

# Immunotherapy for the Treatment of Head and Neck Cancer

Varinder Kaur, MD
Assistant Professor
University of Virginia
Head-Neck and Melanoma Oncology













### Disclosures

- No relevant financial relationships to disclose
- I will be discussing non-FDA approved indications during my presentation.









### Outline

- Approved immunotherapies in head and neck cancers
- Biomarkers and immunotherapy responsiveness
- Unique considerations for head and neck cancers
- Future directions



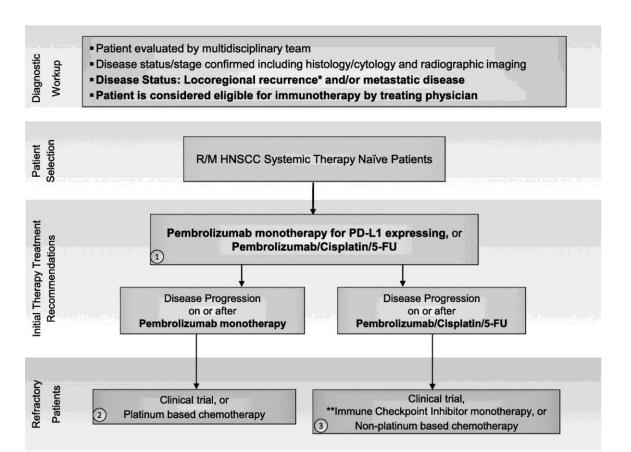








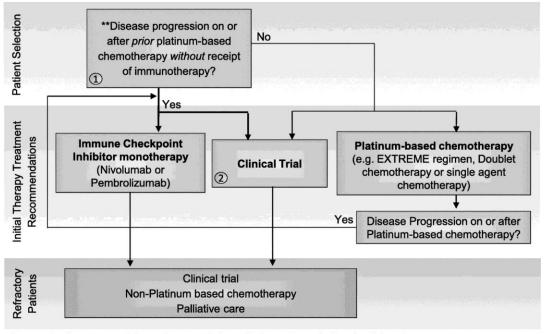
# Immunotherapy in head and neck cancer treatment



<sup>\*</sup>Locoregional recurrence without salvage surgical or radiation option or declines local therapies

Diagnostic Workup

- Patient evaluated by multidisciplinary team and is eligible for immunotherapy
- Disease status/stage confirmed including histology/cytology and radiographic imaging
- Disease Status: Locoregional recurrence\* and/or metastatic disease
- Patient is considered eligible for immunotherapy by treating physician



<sup>\*</sup>Locoregional recurrence without salvage surgical or radiation option or declines local therapies

<sup>\*\*</sup>Disease Progression on or after Platinum-Based Therapy: Disease progression on or after platinum-based therapy including within 6 months of platinum-based CRT given in the locally advanced setting. Patients that receive but cannot tolerate platinum-based chemotherapy would also be included in this category.

HNSCC: head and neck squamous cell carcinoma









<sup>\*\*</sup>Refer to Figure 2. Initial Therapy Treatment Recommendations: Immune Checkpoint Inhibitor monotherapy (nivolumab or pembrolizumab)



# Approved checkpoint inhibitors in head and neck cancers

Drug	Approved	Indication	Dose	
Pembrolizumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	200 mg Q3W or 400 mg Q6W	
Nivolumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	240 mg Q2W or 480 mg Q4W	
Pembrolizumab + platinum + fluorouracil	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – all patients	200 mg Q3W or 400 mg Q6W	
Pembrolizumab	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line − PD-L1 CPS ≥ 1	200 mg Q3W or 400 mg Q6W	











