

# Immunotherapy for the Treatment of Head and Neck Cancer

**Dan P Zandberg MD**

**Associate Professor of Medicine**

**Director, Head and Neck Cancer Disease Section, Division of Medical Oncology**

**Co-director Head and Neck Cancer Research Program**

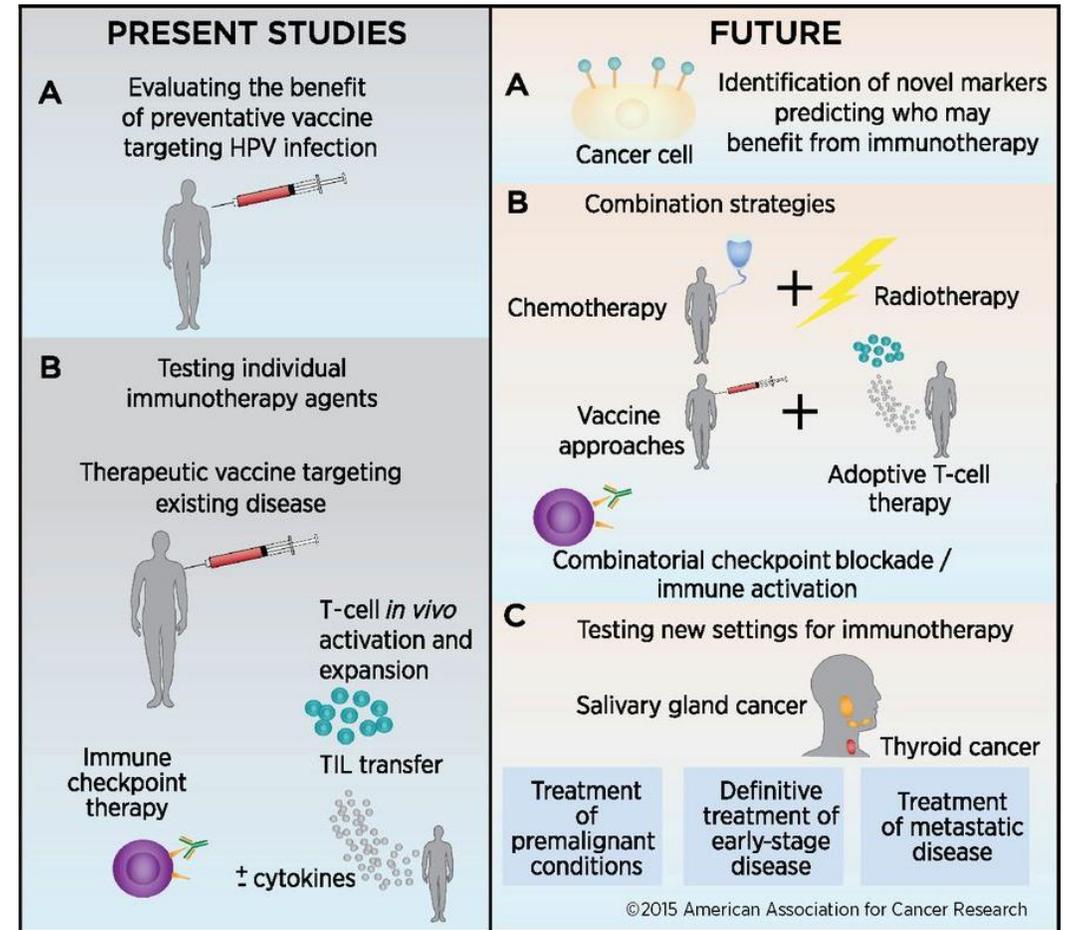
**UPMC Hillman Cancer Center**

# Disclosures

- Research support from Merck, BMS, AstraZeneca for my role as PI for clinical trials.
- I will not be discussing non-FDA approved indications during my presentation.

# Immunotherapy for the Treatment of Head and Neck Cancers

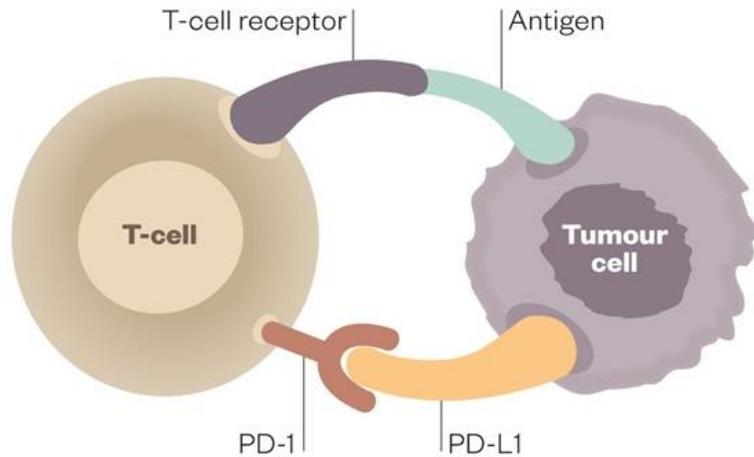
- Immuno-Oncology (I-O) developments in treatment of head and neck cancers
  - Expression of immunologic markers to guide treatment
  - Preventive vaccination against virally mediated cancers
  - PD-1 checkpoint inhibitors for the treatment of metastatic disease



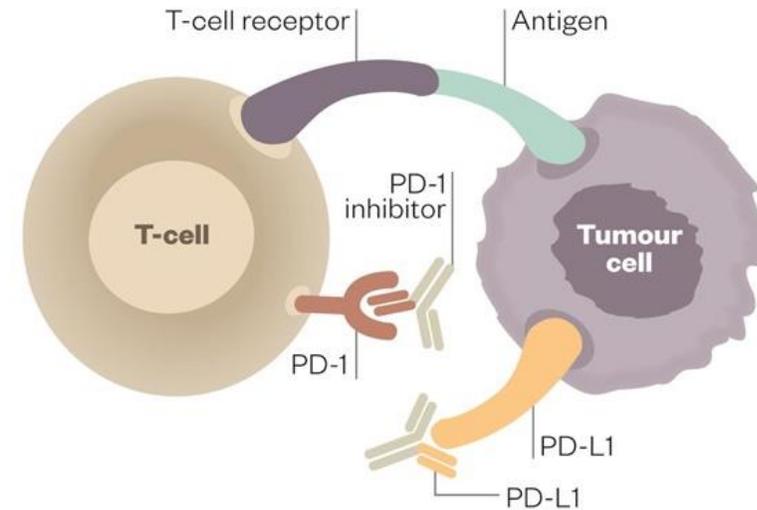
Schoenfeld JD, Cancer Immunol Res, 2015

# Immunotherapy for the Treatment of Head and Neck Cancers

## Immune Checkpoint Inhibitors (ICI)



PD-1 acts as “off-switch” for T cells, allowing cancer cells to evade immune attack



