



# Immunotherapy for the Treatment of Head and Neck Cancer

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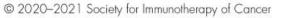
Rom Leidner, MD Earle A. Chiles Research Institute Providence Cancer Institute Franz Cancer Center, Portland, OR tel: 503 215-5696 rom.leidner@providence.org















- Consulting Fees: Merck, Sanofi, Oncolys
- Contracted Research: BMS
- I will be discussing non-FDA approved indications during my presentation.





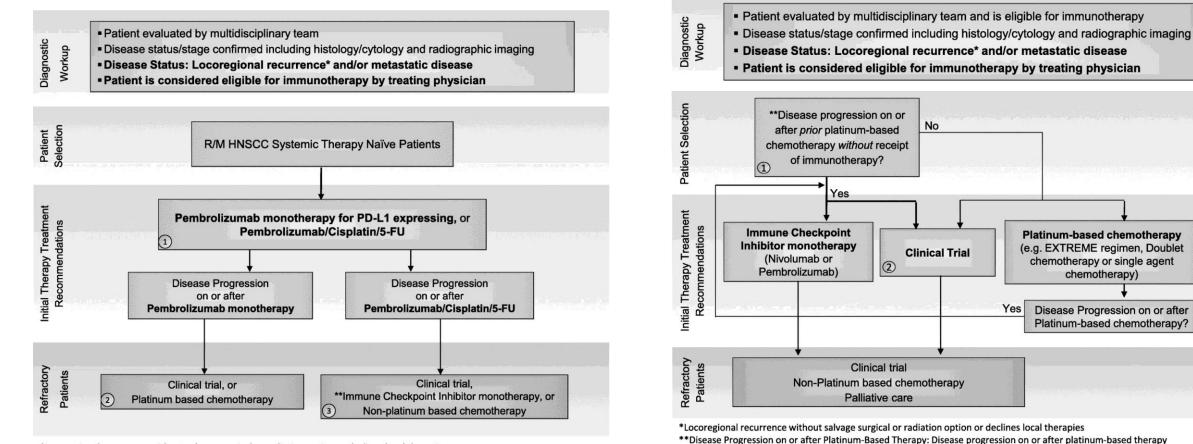


- Approved immunotherapies in head and neck cancers
- Biomarkers and immunotherapy responsiveness
- Unique considerations for head and neck cancers
- Future directions





# Immunotherapy in head and neck cancer treatment



\*Locoregional recurrence without salvage surgical or radiation option or declines local therapies

\*\*Refer to Figure 2. Initial Therapy Treatment Recommendations: Immune Checkpoint Inhibitor monotherapy (nivolumab or pembrolizumab)



including within 6 months of platinum-based CRT given in the locally advanced setting. Patients that receive but cannot

Yes

Platinum-based chemotherapy

(e.g. EXTREME regimen, Doublet

chemotherapy or single agent

chemotherapy)

**Disease Progression on or after** Platinum-based chemotherapy?



# Approved checkpoint inhibitors in head and neck cancers

Drug	Approved	Indication	Dose	
Pembrolizumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	200 mg Q3W or 400 mg Q6W	
Nivolumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	240 mg Q2W or 480 mg Q4W	
Pembrolizumab + platinum + fluorouracil	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – all patients	200 mg Q3W or 400 mg Q6W	
Pembrolizumab	2019	Recurrent/metastatic HNSCC $1^{st}$ line – PD-L1 CPS $\ge 1$	200 mg Q3W or 400 mg Q6W	



# **Clinical trials in HNSCC**

Trial	Patient selection criteria	Treatment arm(s)	Ν	ORR	Median PFS (months)	Median OS (months)
	Untreated R/M HNSCC	Pembrolizumab	301	16.9%	2.3	11.5
	(total population)	Pembrolizumab + chemo	281			13.0
		Cetuximab + chemo	300	36.0%	5.2	10.7
KEYNOTE-012	R/M HNSCC	Pembrolizumab	192	18% (PD-L1+: 21%, PD-L1-: 6%)	2.1	8
CheckMate 141 R/M HNSCC with progression on platinum		Nivolumab	240	13.1% (PD-L1+: 17.7%, PD-L1-: 11.8%)	2.0	7.7
		Investigator's choice	121	5.8%	2.3	5.1
KEYNOTE-040	R/M HNSCC with progression on platinum	Pembrolizumab	247	14.6%	2.1	8.4
		Investigator's choice	248	10.1%	2.3	6.9

