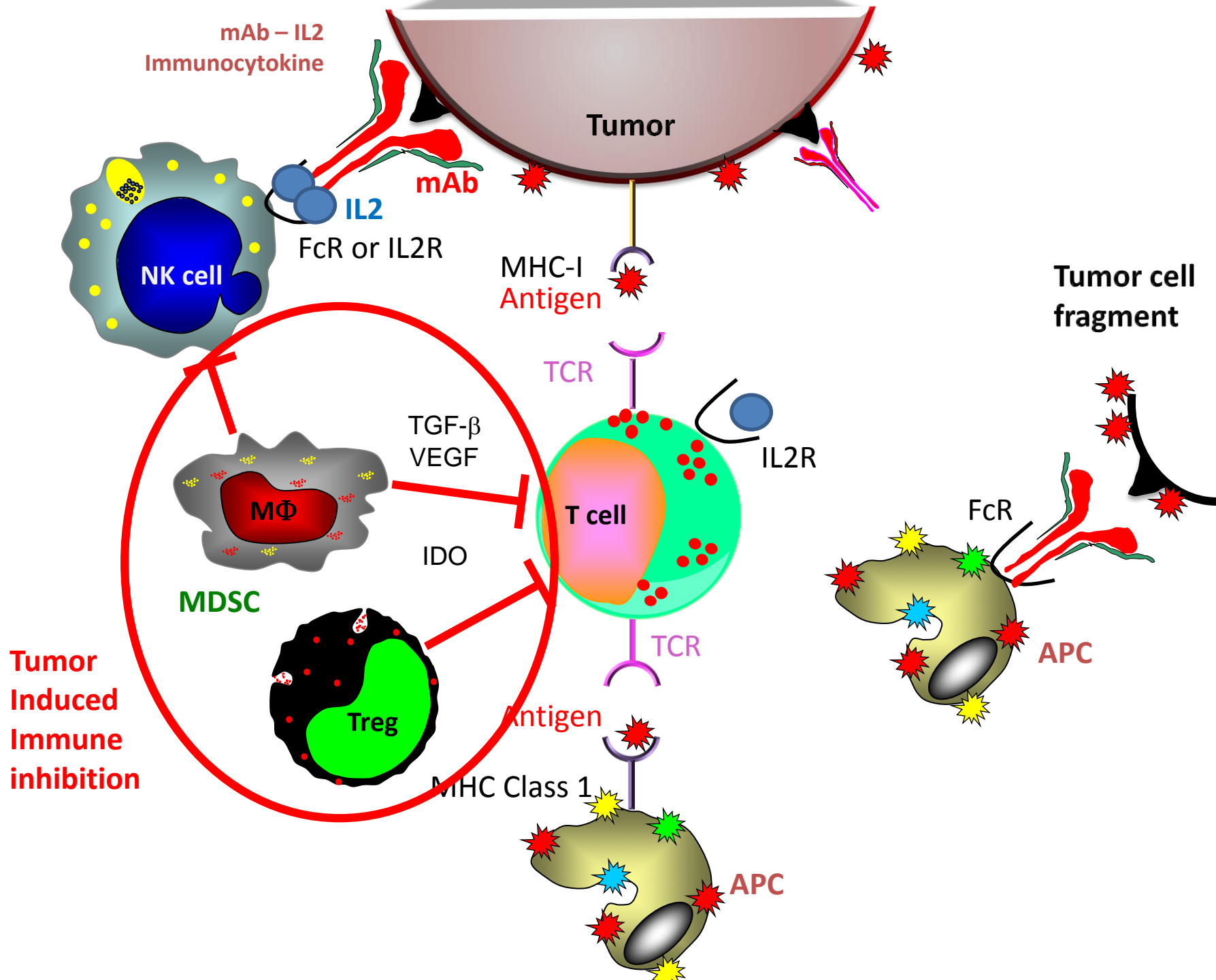
## Response of the 2<sup>nd</sup> (non-irradiated, non-injected) tumor

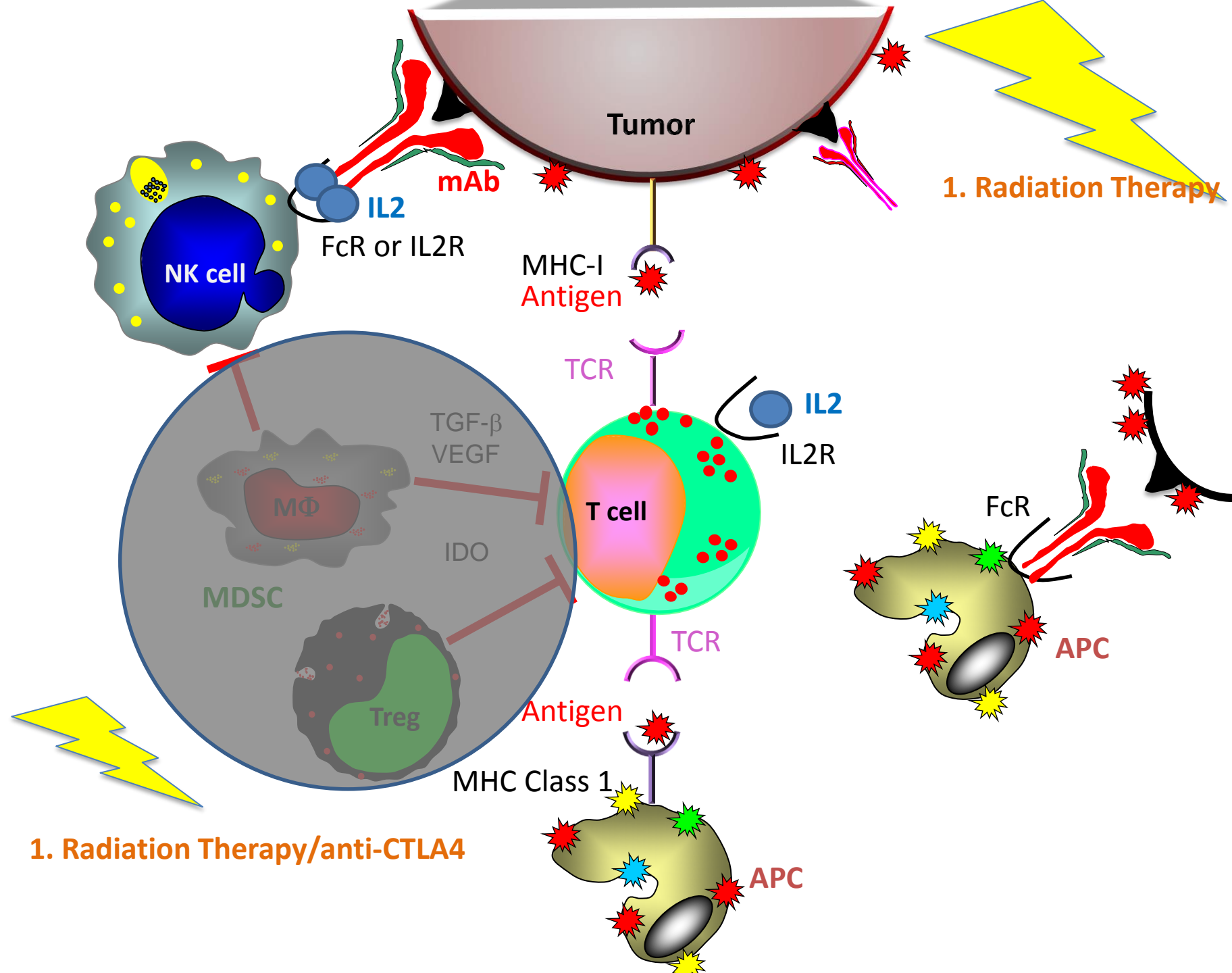


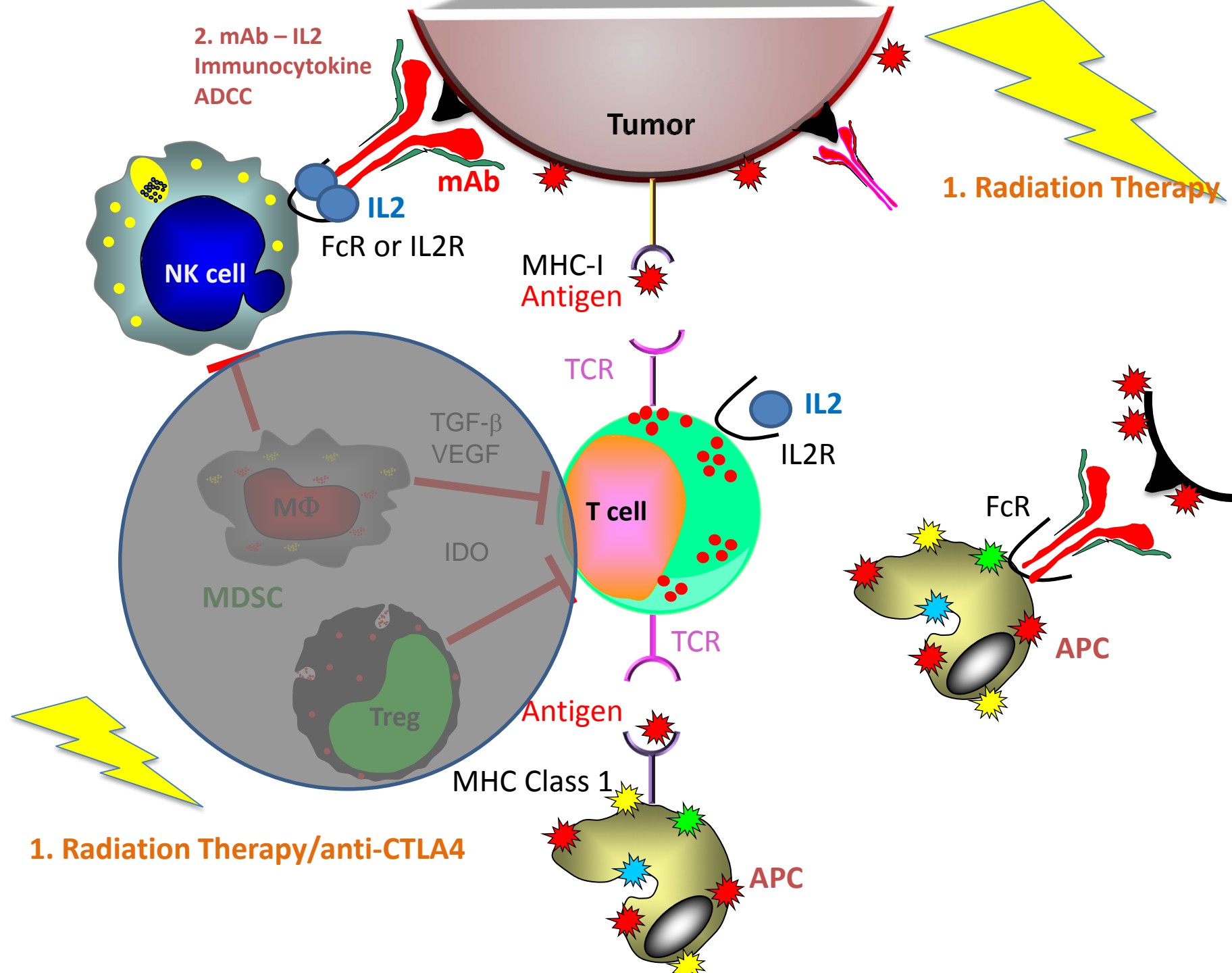
**Alive at 60 days: RT + hu14.18-IL2 + CTLA4 = 75% (12/16)**  
**systemic immune response**