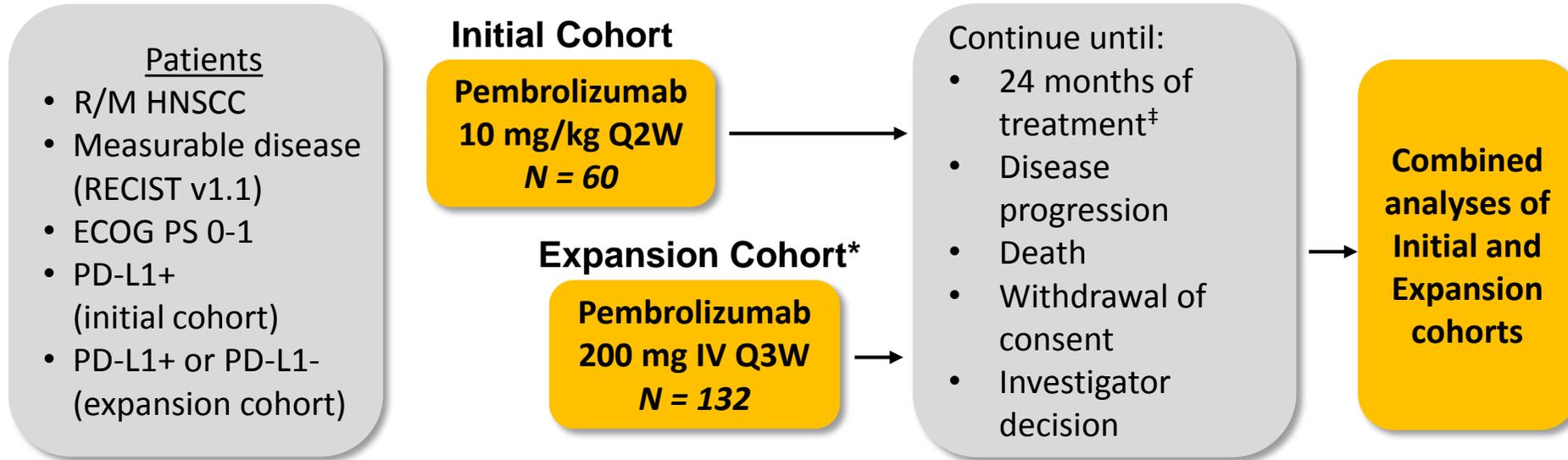
Antibodies against PD-1 and PD-L1 boost the immune response against cancer cells

# FDA-approved Checkpoint Inhibitors for Use in Head and Neck Cancers

- Pembrolizumab 200 mg IV Q3W(anti-PD-1)
  - KEYNOTE – 012/055: Patients with recurrent or metastatic (R/M) squamous cell carcinoma of the head and neck (HNSCC) with disease progression on or after platinum-containing chemotherapy
  - Accelerated Approval by FDA – August 5, 2016
- Nivolumab 240 mg IV Q2W or 480 mg IV Q4W (anti-PD-1)
  - CheckMate – 141: Patients with R/M HNSCC with disease progression on or after a platinum-based therapy
  - Breakthrough Therapy Designation by FDA – April, 2016
  - Approval – November 10, 2016

# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2



**Response assessment:** Every 8 weeks until disease progression

**Primary end points:** ORR (RECIST v1.1, central imaging vendor review), safety

**Secondary end points:** ORR (investigator), PFS, OS, duration of response (DOR), ORR in HPV+ patients<sup>§</sup>

<sup>†</sup>Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

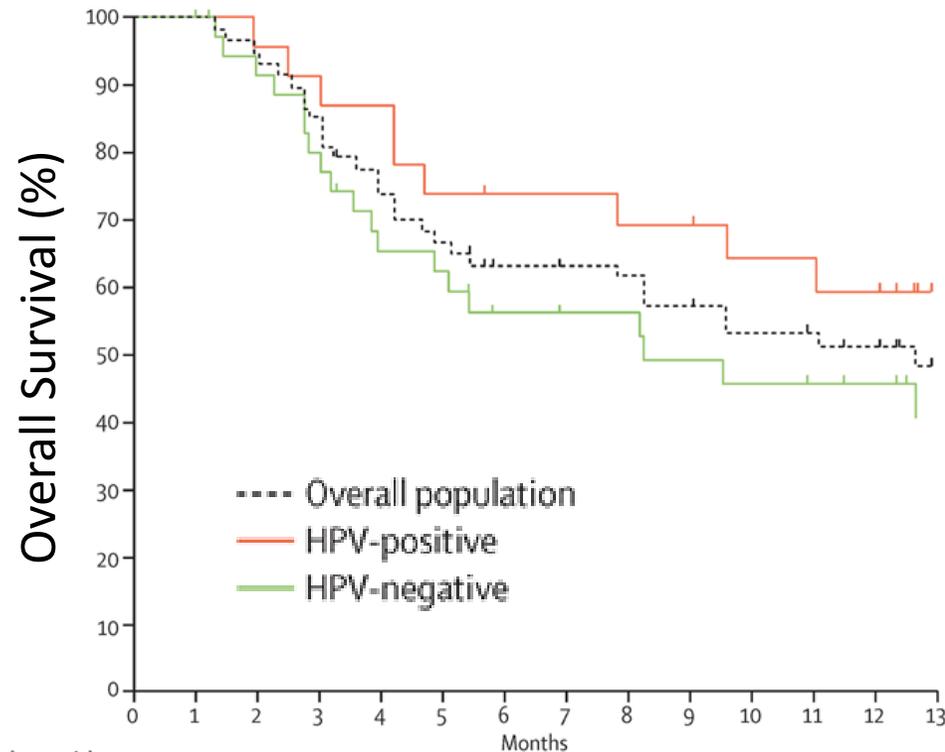
<sup>‡</sup>Treatment beyond progression was allowed.

<sup>§</sup> Initial cohort only.

\*Median duration of disease not reached.

# KEYNOTE-012: Pembrolizumab in R/M HNSCC

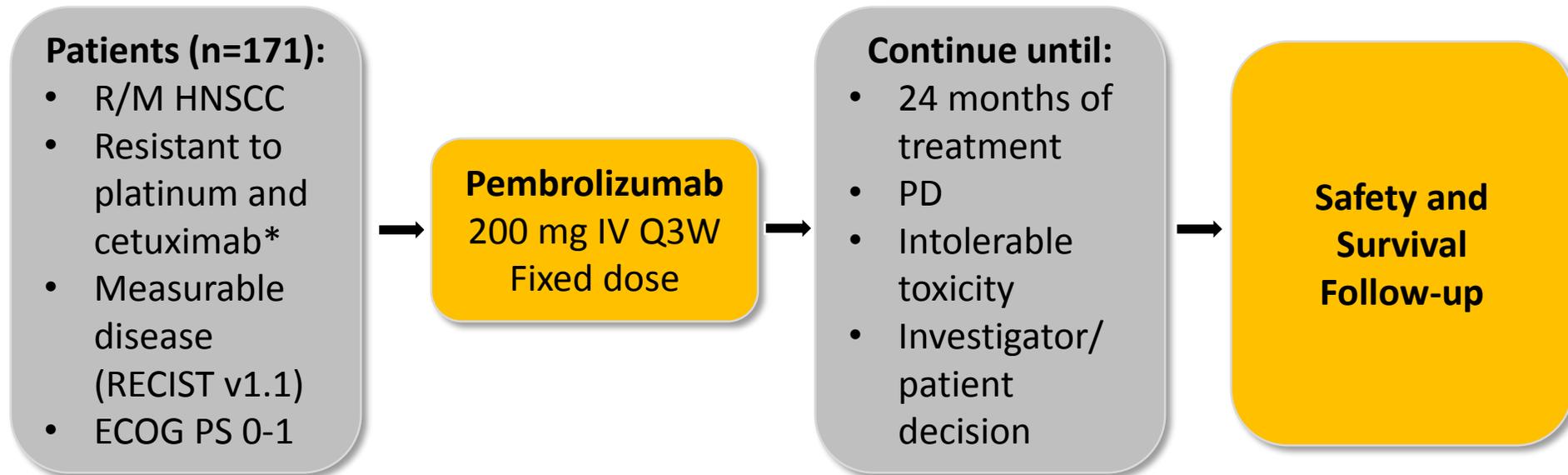
Nonrandomized, Phase 1b Trial, Cohorts† B, B2



Number at risk	0	1	2	3	4	5	6	7	8	9	10	11	12	13
Overall population	60	59	54	49	42	38	33	32	31	28	26	25	22	15
HPV-positive	23	23	22	21	20	17	16	16	15	14	13	13	11	7
HPV-negative	37	36	32	28	22	21	17	16	16	14	13	12	11	8