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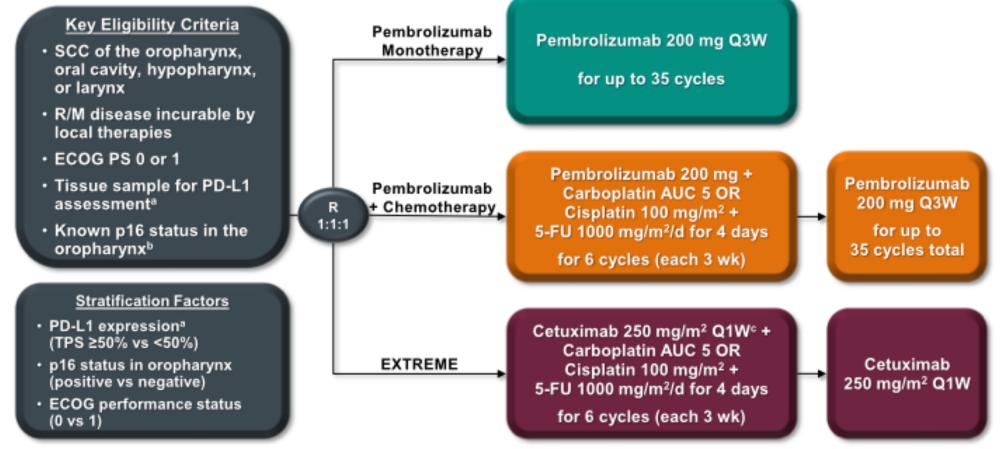
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## KEYNOTE-048: Pembrolizumab +/-Chemotherapy in newly diagnosed R/M HNSCC



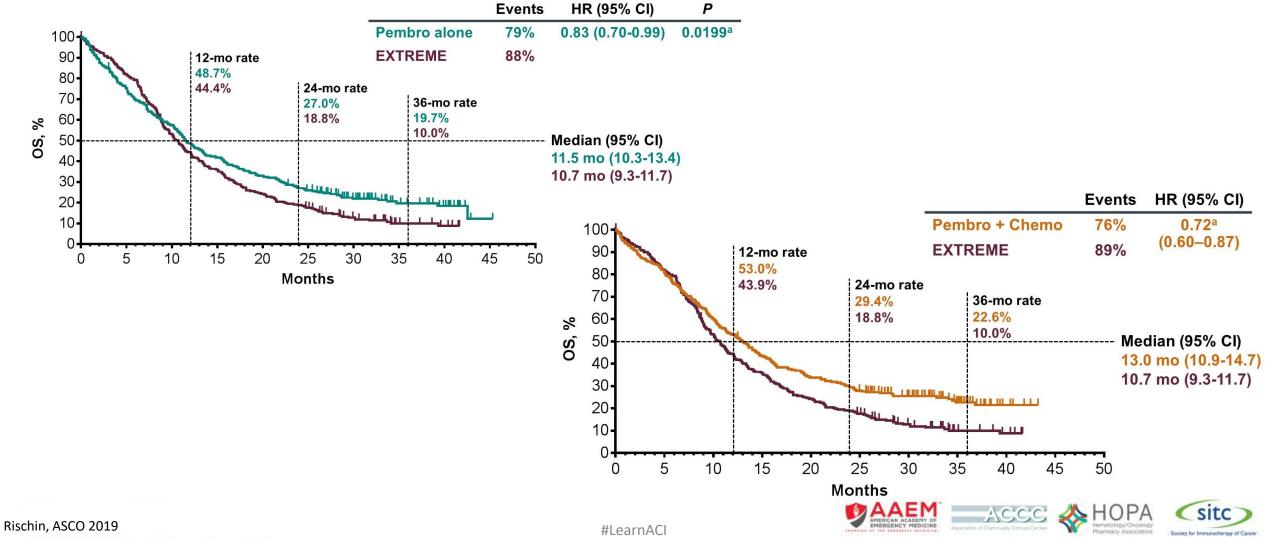
\*Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. \*Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. \*Following a loading dose of 400 mg/m<sup>2</sup>.

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# KEYNOTE-048: Overall survival in the total population

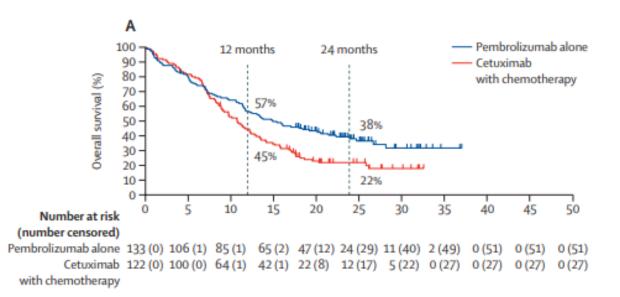


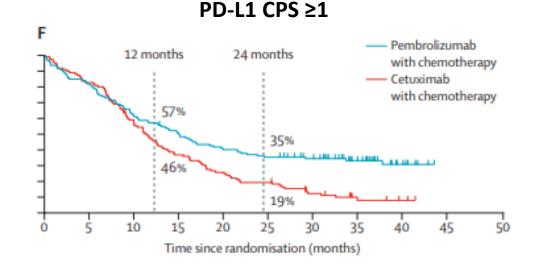
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# KEYNOTE-048: Overall survival in the PD-L1 positive population