Morris Z et al. Abstract AACR 2016,  
manuscript submitted

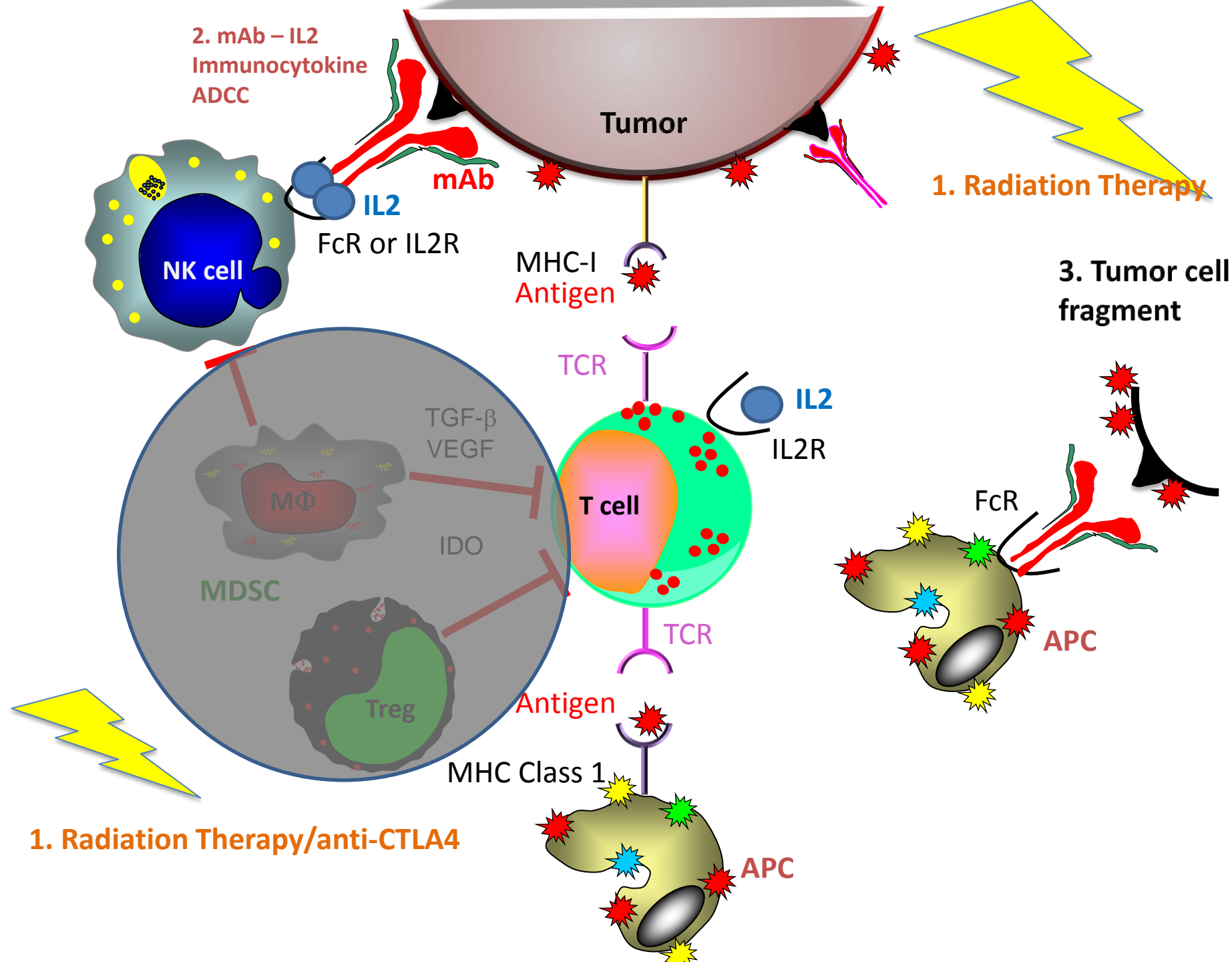
Radiation: 12 Gy x 1 – Day 1  
hu14.18-IL2: 50 µg /mouse IT - daily Days 6-10  
anti-CTLA-4: 200 µg /mouse IP – Days 3, 6, 9

