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# Immunotherapy for the Treatment of Triple Negative Breast Cancer

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# Disclosures

- I have nothing to disclose.
- I will be discussing non-FDA approved indications during my presentation.

# Triple negative breast cancer (TNBC)

- Standard-of-care treatment usually involves surgery, chemotherapy, radiation.
- TNBC accounts for 10-20%.
- Overall survival 12-18 months.
- Application of immunotherapy is still in early stages.

Estimated new cases



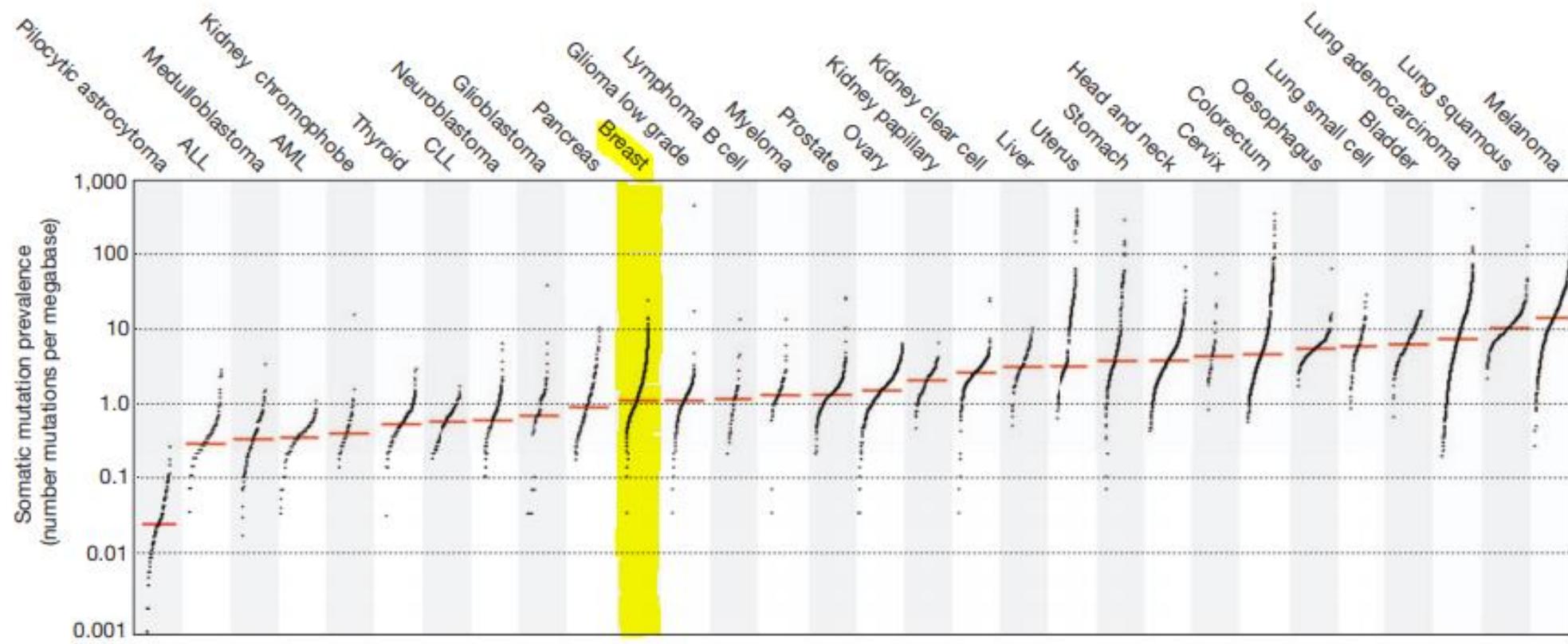
| Female                |         |     |
|-----------------------|---------|-----|
| Breast                | 281,550 | 30% |
| Lung & bronchus       | 116,660 | 13% |
| Colon & rectum        | 69,980  | 8%  |
| Uterine corpus        | 66,570  | 7%  |
| Melanoma of the skin  | 43,850  | 5%  |
| Non-Hodgkin lymphoma  | 35,930  | 4%  |
| Thyroid               | 32,130  | 3%  |
| Pancreas              | 28,480  | 3%  |
| Kidney & renal pelvis | 27,300  | 3%  |
| Leukemia              | 25,560  | 3%  |
| All sites             | 927,910 |     |

Estimated deaths



| Female                         |         |     |
|--------------------------------|---------|-----|
| Lung & bronchus                | 62,470  | 22% |
| Breast                         | 43,600  | 15% |
| Colon & rectum                 | 24,460  | 8%  |
| Pancreas                       | 22,950  | 8%  |
| Ovary                          | 13,770  | 5%  |
| Uterine corpus                 | 12,940  | 4%  |
| Liver & intrahepatic bile duct | 9,930   | 3%  |
| Leukemia                       | 9,760   | 3%  |
| Non-Hodgkin lymphoma           | 8,550   | 3%  |
| Brain & other nervous system   | 8,100   | 3%  |
| All sites                      | 289,150 |     |

# Immunotherapy in breast cancer



- TNBC is heterogeneous and chemotherapy alone is inadequate for many patients.

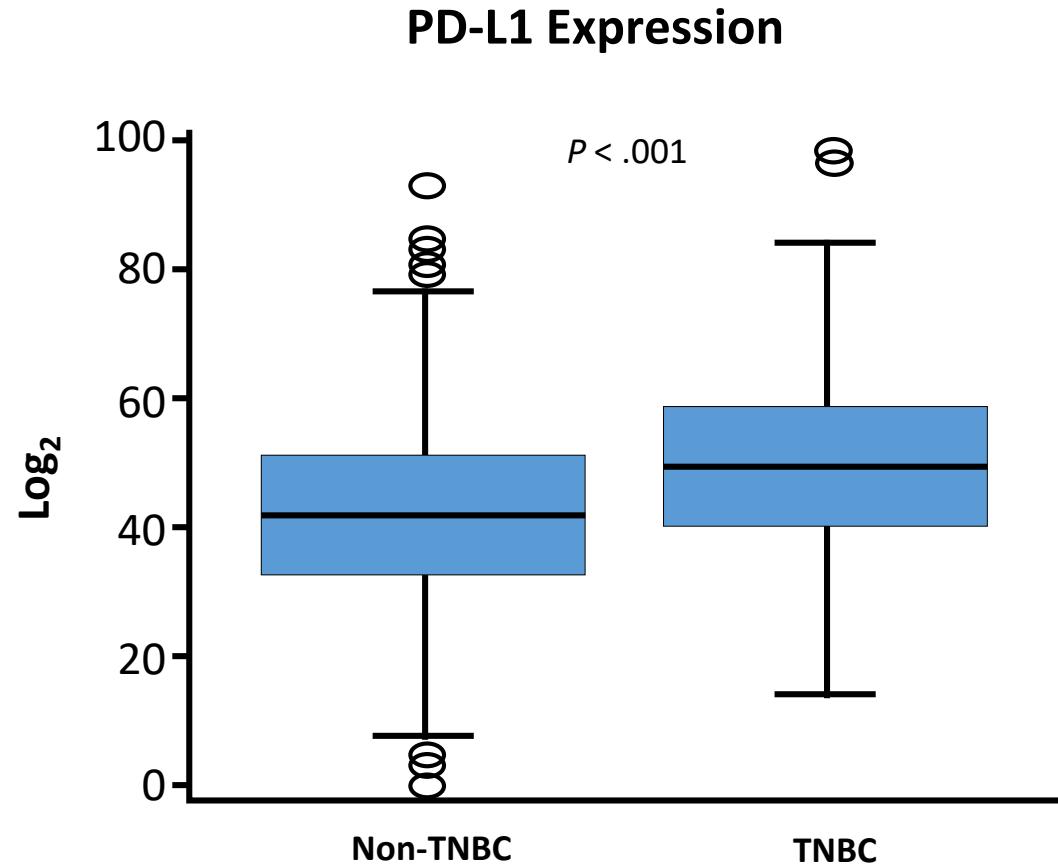
# Outline

- Triple negative breast cancer
  - Biomarkers and immunotherapy responsiveness
  - Approvals
  - In the pipeline

# Biomarkers

| Molecular Biomarker                     | % TNBC                      | Main Function                  | Prognostic                                      | Treatment                    |
|---|-----------------------------|--------------------------------|---|------------------------------|
| BRCA1 and BRCA2 mutation                | 11-31% (germline)           | DNA double-strand break repair | Increased DFS                                   | PARP inhibitors              |
| PD-L1 expression                        | 15-30%                      | Tumor immune evasion           | Improved OS, higher sensitivity to chemo        | Immune checkpoint inhibitors |
| Microsatellite instability (MSI-H/dMMR) | 0-1.5% (all breast cancers) | High immunogenic activity      | Response to pembro                              | Pembrolizumab (FDA approved) |
| Tumor mutational burden (TMB)           | 3.1-5% (all breast cancers) | Tumor antigenicity             | Sensitivity to PD-1 inhibitors                  | Immune checkpoint inhibitors |
| Tumor infiltrating lymphocytes (TILs)   | ~20%                        | Immune response against tumor  | Favorable survival, higher sensitivity to chemo | N/A                          |

