PARKER INSTITUTE for CANCER IMMUNOTHERAPY

Can cancer vaccines really work? Vaccination Strategies and Identification of Neoantigens

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Common Cancer Drivers

Cell Growth Genes: cell division

Angiogenesis-related Genes: obtain nutrients from blood

<u>Metastasis-related Genes:</u> escape tissue of origin and continue growth

Immune Suppression: remain invisible to immune system surveillance

Tumor Associated Antigens What is Different about the Tumor?

How to identify a tumor antigen:

Use TIL (tumor infiltrating lymphocytes) which can "recognize" the tumor to screen a cDNA library:

- 1.Which cDNA transfected into an unrelated (but HLA-matched) cell line confers TIL recognition?
- 2. Identify gene encoded by plasmid in cDNA library

The Classics: Commonly Targeted Shared Tumor Antigens

- 1) MAGE-1, -2 and -3, BAGE and RAGE, which are non-mutated "cancer-testes" antigens expressed in a variety of tumor cells
- 2) lineage specific tumor antigens, like the melanocyte/melanoma lineage antigens MART-1/Melan-A (MART-1), *gp100*, *gp75*, *mda-7*, tyrosinase and tyrosinase-related-protein (TRP-1 and -2), or the prostate antigens PSMA and PSA
- 3) proteins derived from genes mutated in tumor cells compared to normal cells, like mutated *ras*, *bcr/abl* rearrangement or mutated *p53*
- 4) proteins derived from oncoviruses, like Human Papilloma Virus (HPV) proteins E6 and E7, HBV, HCV, MCPV
- 5) non-mutated proteins with a tumor-selective, increased expression, including CEA, PSA, Her2/neu and alpha-fetoprotein (AFP), and differentially glycosylated MUC-1

Tumor Antigens onco-fetal antigens, over-expressed proteins





Figure 15-24 Immunobiology, 7ed. (© Garland Science 2008)





Recent US immunotherapy approvals by type

TABLE of CONTENTS [Generic Drug Name (trade name): Manufacturer]			
Checkpoint Inhibitors: anti PD-1 type (monoclonal antibodies)			
Nivolumab (Opdivo): Bristol-Myers Squibb			
Pembrolizumab (Keytruda): Merck			
Checkpoint Inhibitors: anti PD-L1 type (monoclonal antibodies)			
Atezolizumab (Tecentrig): Genentech			
Avelumab (Bavencio): EMD Serono			
Durvalumab (Imfinzi): Astrazeneza			
Checkpoint Inhibitors: anti CTLA-4 type (monoclonal antibodies)			
Ipilimumab (Yervoy): Bristol-Myers Squibb			
Monoclonal antibody targeting CD20			
Obinutuzumab (Gazyva): Genentech			
Chimeric Antigen Receptor T-cells "CAR-Ts":			
Axicabtagene (Yescarta): Kite Pharma			
Tisagenlecleucel (Kymriah): Novartis			
Oncolytic Virus:			
Talimogene laherparepvec "T-VEC" (Imlygic): Amgen			
Recombinant Antigen Vaccine:			
Sipuleucel T (Provenge): Dendreon			
COMBINATION THERAPIES:			
<u>Ipilimumab + Nivolumab</u>			

US Immunotherapy Approvals by tumor



MSI-high tumors of any histology

Tumor Antigens "private" or patient-specific



Mutation: processed and presented? In which MHC? How to identify for each patient?

Three Phases of the Cancer Immuno-editing



Did we already get rid of the "easy" tumor cell targets?

Gavin P. Dunn , Lloyd J. Old , Robert D. Schreiber

The Immunobiology of Cancer Immunosurveillance and Immunoediting Immunity, Volume 21, Issue 2, 2004, 137 - 148



<u>**T** Cell Exhaustion</u>. Naïve cells express mainly BTLA and low levels of TIM3. Effector cells express a wider variety of **inhibitory receptors**. The levels of certain inhibitory receptors such as PD1, CTLA-4, LAG3, and TIM3 may peak at the effector phase. Thereafter, expression differs in chronically stimulated cells ("exhausted cells") where inhibitory receptors are relatively maintained, as opposed to memory cells after clearance of an acute infection where inhibitory receptors are down-modulated. Front. Immunol., 26 June 2015 Fuertes, Speiser

Cell Therapies for Cancer: Vaccines

Antigen Presenting Cells:

Allogeneic tumor cells (+/- cytokines like GM-CSF) Autologous tumor cells (+/- cytokines like GM-CSF) Transfected cell lines (MRC-5 + tumor DNA/RNA) Activated B cells Dendritic Cells