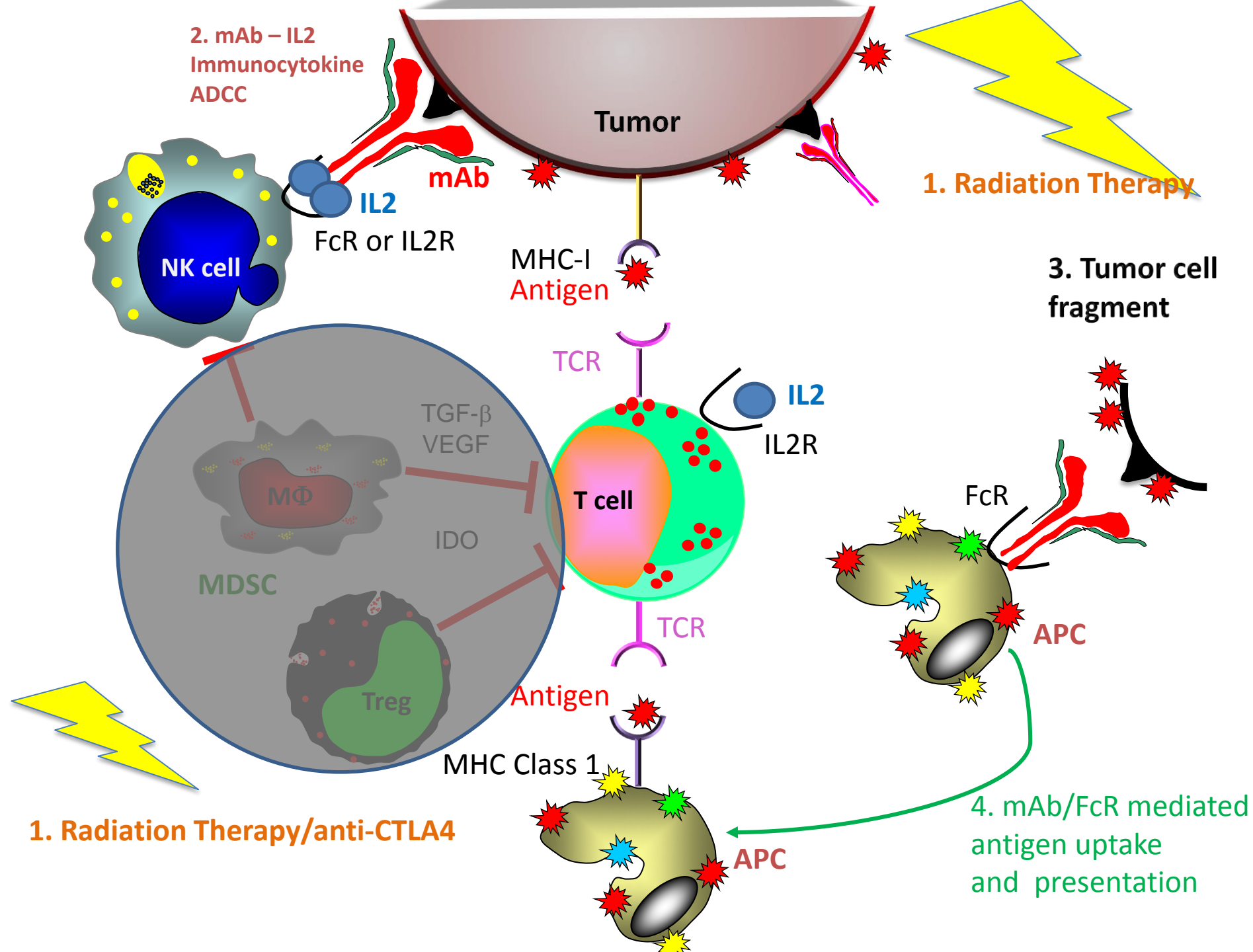


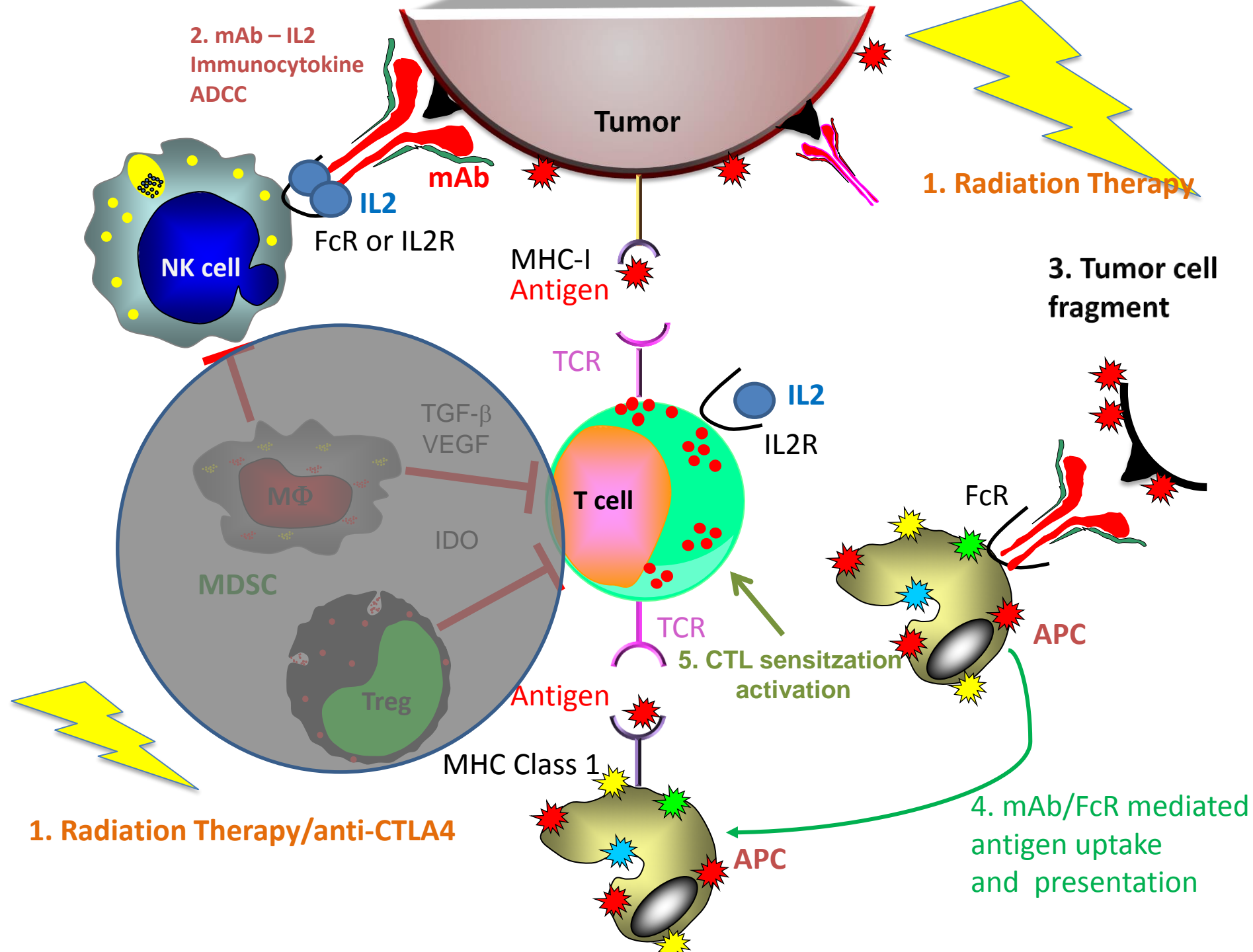


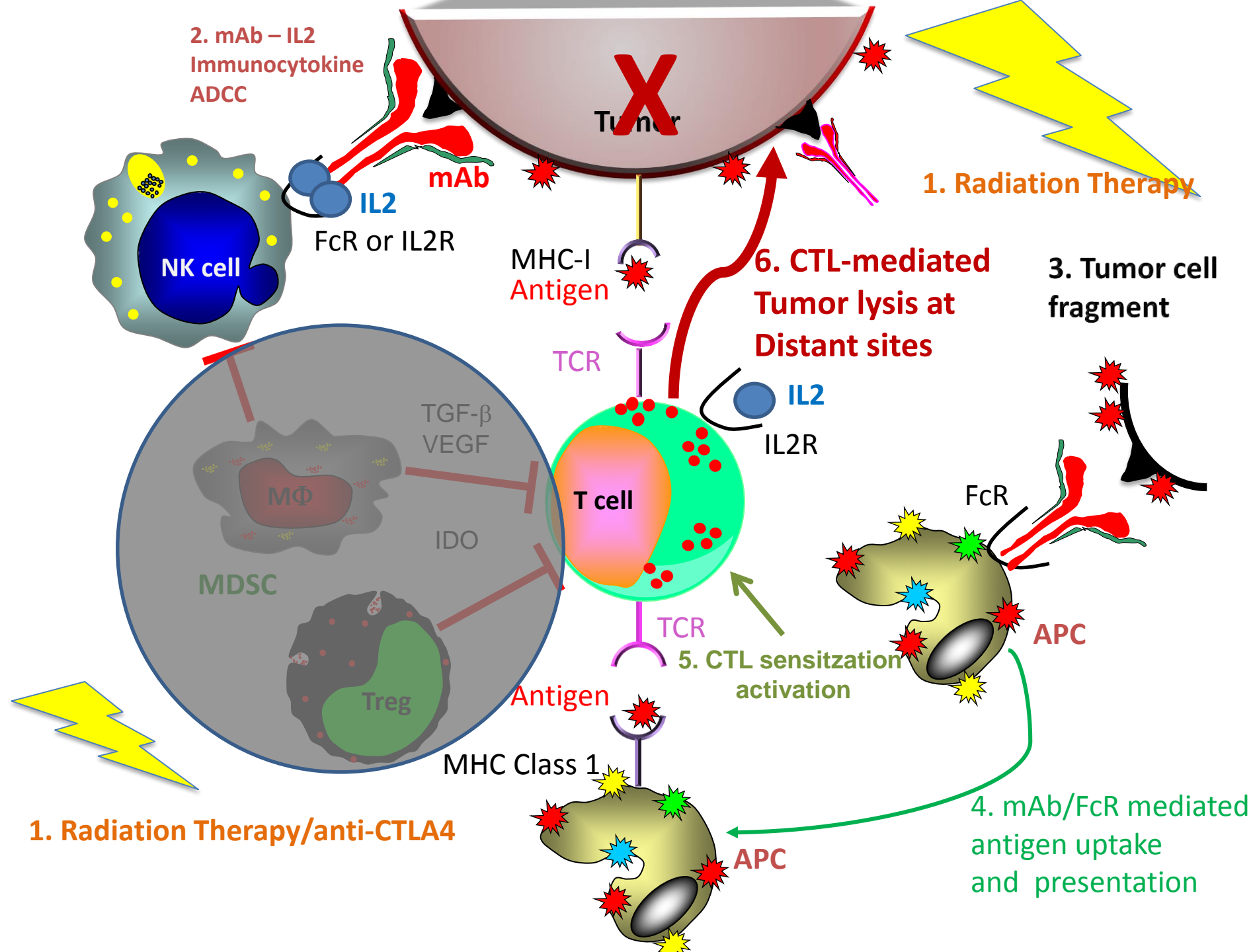




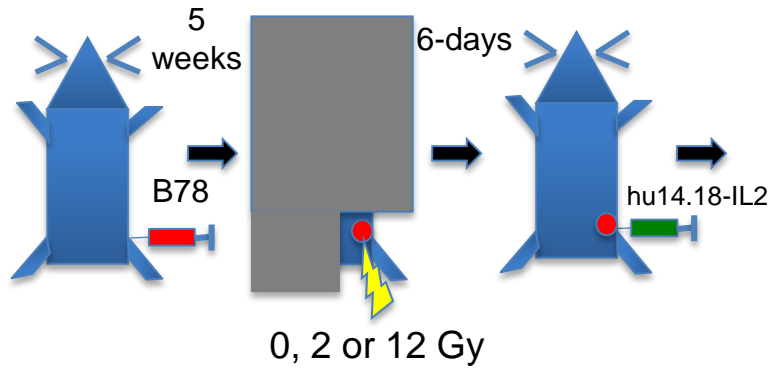






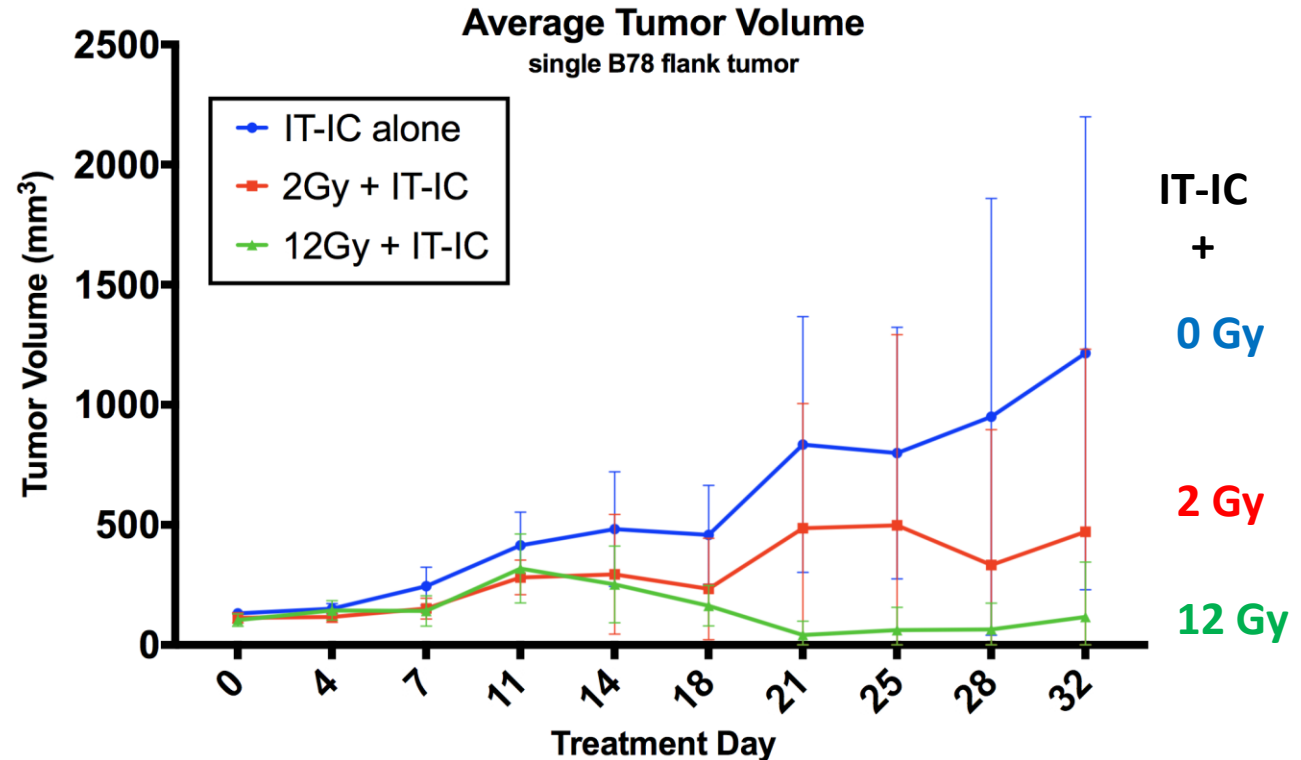


# How much RT is needed to get the local RT + IT-IC anti-tumor effect?

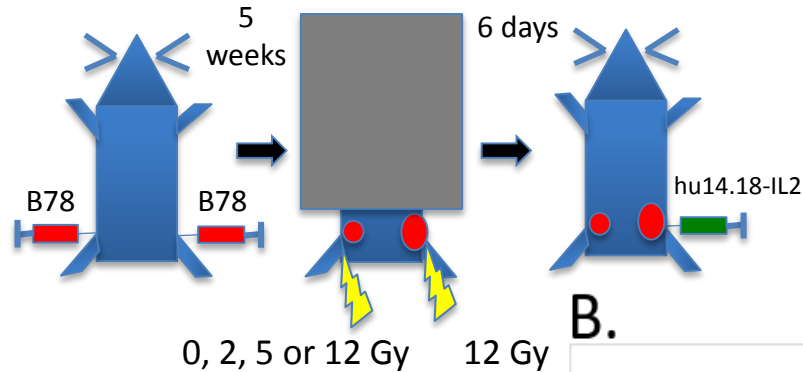


Peter Carlson  
MD PhD Student

Carlson P et al,  
SITC poster P454 2017



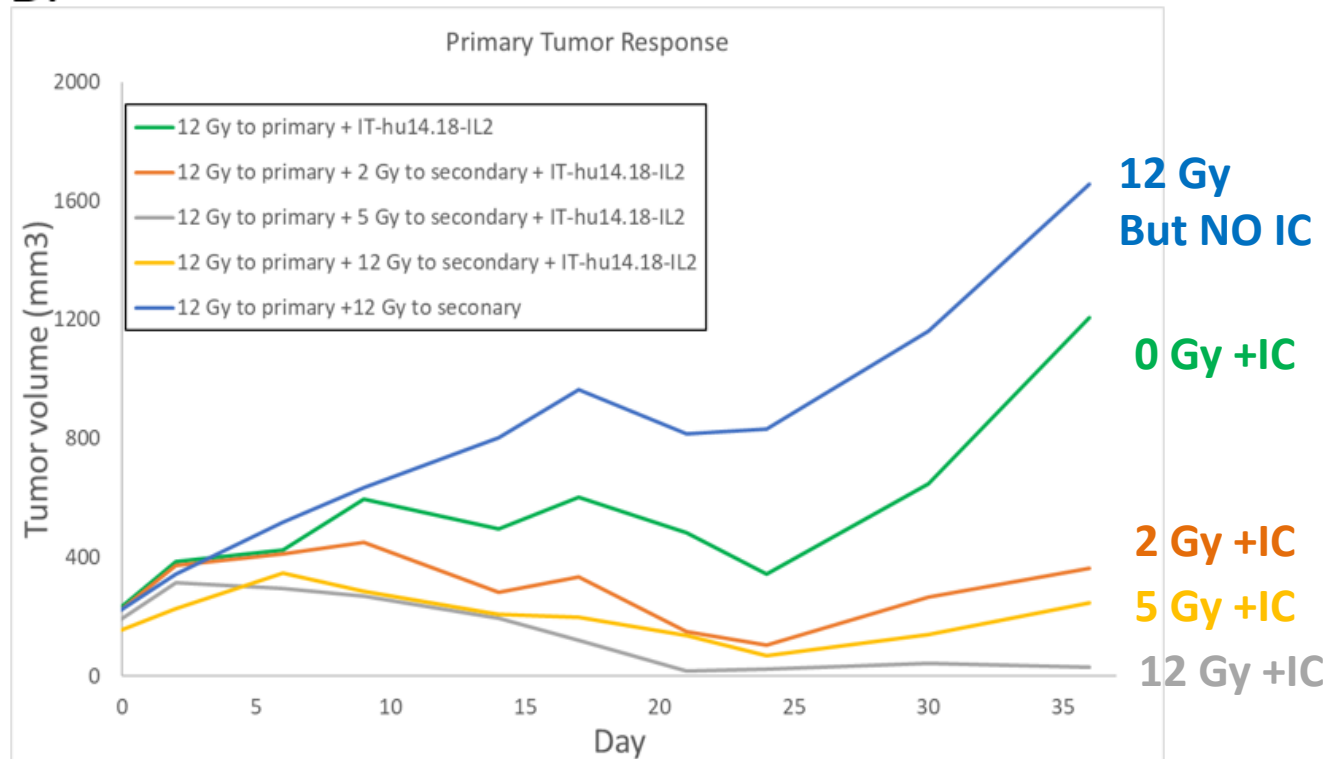
# How much RT to the 2<sup>nd</sup> tumor is needed to inhibit Concomitant Immune Tolerance?



B.

IT-IC + 12 Gy to primary.  
RT to secondary:

Carlson P et al,  
SITC poster P454 2017



Clinical translation:

How can you deliver 2 Gy RT to ALL sites of distant disease? Targeted molecular RT?