# Biomarkers and immunotherapy responsiveness in TNBC – PD-L1



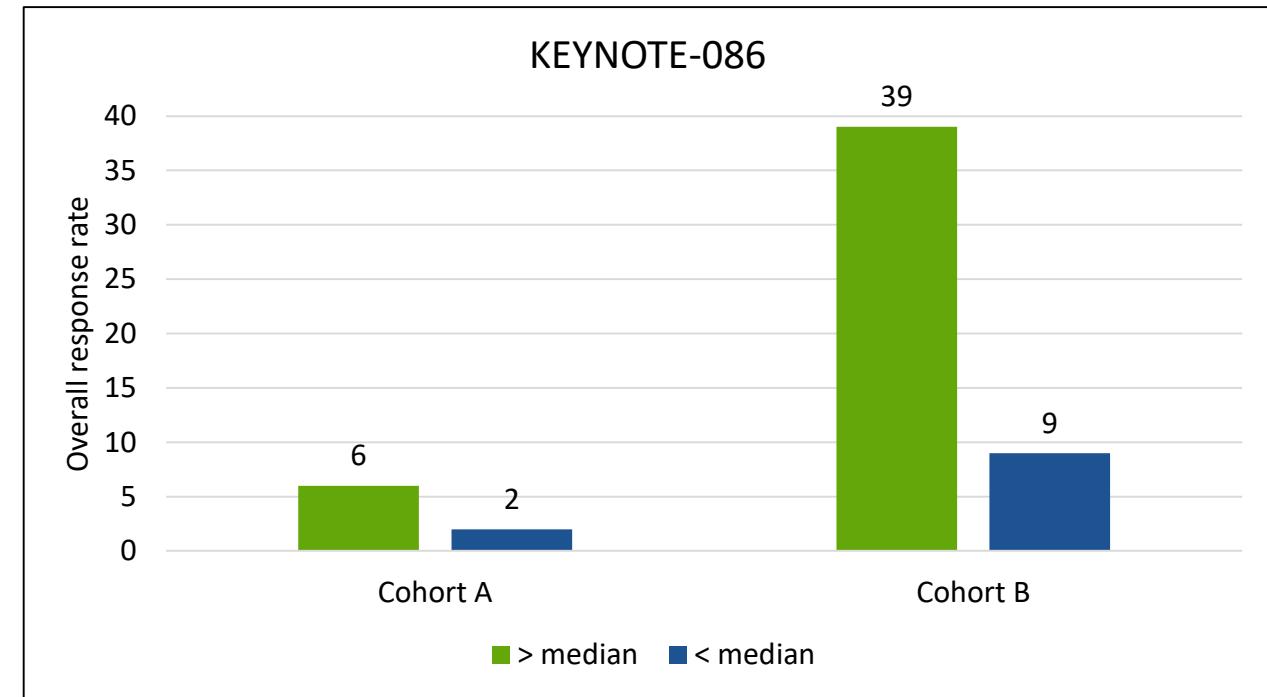
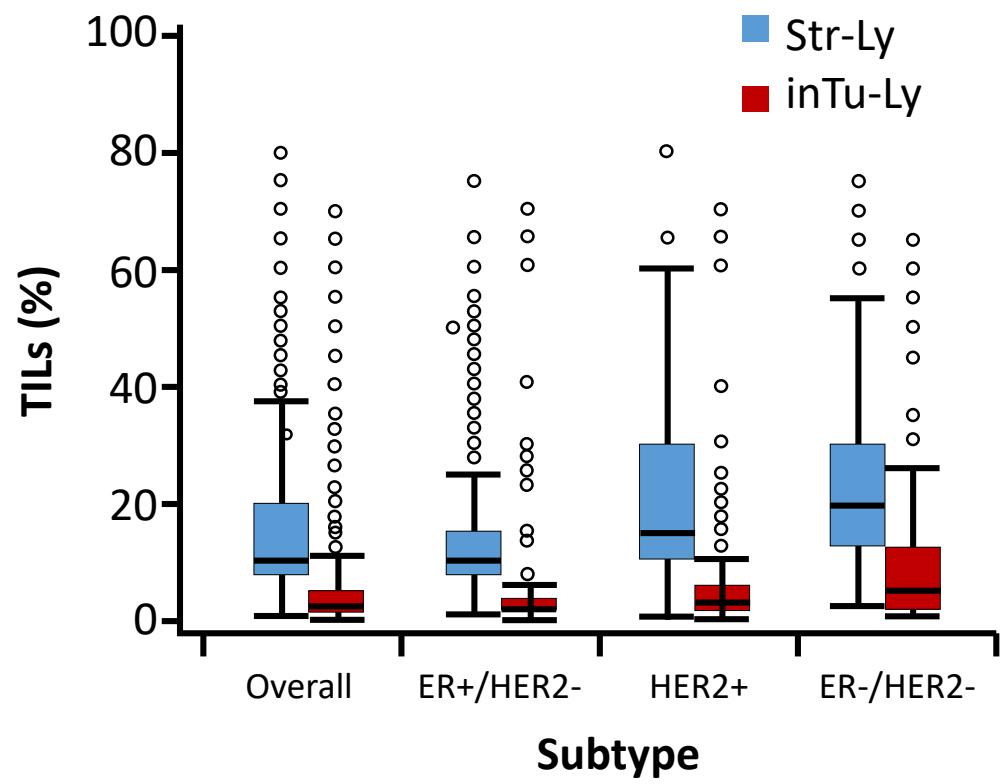
|                        | Atezolizumab             | Pembrolizumab                 |
|------------------------|--------------------------|-------------------------------|
| PD-L1 Clone            | SP142                    | 22C3                          |
| PD-L1 IHC Manufacturer | Roche/Ventana            | Agilent/Dako                  |
| Scoring System         | Immune cell (IC) score   | Combined positive score (CPS) |
| Cutoff                 | $\geq 1\%$ of tumor area | $\geq 10$ mut/Mb              |

$$\text{CPS} = (\# \text{ of PD-L1 positive cells} / \text{total } \# \text{ of tumor cells}) \times 100$$

These assays are not interchangeable.

# Biomarkers and immunotherapy responsiveness in TNBC – TILs

## Tumor-Infiltrating Lymphocytes



# Biomarkers and immunotherapy responsiveness in TNBC – TMB

Prevalence  
of TMB  
~10%

| Outcome          | TMB $\geq$ 10 mut/Mb<br>(n=26) |                   | TMB < 10 mut/Mb<br>(n=227) |                    |
|------------------|--------------------------------|-------------------|----------------------------|--------------------|
|                  | Pembro<br>(n=14)               | Chemo<br>(n=12)   | Pembro<br>(n=118)          | Chemo<br>(n=109)   |
| ORR % (95% CI)   | 14.3<br>(4.0-39.9)             | 8.3<br>(0.4-35.4) | 12.7<br>(7.9-19.9)         | 12.8<br>(7.8-20.4) |
| PFS, HR (95% CI) | 1.14<br>(0.42-3.07)            | --                | 1.24<br>(0.92-1.67)        | --                 |
| OS, HR (95% CI)  | 0.58<br>(0.21-1.57)            | --                | 0.81<br>(0.61-1.07)        | --                 |

- Although this is a small sample size, TMB  $\geq$  10 mut/Mb was associated with clinical benefit from pembrolizumab.

# Outline

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# Current approvals in breast cancer

| Checkpoint inhibitor            | Approved | Indication  | Dose  |
|---------------------------------|----------|---|---|
| Pembrolizumab                   | 2017     | MSI-H/dMMR <b>advanced cancer</b> with progression on previous treatment          | 200 mg Q3W or 400 mg Q6W  |
| Atezolizumab + nab-paclitaxel   | 2019     | Advanced/Metastatic <b>TNBC</b> with PD-L1 ≥1% immune cells                       | 840 mg atezolizumab Q2W + 100 mg/m <sup>2</sup> nab-paclitaxel on days 1, 8, 15 |
| Pembrolizumab                   | 2020     | TMB-high <b>solid tumors</b> with progression on prior treatment                  | 200 mg Q3W or 400 mg Q6W  |
| Pembrolizumab + chemo           | 2020     | Advanced/Metastatic <b>TNBC</b> with PD-L1 ≥ 10                                   | 200 mg Q3W or 400 mg Q6W  |
| Antibody-drug conjugate         | Approved | Indication  | Dose  |
| Ado-trastuzumab emtansine       | 2019     | Adjuvant treatment of <b>HER2-positive</b> early breast cancer                    | 3.6 mg/kg Q3W   |
| Fam-trastuzumab deruxtecan-nxki | 2019     | Unresectable/metastatic <b>HER2-positive</b> breast cancer after 2+ HER2 regimens | 5.4 mg/kg Q3W   |
| Sacituzumab govitecan           | 2020     | Metastatic <b>TNBC</b> after two previous therapies                               | 10mg/kg on D1&D8 of 21-day cycle  |