Components of a cancer vaccine





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Dendritic Cells at the center of the immunological universe:

- 1. Sampling their environment
- 2. Sensing pathogens
- 3. Trafficking from the periphery to lymph nodes
- 4. Presenting antigen and shaping the adaptive immune response
- 5. Inhibiting unwanted responses (tolerance) and activating needed responses
- 6. Many different types of DC



DC Vaccines



200 DC trials since 1996
5 current phase III trials recruiting
5 current phase II trials of DC + anti-PD-1

Dendreon Sipuleucel T: >\$80,000/patient; Pittsburgh: \$6,500/pt.

Historically, 5-10% CR+PR in late stage patients in some trials, 0% in other trials.

Recent DC vaccine studies (combinations, author conclusions):

1. Kongstad, Svane: Cytotherapy 2017: DC + chemo in 43 prostate cancer pt. (safe and immunogenic)

2. Schreibelt, De Vries: **CaRes 2016**: 14 stg. IV melanoma pt., CD1c+ isolated blood DC, 16 hour culture, + gp100 and tyrosinase. *4/14 pt. PFS 12-35 mo*.

3. Wilgenhof, Neyns: **JCO 2016**: 39 "adv. Melanoma" pt., mRNA: gp100, tyrosinase, MAGE-A3, MAGE-C2/DC + ipi. "*Encouraging*" ORR, 8 CR+7 PR/39.

4. Greene, Peoples: CII 2016: DC/tumor fusions + low dose IL-2 in 25 melanoma pt. Benefit for some?

5. Carreno, Linette: Science 2015: 3 stg. III melanoma pt., DC+ neoAg peptides, *some* + *immune responses* (*proof of principle*).

6. Chodon, Ribas: **CCR 2014**: DC + MART-1 ACT, 14 melanoma pt., *objective responses, needs improvement for durability* 7. Ribas, Gomez-Navarro: **CCR 2009**: DC + anti-CTLA-4, 16 melanoma pt., *combo not better.*

Why DC Vaccines?

- Originally considered a stand-alone therapeutic approach to promote regression of tumors.
- After being proven "safe and immunogenic" over years, testing in earlier stage patients and in the prevention setting in high risk patients is being pursued.
- With the success of checkpoint blockade and data supporting the need for a preexisting immune response in the tumor for checkpoint response, *vaccines may be critical to promote antitumor immunity in those who lack it spontaneously.*







Pep.Phase I: 10⁵, 10⁶, 10⁷ DC/injection i.v. vs. i.d. at each dose (18 pt.) Pep. Phase II: 10⁷ DC/injection, i.d. (10 pt.) AdV Phase I/II: 10⁷ DC/injection, i.d. (23 pt.)

PI: J.S. Economou

Patient E1 (10⁷ DC, i.d.) post: 6 surgeries, 32 doses radiation, 6 infusions IFN α . >10 yrs NED



(largely CD8+, also CD4+)

Summary of Completed MART-1-based Clinical Trials

Phase I MART-1₂₇₋₃₅ pep/DC:

10⁵, 10⁶, 10⁷ DC/injection; routes: i.v. vs. i.d. (18 pt., stg. III-IV) 13/16 immune responses by MHC tetramer; and 13/15 by IFNg ELISPOT 10 pt. w/disease: 2 SD (4, 12 mo.), 1 CR (*w/determinant spreading**) 8 pt. NED: 5/8 remained NED (18+ to 27+ mo.)

Phase II MART-1₂₇₋₃₅ pep/DC:

10⁷ DC/injection, i.d. (10 pt., stg. II-IV)
9/10 MART-1 immune responses by MHC tetramer and/or IFNg ELISPOT
5 pt. w/disease: 1 MR, 1 SD (6 mo.), 1 CR (*w/determinant spreading**, + ipi).
4/5 NED remained NED (20+ to 27+ mo.)

AdVMART1/DC:

3/02-3/04 (23 enrolled); 14 received all 3 vaccines (all metastatic) 12/13 MART-1 immune responses by IFNg ELISPOT; 9/14 MHC Tetramer+ 1 "unevaluable" (54+ mo., *w/determinant spreading**), 4 SD (27, 33, 36*, 42 mo.), 1 became resectable/NED (56+ mo.)*

Determinant/Epitope/Antigen Spreading



Ranieri '00; Disis '02; Butterfield '03; Ribas '04; Wierecky '06, Butterfield '08



Z. Hu, P. Ott, C. Wu Nat Rev Immunol 2018

Nature Reviews | Immunology



T cell subset ELISPOT analysis Determinant spreading antigens

Day 43 post vaccines:



Vaccination promotes a diverse neoantigenspecific T cell repertoire. Summary of TCR β clonotypes identified, using neoantigen-specific TCR β CDR3 reference libraries in CD8+ T cell populations isolated from PBMC obtained before and after vaccination.