### Clinical trials in HNSCC

Trial	Patient selection criteria	Treatment arm(s)	N	ORR	Median PFS (months)	Median OS (months)
	Untreated R/M HNSCC (total population)	Pembrolizumab	301	16.9%	2.3	11.5
		Pembrolizumab + chemo	281	36%	4.9	13.0
		Cetuximab + chemo	300	36.0%	5.2	10.7
KEYNOTE-012	R/M HNSCC	Pembrolizumab	192	18% (PD-L1+: 21%, PD-L1-: 6%)	2.1	8
CheckMate 141	R/M HNSCC with progression on platinum	Nivolumab	240	13.1% (PD-L1+: 17.7%, PD-L1-: 11.8%)	2.0	7.7
		Investigator's choice	121	5.8%	2.3	5.1
KEYNOTE-040	R/M HNSCC with progression on platinum	Pembrolizumab	247	14.6%	2.1	8.4
		Investigator's choice	248	10.1%	2.3	6.9



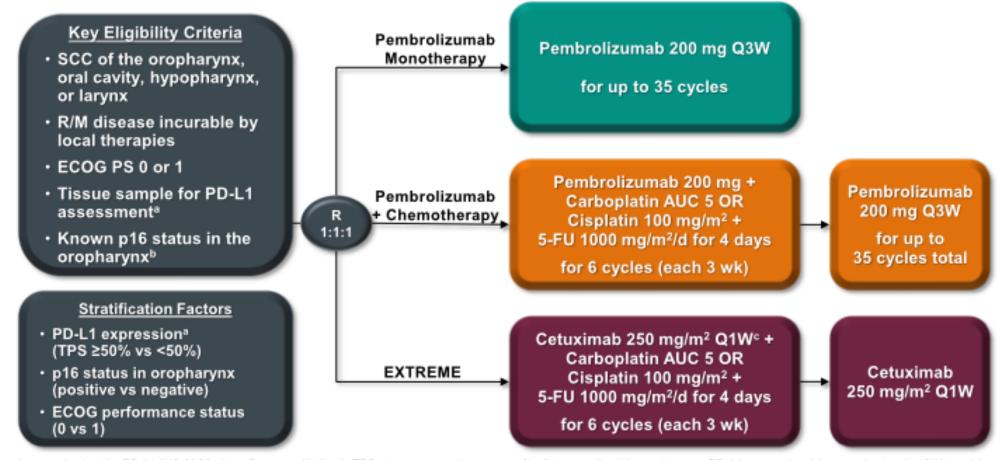








# KEYNOTE-048: Pembrolizumab +/Chemotherapy in newly diagnosed R/M HNSCC



"Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. "Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. "Following a loading dose of 400 mg/m².