# Clinical trials in metastatic TNBC (mTNBC)

| Trial       | Phase | Treatment arm(s)       | Patient selection criteria   | N   | ORR, %       | Median PFS, mo (95% CI) | Median OS, mo (95% CI)   |
|-------------|-------|------------------------|--|-----|--------------|-------------------------|--------------------------|
| KEYNOTE 012 | Ib    | Pembrolizumab          | Heavily pretreated ( $\geq 2$ lines), advanced TNBC PD-L1 + stroma/ $\geq 1\%$ tumor cells | 32  | 18.5         | 1.9 (1.7-5.5)           | 11.2 (5.3 –NR)           |
| KEYNOTE-086 | II    | Pembrolizumab          | Metastatic TNBC at 2 <sup>nd</sup> line or greater   | 170 | 5.3          | 2.0 (1.9-2.0)           | 9.0 (7.6-11.2)           |
|             |       |                        | PD-L1+ metastatic TNBC without prior therapy   | 84  | 21.4         | 2.1 (2.0-2.2)           | 18 (12.9-23.0)           |
| KEYNOTE 119 | III   | Pembrolizumab vs chemo | Pretreated (1-2 lines), mTNBC, CPS $\geq 1$ or $< 1$                                       | 622 | 9.6 vs 10.6  | 2.1 vs 3.3<br>HR=1.6    | 9.9 vs 10.8,<br>HR=0.97  |
|             |       |                        | CPS $\geq 10$  | 194 | 17.7 vs 9.2  | 2.1 vs 3.4<br>HR=1.14   | 12.7 vs. 11.6<br>HR=0.78 |
|             |       |                        | CPS $\geq 20$  | 109 | 26.3 vs 11.5 | 3.4 vs 2.4<br>HR=0.76   | 14.9 s 12.5<br>HR=0.58   |
| JAVELIN     | Ib    | Avelumab               | Pretreated ( $\leq 3$ lines) advanced or metastatic TNBC                                   | 58  | 5.2          | 5.9 (5.7-6.9)           | 9.2 (4.3-NR)             |
|             |       |                        | $\geq 10\%$ immune cells   | 9   | 22.2         |                         |                          |
|             |       |                        | < 10% immune cells   | 39  | 2.6          |                         |                          |

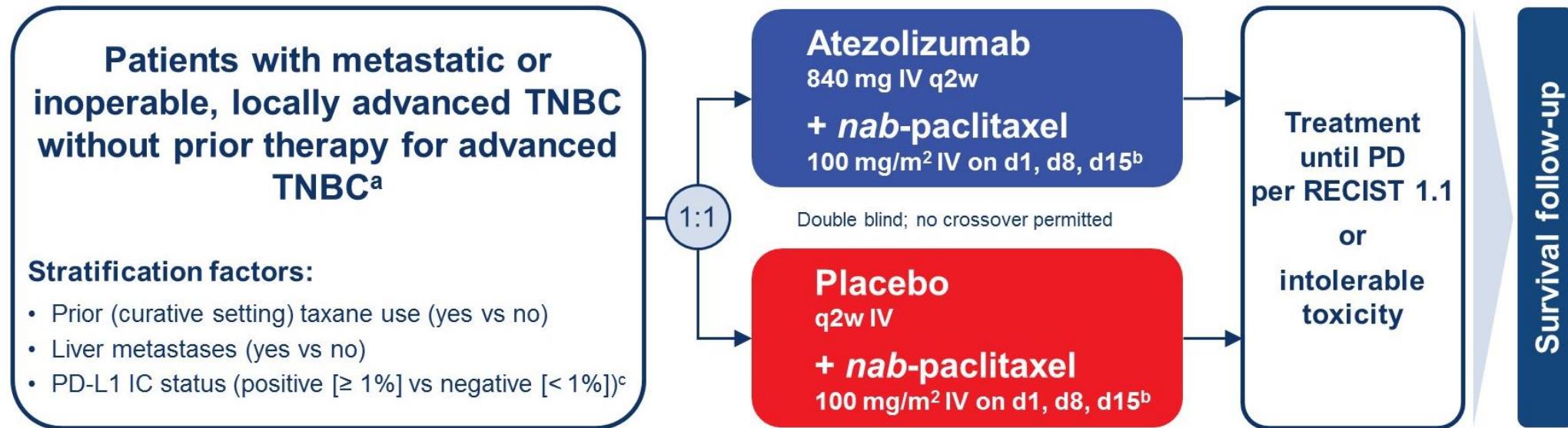
- Single-agent immunotherapy efficacy remains low (~5-25%).
- Improved response in treatment naïve patients.

J Clin Oncol. 2016; 34(21):2460-7. Ann Oncol 2019;30(S5):v851-934. Ann Oncol 2019;30(3):405-11. Ann Oncol 2019;30(3):397-404. Breast Cancer Res Treat. 2018; 167(3):671-86.  
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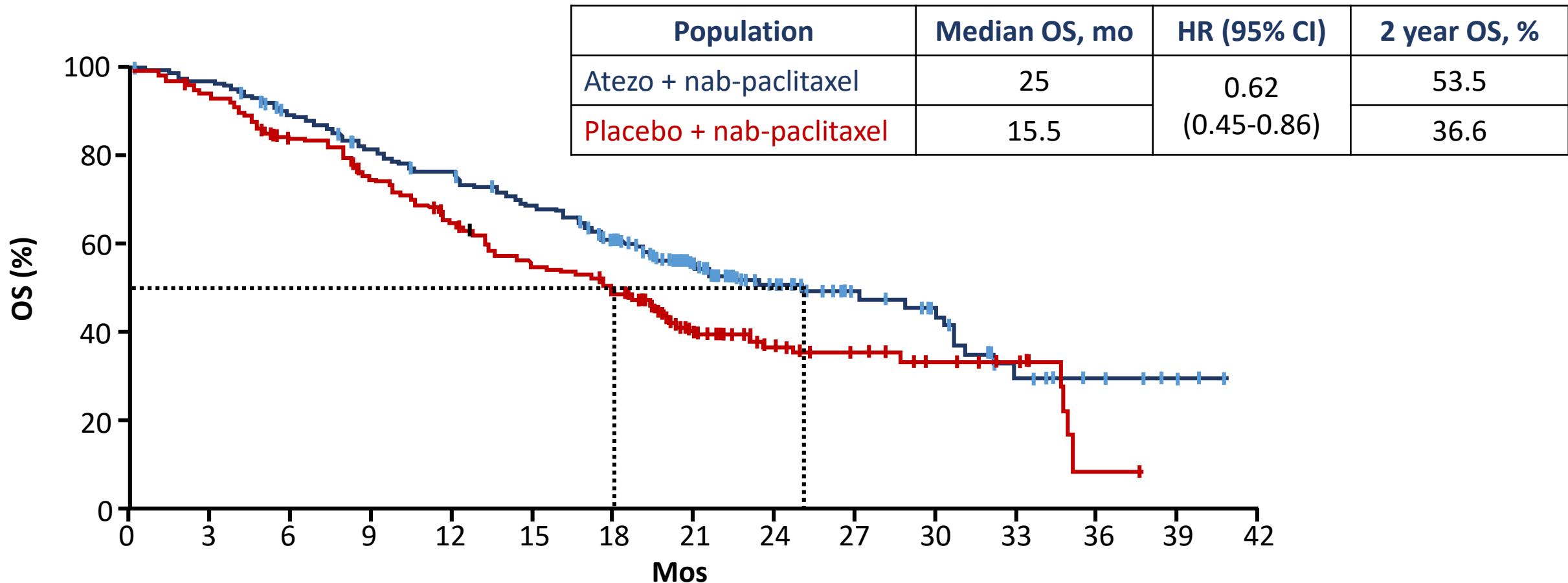


# IMpassion130 – Study design



- Co-primary endpoints in ITT and PD-L1 IC+: PFS and OS<sup>d</sup>
- Pre-specified hierarchical testing of OS in ITT and, if significant, in PD-L1 IC+ patients
- In both treatment arms, 41% of patients were PD-L1 IC+

# IMpassion130 – OS in PD-L1 (+) subgroup



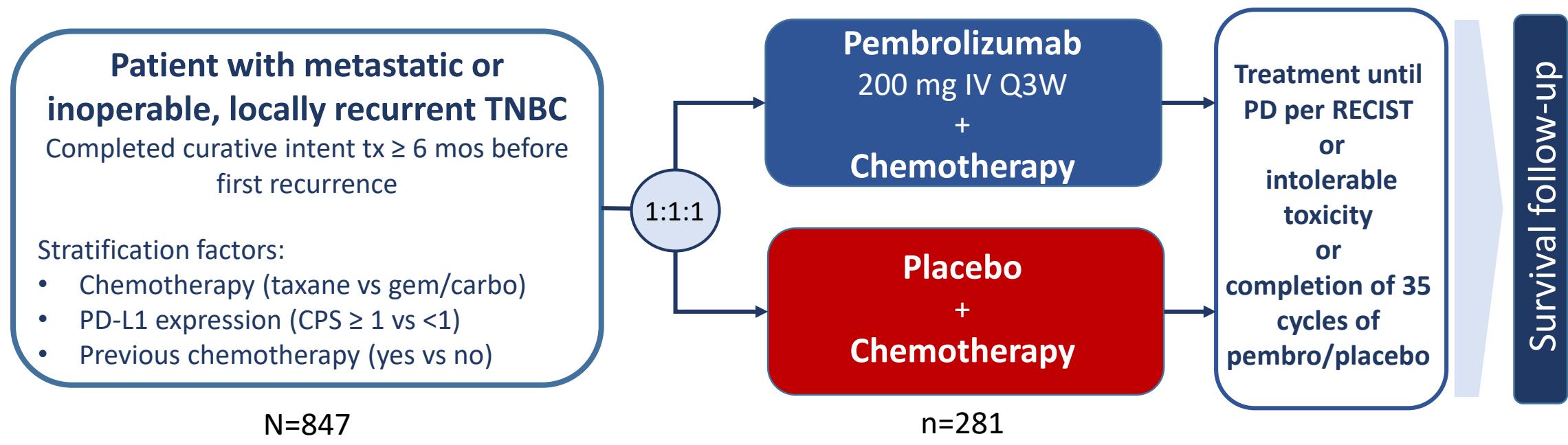
Schmid et al, NEJM 2018. 379:2108-21.