**PD-L1 CPS ≥1** 





126 (0) 102 (0) 77 (0) 60 (1) 50 (1) 44 (1) 36 (8) 21 (22) 4 (38) 0 (42) 0 (42) 110 (0) 91 (0) 60 (1) 40 (1) 26 (1) 19 (2) 11 (4) 4 (8) 1 (11) 0 (12) 0 (12)



Burtness, Lancet 2019 © 2020–2021 Society for Immunotherapy of Cancer

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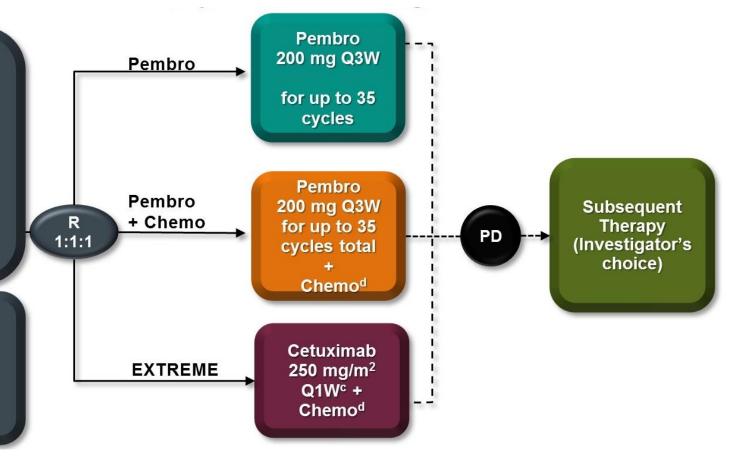
# KEYNOTE-048: Outcomes on subsequent therapy

#### <u>Key Eligibility Criteria</u>

- SCC of the oropharynx, oral cavity, hypopharynx, or larynx
- R/M disease incurable by local therapies
- ECOG PS 0 or 1
- Tissue sample for PD-L1 assessment<sup>a</sup>
- Known p16 status in the oropharynx<sup>b</sup>

#### **Stratification Factors**

- PD-L1 expression<sup>a</sup> (TPS ≥50% vs <50%)</li>
- p16 status in oropharynx (positive vs negative)
- ECOG performance status (0 vs 1)

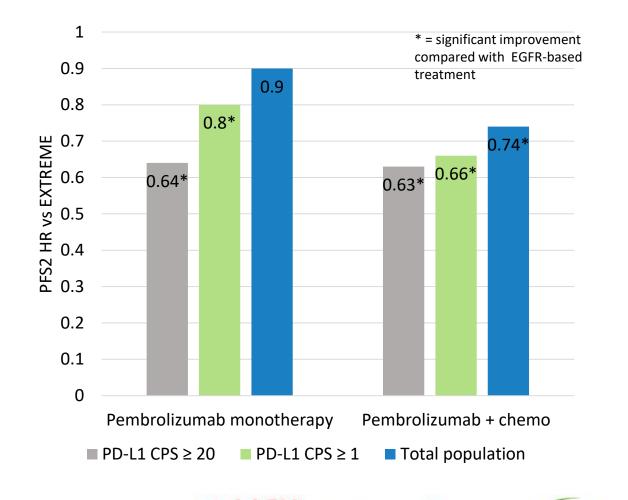






# KEYNOTE-048: Outcomes on subsequent therapy

- After progression, most common next treatment was a chemotherapy regimen
- PFS2: Progression-free survival on second treatment (after progression on KEYNOTE-048 treatment)
- Benefits seen for patients who received pembrolizumab regimens up-front
- Provides support to use of immunotherapy in front-line setting







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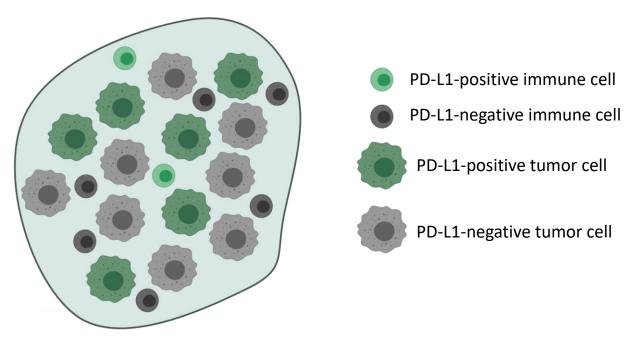




## PD-L1: TPS vs CPS

 $TPS = \frac{\# of \text{ PD-L1 positive tumor cells}}{number of viable tumor cells} \times 100$ 

 $CPS = \frac{\# of \text{ PD-L1 positive cells (tumor cells, lymphocytes, macrophages)}}{total number of tumor and immune cells} \times 100$ 



$$TPS = \frac{6 \text{ positive tumor cells}}{14 \text{ total tumor cells}} \times 100 = 43$$

 $CPS = \frac{6 \text{ positive tumor cells+2 positive immune cells}}{22 \text{ total cells}} \times 100 = 36$ 





# Impact of PD-L1 in HNSCC

## PD-L1 CPS

- KEYNOTE-048
  - First-line treatment
  - Approval of pembrolizumab monotherapy: CPS <u>></u> 1
- KEYNOTE-040
  - After platinum
  - Improved outcomes in PD-L1positive patients (by CPS > 1), no significance in total population