- ORR = 18%
  - CR = 4%
  - PR = 14%
- mOS = 8.0 months
- mPFS = 2.2 months

# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



Bauml J JCO 2017

**Response assessment:** Imaging every 6 to 9 weeks (central radiology review)

**Primary end points:** ORR (RECIST v1.1) by Response Evaluation Criteria in Solid Tumors and safety

**Secondary end points:** ORR (RECIST v1.1) in all dosed patients, ORR for HPV+, PD-L1+, DOR, PFS, OS

\*75% of patients had  $\geq 2$  prior lines of therapy for metastatic disease

# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm

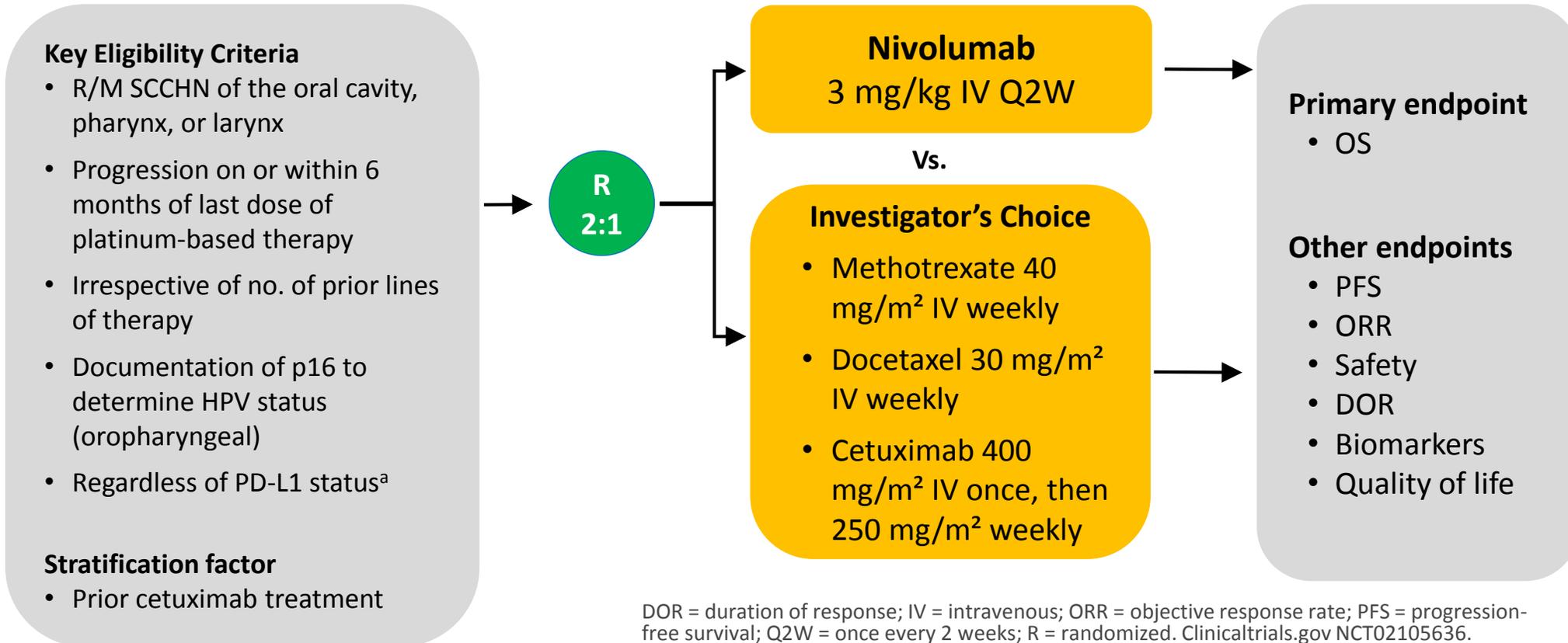
Outcome	All Patients	HPV Status		PD-L1 Status		
	N=171	Positive n=37	Negative n=131	≥1% n=140	<1% n=26	≥50% n=48
ORR, %	16	16	15	18	12	27
mPFS, mo	2.1					
6-mo PFS, %	23	25	21	24	20	31
6-mo OS, %	59	72	55	59	56	60

- Neither tumor PD-L1 expression or HPV status are sufficiently robust in guiding the use of pembrolizumab at this time.

Bauml J, et al, J Clin Oncol. 2017

# CheckMate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy

## Phase III Randomized, Safety and Efficacy Trial



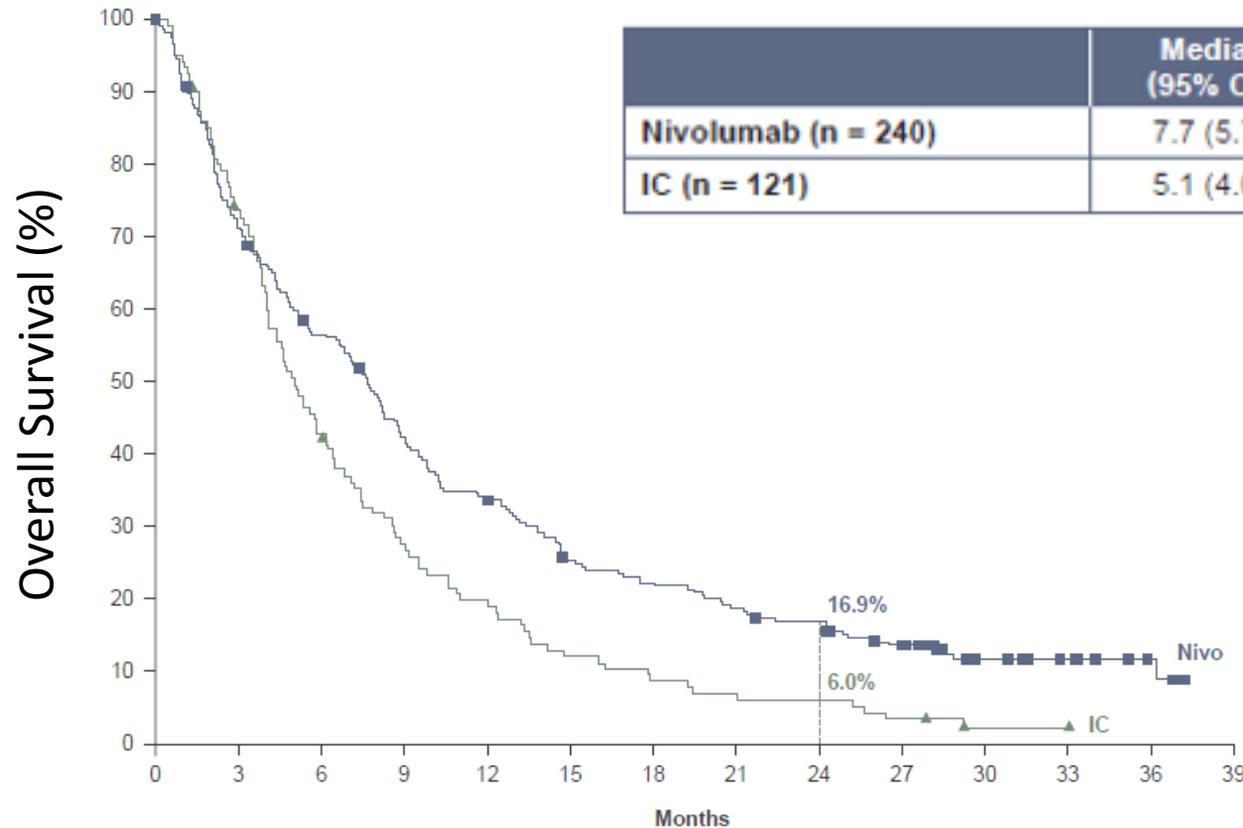
DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized. Clinicaltrials.gov NCT02105636.

