



Neoadjuvant Immunotherapy in Breast Cancer

Elizabeth A. Mittendorf, MD PhD

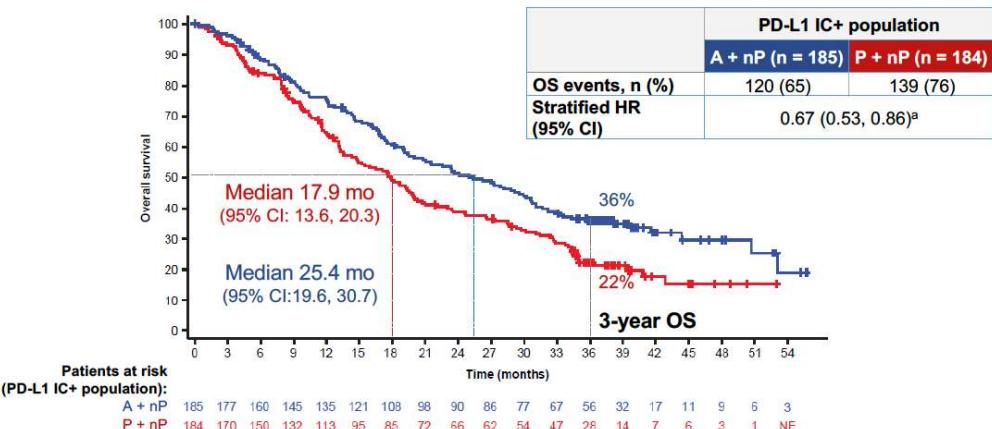
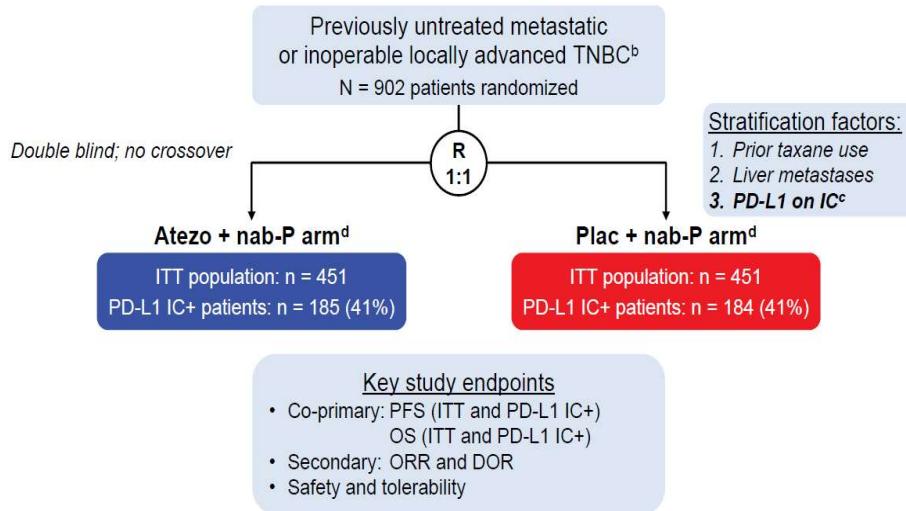
Rob and Karen Hale Distinguished Chair in Surgical Oncology
Director, Breast Cancer Immunotherapy Program, Dana-Farber Cancer Institute
Professor of Surgery, Harvard Medical School



Society for Immunotherapy of Cancer

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IMpassion 130



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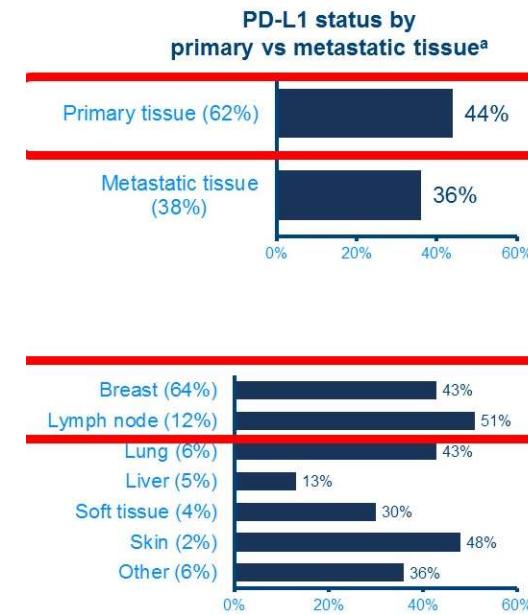
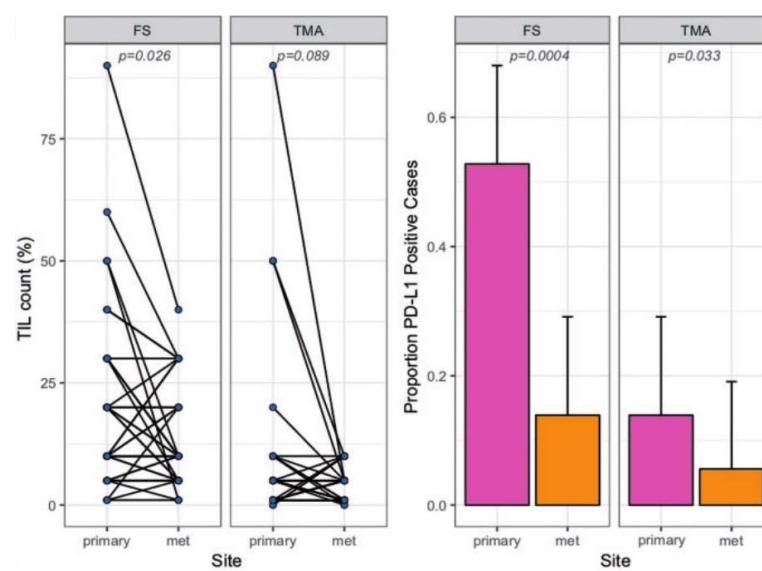