## First clinical testing of the combination of:

1. Radiation; 2. Anti-GD2 Immunotherapy; 3. Checkpoint blockade:  
[1. 131-I-MIBG; 2. ch14.18/CHO mAb; 3. Nivolumab]

## Neuroblastoma Protocol IND in Preparation [“MiNivAn” trial]

Supported by INBRACED/SKC (a USA/UK Charitable foundation), Stand Up to Cancer, St. Baldrick's , and BMS (USA), Apeiron (Austria), EUSA (UK)

### *Trans-Atlantic clinical trial for relapsed neuroblastoma*

Radiation delivered by 131-I-MIBG

Immunotherapy using 10-day LTI of ch14.18/CHO developed by Lode et al

Anti-PD1 as “checkpoint blockade” (Siebert N, ..., Lode H et al, Oncoimmunology, 2017)

To open at 4 sites:

**Dr. J Gray MD (Study Chair)**  
Southampton UK



**Dr. M. Gaze**  
London UK



**Dr. H. Lode**  
Greifswald Germany



**Dr. K. DeSantes**  
Madison USA



# Pilot Study: RT + IT-IC + anti-CTLA4 + Nivolumab for Advanced Melanoma (adult)

A UWCCC Clinical Trial (IND being prepared) with collaboration from  
Apeiron and BMS and NCI support

## Goals:

- A. First in human Phase-I testing of IT-IC with an IC that can bind to tumor and mediate ADCC
- B. First in human IT-IC of such an IC immunologically timed after local RT
- C. First in human testing of this in combination with anti-CTLA4 and or anti-PD1
- D. Toxicity/Tolerance/Anti-tumor effects
- E. Serial biopsies of the same lesions, to look for the changes seen in murine tumors

*Could become PCDT- PedCITN trial for GD2+ NBL,  
Osteogenic or Ewing's Sarcoma.*

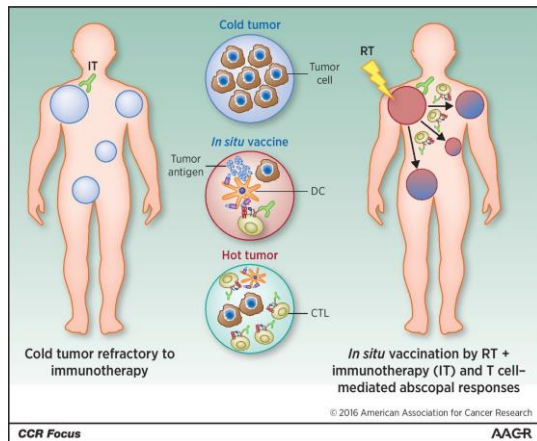
**This work with anti-GD2 mAb *should*  
*translate to other available tumor-*  
*specific mAbs and newer mAbs in*  
*development***



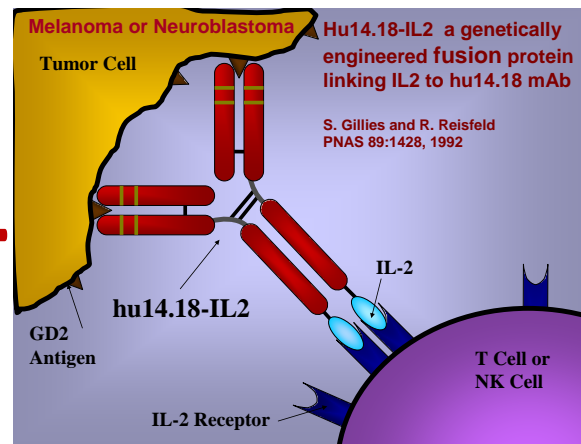
Mark Albertini MD



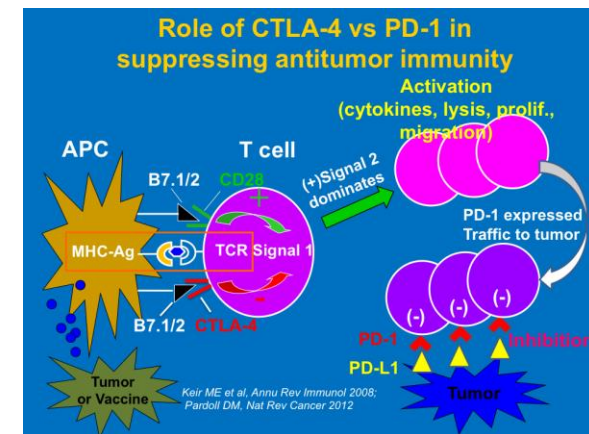
Zach Morris MD PhD



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Pardoll DM, Nat Rev Cancer 2012

**RT**

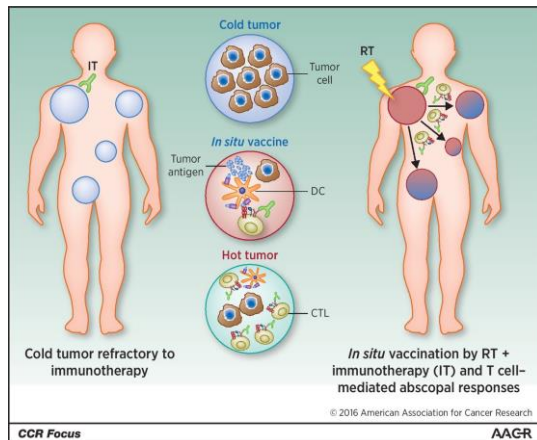
**IT-IC**

(tumor-reactive mAb  
+ cytokine)

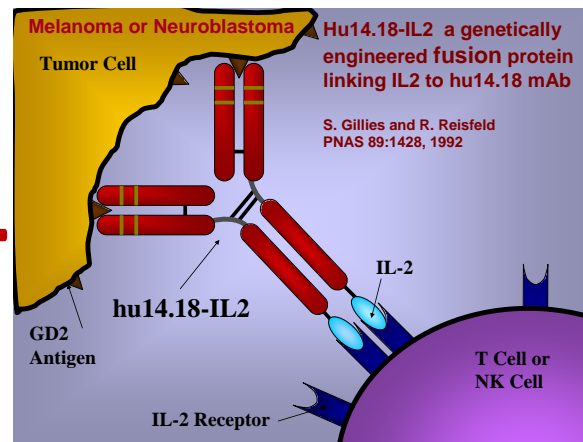
**Checkpoint  
Blockade**

## Potential mechanisms in this *in situ* vaccine effect

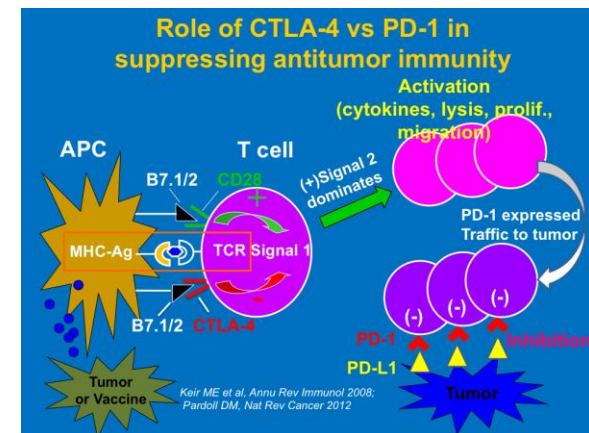
1. RT increases tumor immune susceptibility, accessibility, blocks immune suppression
2. IC induces ADCC, attracts immune cells, activates cytokine pathways
3. IC-coated tumor cells and cell membrane fragments are taken up by APCs
4. IL2 (from IC) in microenvironment enhances induction of adaptive T cell response
5. Checkpoint blockade expands adaptive response, blocks immune suppression (Tregs)



+



+



Pardoll DM, Nat Rev Cancer 2012

**RT**

**Can IC be replaced by tumor-reactive mAb+ IL2 if given IT (instead of IV)?**

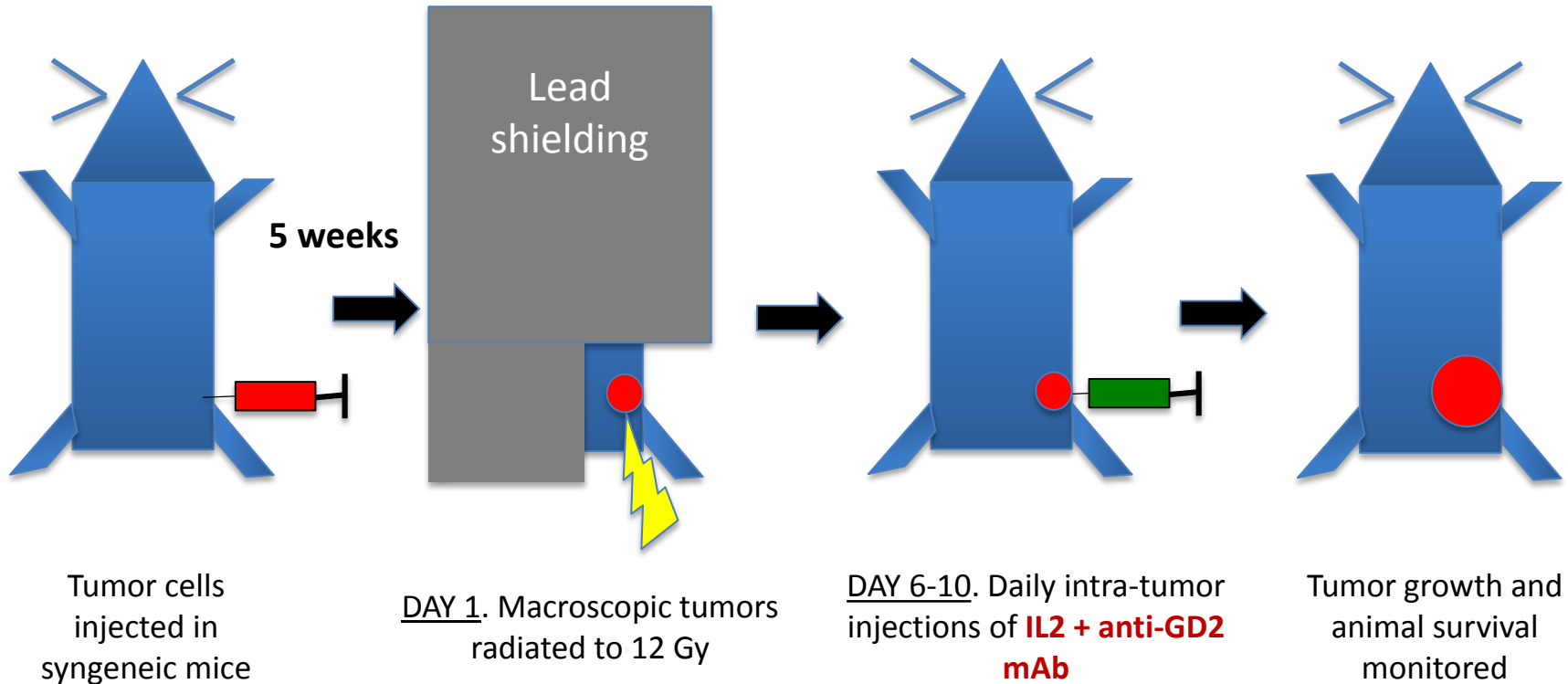
**Checkpoint Blockade**

## Potential mechanisms in this *in situ* vaccine effect

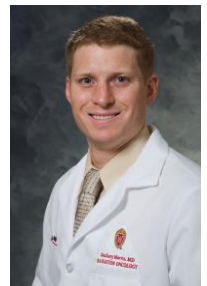
1. RT increases tumor immune susceptibility, accessibility, blocks immune suppression
2. IC induces ADCC, attracts immune cells, activates cytokine pathways
3. IC-coated tumor cells and cell membrane fragments are taken up by APCs
4. IL2 (from IC) in microenvironment enhances induction of adaptive T cell response
5. Checkpoint blockade expands adaptive response, blocks immune suppression (Tregs)