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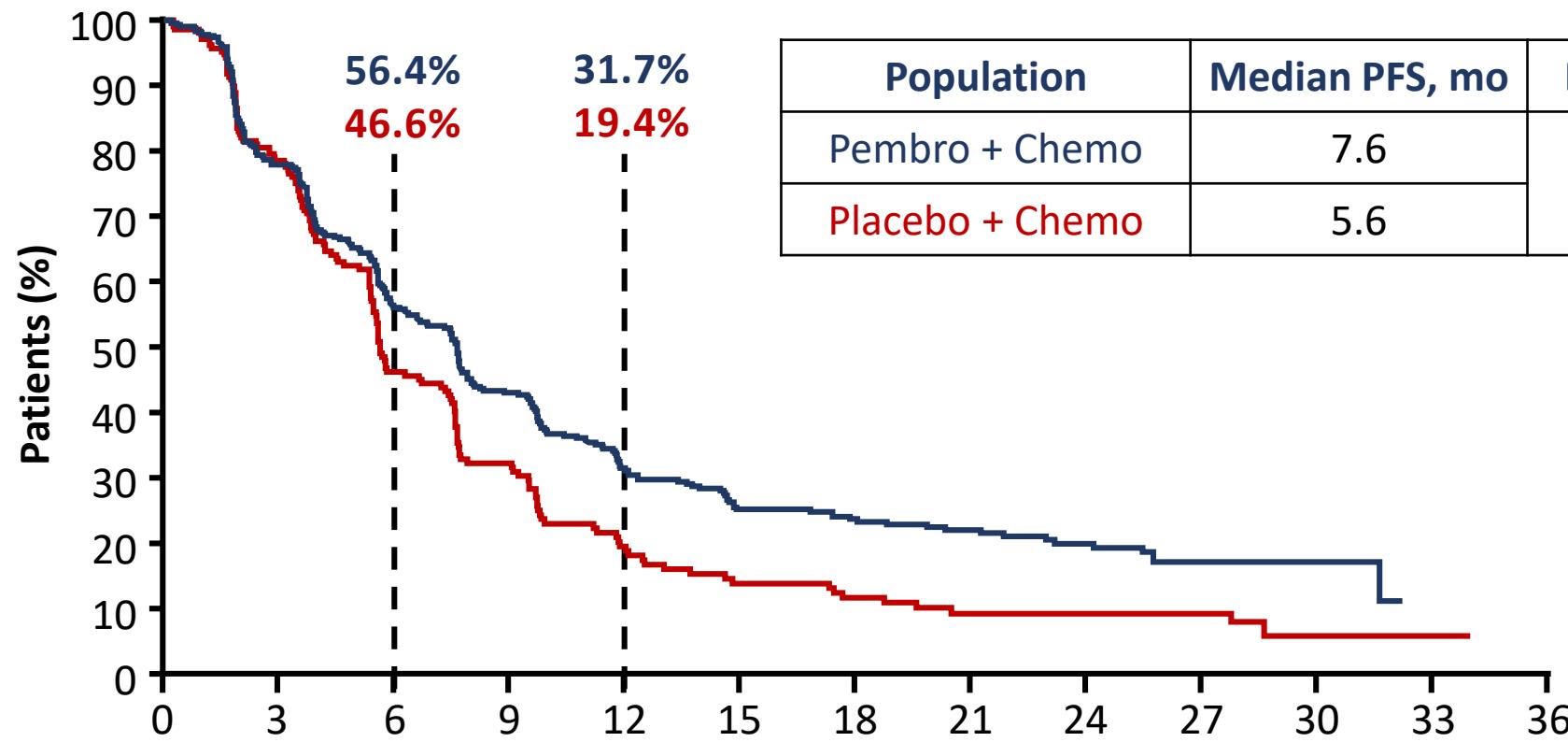


# KEYNOTE-355 – Study design



- Chemotherapy investigator's choice:
  - Nab-paclitaxel 100 mg/m<sup>2</sup> IV days 1, 8, 15 of 28 day cycle
  - Paclitaxel 90 mg/m<sup>2</sup> IV days 1, 8, 15 of 28 day cycle
  - Gem 1000 mg/m<sup>2</sup> + carbo AUC 2 days 1, 8 of 21 day cycle
- Primary endpoint: PFS and OS
- PD-L1 CPS  $\geq$  10, CPS  $\geq$  1, ITT

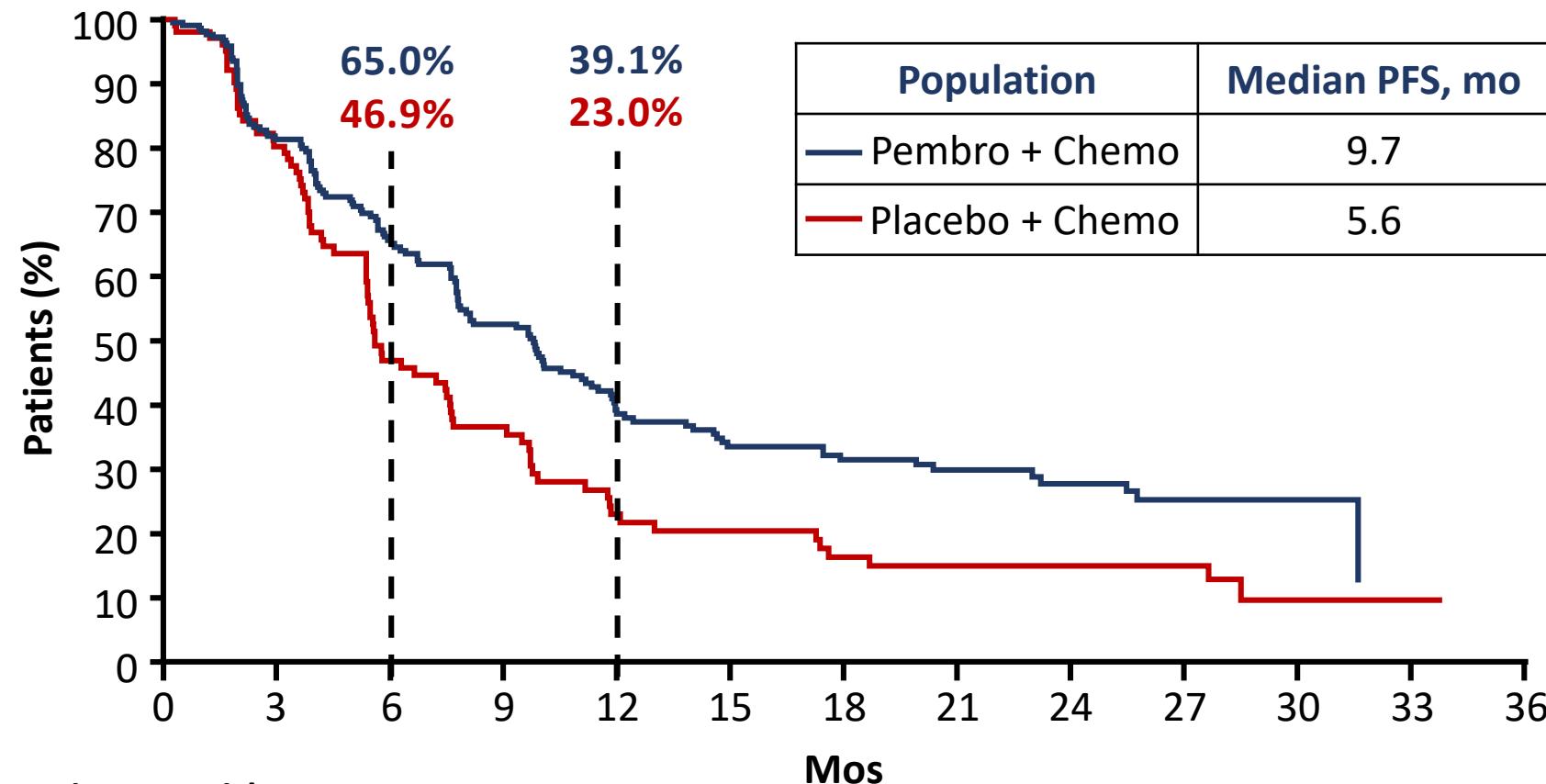
# KEYNOTE-355 – PFS in PD-L1 $\geq 1$



Patients at Risk, n

|     |     |     |     |    |    |    |    |    |    |   |   |   |
|-----|-----|-----|-----|----|----|----|----|----|----|---|---|---|
| 425 | 315 | 202 | 143 | 94 | 72 | 60 | 51 | 32 | 16 | 6 | 0 | 0 |
| 211 | 158 | 81  | 51  | 28 | 20 | 17 | 11 | 10 | 8  | 3 | 1 | 0 |

# KEYNOTE-355 – PFS in PD-L1 $\geq 10$



Patients at Risk, n

|     |     |     |    |    |    |    |    |    |    |   |   |   |
|-----|-----|-----|----|----|----|----|----|----|----|---|---|---|
| 220 | 173 | 122 | 96 | 63 | 52 | 44 | 37 | 25 | 12 | 5 | 0 | 0 |
| 103 | 80  | 41  | 30 | 18 | 15 | 12 | 8  | 8  | 7  | 3 | 1 | 0 |

Cortez et al, Lancet 2020; 396: 1817-28.