<sup>a</sup>Tissue required for testing

Ferris & Gillison, NEJM, 2016

# Checkmate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy

## Overall Survival: 2 year report



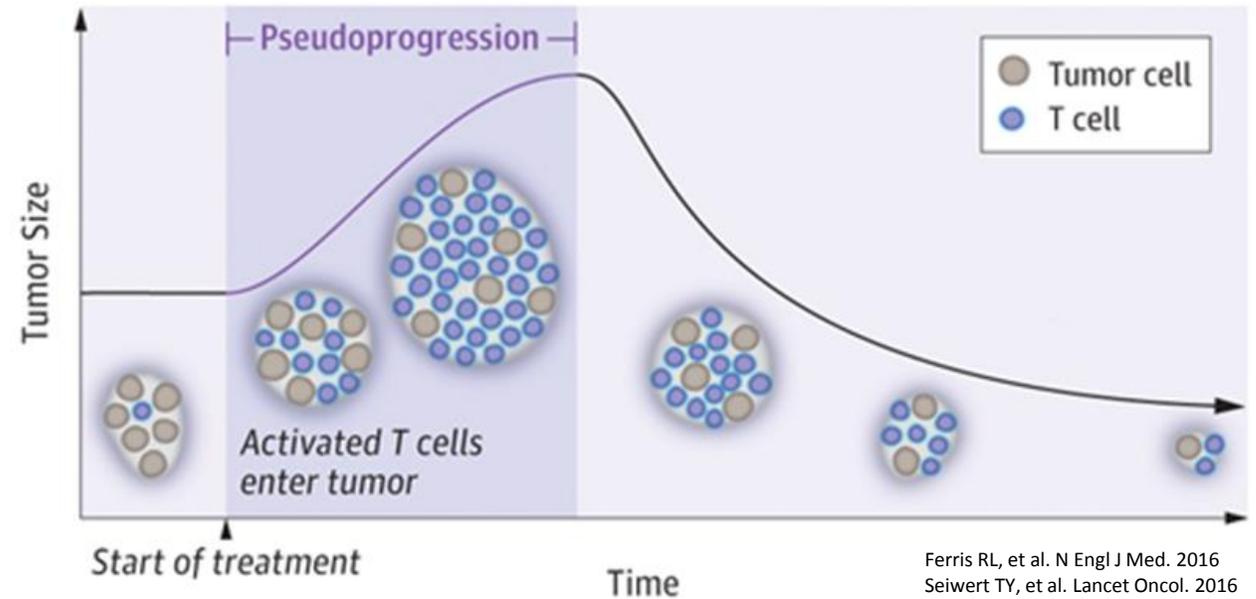
No. at risk	0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	240	169	132	98	78	57	50	42	37	28	15	10	4	0
IC	121	88	51	32	23	14	10	8	7	4	1	1	0	0

Ferris RL. Oral Oncology, 2018

# Response to Immune Checkpoint Inhibitor Treatment with Brief Increase in Tumor Size

## Pseudoprogession

- Early appearance of an increase in tumor burden, subsequently followed by tumor regression
- Initially recognized in the melanoma trials, with incidence up to 10%



# Response to Immune Checkpoint Inhibitor Treatment with Brief Increase in Tumor Size

## Case Report – KEYNOTE-012



- KEYNOTE-012 showed an exceedingly rare rate of pseudoprogression with pembrolizumab.

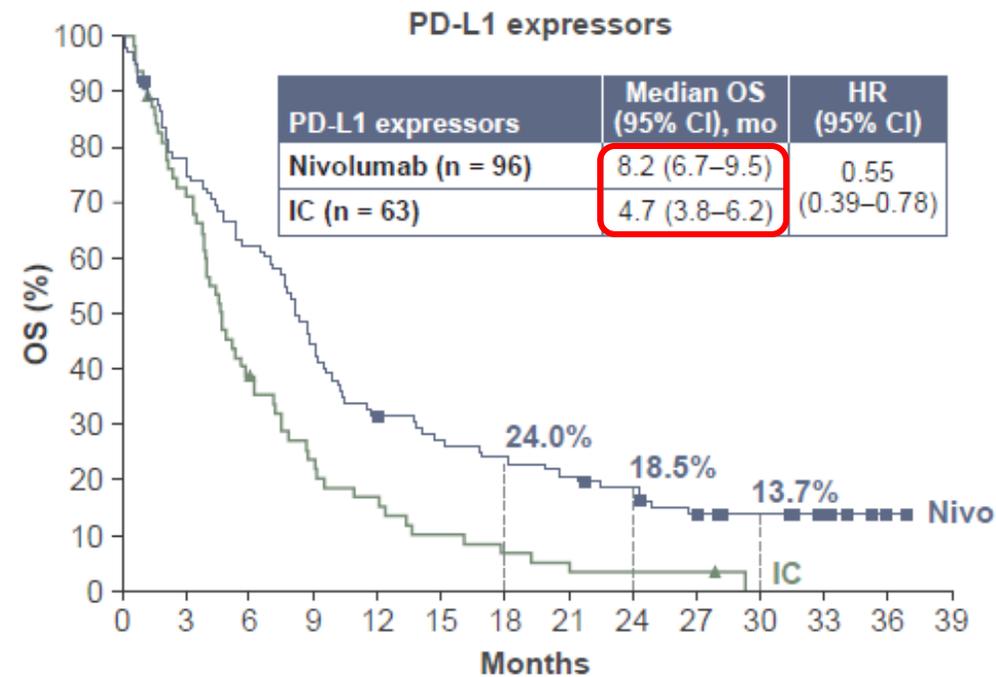
Ferris RL, et al. N Engl J Med. 2016  
Seiwert TY, et al. Lancet Oncol. 2016

# Evaluating Biomarkers in HNSCC

- Current FDA approvals of pembrolizumab and nivolumab are NOT contingent upon tumor PD-L1 status
  - KEYNOTE - 012/055: Response rates not significantly different on the basis of tumor PD-L1 staining
  - KEYNOTE - 040: Phase III pembrolizumab vs. investigator's choice chemotherapy
    - Initially did not meet survival endpoints in total population but improved outcomes in patients with PD-L1 expressing tumor
  - CheckMate 141: More benefit was seen in PD-L1-positive tumors

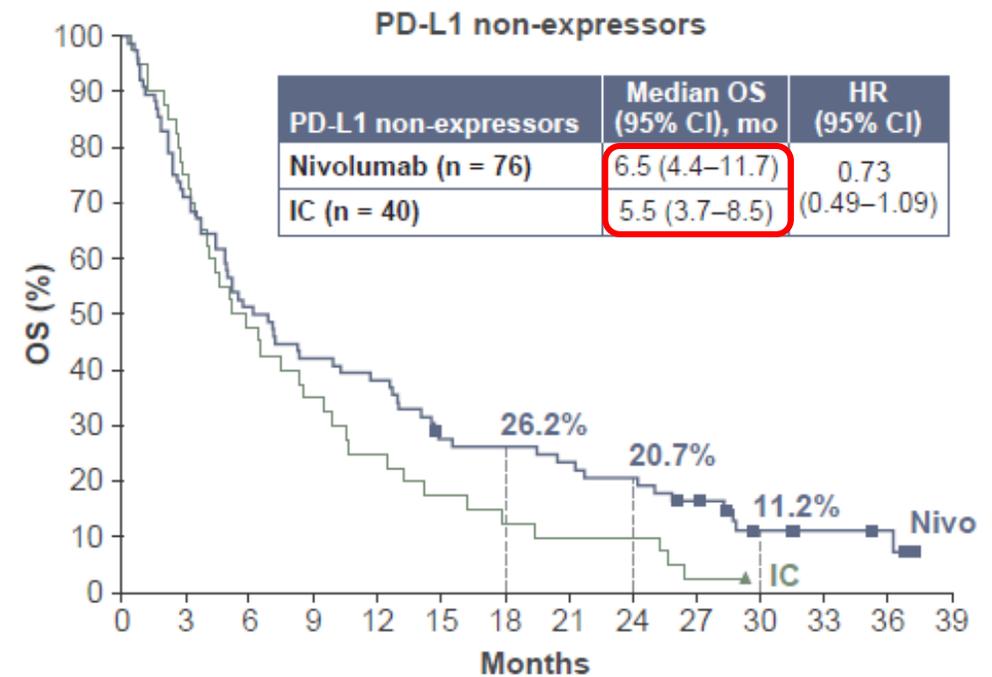
# Evaluating Biomarkers in HNSCC

## CheckMate 141: 2 year update



**No. at risk**

<b>Nivo</b>	96	74	59	42	30	25	22	19	16	11	8	5	1	0
<b>IC</b>	63	45	24	14	10	6	4	3	2	2	0	0	0	0