Can macroscopic disease (200mm<sup>3</sup>) be controlled by RT +

**IT mAb + IL2?**



**Z. Morris MD PhD**

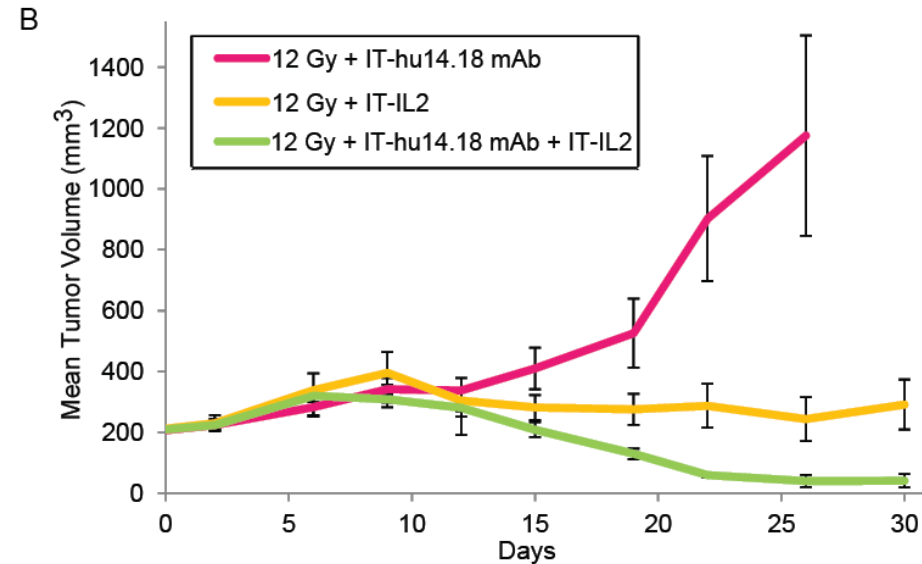
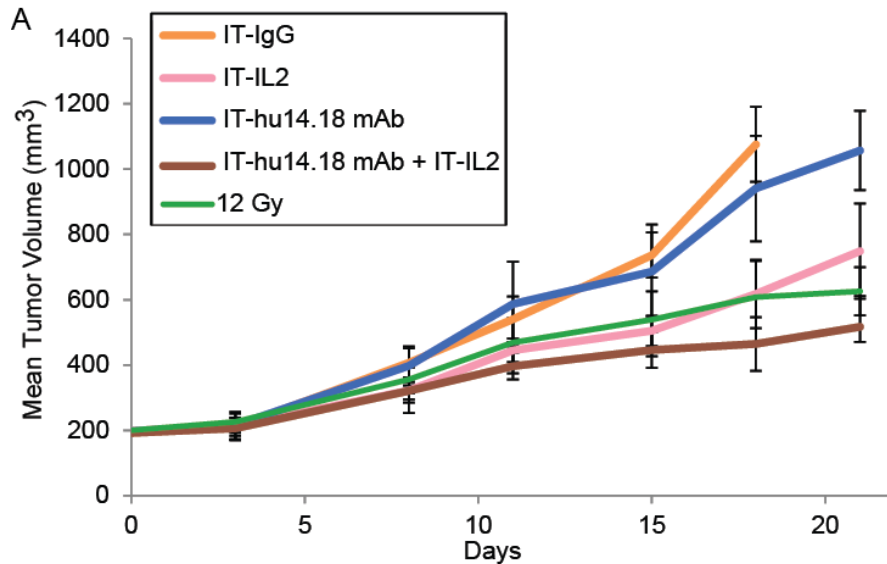


**Tumor cells**

**B78 melanoma – poorly immunogenic B16 melanoma that expresses GD2**

**Guy E. et al  
SITC Poster P327, 2017.**

# Can macroscopic disease (200mm<sup>3</sup>) be controlled by RT + IT mAb + IL2?

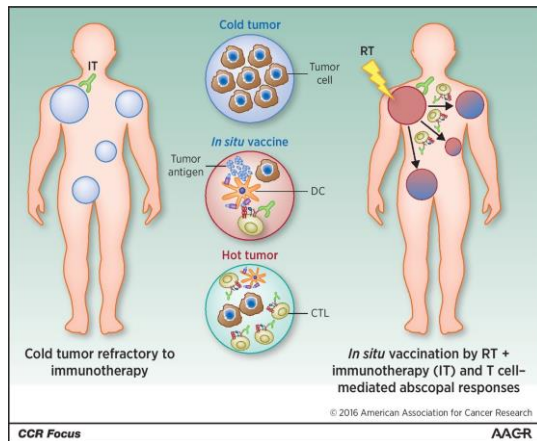


1. Some benefit from RT + IL2 (no mAb)
2. Greater benefit, with tumor elimination, from RT + mAb + IL2
  1. (consistent with prior data showing need for GD2 on the tumor)

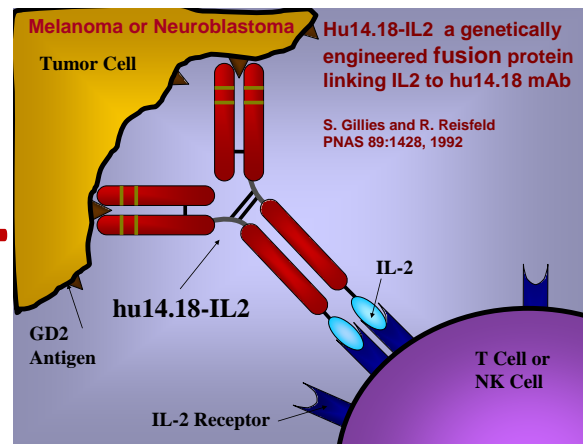
Guy E. et al. SITC Poster P327, 2017  
Erbe A. et al. SITC Poster P322, 2017

See related work comparing IT mAb with IT (IL2 vs. IL15)  
Rakhmievich A. et al. SITC Poster P286, 2017.

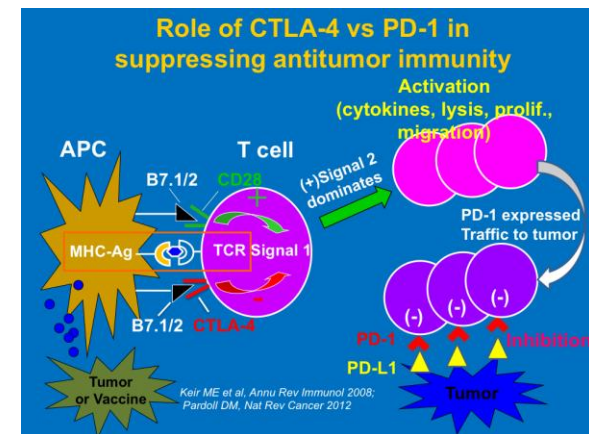




+



+



Pardoll DM, Nat Rev Cancer 2012

**RT**

**IC CAN be replaced by  
tumor-reactive mAb  
+ IL2 in  
*in situ vaccine*!**

**Checkpoint  
Blockade**

**Potential applications of this *in situ* vaccine effect**

**Should allow testing of RT + IT (mAb + IL2) + checkpoint blockade using a variety of tumor-reactive mAbs for many distinct tumor types**



# Collaborators in our Immunotherapy Research: 2017



University of Wisconsin  
Paul P. Carbone  
Comprehensive Cancer Center



**UWHealth**

American Family  
Children's Hospital



**WISCONSIN**  
UNIVERSITY OF WISCONSIN-MADISON

## Our UWCCC Lab Research Team



## UW Pediatric Heme-Oncology-BMT Team



### UWCCC (partial list)

- J Hank
- A Rakhmilevich
- A Erbe
- Z Morris
- KM Kim
- M Albertini
- E Ranheim
- M Patankar
- K DeSantes
- C Capitini
- M Otto
- J Weichert
- B Bednarz
- J Kuo
- R Yang
- P Harari
- K McDowell
- W Wang
- Z Perez-Horta
- A Hoefges
- M Merdler
- J Weiland
- J Goldberg
- P Carlson
- J Voeller
- A Pieper
- V Subbotin
- E Guy
- C Baniel
  
- Energetic Undergrads

### INBRACED Consortium

J. Gray, M. Gaze, H. Lode

### • C.O.G. (Pediatric Oncologists)

- S Shusterman
- A Yu
- J Maris
- J Park
- W London
- R Seeger
- C Mackall
- Many Others

### • SU2C- St. Baldrick's

- PCDT

### • St. Jude

- F Navid
- V Santana
- W Furman
- S Federico

### • Provenance

- S Gillies

### • BMS

- Alan Korman
- Mark Selby
- Clinical Trials

### • Apeiron

- H Loibner
- O Mutschlechner

### • Scripps

- R Reisfeld

### • Nektar

- D Charych

### • Invenra

- R Green

# Support for our Immunotherapy Research: 2017



University of Wisconsin  
Paul P. Carbone  
Comprehensive Cancer Center



WISCONSIN  
UNIVERSITY OF WISCONSIN-MADISON



Pediatric Cancer  
Dream Team

NATIONAL  
CANCER  
INSTITUTE



Hyundai Hope On Wheels®  
Helping Kids Fight Cancer

CHILDREN'S  
ONCOLOGY  
GROUP

Crawdaddy Founda



MACC FUND  
Hope for Kids



hhmi  
Howard Hughes  
Medical Institute







# University of Wisconsin's Childhood Cancer Reunion **KIDS WITH COURAGE V**

**PROOF THAT CANCER RESEARCH  
MAKES A DIFFERENCE!**



**Our Goal: Use Improved Therapy (like Immunotherapy) to help cure Cancer, with less toxicity for many more children (and adults)!**

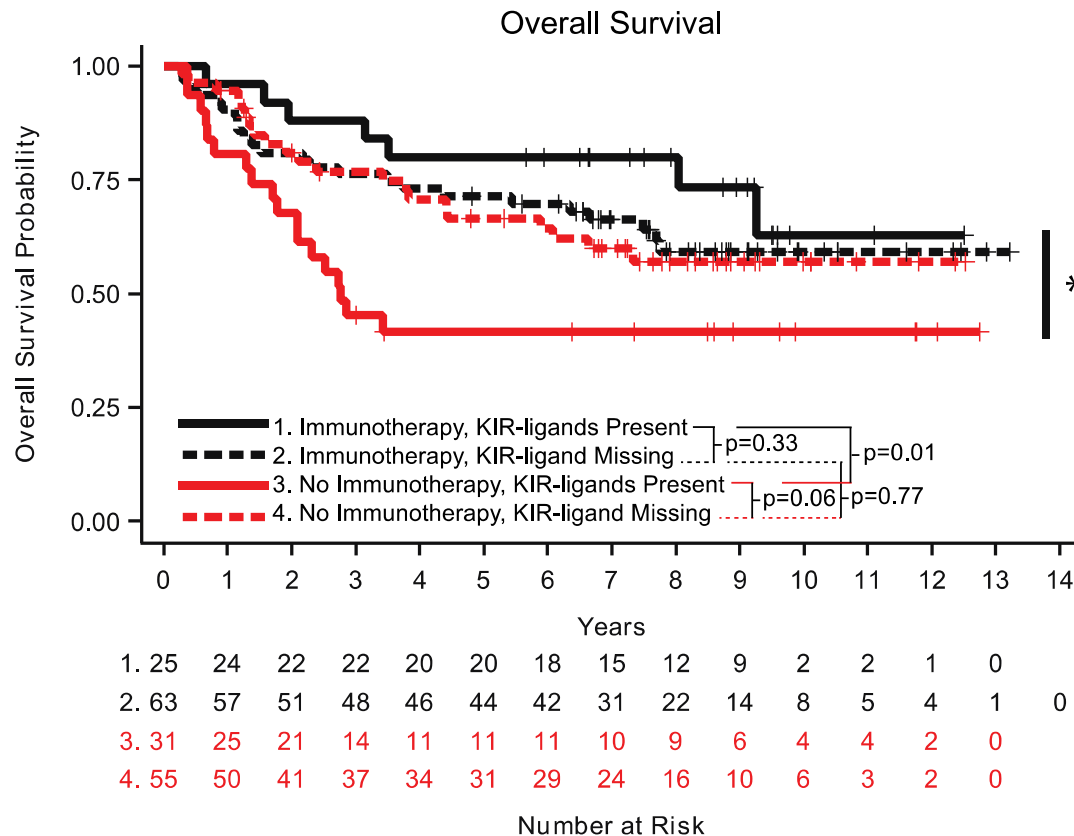
**UWHealth**  
American Family  
Children's Hospital