Science. 2015 May 15 Cancer immunotherapy. A dendritic cell vaccine increases the breadth and diversity of melanoma neoantigen-specific T cells. Carreno BM, Magrini V, Becker-Hapak M, Kaabinejadian S, Hundal J, Petti AA, Ly A, Lie WR, Hildebrand WH, Mardis ER, Linette GP

The antigen matters: Alpha Fetoprotein (AFP)

- 1. 1.8 kb cDNA, 15 exons/14 introns over 22 kb of genomic DNA, chromosome 4, 18aa leader sequence for secretion.
- 2. Transcriptionally regulated, cell-type specific promoter and enhancer, silencers utilized after birth.
- 3. 609 aa glycoprotein (591aa mature size), synthesized in fetal liver and yolk sac, major serum protein before birth.
- 4. Possible roles in serum component transport (esp. fatty acids), binds hormones including estrogen, possible breast cancer prevention role, binds TNFα, possible immunoregulatory role.
- 5. Serum levels in fetus: maximum at 10-13 weeks (3 mg/ml), decreases to 30-100 ug/ml at birth, adult levels 1-3 ng/ml.
- 6. 50% to 80% HCC express AFP (serum AFP up to 1 mg/ml).
- 7. 14 HLA-A2.1-restricted peptides were characterized (4 immuno-dominant, 10 sub-dominant) and the 4 immunodominant were found to be immunogenic *in vivo*, in HCC pt. with high serum AFP.

(Cancer Res. '99, Molec. Immunol. '00, J. Immunol. '01, Clin. Cancer Res. '03)

AFP Based Immunotherapy Clinical Trials for HCC



Summary of Completed AFP-based Clinical Trials

AFP peptides/Montanide:

6 patients, Stage IVa, IVb,
Four AFP peptides in Montanide ISA adjuvant
100 ug, 500 ug each peptide, 3 intradermal injections (skin toxicity only)
6/6 immune responses by MHC tetramer and/or IFNγ ELISPOT
No objective clinical responses or AFP decreases, OS = 2-17 months

AFP peptides/DC:

10 patients, stage III-IVb Four AFP peptides pulsed onto autologous GM-CSF/IL-4 DC 3 injections, intradermal, no toxicities 8/10 immune responses by MHC tetramer and/or IFN γ ELISPOT No objective clinical responses, 2 serum AFP decreases, OS = 2-35 months

AFP DNA prime/AFPAdV boost:

2 patients, stage II
AFP + GM-CSF plasmids x 3, then AdVhAFP x 1; monthly i.m.
Pt. #1 Minimal AFP-specific T cell immunity and low anti-AdV neutralizing antibodies. 9 mo. AFP positive recurrence.
Pt. #2 Strong AFP-specific T cell immunity and + anti-AdV neutralizing antibodies. 18 mo. AFP-negative suspected recurrence.

Patient Autologous DC Vaccine Cells



Example from an immunotherapy vaccine study. Some patients were able to expand large numbers of DC bearing cell surface markers CD40, CD83, CD86 and CCR7, but not all. **These 2 patients did not receive the same vaccine.**

Important data in dot plots and histograms often not presented in published papers Butterfield, CCR 2006

Human monocytes cultured with or without normal AFP or tumor-derived AFP during DC culture:



AFP alters DC phenotype to an immature phenotype that cannot be reversed by maturation, AFP inhibits DC metabolic function and T cell stimulatory capability (Pardee 2014, Santos 2019)

Other effective platforms: Synthetic and Viral Vaccines

- 1. TVEC (Amgen) ***FDA approved 2015**
 - Oncolytic virus: HSV-1 + GM-CSF transgene
 - Metastatic melanoma, 26% response rate (vs. 6% in control arm)
- 2. ISA101 (Immune System Activation)
 - HPV16 Synthetic long peptide (SLP, 24-32mer) in Montanide
 - Cervical cancer
 - Appears to synergize with cisplatin chemotherapy
- 3. STINGVAX (Aduro)
 - Cyclic dinucleotides (CDN) are recognized by Stimulator of Interferon Genes (STING): TLR-like mechanism
 - STINGVAX = CDN with a GM-CSF secreting tumor cell vaccine
- 4. Prostvac
 - Vaccinia (prime) and fowlpox (boost) viruses encoding PSA and three costimulatory molecules
 - Overall survival in advanced prostate cancer increased by 9 months