**No. at risk**

<b>Nivo</b>	76	54	39	32	29	20	19	17	15	11	5	4	3	0
<b>IC</b>	40	30	19	14	10	7	5	4	4	1	0	0	0	0

# Other Biomarkers in HNSCC

- PD-L1 expression on tumor cells + tumor infiltrating immune cells
- Immune Gene Expression
- Mutational Burden

# Immune-related Adverse Events

## KEYNOTE 012

**Table 2.** Treatment-Related Adverse Events by Grade Severity (all-patients-as-treated population; N = 132)

Treatment-Related Adverse Event	Grade 1 or 2 (≥ 10% of patients), No. (%)	Grade 3 (any occurrence), No. (%)	Grade 4 (any occurrence), No. (%)
Patients with ≥ 1 event	70 (53)	8 (6)	4 (3)
Hypothyroidism	14 (11)	0	0
Immune thrombocytopenic purpura	0	0	1 (1)
Abdominal pain	1 (1)	1 (1)	0
Colitis	0	1 (1)	0
Dysphagia	1 (1)	1 (1)	0
Nausea	6 (5)	1 (1)	0
Stomatitis	1 (1)	1 (1)	0
Facial edema	0	1 (1)	0
Fatigue	28 (21)	0	0
Localized edema	0	1 (1)	0
Infection	0	1 (1)	0
Decreased appetite	9 (7)	2 (2)	0
Dehydration	0	1 (1)	0
Diabetic ketoacidosis	0	0	1 (1)
Hyperglycemia	1 (1)	0	1 (1)
Type I diabetes mellitus	0	1 (1)	0
Laryngeal edema	0	0	1 (1)
Pneumonitis	2 (2)	2 (2)	0
Respiratory distress	0	1 (1)	0
Facial swelling	3 (2)	1 (1)	1 (1)

## CheckMate 141

Event	Nivolumab (N=236)	
	Any Grade	Grade 3 or 4
Any event	139 (58.9)*	31 (13.1)
Fatigue	33 (14.0)	5 (2.1)
Nausea	20 (8.5)	0
Rash	18 (7.6)	0
Decreased appetite	17 (7.2)	0
Pruritus	17 (7.2)	0
Diarrhea	16 (6.8)	0
Anemia	12 (5.1)	3 (1.3)
Asthenia	10 (4.2)	1 (0.4)
Vomiting	8 (3.4)	0
Dry skin	7 (3.0)	0
Stomatitis	5 (2.1)	1 (0.4)
Weight loss	4 (1.7)	0
Mucosal inflammation	3 (1.3)	0
Peripheral neuropathy	1 (0.4)	0
Alopecia	0	0
Neutropenia	0	0

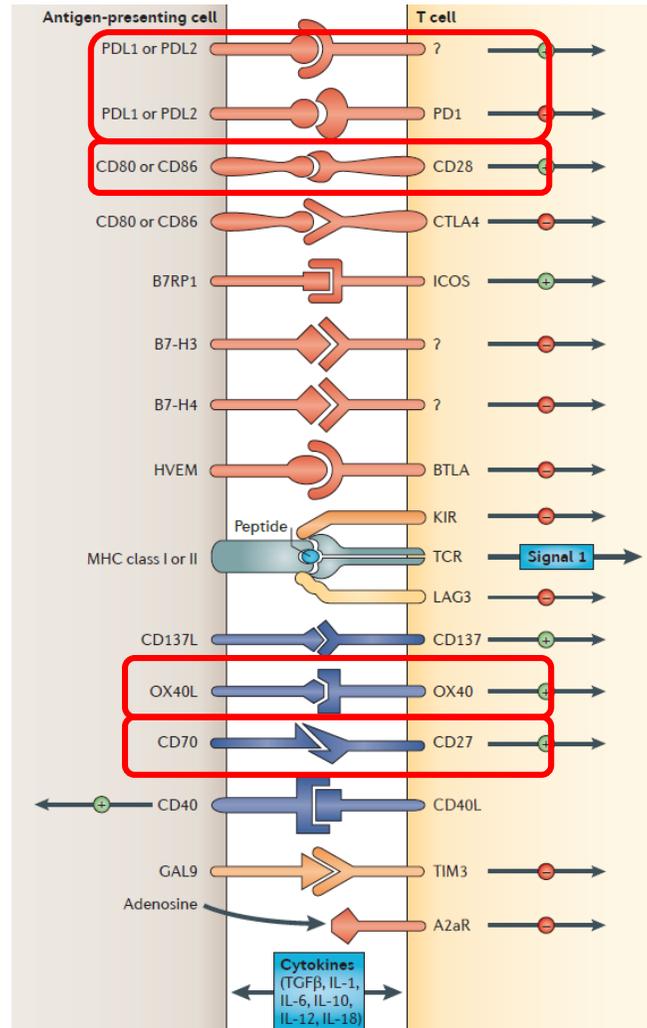
# Immune-related Adverse Events

**Table 2** General guidance for corticosteroid management of immune-related adverse events

Grade of immune-related AE (CTCAE/equivalent)	Corticosteroid management	Additional notes
1	<ul style="list-style-type: none"> <li>Corticosteroids not usually indicated</li> </ul>	<ul style="list-style-type: none"> <li>Continue immunotherapy</li> </ul>
2	<ul style="list-style-type: none"> <li>If indicated, start oral prednisone 0.5-1 mg/kg/day if patient can take oral medication.</li> <li>If IV required, start methylprednisolone 0.5-1 mg/kg/day IV</li> <li>If no improvement in 2–3 days, increase corticosteroid dose to 2 mg/kg/day</li> <li>Once improved to ≤grade 1 AE, start 4–6 week steroid taper</li> </ul>	<ul style="list-style-type: none"> <li>Hold immunotherapy during corticosteroid use</li> <li>Continue immunotherapy once resolved to ≤grade 1 and off corticosteroids</li> <li>Start proton pump inhibitor for GI prophylaxis</li> </ul>
3	<ul style="list-style-type: none"> <li>Start prednisone 1-2 mg/kg/day (or equivalent dose of methylprednisolone)</li> <li>If no improvement in 2–3 days, add additional/alternative immune suppressant</li> <li>Once improved to ≤ grade 1, start 4–6-week steroid taper</li> <li>Provide supportive treatment as needed</li> </ul>	<ul style="list-style-type: none"> <li>Hold immunotherapy; if symptoms do not improve in 4–6 weeks, discontinue immunotherapy</li> <li>Consider intravenous corticosteroids</li> <li>Start proton pump inhibitor for GI prophylaxis</li> <li>Add PCP prophylaxis if more than 3 weeks of immunosuppression expected (&gt;30 mg prednisone or equivalent/day)</li> </ul>
4	<ul style="list-style-type: none"> <li>Start prednisone 1-2 mg/kg/day (or equivalent dose of methylprednisolone)</li> <li>If no improvement in 2–3 days, add additional/alternative immune suppressant, e.g., infliximab</li> <li>Provide supportive care as needed</li> </ul>	<ul style="list-style-type: none"> <li>Discontinue immunotherapy</li> <li>Continue intravenous corticosteroids</li> <li>Start proton pump inhibitor for GI prophylaxis</li> <li>Add PCP prophylaxis if more than 3 weeks of immunosuppression expected (&gt;30 mg prednisone or equivalent/day)</li> </ul>