**Carbone Cancer Center**  
UNIVERSITY OF WISCONSIN  
SCHOOL OF MEDICINE AND PUBLIC HEALTH

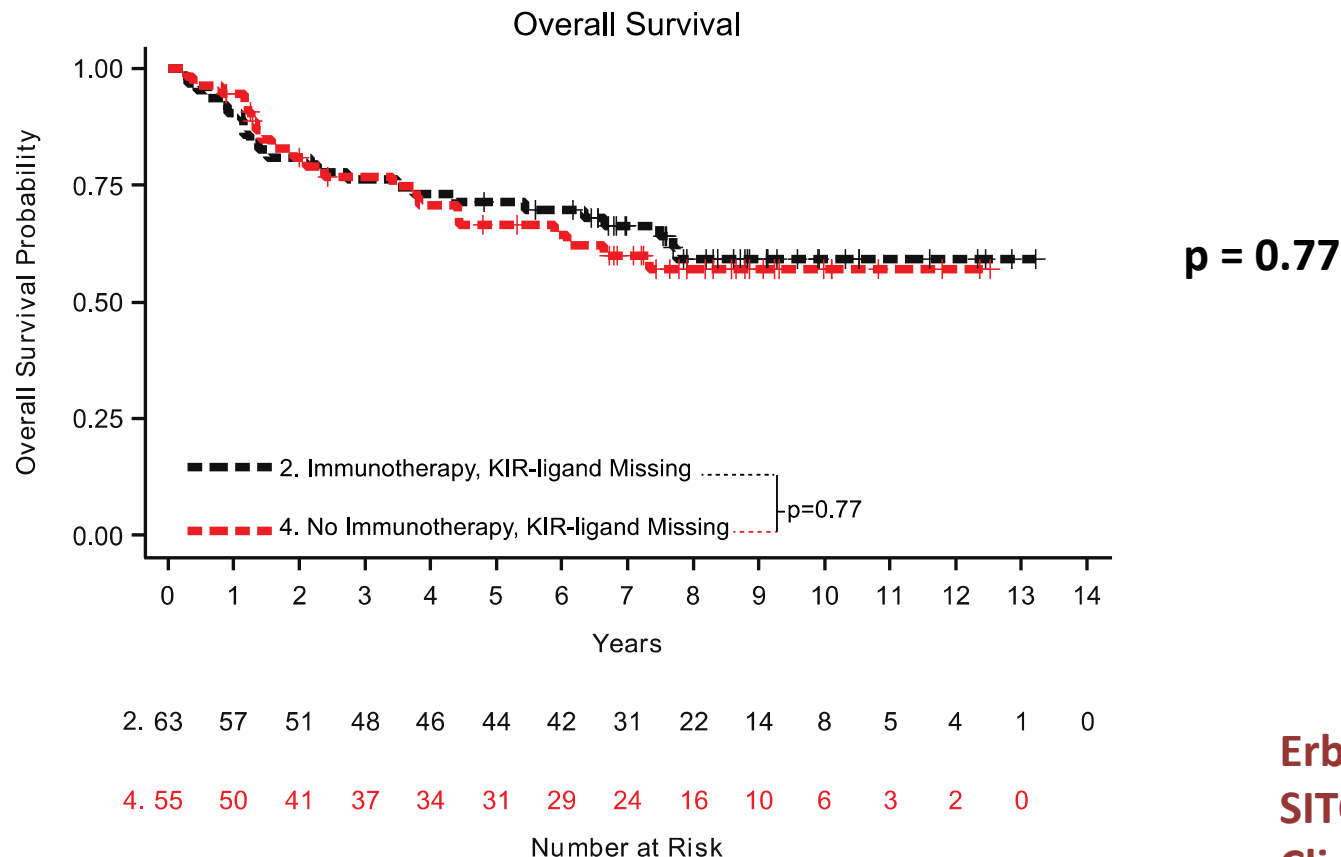


# Overall Survival for 174 pts: based on Immunotherapy vs. **No Immunotherapy** & KIR-Ligands Present (—) or missing(----).



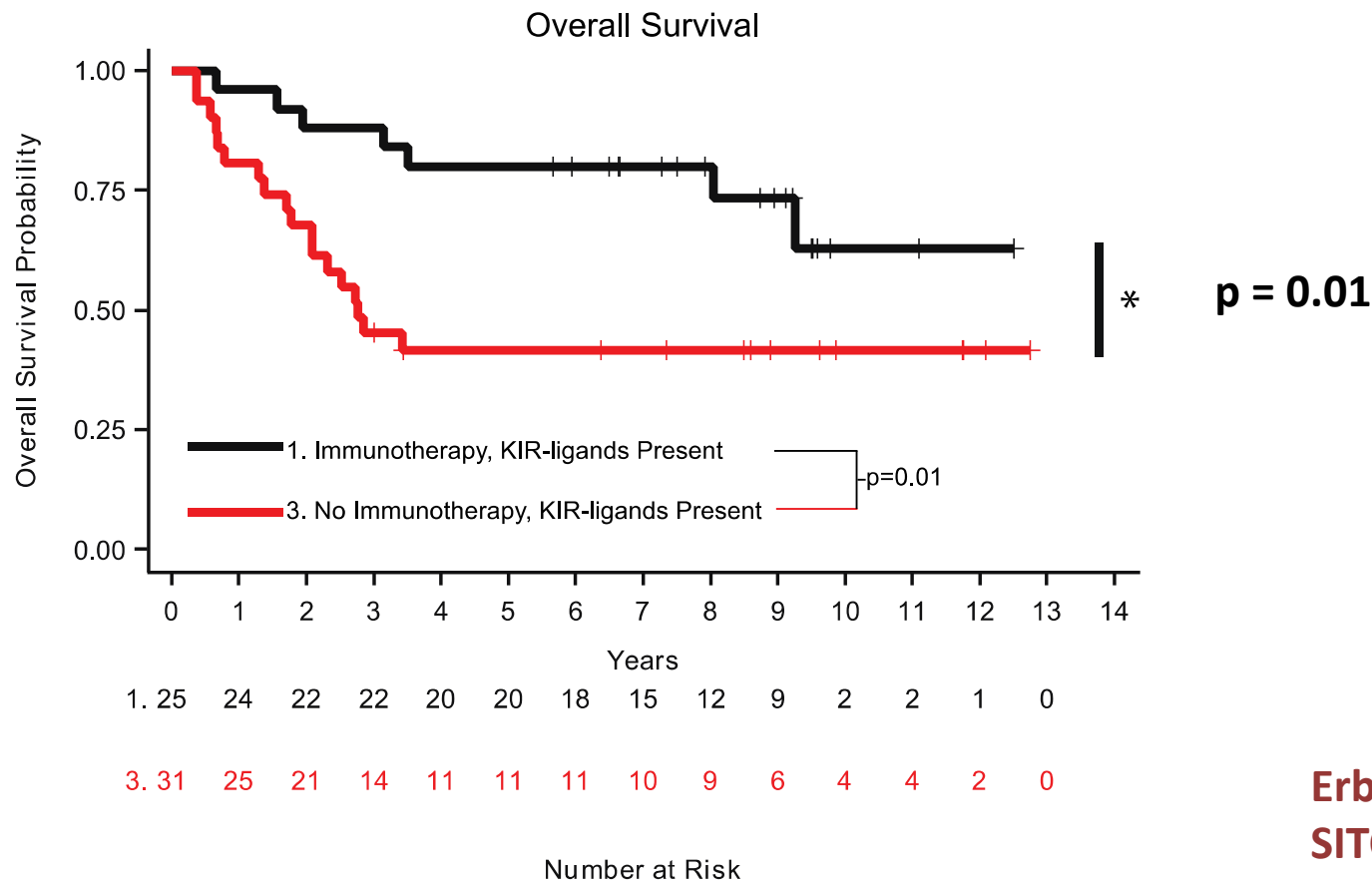
Erbe, Wang et al,  
 SITC Poster P26 2017;  
 Clin. Canc. Res. 2017

# Overall Survival for **118** of 174 pts with KIR-Ligand missing : **Immunotherapy** vs. **No Immunotherapy** (-----).



Erbe, Wang et al,  
SITC Poster P26 2017;  
Clin. Canc. Res. 2017

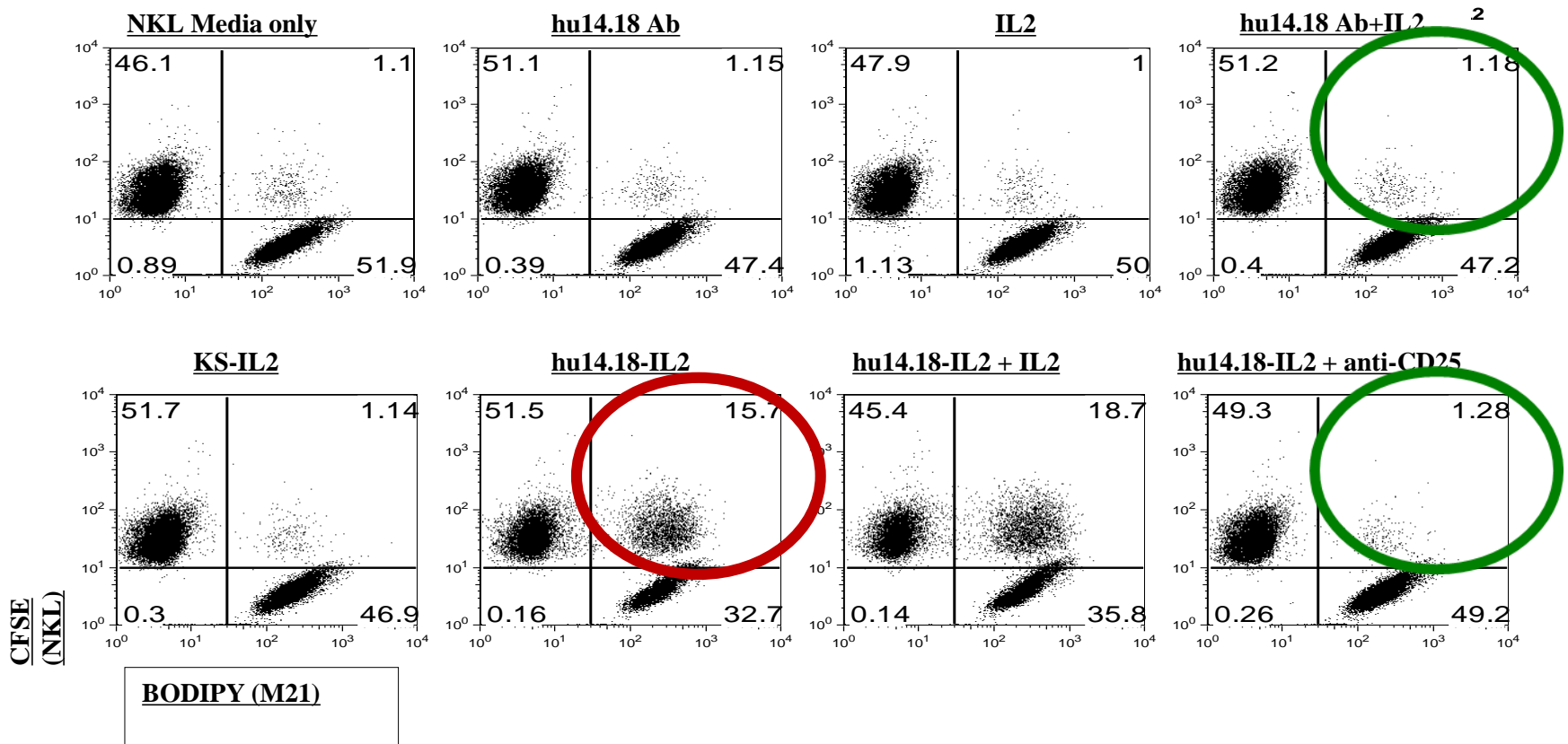
# Overall Survival for 56 of 174 pts with KIR-Ligands present : Immunotherapy vs. **No Immunotherapy** & (—).