## PD-L1 TPS

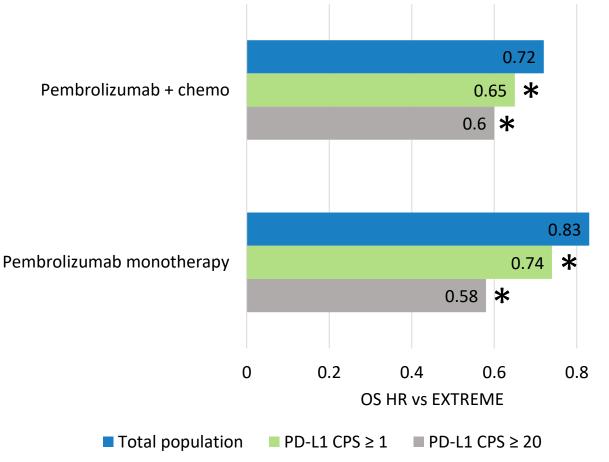
- CheckMate 141
  - After platinum
  - Greatest benefit seen for PD-L1positive tumors (TPS <u>></u> 1%), but benefit regardless
- KEYNOTE-012
  - Second-line treatment
  - Higher response rate with PD-L1 CPS-positive tumors
  - No difference for PD-L1-positive tumors by TPS





# KEYNOTE-048: Outcomes by PD-L1 status

- Greatest benefits seen in tumors with highest PD-L1 expression
- Approval requires PD-L1 expression (CPS) only for monotherapy
- For total population, only pembrolizumab + chemotherapy should be considered, not monotherapy

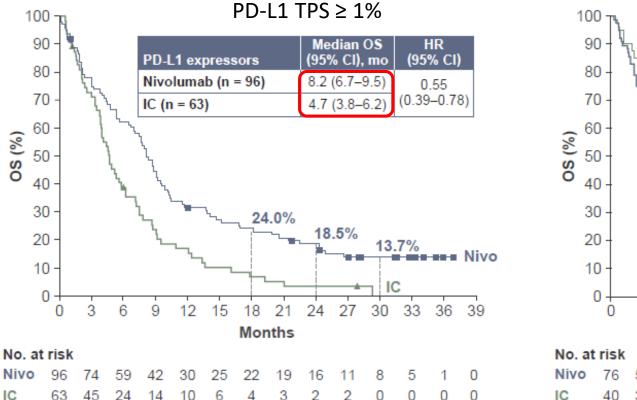


\*superiority statistically demonstrated at interim or final analysis

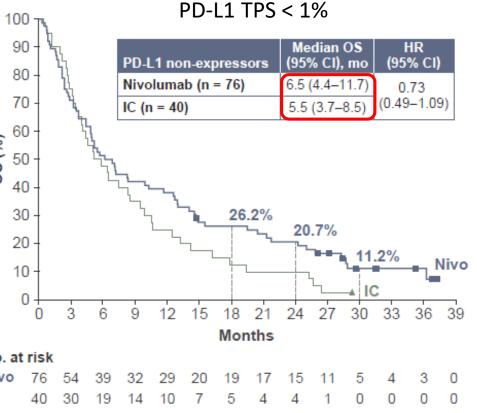




# CheckMate 141: Outcomes by PD-L1 status



#### CheckMate 141: 2 year update



ACCC

sitc

Ferris, Oral Oncol 2018.

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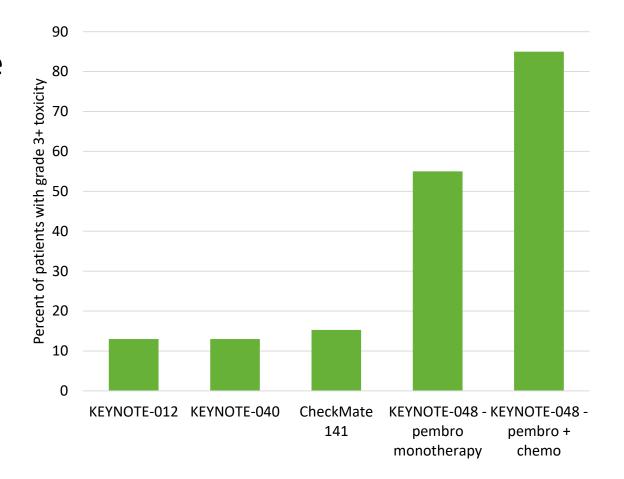
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# Toxicities in head and neck cancer patients