Presented at SITC annual meeting 2013

T-VEC:

Talimogene laherparepvec key genetic modifications: JS1/ICP34.5-/ICP47-/HGM-CSF



Genetic modifications of talimogene laherparepvec. The viral gene ICP34.5 was deleted and replaced with a human granulocyte-macrophage colony-stimulating factor (hGM-CSF) expression cassette comprising the cytomegalovirus (CMV) promoter, hGM-CSF, and a bovine growth hormone polyadenylation (pA) signal. Expression of the viral gene US11 is driven by the ICP47 promoter



Talimogene laherparepvec proposed mechanism of action. *CMV* cytomegalovirus, *GM-CSF* granulocytemacrophage colony-stimulating factor, *hGM-CSF* human GM-CSF, *pA* poly-adenosine, *TDA* tumor-derived antigen

Cancer Immunol Immunother. 2017; 66(10): 1249–1264.



Figure 1: Mechanisms of action of oncolytic viruses. DAF – Decay Accelerating Factor, GM-CSF – Granulocyte Macrophage-Colony Stimulating Factor, HSV – Herpes Simplex Virus, hTERT – Human Telomerase, ICAM-1 – Intercellular Adhesion Molecule-1, ICP – Infectious Cell Protein, $INF-\beta$ – Interferon beta, NDV – Newcastle Disease Virus, VSV – Vesicular Stomatitis Virus.

The prevalence of somatic mutations across human cancer types.



LB Alexandrov et al. Nature, (2013)

nature

Malignant transformation of cells depends on accumulation of DNA damage.

The immune system frequently responds to the neoantigens that arise as a consequence of this DNA damage.

Recognition of neoantigens appears an important driver of the clinical activity of both T cell checkpoint blockade and adoptive T cell therapy as cancer immunotherapies.

Neoantigens can be targeted by therapeutic vaccines

Published in final edi <i>Science</i> . 2015 May	ited form as: 15; 348(6236): 803–808. doi:10.1126/science.aaa3828.	
A dendritic c melanoma ne	ell vaccine increases the breadth and eoantigen-specific T cells	diversity of
Beatriz M. Carrend	o ^{1,*} , Vincent Magrini ² , Michelle Becker-Hapak ¹ , Sagh	nar Kaabinejadian ³ ,
Jasreet Hundal [,] R. Mardis ² , and	LETTER	doi:10.1038/nature23003
Personalized RNA mutanome vaccines mobilize poly-specific therapeutic immunity against cancer Ugur Sahin ^{1,2,3} , Evolute Derboursesciael Matthiae Milled Biten, Division Klobel, Detre Simon Martin Läuser? Valesce Bularel?		
A A Is G A S S C C	Arbel D. Tadmor' Anna Paruzynski Isabel Vogler', Eve Goran Martic ² , Al Alexandra-Kemn Stefanie Bolte ¹ , M Christoph Höller ⁵ NATURE VOL 5 An immunogenic personal neoantigen vaccine for	
patients with melanoma		
	Patrick A. Ott ^{1,2,3*} , Zhuting Hu ^{1*} , Derin B. Keskin ^{1,3,4} , Sac Adrienne Luoma ⁵ , Anita Giobbie–Hurder ⁶ , Lauren Peter ^{7,4} Shuqiang Li ⁴ , David J. Lieb ⁴ , Thomas Eisenhaure ⁴ , Evisa G Kaliappanadar Nellaiappan ¹¹ , Andres M. Salazar ¹² , Heathe Charles H. Yoon ^{3,13} , Maegan Harden ⁴ , Niall Lennon ⁴ , Stac Gad Getz ^{3,4,14} , Kai Wucherpfennig ^{3,5} , Donna Neuberg ⁶ , Jen & Catherine J. Wu ^{1,2,3,4}	het A. Shukla ^{1,4} , Jing Sun ¹ , David J. Bozym ¹ , Wandi Zhang ¹ , ⁵ , Christina Chen ¹ , Oriol Olive ¹ , Todd A. Carter ⁴ , ^j ini ⁹ , Jonathan Stevens ¹⁰ , William J. Lane ¹⁰ , Indu Javeri ¹¹ , r Daley ¹ , Michael Seaman ⁷ , Elizabeth I. Buchbinder ^{1,2,3} , ^g Gabrie ⁴ , Scott J. Rodig ^{9,10} , Dan H. Barouch ^{3,7,8} , Jon C. Aster ^{3,10} , rome Ritz ^{1,2,3} , Eric S. Lander ^{3,4} , Edward F. Fritsch ^{1,4} †, Nir Hacohen ^{3,4,15} 13 JULY 2017 VOL 547 NATURE

•Neoantigens have emerged as targets of effective tumour-directed T cell responses. Increased neoantigen load is associated with improved patient outcomes.