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# Combination clinical trials in mTNBC

| Trial        | Phase | Treatment arm(s)          | Patient selection criteria                      | N   | ORR, %                              | Median PFS, mo             | Median OS, mo                       |
|--------------|-------|---------------------------|---|-----|-------------------------------------|----------------------------|-------------------------------------|
| IMpassion131 | III   | Atezolizumab + paclitaxel | Untreated advanced or metastatic TNBC           | 651 | ITT: 54<br>PD-L1+: 63               | ITT: 5.7<br>PD-L1+: 6      | ITT: 19.2<br>PD-L1+: 22.1           |
|              |       | Placebo + paclitaxel      |   |     | ITT: 47<br>PD-L1+: 55               | ITT: 5.6<br>PD-L1+: 5.7    | ITT: 22.8<br>PD-L1+: 28.3           |
| IMpassion132 | III   | Atezolizumab + chemo      | Platinum pretreated advanced or metastatic TNBC | 350 | Ongoing                             |                            | Primary endpoint                    |
| ENHANCE-1    | Ib/II | Pembrolizumab + eribulin  | Metastatic TNBC ( $\leq$ 2 lines)               |     |                                     |                            |                                     |
|              |       |                           | 0 lines   | 60  | PD-L1-: 16.1<br><b>PD-L1+: 34.5</b> | PD-L1-: 3.5<br>PD-L1+: 6.1 | PD-L1-: 15.2<br><b>PD-L1+: 21.0</b> |
|              |       |                           | 1-2 lines                                       |     | PD-L1-: 18.2<br>PD-L1+: 24.4        | PD-L1-: 3.9<br>PD-L1+: 4.1 | PD-L1-: 15.5<br>PD-L1+: 14.0        |

- Combination regimens have demonstrated improved outcomes in metastatic TNBC compared to single agent immunotherapy.
- In contrast to IMpassion130, atezolizumab did not improve PFS or OS in combination with paclitaxel.
- FDA safety alert 2020 regarding efficacy and safety concerns with atezolizumab in combination with paclitaxel.

# Immune related adverse events (irAEs)

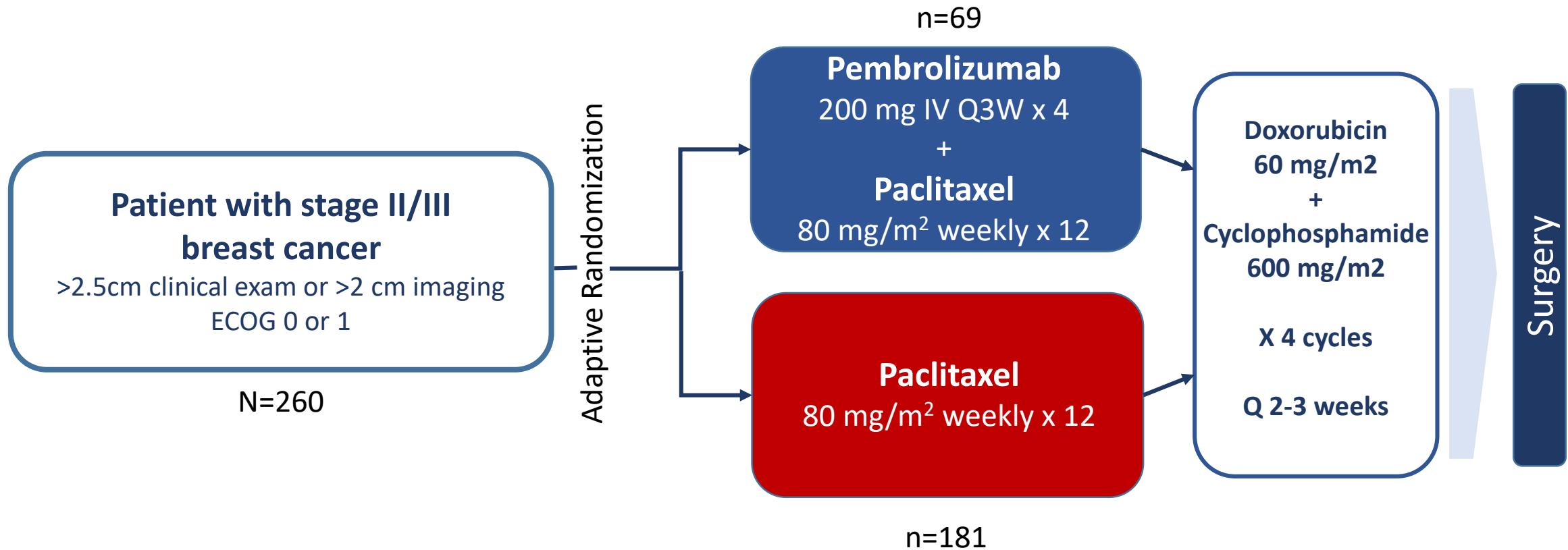
| Event           | IMpassion130<br>(n=452) |                 | KEYNOTE-355<br>(n=562) |                 |
|-----------------|-------------------------|-----------------|------------------------|-----------------|
|                 | Any Grade, %            | Grade 3 or 4, % | Any Grade, %           | Grade 3 or 4, % |
| Hypothyroidism  | 17.3                    | 0               | 15                     | 5               |
| Hyperthyroidism | 4.4                     | 0.2             | 5                      | < 1             |
| Pneumonitis     | 3.1                     | 0.2             | 2                      | 1               |
| Colitis         | 1.1                     | 0.2             | 2                      | < 1             |
| Skin reactions  | 34.4                    | 0.9             | 2                      | 2               |
| Hepatitis       | 15.3                    | 5.1             | NR                     | NR              |

- KEYNOTE-355 does not report hepatitis as an irAE; however, elevated ALT is reported as any grade=20% and grade >3= 6%.

# Outline

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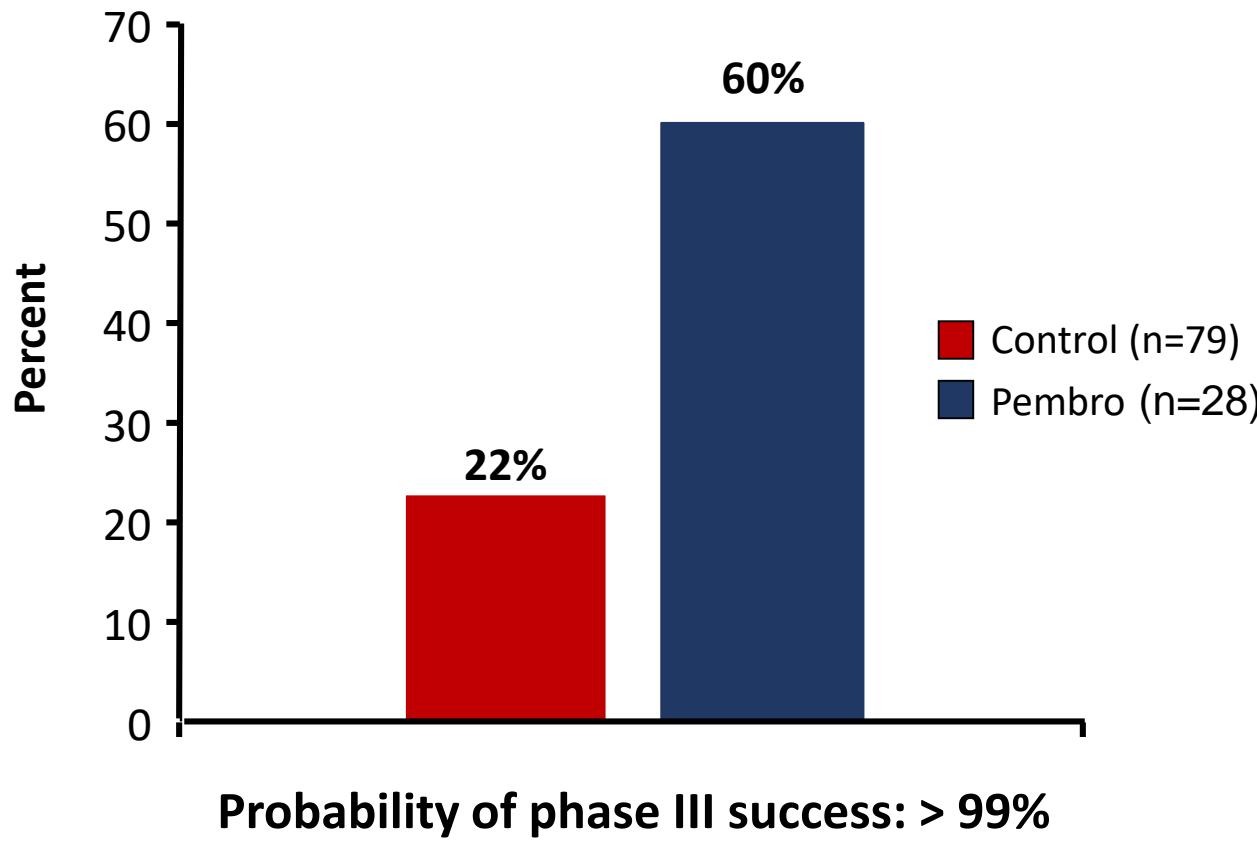
# I-SPY2 – Study design