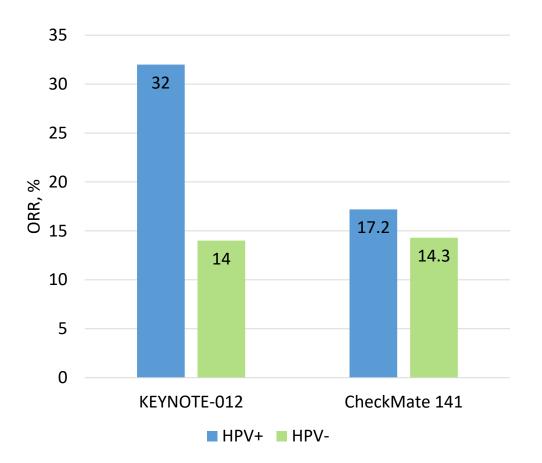
- Patients typically receive aggressive radiation treatment, with accompanying side effects
- Radiation in combination with chemotherapy, immunotherapy and/or surgery can further complicate toxicity profiles
- While combinations may have higher response rates, also have higher toxicity rates





# Viral infections in HNSCC

- Virally-associated cancers are biologically and clinically distinct
  - Human papillomavirus associated with oropharynx cancer
  - Epstein Barr virus associated with nasopharyngeal cancer
- Evidence that HPV+ tumors may perform better, but there is benefit with immunotherapy regardless of HPV status







# Combination immune checkpoint inhibition in HNSCC – *limited success to date*

Trial	Patient population	Treatment arms	ORR	Median OS (months)	Landmark OS
EAGLE R/M HNSCC afte platinum	R/M HNSCC after	Durvalumab	17.9%	7.6	24-months: 18.4%
	platinum	Durvalumab + tremelimumab	18.2%	6.5	24-months: 13.3%
		SoC	17.3%	8.3	24-months: 10.3%

Trial	Patient population	Treatment arms	Expected study completion	
KESTREL	Untreated HNSCC	Durvalumab	February 2021	
		Durvalumab + tremelimumab		
		SoC		
CheckMate 714	Platinum-refractory HNSCC	Nivolumab + ipilimumab	January 2024	
		Nivolumab		
CheckMate 651	Untreated HNSCC	Nivolumab + ipiliumumab	February 2026	
		EXTREME regimen		

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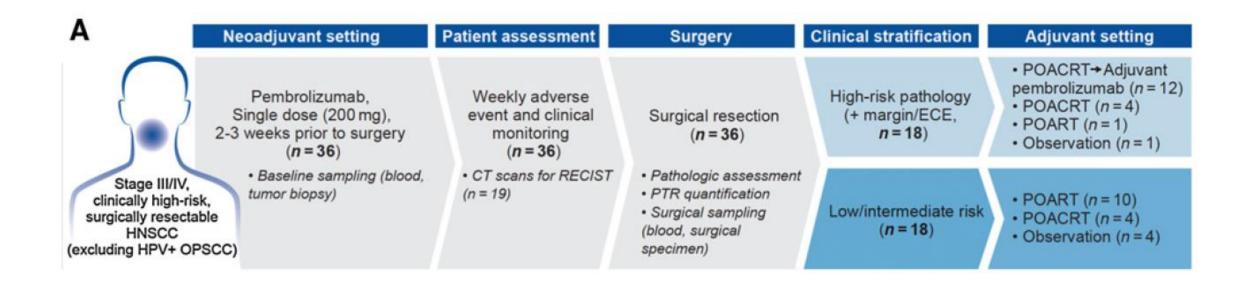


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# In development: Oral cavity cancer

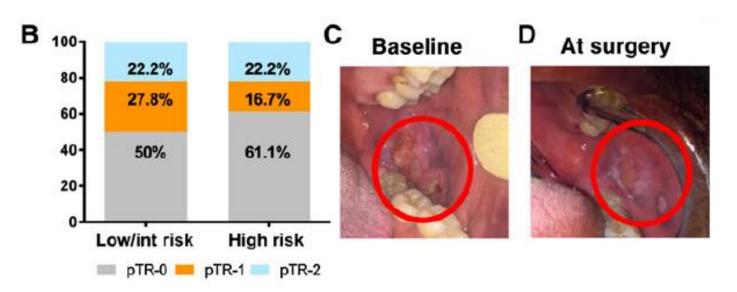






# In development: Oral cavity cancer

- No serious AEs or unexpected surgical complications/delays
- pTR-2: 22%
- pTR-1: 22%
- 1-year relapse rate: 16.7%





# **In development:** Checkpoint inhibitors + radiotherapy as primary therapy

- NCT03247712: neoadjuvant nivolumab + SBRT
  - Phase I
  - Decreased tumor size prior to surgery; high pathologic CR rate
- KEYNOTE-412: pembrolizumab + chemoradiation
  - Phase III
  - Safety confirmed, estimated completion 2021
- JAVELIN Head and Neck 100: avelumab + chemoradiation
  - Phase III trial terminated in early 2020, due to likelihood of limited efficacy
- REACH: avelumab + cetuximab + radiotherapy
  - Phase III
  - Safety confirmed, estimated completion 2027