Erbe, Wang et al,  
SITC Poster P26 2017;  
Clin. Canc. Res. 2017



# Flow cytometric detection of IC- facilitated conjugates between NKL cells (FcR-negative / IL2R-pos) and M21 (GD2-pos) requires IC and IL2Rs



Buhtoiarov IN, Neal ZC, Jan J, Buhtoiarova TN, Hank JA, Yamane B, Rakhmievich AL, Patankar MS, Gubbels JAA, Reisfeld RA, Gillies SD, Sondel PM. J. Leukocyte Bio. 2011

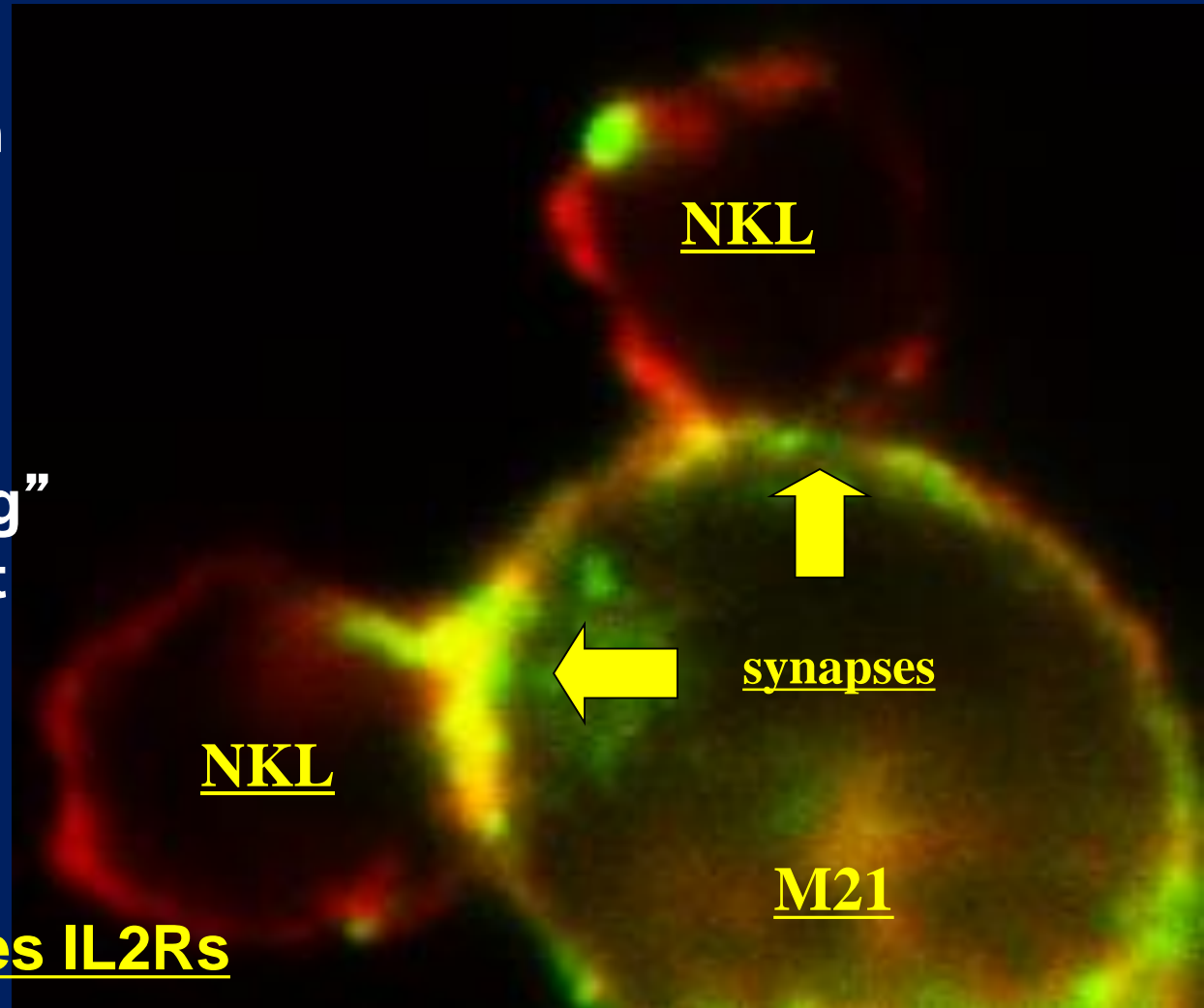
# Hu14.18-IL2 (FITC) localizes at immune synapse of NKL-M21 conjugates

Form conjugates with  
Hu14.18-IL2-FITC +  
NKL + M21, and  
stain with **actin**.

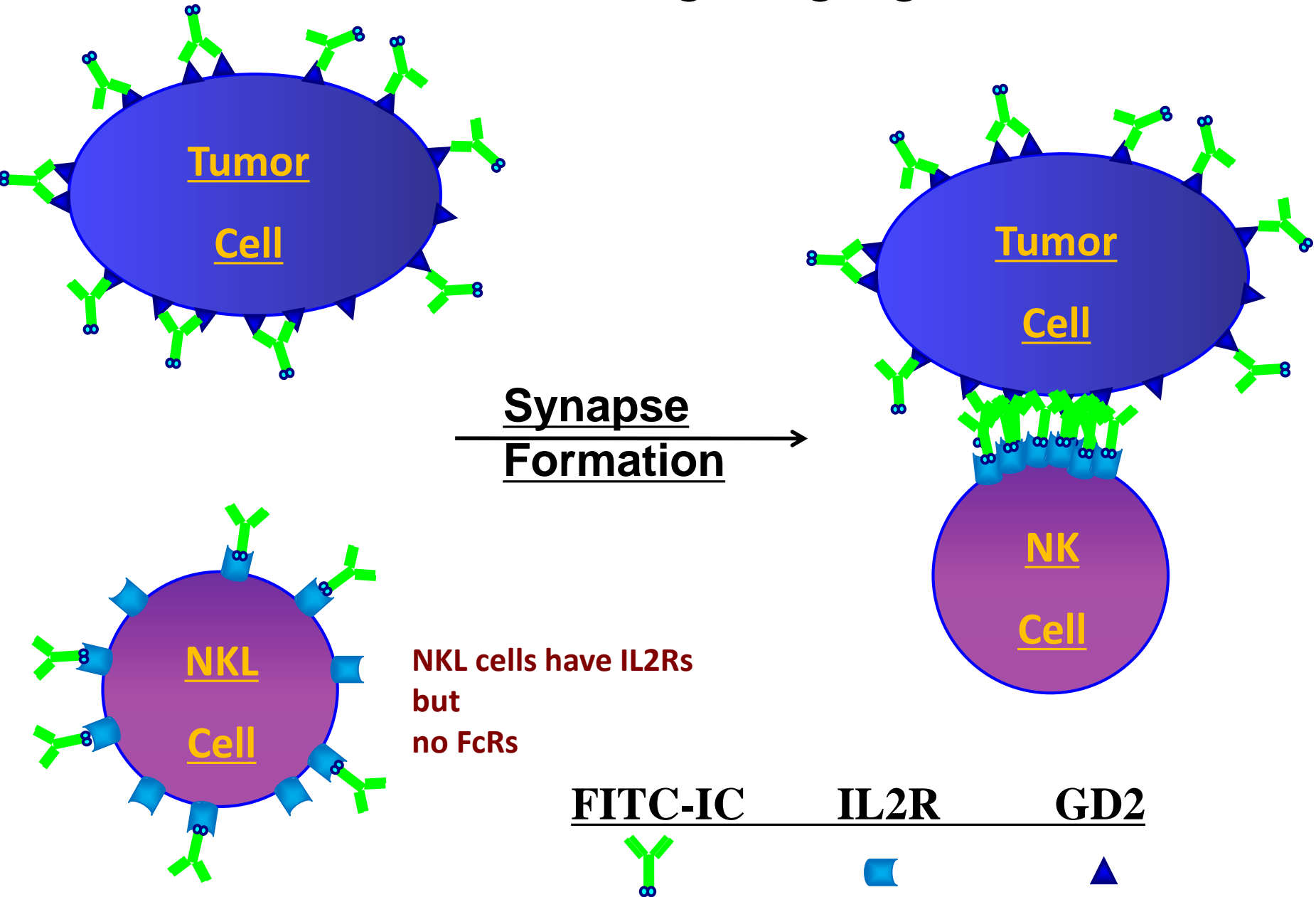
IC gives “ring staining”  
On M21 (via GD2), but  
localizes to synapse  
on NKL (CD25-pos.,  
CD16-neg.)

Cell-bound IL2 induces IL2Rs  
To cause activating synapses.

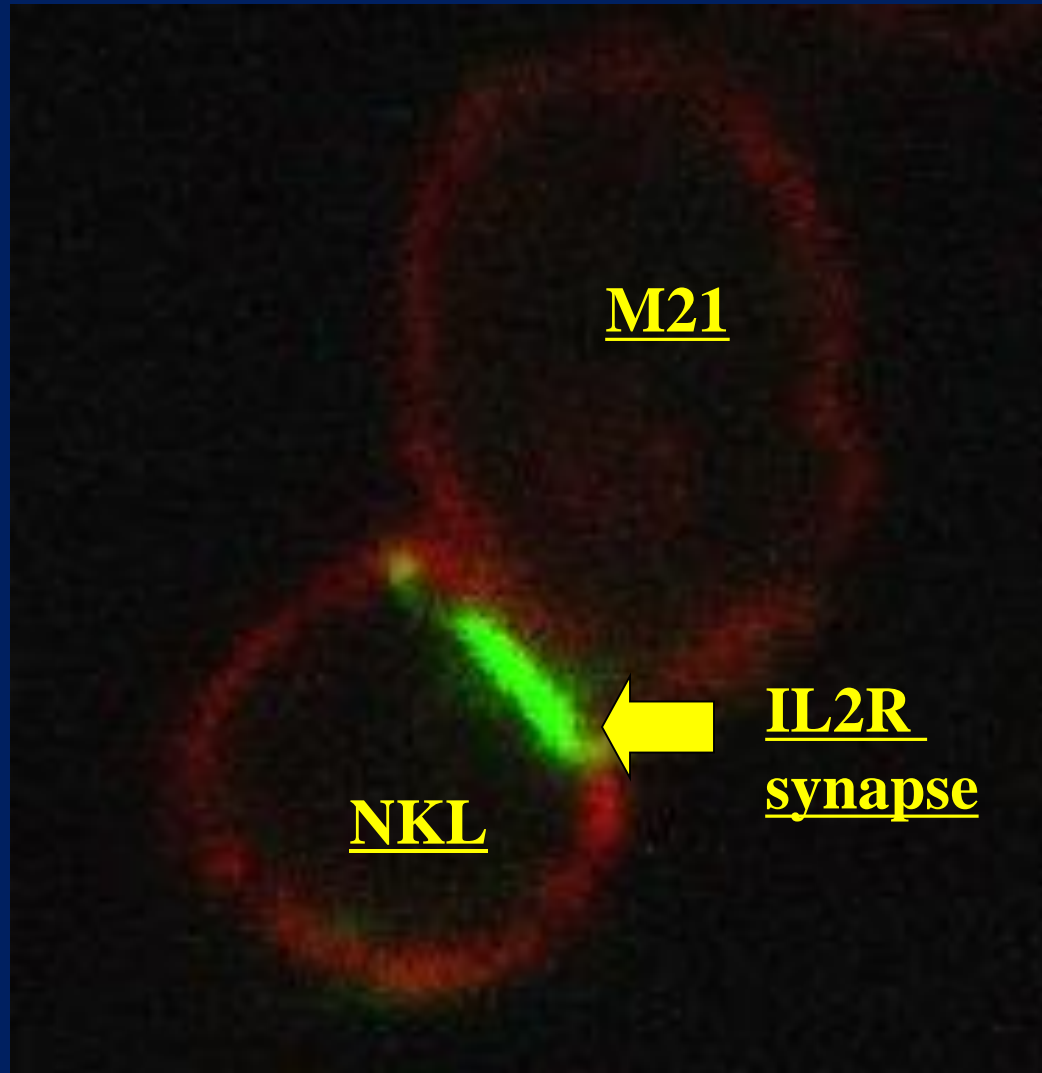
Gubbels et al: CII , 2011



# IC is a bifunctional targeting agent via IL2Rs



# All IL2Rs on NKs localize to immune synapse induced by hu14.18-IL2



Form conjugates with  
NKL + M21 + HU14.18-IL2,  
Then stain IL2Rs with  
anti-CD25 mAb.