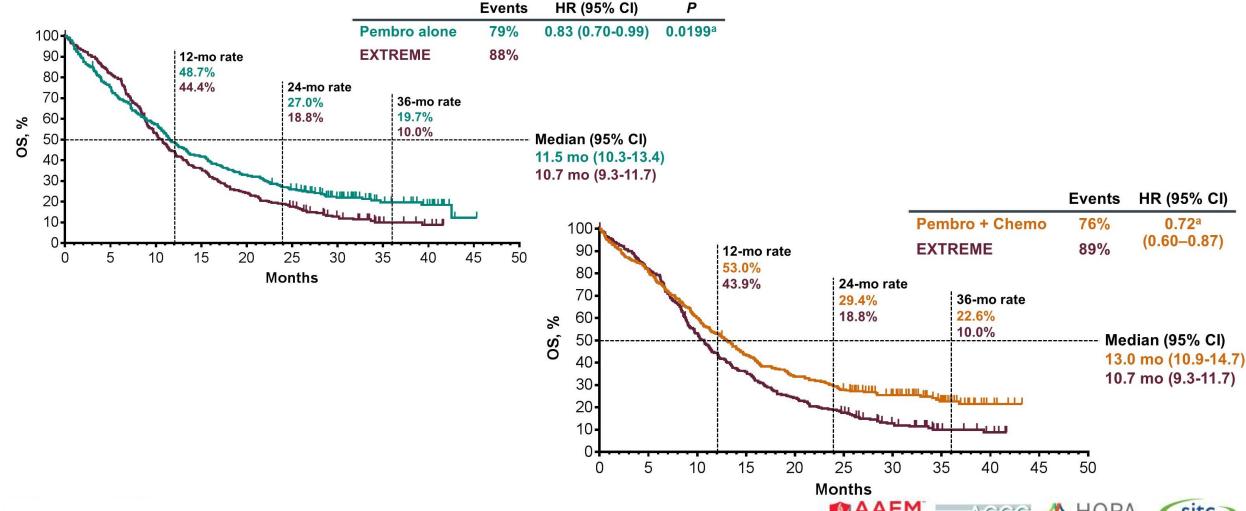








## KEYNOTE-048: Overall survival in the total population



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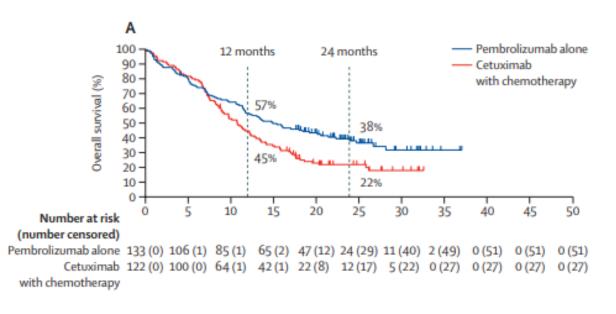




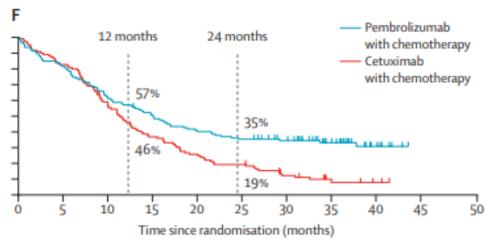


# KEYNOTE-048: Overall survival in the PD-L1 positive population

#### PD-L1 CPS ≥1



#### PD-L1 CPS ≥1



126 (0) 102 (0) 77 (0) 60 (1) 50 (1) 44 (1) 36 (8) 21 (22) 4 (38) 0 (42) 0 (42)

110 (0) 91 (0) 60 (1) 40 (1) 26 (1) 19 (2) 11 (4) 4 (8) 1 (11) 0 (12) 0 (12)











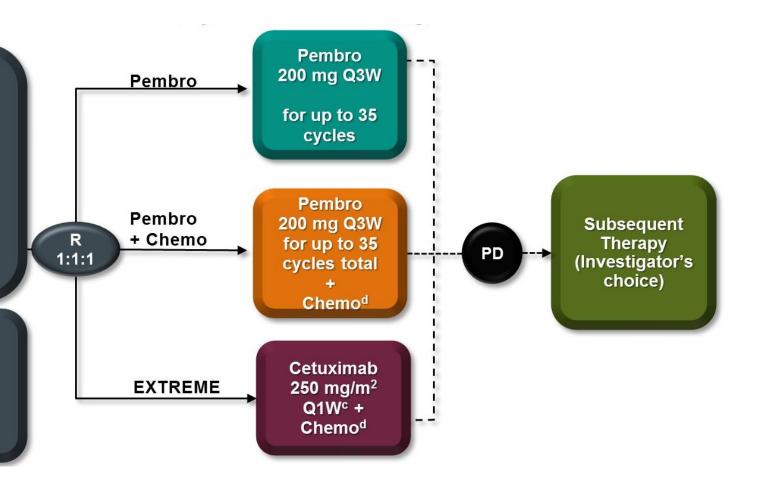
# KEYNOTE-048: Outcomes on subsequent therapy

#### Key Eligibility Criteria

- SCC of the oropharynx, oral cavity, hypopharynx, or larynx
- R/M disease incurable by local therapies
- ECOG PS 0 or 1
- Tissue sample for PD-L1 assessment<sup>a</sup>
- Known p16 status in the oropharynx<sup>b</sup>

#### **Stratification Factors**

- PD-L1 expression<sup>a</sup> (TPS ≥50% vs <50%)
- p16 status in oropharynx (positive vs negative)
- ECOG performance status (0 vs 1)