Leidner, AACR 2019



# **In development:** cetuximab + pembrolizumab for recurrent metastatic disease

- Cetuximab and pembrolizumab are both approved as monotherapies for HNSCC
- Phase II trial testing cetuximab + pembrolizumab:
  - Platinum refractory or ineligible disease
  - ORR: 45%
  - Median OS: 18.4 months
  - Safety profile consistent with individual drugs





# In development: Selected ongoing combination trials

Trial	Patient population	Treatment arms	Targets	Expected study completion	
LEAP-010 Untreated recurrent/ metastatic PD-L1+ HNSCC (CPS ≥ 1)	metastatic PD-L1+	Pembrolizumab + lenvatinib	PD-1 + multikinase inhibitor	April 2024	
	Pembrolizumab	PD-1			
n	Untreated recurrent/ metastatic PD-L1+ HNSCC (CPS ≥ 1)	Pembrolizumab + GSK609	PD-1 + ICOS	July 2023	
		Pembrolizumab	PD-1		
NCT02643550	HNSCC after 1-2 therapies, including progression on Pt	Monalizumab + cetuximab	NKG2A + EGFR	Phase 1/2: 2021 Phase 3: planned	





# Conclusions

- Cytotoxic chemotherapy achieves limited survival in HNSCC with unfavorable side effects.
- Checkpoint inhibitors that target the PD-1 axis, nivolumab and pembrolizumab, are approved in platinum-refractory/exposed recurrent/metastatic HNSCC.
- Nivolumab and pembrolizumab are in general better tolerated than cytotoxic chemotherapy.
- Ongoing areas of research include combinations of immunotherapy with radiation and/or other drugs and development of predictive biomarkers.









Cohen et al. Journal for ImmunoTherapy of Cancer (2019) 7:184 https://doi.org/10.1186/s40425-019-0662-5

Journal for ImmunoTherapy of Cancer

### **POSITION ARTICLE AND GUIDELINES**

## The Society for Immunotherapy of Cancer consensus statement on immunotherapy for the treatment of squamous cell carcinoma of the head and neck (HNSCC)

Ezra E. W. Cohen<sup>1</sup>, R. Bryan Bell<sup>2</sup>, Carlo B. Bifulco<sup>2</sup>, Barbara Burtness<sup>3</sup>, Maura L. Gillison<sup>4</sup>, Kevin J. Harrington<sup>5</sup>, Quynh-Thu Le<sup>6</sup>, Nancy Y. Lee<sup>7</sup>, Rom Leidner<sup>2</sup>, Rebecca L. Lewis<sup>8</sup>, Lisa Licitra<sup>9</sup>, Hisham Mehanna<sup>10</sup>, Loren K. Mell<sup>1</sup>, Adam Raben<sup>11</sup>, Andrew G. Sikora<sup>12</sup>, Ravindra Uppaluri<sup>13</sup>, Fernanda Whitworth<sup>14</sup>, Dan P. Zandberg<sup>8</sup> and Robert L. Ferris<sup>8\*</sup>



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## **Case Studies**









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## **Pseudoprogression (Tumor Flare) – KEYNOTE-012**

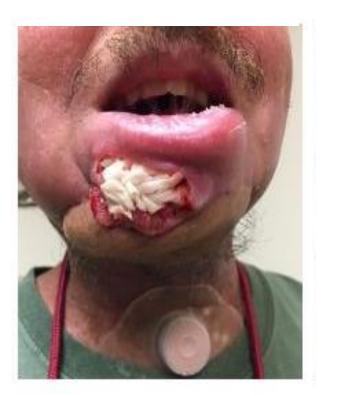


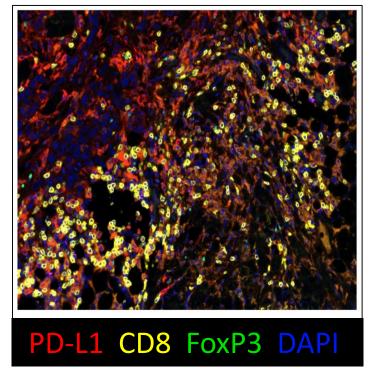
 Both KEYNOTE-012 and CheckMate 141 trials showed an exceedingly rare rate of pseudoprogression with pembrolizumab and nivolumab, respectively.

> Ferris RL, et al. N Engl J Med. 2016 Seiwert TY, et al. Lancet Oncol. 2016









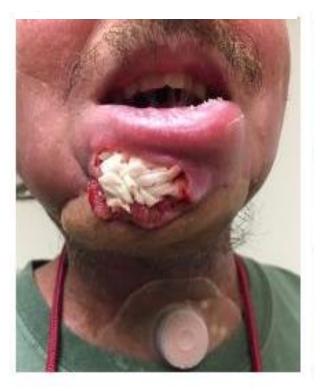
### How to treat?

- 45 yo male, oral SCCa
- Surgery + adj CRT in 2016
- Local recurrence
   ??