Proves that all IL2Rs  
on NKL cells go to synapse

Suggests that hu14.18-IL2  
mediates:  
Conventional ADCC.  
and  
IL2R-facilitated ADCC

Gubbels, Buhtoiarov et al: CII, 2011

# hu14.18-IL2 (next-gen of FDA approved dinutuximab anti-GD2)

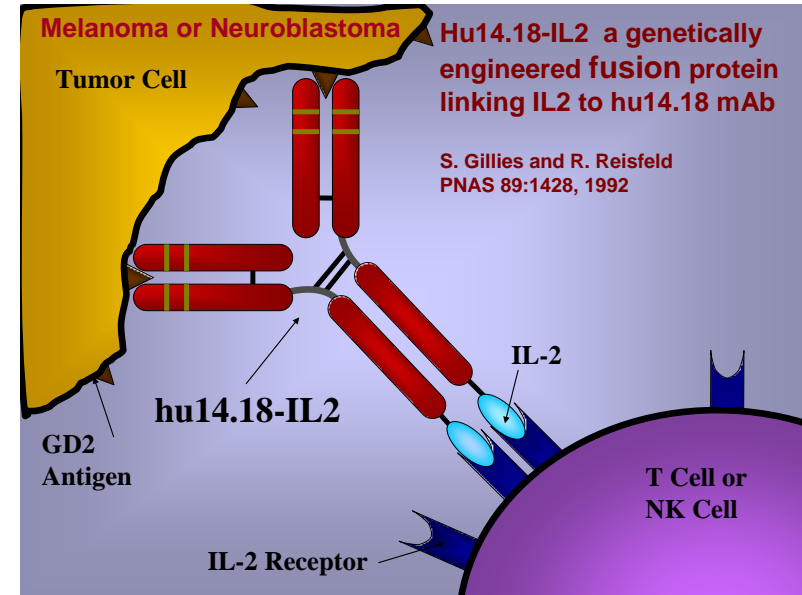
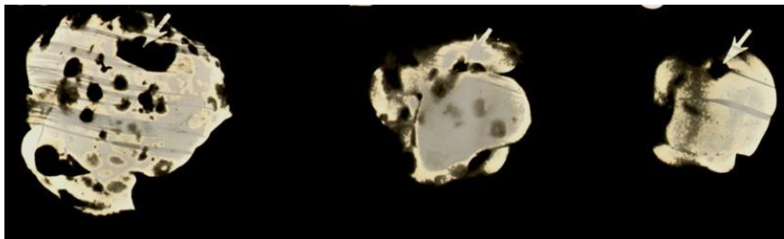
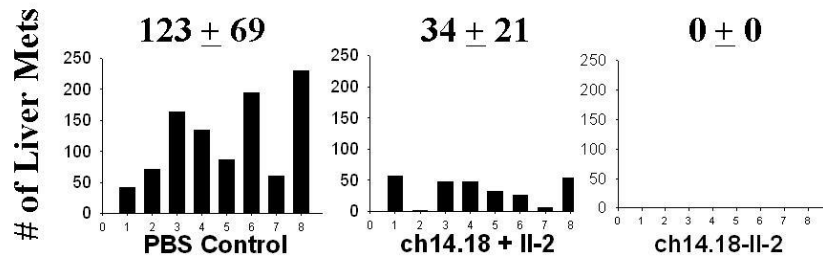
1. Anti-GD2/IL2 fusion protein 14.18-IL2
2. More effective than 14.18 + IL2
3. NK cells involved (ADCC)
4. Efficacy in minimal disease setting\*\*

(mouse)\*Neal ZC, et al Clin. Cancer Research 2004

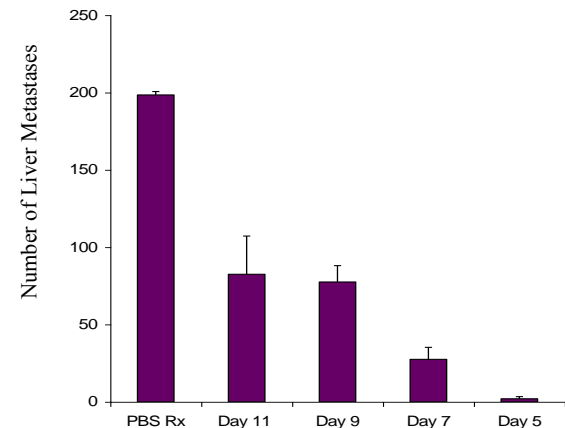
(human)\*Shusterman S. et al, J. Clin. Onc., 2010

## Efficacy of ch14.18-IL2 Immunocytokine against Murine Neuroblastoma Liver Metastases

Lode et al: *J. Natl. Cancer Inst.* 89:1586, 1997



## Effective anti-GD2 Immunotherapy: Dependence on Minimal Tumor Status



hu14.18-IL2 (10ug/d) for 5 days starting on day 5, 7, 9, or 11 following  $5 \times 10^5$  NXS2 cells injected on day 0, and harvested on day 28.

Neal ZC, et al Clin. Cancer Research 2004

# HOW DOES BMT CURE LEUKEMIA?

## The Graft vs. Leukemia (GVL) effect (immune mediated)

1. Relapse most likely after transplant

From twin or after  
Immune-cell elimination

2. Relapse least likely after transplant  
showing some immune reaction  
(GVHD)

Thus cure from leukemia by BMT  
involves immune mechanisms

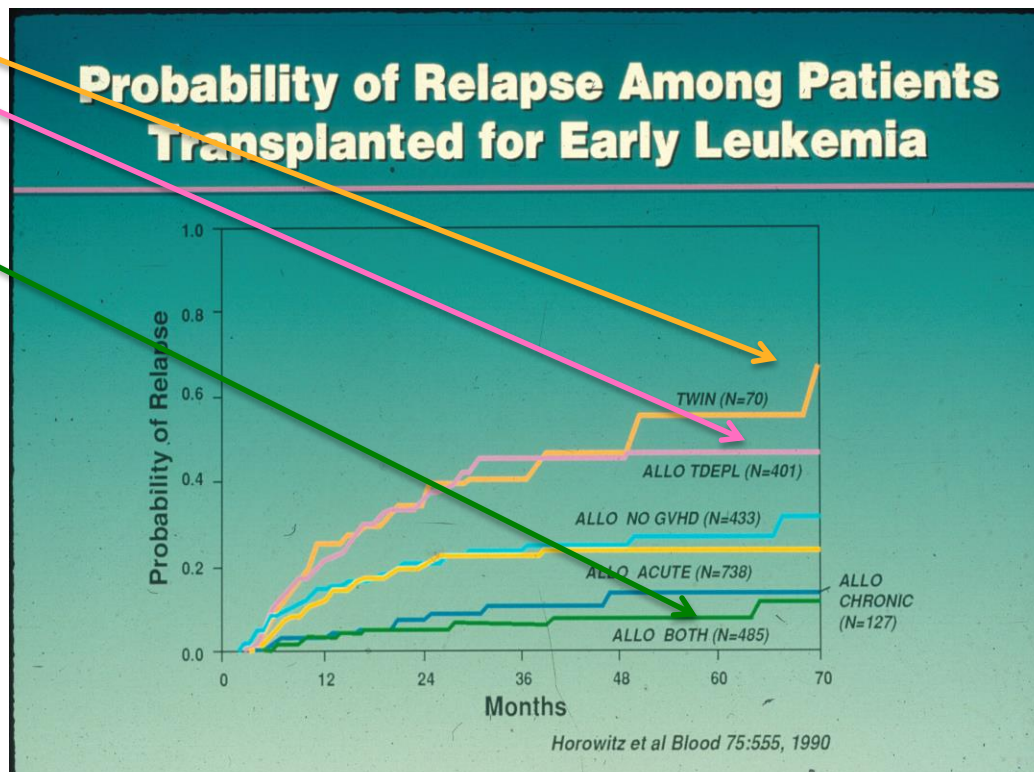
(immunotherapy):

How to separate the cells that cause  
“**graft vs. tumor**” from the cells that  
cause “**graft vs. host disease**”?

**2 paths forward:**

1. Use cells from a healthy donor, or
2. Use cells from the patient

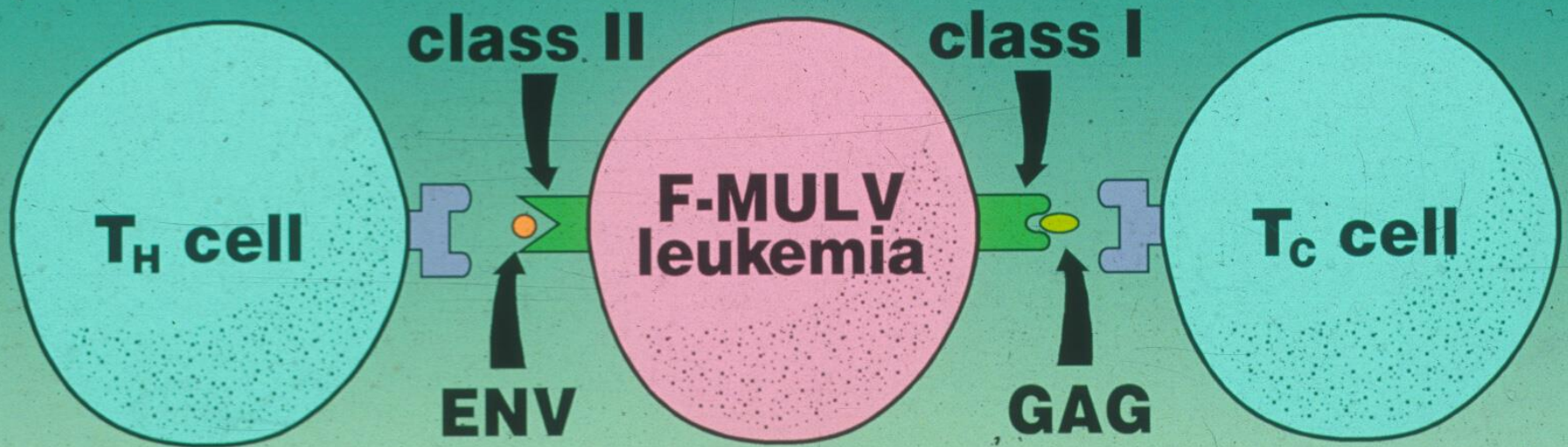
RESULTS FROM >2100 BMT PATIENTS: WHO RELAPSES?



**Horowitz, Gale, Sondel et al; Blood, 75:555, 1990:  
Demonstration of immunotherapeutic Graft vs. Leukemic Effect**



# Effective Immunotherapy of F-MULV Leukemia



*Klarnet et al. J Exp Med 169:457–467 1989*

**1970s-80s: Pioneering preclinical work on ADOPTIVE transfer of tumor-reactive T cells with ADAPTIVE tumor recognition**

**Fefer, Greenberg, Cheever and colleagues; Rosenberg and colleagues; several others**