- Primary endpoint: pathologic complete response (pCR)

# I-SPY2 – pCR and EFS in TNBC

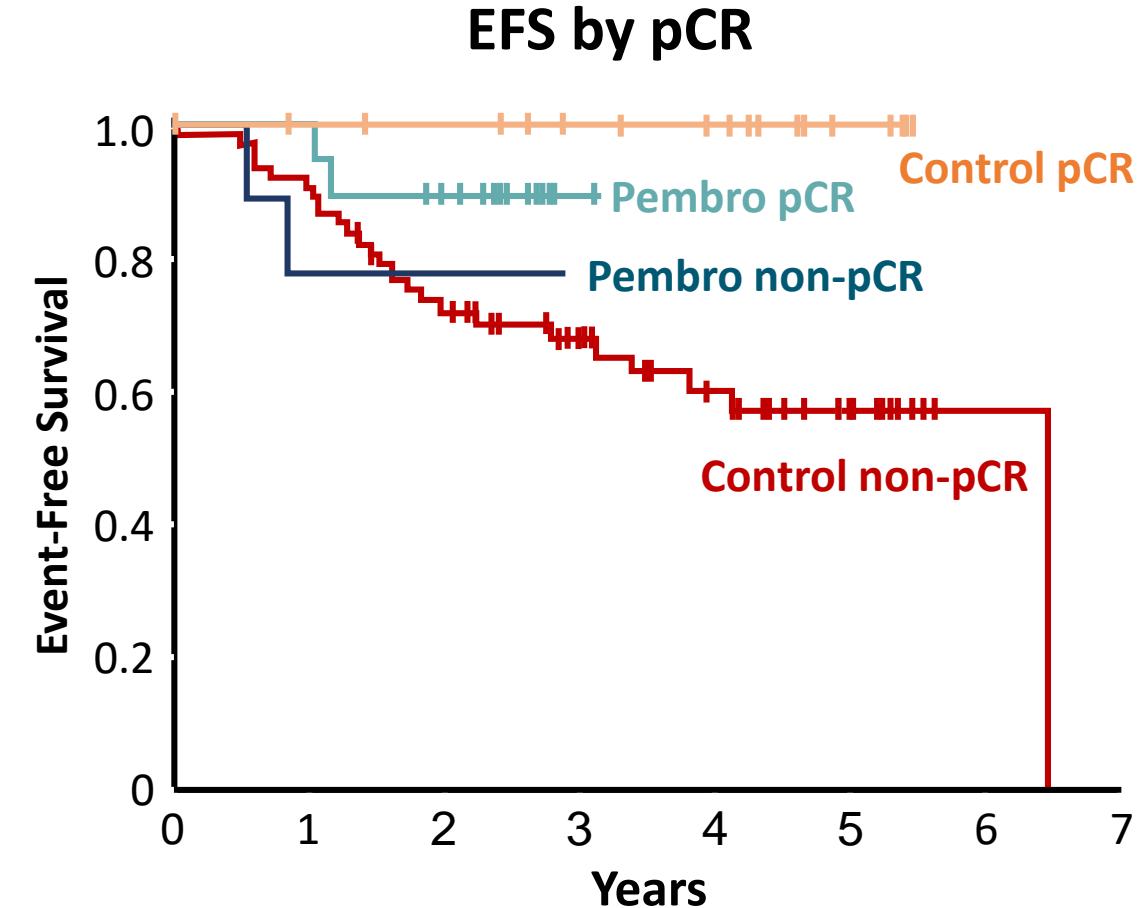
## Estimated pCR Rate (N=107)



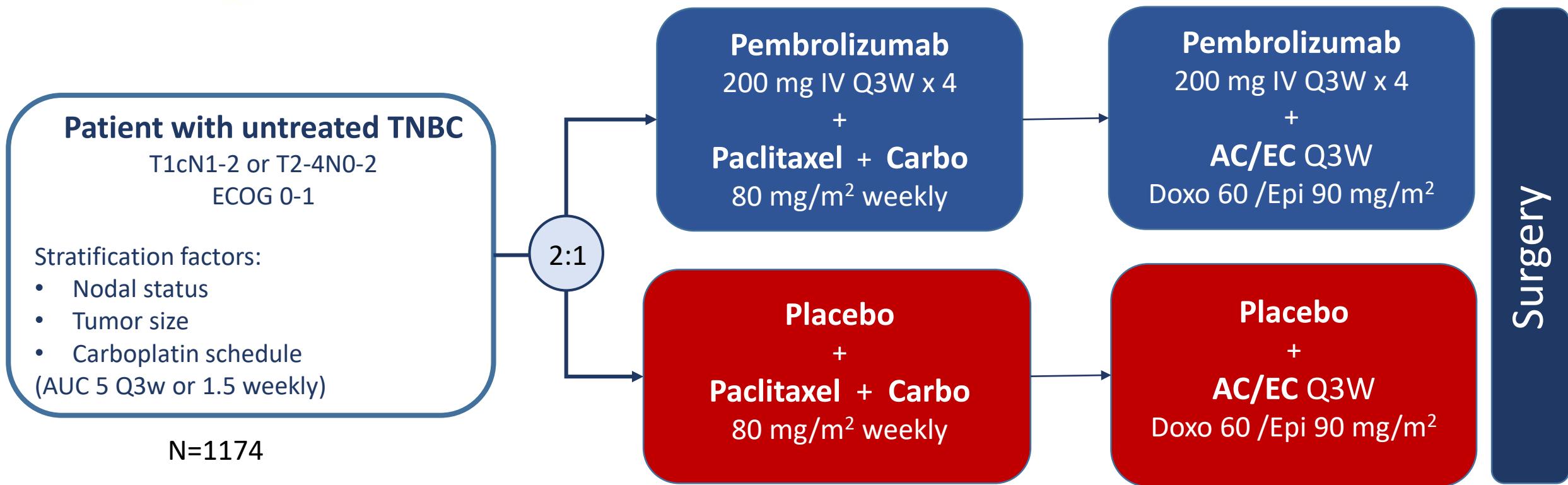
Nanda et al, JAMA Oncol 2020; 6(5):676-84.

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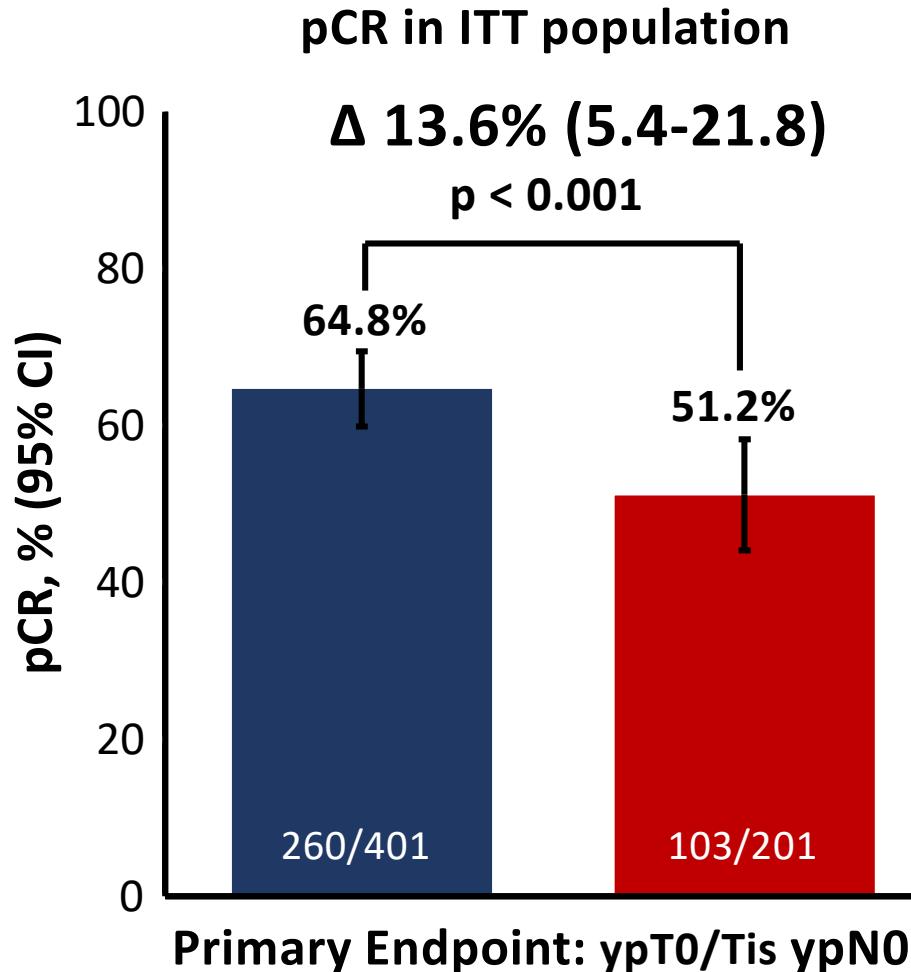
# KEYNOTE-522 – Study design