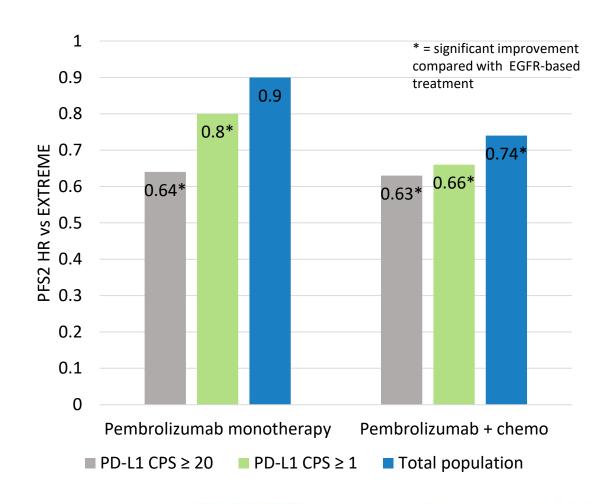






# KEYNOTE-048: Outcomes on subsequent therapy

- After progression, most common next treatment was a chemotherapy regimen
- PFS2: Progression-free survival on second treatment (after progression on KEYNOTE-048 treatment)
- Benefits seen for patients who received pembrolizumab regimens up-front
- Provides support to use of immunotherapy in front-line setting













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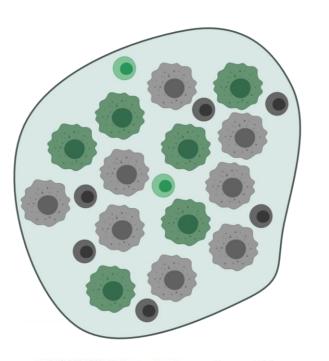




#### PD-L1: TPS vs CPS

$$TPS = \frac{\text{\# of PD-L1 positive tumor cells}}{number of viable tumor cells} \times 100$$

$$CPS = \frac{\# \ of \ PD-L1 \ positive \ cells \ (tumor \ cells, lymphocytes, macrophages)}{total \ number \ of \ tumor \ and \ immune \ cells} \times 100$$



- PD-L1-positive immune cell
- PD-L1-negative immune cell
- PD-L1-positive tumor cell
- PD-L1-negative tumor cell

$$TPS = \frac{6 \text{ positive tumor cells}}{14 \text{ total tumor cells}} \times 100 = 43$$

$$CPS = \frac{6 \text{ positive tumor cells+2 positive immune cells}}{22 \text{ total cells}} \times 100 = 36$$











## Impact of PD-L1 in HNSCC

#### PD-L1 CPS

- KEYNOTE-048
  - First-line treatment
  - Approval of pembrolizumab monotherapy: CPS > 1
- KEYNOTE-040
  - After platinum
  - Improved outcomes in PD-L1positive patients (by CPS ≥ 1), no significance in total population

#### PD-L1 TPS

- CheckMate 141
  - After platinum
  - Greatest benefit seen for PD-L1positive tumors (TPS > 1%), but benefit regardless
- KEYNOTE-012
  - Second-line treatment
  - Higher response rate with PD-L1 CPS-positive tumors
  - No difference for PD-L1-positive tumors by TPS





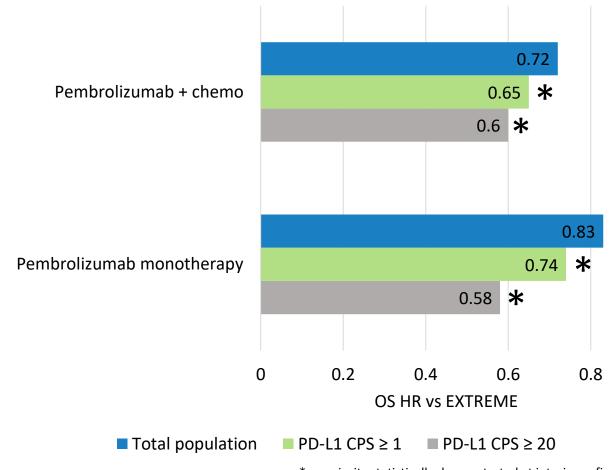






## KEYNOTE-048: Outcomes by PD-L1 status

- Greatest benefits seen in tumors with highest PD-L1 expression
- Approval requires PD-L1 expression (CPS) only for monotherapy
- For total population, only pembrolizumab + chemotherapy should be considered, not monotherapy