← 6 weeks PD-1 →





### How to treat next?

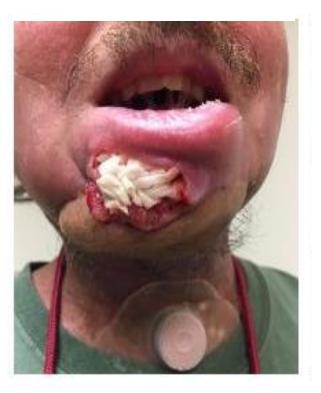
- 45 yo male, oral SCCa
- Surgery + adj CRT in 2016
- Local recurrence
  - PD-1 = hyperprogression
  - ??





← 6 weeks PD-1 →

## ← 4 weeks PD-1/Chemo $\rightarrow$



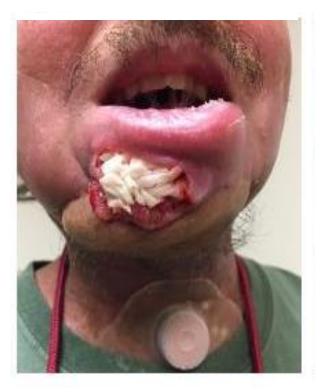








← PD-1 Hyperprogressor  $\rightarrow$ 





### Rest of the story ...

- 45 yo male, oral SCCa
- Surgery + adj CRT in 2016
- Local recurrence
  - PD-1 = hyperprogression
  - PD-1/Chemo\* x6 cycles = **CR**
- 18 months <u>off-treatment</u>, disease free, good QoL, 2017-19
  - Local recurrence, again
  - Carotid blowout on salvage chemo

\*Carbo/5FU/Cetux (Extreme)





 $\leftarrow$  Genomics  $\rightarrow$ 

### Germline

- CHEK2 1157T

### Somatic, TMB-low

 EGFR Q432R, ERBB3 E57G, GNAQ Q303fs, TP53 c.782+1G>T.

### Rest of the story ....

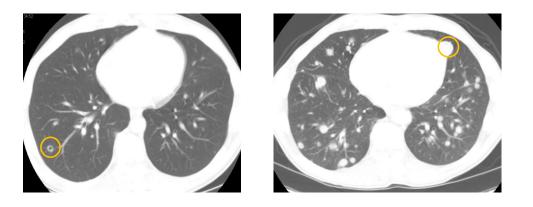
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 $\leftarrow$  3 weeks  $\rightarrow$ 



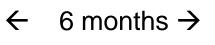
### How to treat?

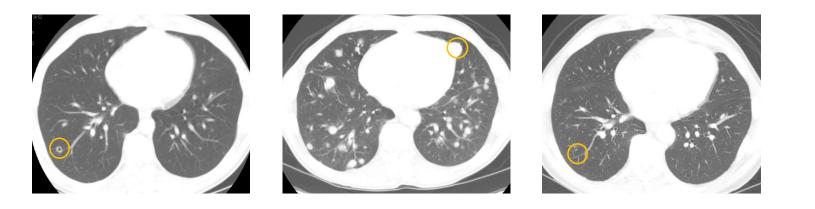
- 54 yo male, oral SCCa, M1; 2019
- Explosive progression of lung mets while screening for clinical trial
  - Trach + Lingual art. embolization ICU
  - PD-L1 status not available
  - ??





 $\leftarrow$  3 weeks  $\rightarrow$ 





Rest of the story ...

- 54 yo male, oral SCCa, M1; 2019
- Explosive progression of lung mets while screening for clinical trial
  - Trach + Lingual art. embolization ICU
  - PD-L1 status not available
  - KFC\* x6 cycles = **CR**
  - Keytruda to June 2021

\*KFC = Keytruda/5FU/Cisplatin (KN-048)





 $\leftarrow$  Genomics  $\rightarrow$ 

### Germline

- APC 11307K

### Somatic, TMB-low

 CHEK2 N196S, BCL6 P151S, PIK3CA T1025S, PIK3R E116L, HRAS G13R, TERT promoter 146C>T, CDKN2A splice site G>A c.151-1

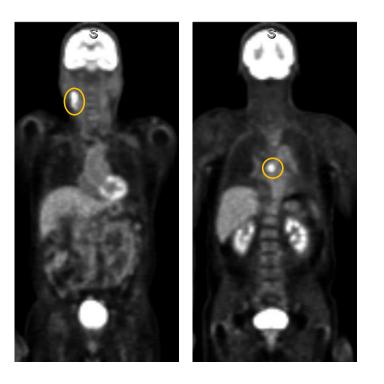
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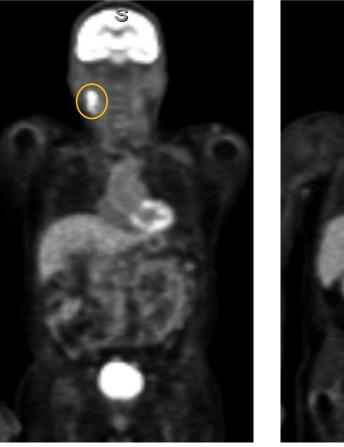


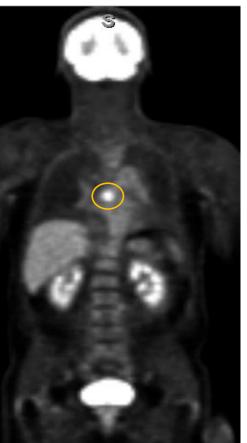
### How to treat?