Puzanov Journal for ImmunoTherapy of Cancer 2017

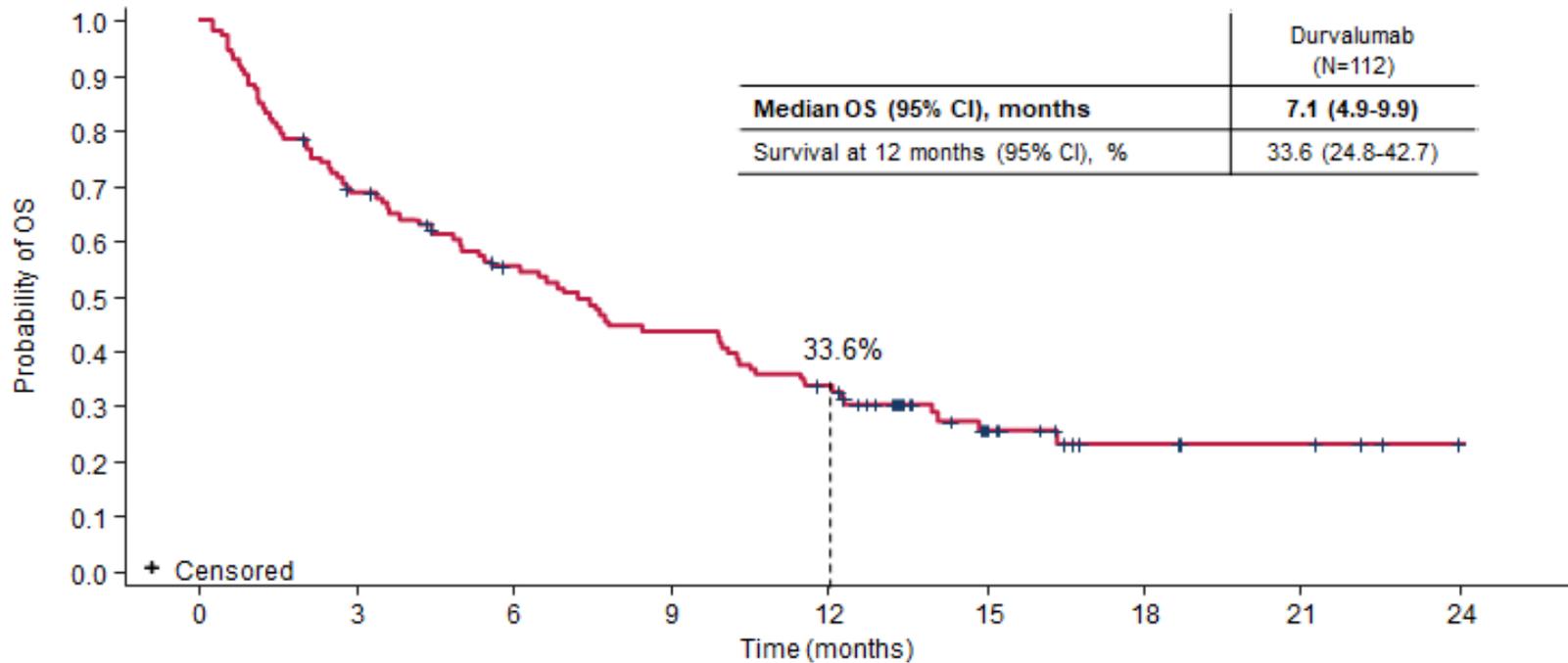
# Developmental Immunotherapies for HNSCC



Pardoll DM Nature 2012

- Durvalumab, atezolizumab, avelumab, CK-301 (anti-PD-L1)
- Cemiplimab (anti-PD-1)
- Ipilimumab, tremelimumab (anti-CTLA-4)
- Varlilumab (anti-CD27)
- PF-04518600, tavolimab (anti-OX40)

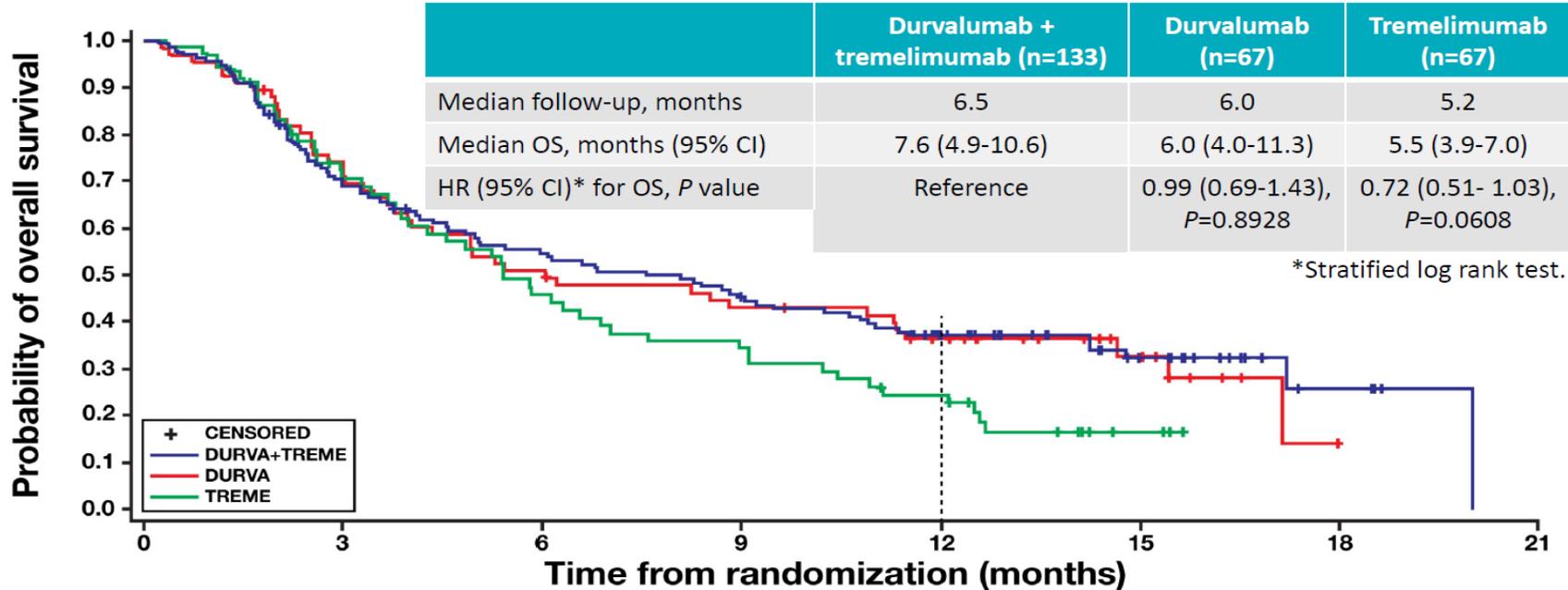
# Hawk Trial: Durvalumab in PD-L1 high R/M HNSCC after failure of platinum based therapy *Overall Survival*



	N=111
ORR, n (%)	18 (16.2 [95% CI, 9.90-24.41])
DCR at 24 weeks n (%)	26 (23.4)

# Condor Trial: Durvalumab + Tremelimumab in PD-L1 low R/M HNSCC after failure of platinum based therapy: Overall Survival

## Overall Survival



	Durvalumab + tremelimumab (n=129)	Durvalumab (n=65)	Tremelimumab (n=63)
ORR, % (n) [95% CI]	7.8 (10) [3.8–13.8]	9.2 (6) [3.5–19.0]	1.6 (1) [0.04–8.5]
Odds ratio (95% CI), P-value	Reference	0.83 (0.29–2.53), P=0.728	5.21 (0.96–96.70), P=0.056
Complete response, n	0	0	0
Partial response, n	10	6	1