\*superiority statistically demonstrated at interim or final analysis





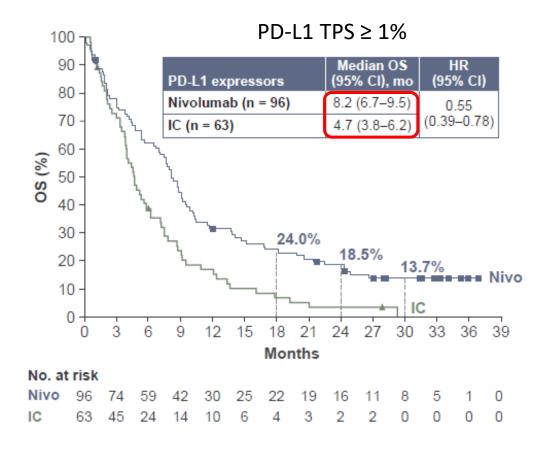


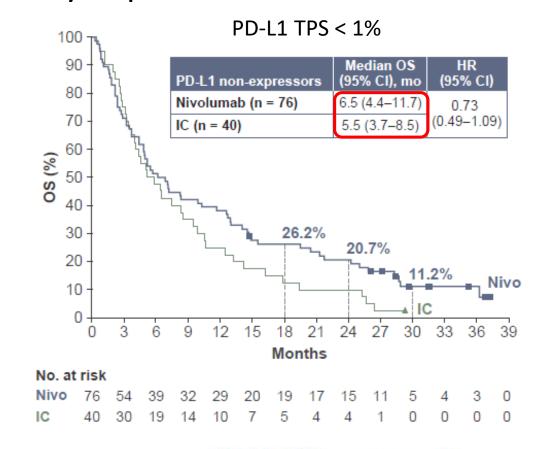




# CheckMate 141: Outcomes by PD-L1 status

#### **CheckMate 141: 2 year update**















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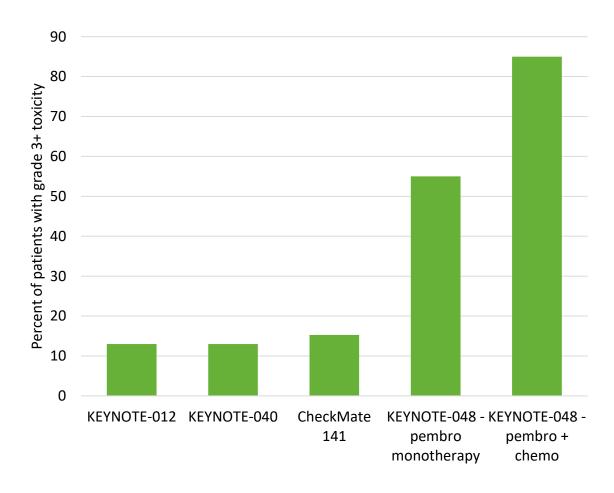






# Toxicities in head and neck cancer patients

- Patients typically receive aggressive radiation treatment, with accompanying side effects
- Radiation in combination with chemotherapy, immunotherapy and/or surgery can further complicate toxicity profiles
- While combinations may have higher response rates, also have higher toxicity rates