•Three clinical trials of neoantigen-based vaccines in patients with melanoma, using dendritic cells loaded with short peptides, long peptides or RNA, have shown the safety, feasibility and robust immunogenicity of this approach.

•A crucial aspect of a vaccine targeting neoantigens is the selection of epitopes that can be presented *in vivo* by tumour or antigen-presenting cells. HLA-binding prediction, high-resolution mass spectrometry and understanding of antigen processing are important research areas for further discovery.

•Optimal neoantigen delivery — use of the most effective formulations, immune adjuvants, delivery vehicles and dosing — in combination with complementary therapies will be crucial for maximum therapeutic effectiveness.

Towards personalized, tumour-specific, therapeutic vaccines for cancer, Z. Hu, P. Ott, C. Wu Nat Rev Immunol 2018



Z. Hu, P. Ott, C. Wu Nat Rev Immunol 2018







Computational identification of neoantigens is a multistep-process



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There is a need for better prediction models



- Only a fraction of identified mutations are expressed and translated
- Only a fraction of the expressed mutated peptides is presented on the HLA
- Only a fraction of these neoepitopes are immunogenic and recognized by autologous T cells
- No one knows what makes a peptide immunogenic



Generation of a personal, multipeptide neoantigen vaccine for patients with high-risk melanoma

A. Somatic mutations were identified by WES of melanoma and germline DNA and their expression confirmed by tumor RNAsequencing. Immunizing peptides were selected based on HLA binding predictions. Each patient received up to 20 long peptides in 4 pools.

B. Clinical event timeline for 6 vaccinated patients from surgery until time of data cutoff (36 months from study initiation).

P.A.Ott, ...C. J. Wu, An Immunogenic Personal Neoantigen Vaccine for Melanoma Patients, Nature 2017

Neoepitope pipelines are becoming more common, diverse and complex



TESLA : a community-based effort to optimizing neoepitope discovery

Nadine Defranoux, PhD







The Tumor neoEpitope SeLection Alliance



• TESLA aims to :

- Bring together key players in the field of neoantigen discovery
- Elucidate current differences in prediction methodologies
- Generate high quality epitope validation sets that provide a basis for participating groups to assess and improve their prediction pipelines
- Identify the best algorithm features that predict which tumor neoantigens are recognized by T cells and stimulate an immune response
- Assess and expand the viability of epitope prediction methods to a broad array of cancer types

• TESLA is not:

- Competition to determine 'the best' pipeline
- A clinical program to validate predicted neoepitopes in patients.

TESLA: from sample acquisition to neoepitope prediction, validation and analysis



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Nature Reviews | Immunology

Z. Hu, P. Ott, C. Wu Nat Rev Immunol 2018



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Z. Hu, P. Ott, C. Wu Nat Rev Immunol 2018

Measuring Immunity in Immunotherapy Clinical Trials:

- Was the cytokine induced (right time/place/level)?
- *Did the vaccine activate tumor-specific T cells?*
- Did the adoptively transferred effector cells survive/traffic to the tumor/kill the tumor?
- Was immune suppression reversed?
- Were the target cells/molecules activated?
- Did the target cells/molecules get to the tumor site and show activity?
- *Was the therapeutic intervention an improvement?*
- Why or why not?

The dawn of vaccines for cancer prevention Olivera J. Finn, Ph.D., Univ. Pittsburgh

Nature Reviews Immunology volume 18, pages 183–194 (2018)

• Developments in imaging and other screening methods have made possible the detection of pre-malignant lesions.

•Therapeutic cancer vaccines based on viral antigens for the control of viral cancers have not shown effectiveness in advanced disease but have been highly effective at clearing pre-malignant lesions.

•Vaccines based on nonviral antigens might be similarly more effective against premalignant lesions of nonviral cancers, and the few completed or ongoing phase I and II clinical trials of preventive cancer vaccines have already shown clinical efficacy.