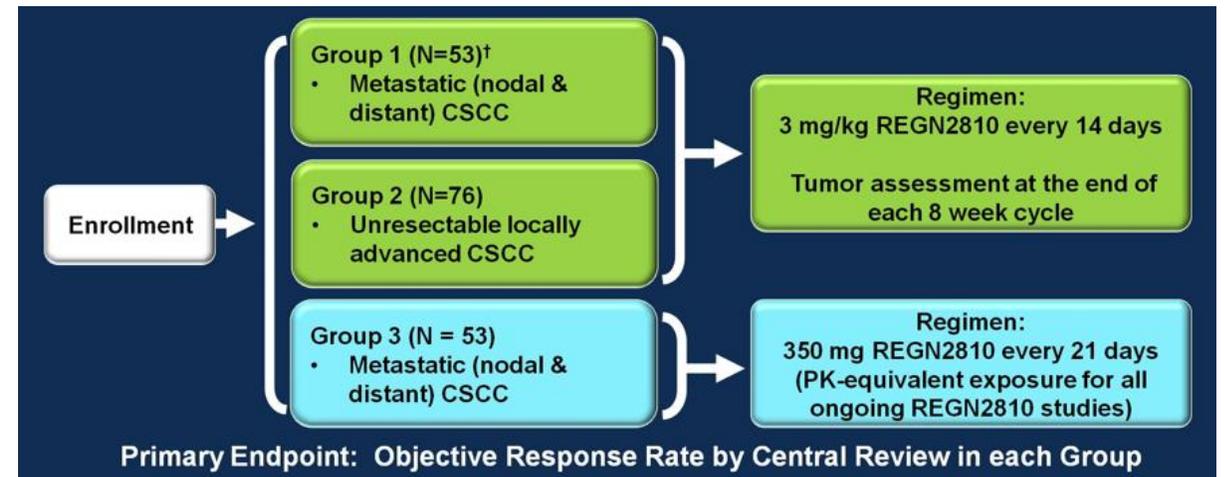
# Developmental Immunotherapies for HNSCC

Cemiplimab (REGN2810) for treatment of patients with cutaneous squamous cell carcinoma (cSCC)

FDA approved – 09/28/2018

- Patients with metastatic cSCC
- Patients with locally advanced cSCC who are not candidates for radiation or surgery

NCT02760498



- ORR 46% in 82 patients in study
- Responses durable, median DOR not reached

# Developmental Immunotherapies for HNSCC

## KEYNOTE – 048 (NCT02358031)

### Key Eligibility Criteria

- R/M SCCHN of the oropharynx, oral cavity, hypopharynx, or larynx considered incurable by local therapies
- No prior systemic therapy in the R/M setting
- ECOG 0-1
- Results from HPV testing (oropharyngeal)
- Tissue for PD-L1 biomarker analysis



**Pembrolizumab**  
200 mg IV Q3W

Vs.

**Pembrolizumab +  
Platinum + 5-FU**

Vs.

**Cetuximab + Platinum  
+ 5-FU**

### Primary endpoint

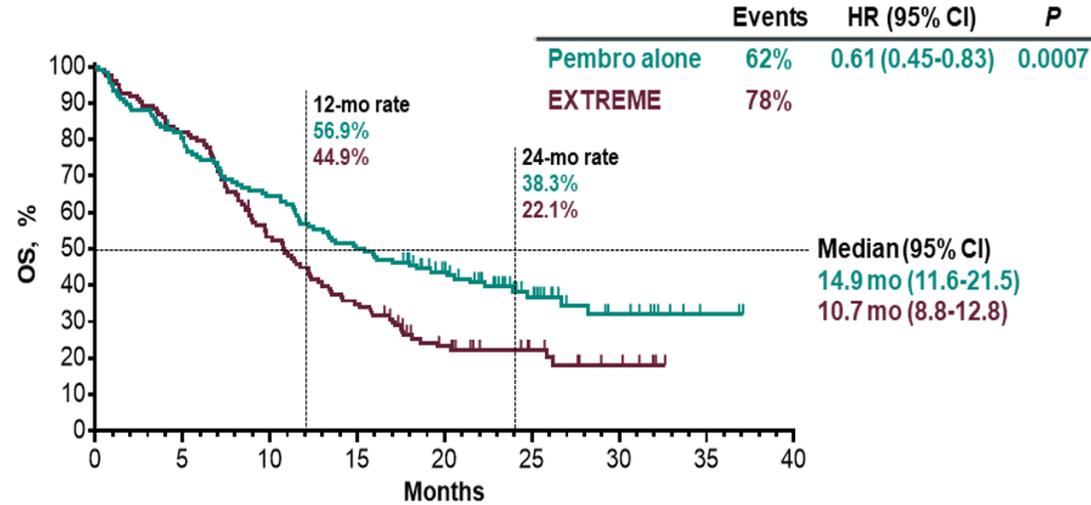
- PFS
- OS

### Other endpoints

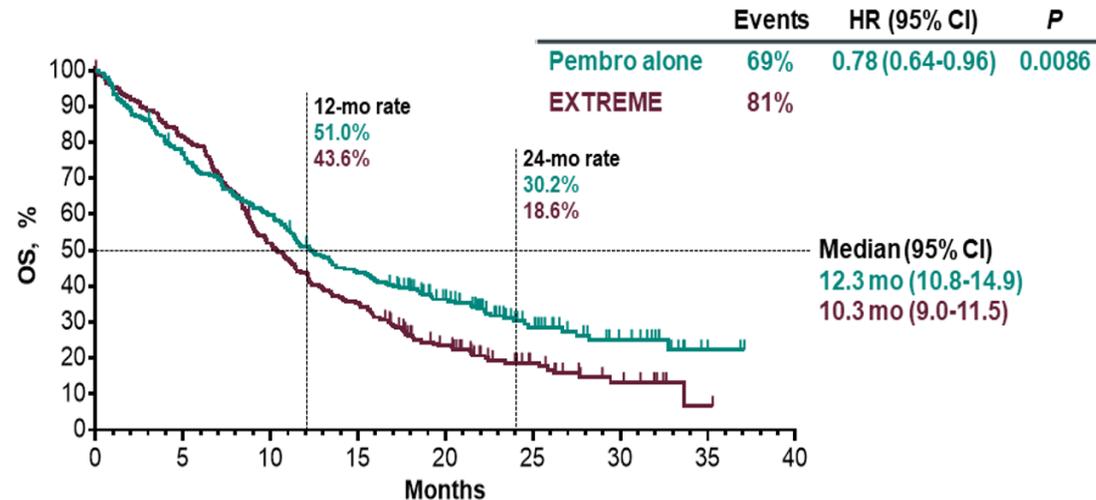
- PFS at 6 months
- ORR
- Biomarkers
- Quality of life