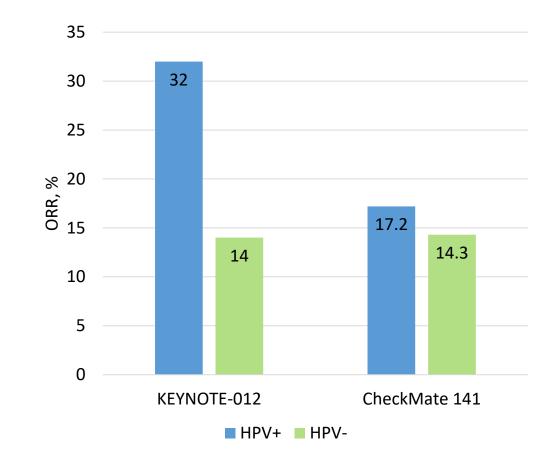






#### Viral infections in HNSCC

- Virally-associated cancers are biologically and clinically distinct
  - Human papillomavirus associated with oropharynx cancer
  - Epstein Barr virus associated with nasopharyngeal cancer
- Evidence that HPV+ tumors may perform better, but there is benefit with immunotherapy regardless of HPV status













## Combination immune checkpoint inhibition in HNSCC – *limited success to date*

Trial	Patient population	Treatment arms	ORR	Median OS (months)	Landmark OS
	R/M HNSCC after platinum	Durvalumab	17.9%	7.6	24-months: 18.4%
		Durvalumab + tremelimumab	18.2%	6.5	24-months: 13.3%
		SoC	17.3%	8.3	24-months: 10.3%

Trial	Patient population	Treatment arms	Expected study completion	
KESTREL	Untreated HNSCC	Durvalumab	February 2021	
		Durvalumab + tremelimumab		
		SoC		
CheckMate 714	Platinum-refractory HNSCC	Nivolumab + ipilimumab	January 2024	
		Nivolumab		
CheckMate 651	Untreated HNSCC	Nivolumab + ipiliumumab	February 2026	
		EXTREME regimen		











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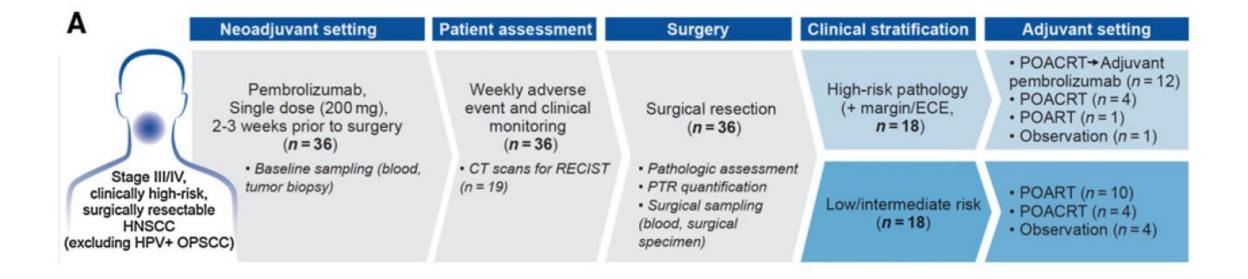








## In development: Oral cavity cancer







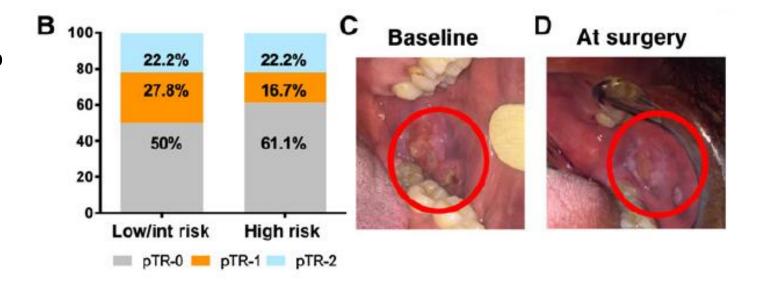






## In development: Oral cavity cancer

- No serious AEs or unexpected surgical complications/delays
- pTR-2: 22%
- pTR-1: 22%
- 1-year relapse rate: 16.7%











# In development: Checkpoint inhibitors + radiotherapy as primary therapy

- NCT03247712: neoadjuvant nivolumab + SBRT
  - Phase I
  - Decreased tumor size prior to surgery; high pathologic CR rate
- KEYNOTE-412: pembrolizumab + chemoradiation
  - Phase III
  - Safety confirmed, estimated completion 2021
- JAVELIN Head and Neck 100: avelumab + chemoradiation
  - Phase III trial terminated in early 2020, due to likelihood of limited efficacy
- REACH: avelumab + cetuximab + radiotherapy
  - Phase III
  - Safety confirmed, estimated completion 2027