- Surgery after first or second neoadjuvant treatment
- Adjuvant treatment: pembrolizumab 200 mg or placebo Q3W x 9 cycles
- Primary endpoints: pCR and event-free survival

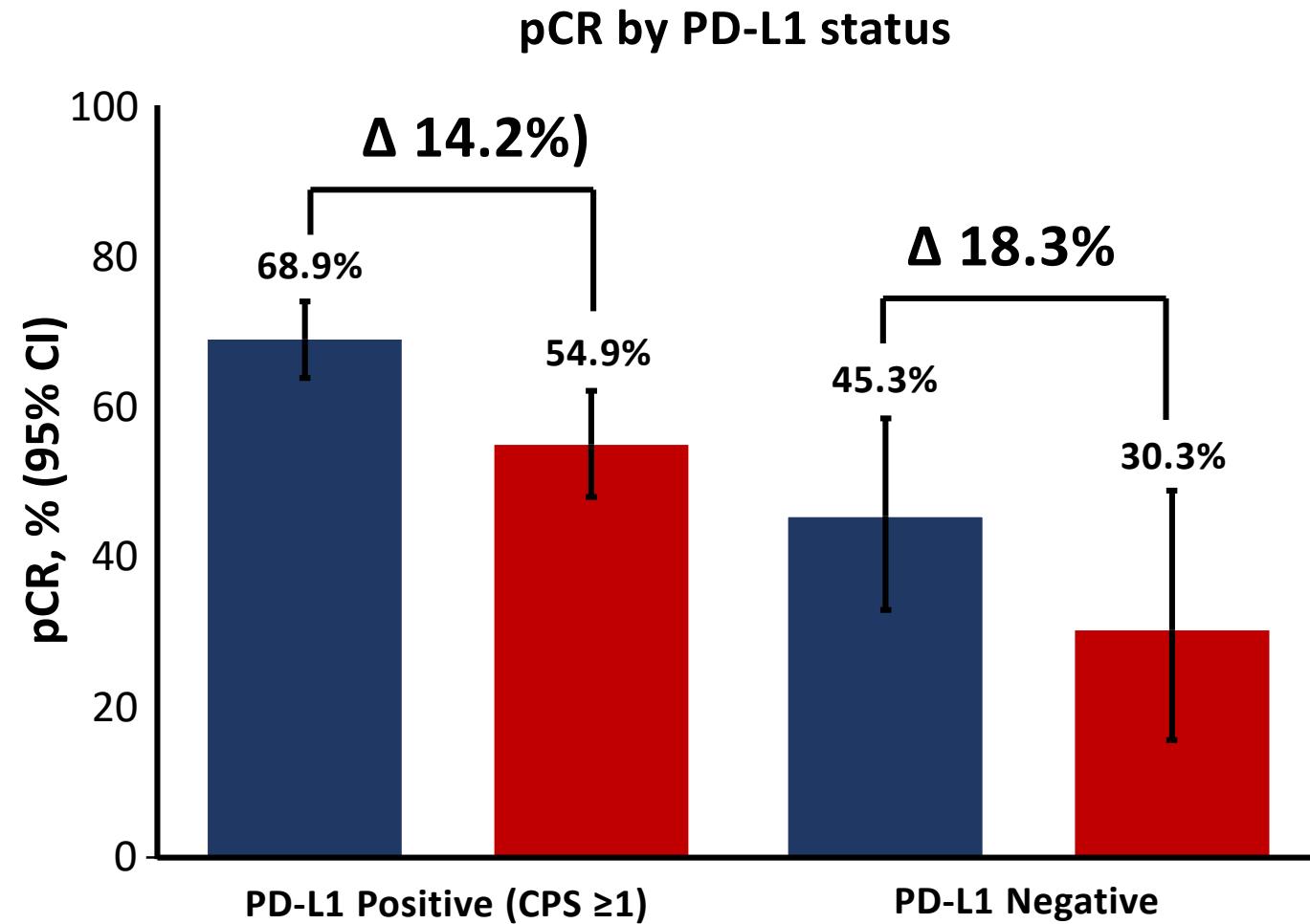
# KEYNOTE-522 – pCR

■ Pembro + chemo  
■ Placebo + chemo



Schmid et al, NEJM 2020; 382:810-21.

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# KEYNOTE-522 – EFS

|                                 | Pembro + Chemo<br>(N=784) | Placebo + Chemo<br>(N=390) | HR (95% CI)         |
|---------------------------------|---------------------------|----------------------------|---------------------|
| Event-free survival<br>(95% CI) | 91.3%<br>(88.8-93.3)      | 85.3%<br>(80.3 – 89.1)     | 0.63<br>(0.43-0.93) |
| Any grade adverse events        | 99%                       | 99.7%                      |                     |
| Grade $\geq 3$ adverse events   | 76.8%                     | 72.2%                      |                     |

- Median event-free survival was not reached for either group.
- Median follow-up only 15.5 months. Not long enough to demonstrate a sustained response.
- The addition of pembrolizumab to chemotherapy did not increase adverse events associated with chemotherapy.

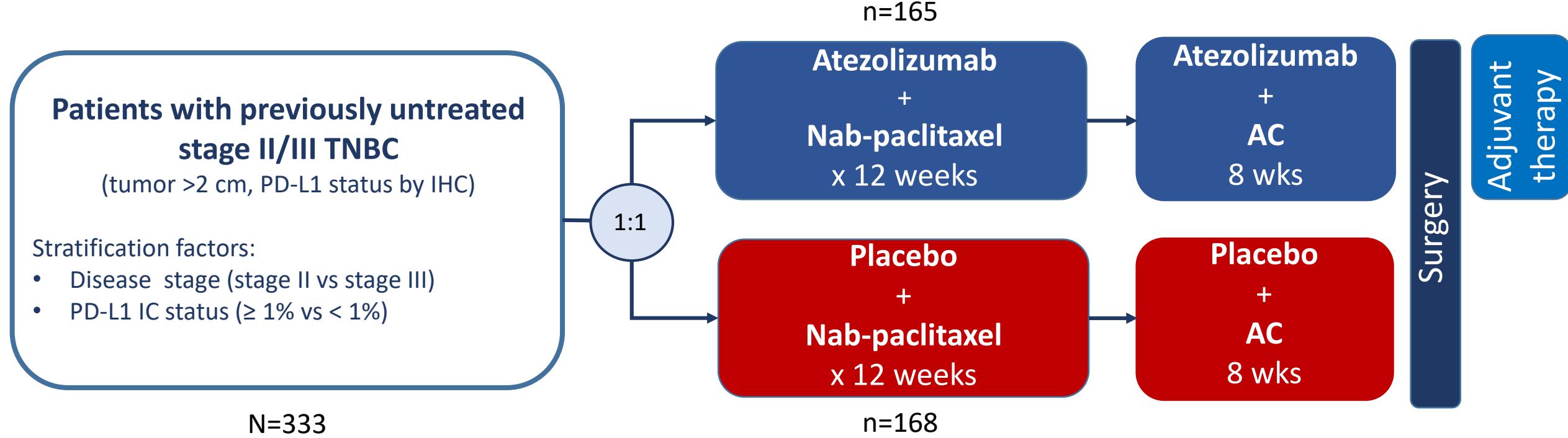
Schmid et al, NEJM 2020; 382:810-21.

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# IMpassion031 – Study design



- Neoadjuvant treatment: atezolizumab 840 mg Q2W, nab-paclitaxel 125 mg/m<sup>2</sup> weekly, doxorubicin 60 mg/m<sup>2</sup> + cyclophosphamide 600 mg/m<sup>2</sup> Q2W
- Adjuvant treatment: atezolizumab 1200 mg Q3W x 11 cycles (~12 months)
- Co-primary endpoints in ITT and PD-L1+: pCR

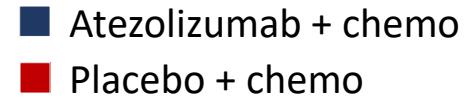
Mittendorf et al, Lancet 2020; 396: 1090-100.