- 66 yo male, HPV+ R neck; 2017
- Bx+ subcarinal node (M1, oligo)
  - PD-L1 not tested
  - ??









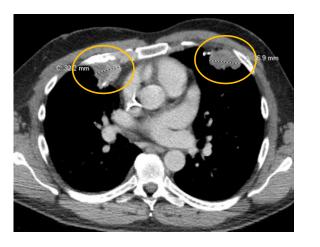
## Rest of the story ....

- 66 yo male, HPV+ R neck; 2017
- Bx+ subcarinal node (M1, oligo)
  - PD-L1 not tested
  - Sandwich Nivo-240 + SBRT to GTV (M-F) 8Gy x5 R neck, 6Gy x5 subcarinal node completed Sep 2017 (tennis week post)
  - Adjuvant Nivo x3 months completed Jan 2018
  - Durable CR, no further treatment
  - Now age 70

\*NIRT trial = *in press JITC;* Leidner et al. Abs #CT182, AACR 2019







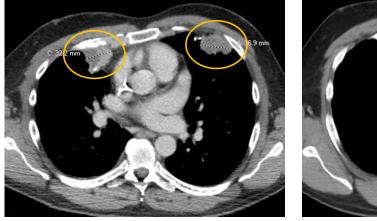
## How to treat?

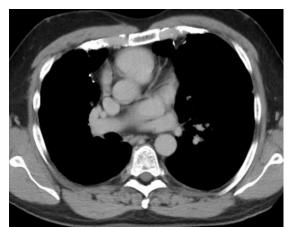
- 47 yo male, HPV+ R tonsil (T2 N2b); 2015
  - Surgery + adjuvant RT
- Lung mets 2017
  - Sandwich Nivo/SBRT + adjuvant Nivo = PD
  - EXTREME x4 cycles = PD; ECOG PS 1
  - ??





## $\leftarrow$ 3 years off-treatment $\rightarrow$





## Rest of the story ....

- 47 yo male, HPV+ R tonsil (T2 N2b); 2015
  Surgery + adjuvant RT
- Lung mets 2017
  - Sandwich Nivo/SBRT + adjuvant Nivo = PD
  - EXTREME x4 cycles = PD; ECOG PS 1
  - TIL therapy (LN-145 trial)
  - Durable CR, no further treatment
  - Now age 52

\*Leidner et al. Abs #P221, SITC 2017 & Abs #227879, ASCO 2018

• NCT03083873

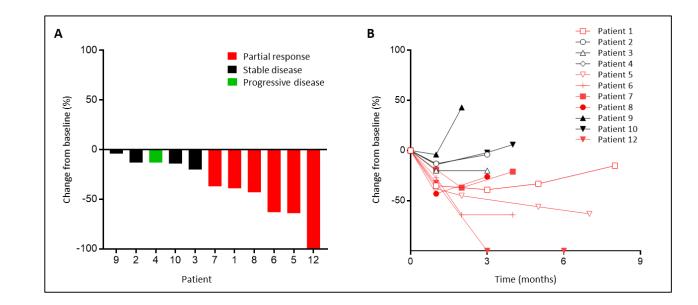




## ← Adoptive Cell Therapy (ACT) →



# TCR-Transduced (TCR-T) autologous T cell transfer



\*Norberg & Hinrichs et al. ASH 2018 presentation, Blood 132, p.492





## ← Adoptive Cell Therapy (ACT) →

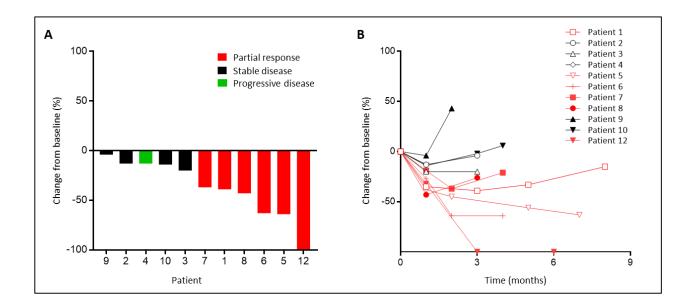
#### Nagsareth et al. Nat Med, 08 Feb 2021

- HPV-16 E7, adoptive TCR-T cell therapy
- 50% overall response rate (6/12)
- 50% PD-1 refractory response (4/8 total; 2/4 H&N)
- NCT02858310 (also NCT04015336 neoadjuvant)

#### \*SPEARHEAD 2 (MAGE-A4, adoptive TCR-T cell therapy)

- NCT04408898

# TCR-Transduced (TCR-T) autologous T cell transfer



\*Norberg & Hinrichs et al. ASH 2018 presentation, Blood 132, p.492





# Thank you

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