## In development: cetuximab + pembrolizumab for recurrent metastatic disease

- Cetuximab and pembrolizumab are both approved as monotherapies for HNSCC
- Phase II trial testing cetuximab + pembrolizumab:
  - Platinum refractory or ineligible disease
  - ORR: 45%
  - Median OS: 18.4 months
  - Safety profile consistent with individual drugs











# In development: Selected ongoing combination trials

Trial	Patient population	Treatment arms	Targets	Expected study completion	
LEAP-010	Untreated recurrent/ metastatic PD-L1+ HNSCC (CPS ≥ 1)	Pembrolizumab + lenvatinib	PD-1 + multikinase inhibitor	April 2024	
		Pembrolizumab	PD-1		
meta	Untreated recurrent/ metastatic PD-L1+	Pembrolizumab + GSK609	PD-1 + ICOS	July 2023	
	HNSCC (CPS $\geq$ 1)	Pembrolizumab	PD-1		
NCT02643550	HNSCC after 1-2 therapies, including progression on Pt	Monalizumab + cetuximab	NKG2A + EGFR	Phase 1/2: 2021 Phase 3: planned	











### Conclusions

- Cytotoxic chemotherapy achieves limited survival in HNSCC with unfavorable side effects.
- Checkpoint inhibitors that target the PD-1 axis, nivolumab and pembrolizumab, are approved in platinum-refractory/exposed recurrent/metastatic HNSCC.
- Nivolumab and pembrolizumab are in general better tolerated than cytotoxic chemotherapy.
- Ongoing areas of research include combinations of immunotherapy with radiation and/or other drugs and development of predictive biomarkers.











#### Resources



Cohen et al. Journal for ImmunoTherapy of Cancer https://doi.org/10.1186/s40425-019-0662-5 (2019) 7:184

Journal for ImmunoTherapy of Cancer

#### **POSITION ARTICLE AND GUIDELINES**

**Open Access** 

The Society for Immunotherapy of Cancer consensus statement on immunotherapy for the treatment of squamous cell carcinoma of the head and neck (HNSCC)



Ezra E. W. Cohen<sup>1</sup>, R. Bryan Bell<sup>2</sup>, Carlo B. Bifulco<sup>2</sup>, Barbara Burtness<sup>3</sup>, Maura L. Gillison<sup>4</sup>, Kevin J. Harrington<sup>5</sup>, Quynh-Thu Le<sup>6</sup>, Nancy Y. Lee<sup>7</sup>, Rom Leidner<sup>2</sup>, Rebecca L. Lewis<sup>8</sup>, Lisa Licitra<sup>9</sup>, Hisham Mehanna<sup>10</sup>, Loren K. Mell<sup>1</sup>, Adam Raben<sup>11</sup>, Andrew G. Sikora<sup>12</sup>, Ravindra Uppaluri<sup>13</sup>, Fernanda Whitworth<sup>14</sup>, Dan P. Zandberg<sup>8</sup> and Robert L. Ferris<sup>8\*</sup>











## Case Studies













A 62 year old female presents with worsening right sided oral pain. Of note, she was diagnosed with a pT4apN2bcM0 squamous cell carcinoma of right hard palate in 11/2019 for which she underwent anterior maxillectomy, bilateral neck dissection and reconstruction, followed by adjuvant radiotherapy (completed 02/2020). Physical examination shows a large oro-nasal fistula with nodular tissue on the anterior edge and marked right sided trismus. A CT soft tissue neck shows "approx. 3.5 cm nodular lesion within the right maxillectomy resection cavity and enlarged right level V cervical node". A CT chest showed no evidence of thoracic metastasis. A biopsy of the nodular lesion shows "squamous cell carcinoma". She has met with otolaryngology and her tumor has been deemed unresectable. She presents to your office to discuss treatment options?