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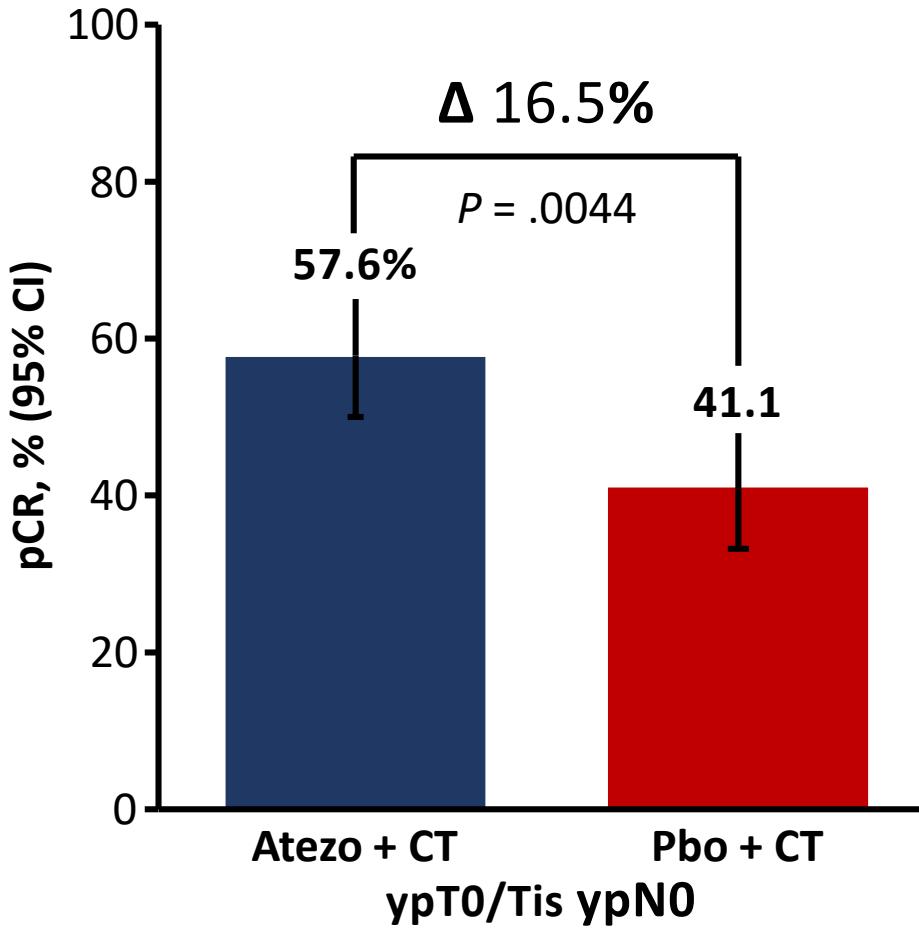
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# IMpassion031 – pCR ITT and PD-L1 status


 Atezolizumab + chemo  
 Placebo + chemo

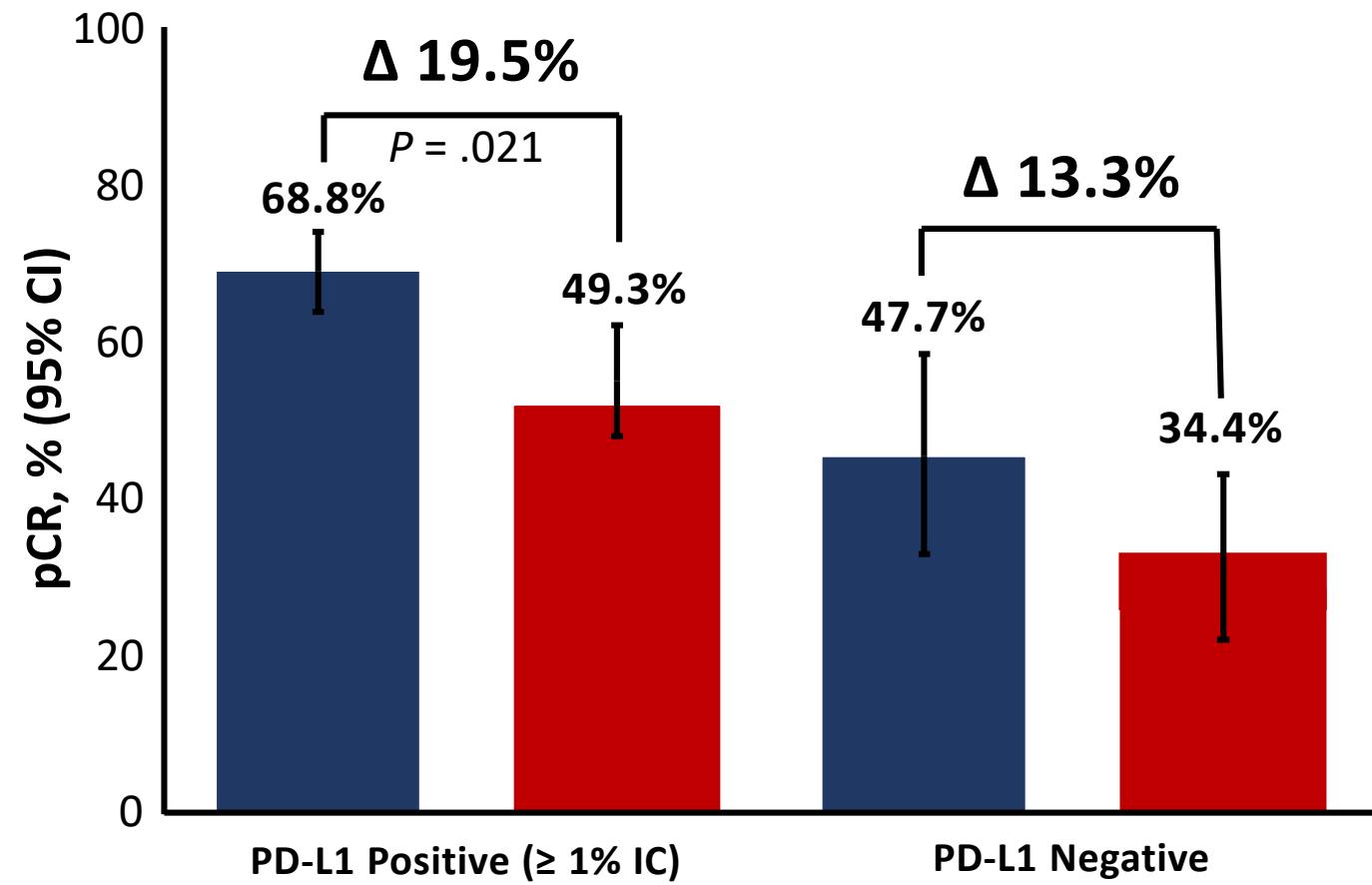
pCR in ITT population



Mittendorf et al, Lancet 2020; 396: 1090-100.

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pCR by PD-L1 status



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# Future directions – Targeted therapy

## AKT inhibitors (mTNBC)

- NCT03800836: ipatasertib + atezolizumab + paclitaxel or nab-paclitaxel (ORR 73%)
- BEGONIA: capivasertib + paclitaxel + durvalumab
- PAKT: capivasertib + paclitaxel

## MEK inhibitors

## AKT inhibitors

## PARP inhibitors

## MEK inhibitors (mTNBC)

- COLET: Cobimetinib + atezolizumab + taxane
- NCT03106415: Binimatinib + pembrolizumab

## PARP inhibitors (mTNBC, gBRCAm)

- TOPACIO: niraparib + pemrolizumab (ORR 47%, PFS 8.3 mos)
- MEDIOLA: olaparib + durvalumab (ORR 58%, PFS 4.9 mos)
- ETCTN: olaparib + atezolizumab
- DORA: olaparib + durvalumab
- I-SPY 2: adjuvant olaparib + durvalumab (early stage)

# Conclusions

- TNBC is more likely to express PD-L1, have higher levels of TILs, and a higher mutation burden.
- PD-L1 assays are not interchangeable and can only be used to select treatment with the companion drug.
- There are two FDA approved checkpoint inhibitors in mTNBC: atezolizumab and pembrolizumab.
- Immunotherapy in early stage TNBC breast cancer shows promise.



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# Case Studies



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# Patient Case – 64yo Female

- 2015: Diagnosis of early-stage ER/PR + breast cancer.
  - Received neoadjuvant ddAC x 4 → ddTaxol x 4
  - Right modified radical mastectomy
  - Final stage IIIC (ypT3N3aM0) – grade 2, 6.3 cm residual disease 14/16+ lymph nodes
  - Chest wall and lymph node XRT
  - Started adjuvant aromatase inhibitor (2016-2019)
- 2019: Diagnosed with mTNBC

**What is the next step to determine treatment?**

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# Patient Case – 64yo Female

- Which of the following biomarker assays would you complete on the tumor biopsy to determine if she may benefit from immunotherapy?
  - A. Next-generation sequencing for microsatellite stability
  - B. Next-generation sequencing for tumor mutation burden
  - C. PD-L1 testing with SP142 antibody assay
  - D. PD-L1 testing with 28-8 antibody assay

# Patient Case – 64yo Female

- The patient's tumor was tested for PD-L1 expression using SP142 antibody assay and the testing reveals PD-L1  $\geq 1\%$ . What is the most appropriate first-line treatment?
  - A. Atezolizumab + paclitaxel
  - B. Pembrolizumab + paclitaxel
  - C. Atezolizumab + nab-paclitaxel
  - D. Pembrolizumab + nab-paclitaxel