# Keynote 048: Overall Survival

## Overall Survival: P vs E, CPS ≥20 Population

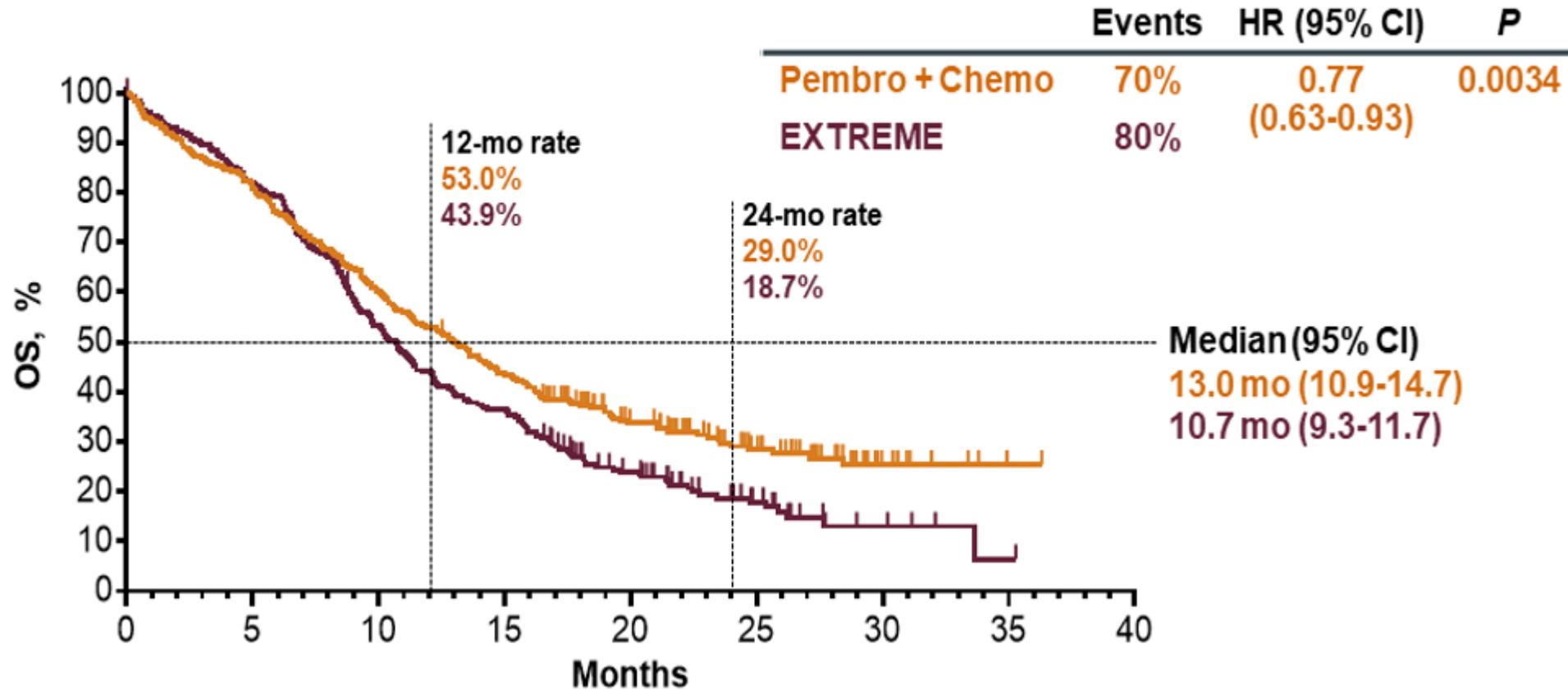


## Overall Survival: P vs E, CPS ≥1 Population



# Keynote 048: Overall Survival

## Overall Survival: P+C vs E, Total Population



# Developmental Immunotherapies for HNSCC

## MASTERKEY 232/KEYNOTE-137

- Talimogene laherparepvec (T-Vec)
  - Genetically engineered herpes virus
- T-Vec  $10^6$  PFU/mL intratumoral injection followed by  $10^8$  PFU/mL Q3W
- Pembrolizumab 200 mg IV Q3W
- Eligibility:
  - R/M HNSCC not suitable for curative therapy
  - Progressed after platinum treatment
  - At least 1 injectable cutaneous, subcutaneous, or nodal tumor  $\geq 10$  mm in longest diameter

# Conclusions

1. Chemotherapy offers short survival with many side effects
2. PD-1 antibodies nivolumab and pembrolizumab are approved in *platinum-refractory* recurrent / metastatic HNSCC.
3. Most patients have fewer side effects on PD-1 Abs than on chemotherapy
4. Clinical trials are underway to improve immunotherapy response rates and testing immunotherapy in other settings

# Case Study 1

- The patient is a 65 yo W male with pmh for Htn and DM presents for recurrent/metastatic oral cavity SCC.
  - Initial presentation with T2N2bM0 SCC of the right oral tongue s/p resection and adjuvant CRT (+ENE) completed in Feb 2016
  - Presents in June of 2018 with recurrence in the left neck and pulmonary metastasis
  - Started on Carbo/5FU/Cetuximab s/p 6 cycles with progression of disease
- What would you recommend as the best next therapy?
  - A. Pembrolizumab plus paclitaxel
  - B. Nivolumab
  - C. Cetuximab plus Nivolumab
  - D. Docetaxel

# Case Study 1 (continued)

- Patient is initiated on Nivolumab with imaging showing response after 3 months of therapy. The patient continues on Nivolumab and then presents for his 5<sup>th</sup> dosage with complaints of diarrhea
  - 8 loose BMs per day
  - Possible sick contact at a family get together a week before
  - Some lightheadedness/dizziness
  - BP 90/60 (normally 130-140/80), P: 110
  - PE: Gen- NAD, Abd – soft, mild LLQ tenderness, +BS, ND
  - Labs: K= 2.7, Cr = 2.2

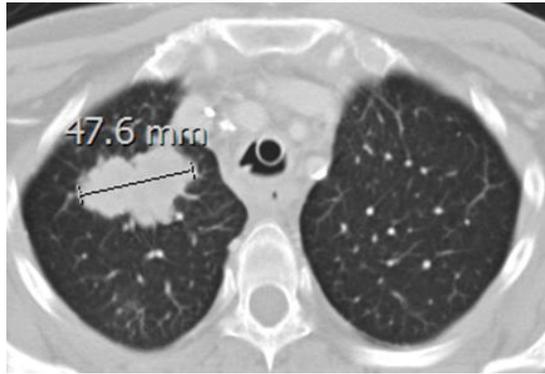
# Case Study 1 (continued)

- What would you recommend as the next step for this patient
  - A. Give Nivolumab as an outpatient then admit for IVF and work up for diarrhea
  - B. Give Nivolumab as an outpatient then admit for work up for diarrhea and start steroids 1mg/kg daily.
  - C. Hold Nivolumab as an outpatient, admit for IVF and work up, start steroids 1mg/kg daily
  - D. Hold Nivolumab as an outpatient, admit for IVF and work up, start steroids 1mg/kg daily and then give Nivolumab as an inpatient with close monitoring.

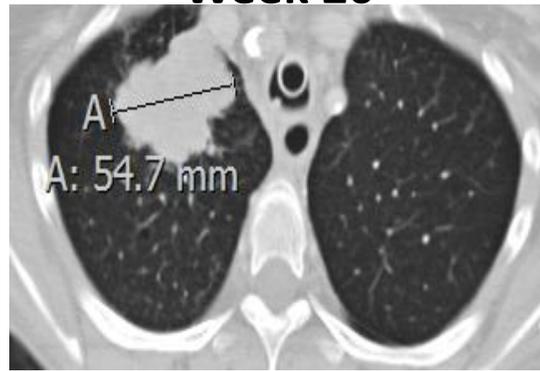
# Patient Case Study 2

## Durvalumab in HNSCC

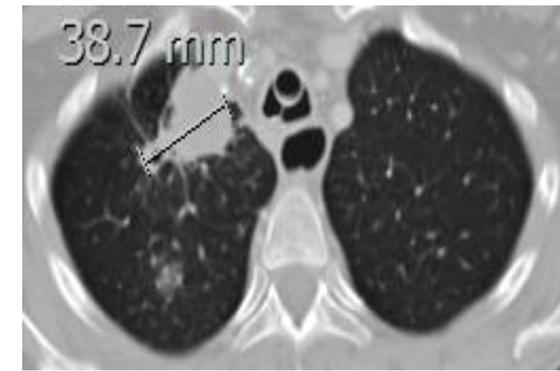
**Baseline**



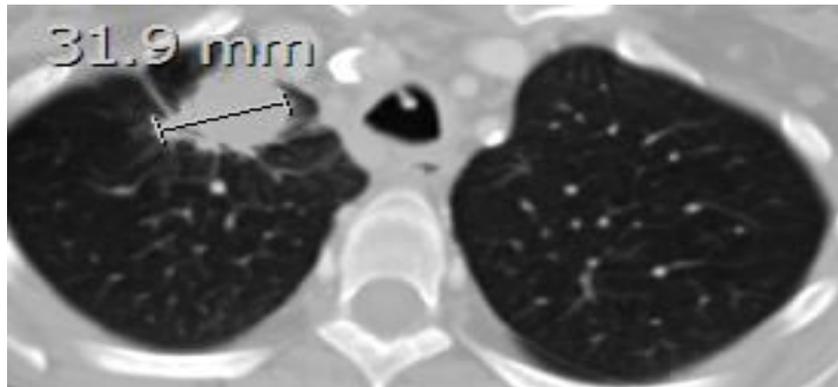
**Week 20**



**End of Treatment - Month 12**



**Post Treatment – Month 27**



**Post Treatment – Month 43**