- 1. What of the following additional testing should you obtain next?
  - A. PET-CT
  - B. Combined prognostic score testing
  - C. Audiology testing
  - D. HPV-DNA testing of tumor











A 62 year old female presents with worsening right sided oral pain. Of note, she was diagnosed with a pT4apN2bcM0 squamous cell carcinoma of right hard palate in 11/2019 for which she underwent anterior maxillectomy, bilateral neck dissection and reconstruction, followed by adjuvant radiotherapy (completed 02/2020). Physical examination shows a large oro-nasal fistula with nodular tissue on the anterior edge and marked right sided trismus. A CT soft tissue neck shows "approx. 3.5 cm nodular lesion within the right maxillectomy resection cavity and enlarged right level V cervical node". A CT chest showed no evidence of thoracic metastasis. A biopsy of the nodular lesion shows "squamous cell carcinoma". She has met with otolaryngology and her tumor has been deemed unresectable. She presents to your office to discuss treatment options?

- 1. What of the following additional testing should you obtain next?
  - A. PET-CT (Incorrect- A CT soft tissue neck and CT chest will be sufficient staging imaging, thus PET-CT is not needed at this time)
  - B. Combined prognostic score testing (Correct- This will be needed to decide first line therapy)
  - C. Audiology testing (Incorrect- Is not needed at this time as this will not help decide first line therapy)
  - D. HPV-DNA testing of tumor (Incorrect- HPV testing is not required for oral cavity HNSCC, does not add prognostic information or help decide first line therapy")











A CPS score testing is obtained and shows a CPS score of 0

- 1. Which of the following treatment options would you recommend for her?
  - A. Concurrent chemo-radiotherapy
  - B. Carboplatin, 5-fluorouracil and pembrolizumab
  - C. Pembrolizumab monotherapy
  - D. Carboplatin, 5-fluorouracil and cetuximab

This patient received carbo-5FU-pembrolizumab therapy, and attained a partial response after 2 cycles. She is currently on cycle 5 of this regimen and tolerating it well











A CPS score testing is obtained and shows a CPS score of 0

- 1. Which of the following treatment options would you recommend for her?
  - A. Concurrent chemo-radiotherapy (Incorrect- patient has already received adjuvant RT therefore re-irradiation will have significant toxicity)
  - B. Carboplatin, 5-fluorouracil and pembrolizumab (Correct- given no PD-L1, chemotherapy plus pembrolizumab should be the preferred therapy)
  - C. Pembrolizumab monotherapy (Incorrect- For tumors with no PD-L1 expression, pembrolizumab monotherapy is inferior )
  - D. Carboplatin, 5-fluorouracil and cetuximab (Incorrect- KEYNOTE048 showed superior efficacy of carbo-5FU-pembrolizumab regimen over EXTREME regimen)

This patient received carbo-5FU-pembrolizumab therapy, and attained a partial response after 2 cycles. She is currently on cycle 5 of this regimen and tolerating it well











A 55 year old male was diagnosed with HPV associated cT4N1M0 squamous cell carcinoma of right base of tongue in 12/2020. He completed definitive chemo-radiotherapy (with high dose cisplatin) on 01/31/2021. His post-treatment PET-CT performed showed a partial response in the oropharyngeal tumor, resolution of cervical adenopathy, but interval development of several FDG avid mediastinal nodes as well as bilateral lung nodules. A biopsy of the most accessible lung lesion shows squamous cell carcinoma. CPS score is 0.











## Instructions - Case Study 1

Raise your hand or give me a thumbs up to indicate you would select option A or B

Option A) Nivolumab Monotherapy

Option B) Carboplatin, 5-fluorouracil and pembrolizumab











### Instructions - Case Study 1

Raise your hand or give a thumbs up to indicate you would select option A or B

Option A would be most appropriate

Either monotherapy with Nivolumab or Pembrolizumab would be the most appropriate therapy given platinum refractory disease, based on results of CheckMate 141 and KEYNOTE040 trials, respectively











#### Thank you