Schmid P, et al. *N Engl J Med* 2018;379:2108-2121
 Emens L, et al. *ESMO* 2020

Questions

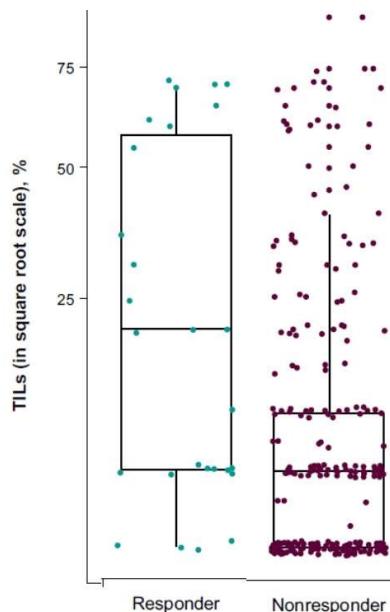
- Would the benefit of adding IO to chemotherapy be greater in an earlier disease setting?
- Will the addition of IO to chemotherapy ↑ pCR rates?
 - And if ↑ pCR rates, will this lead to improved survival?
- Is it safe to give IO before surgery?

Primary Tumors have more TILs and Higher PD-L1 Expression

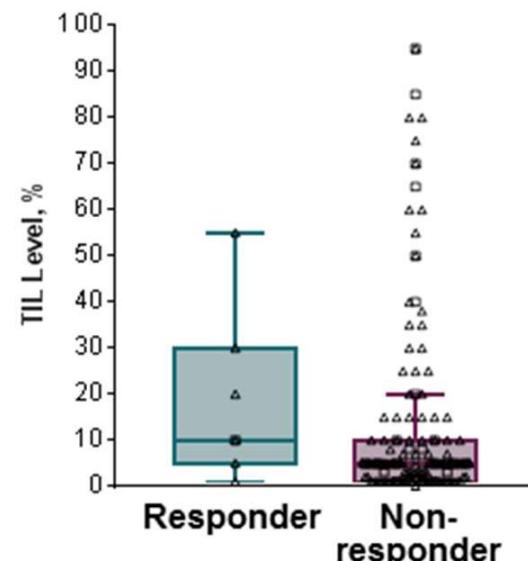


TILs are Predictive of Response to Pembrolizumab

KEYNOTE-119

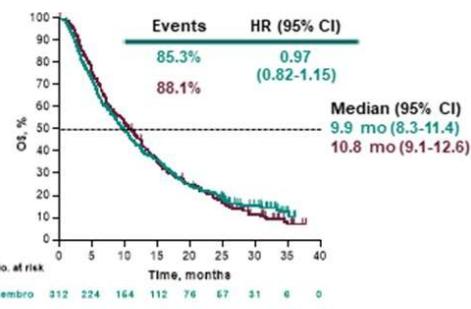


KEYNOTE-086

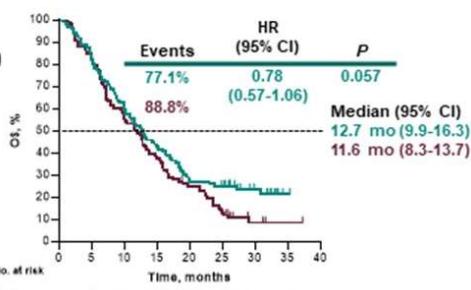


Benefit of Pembrolizumab ↑ with Greater PD-L1 Expression

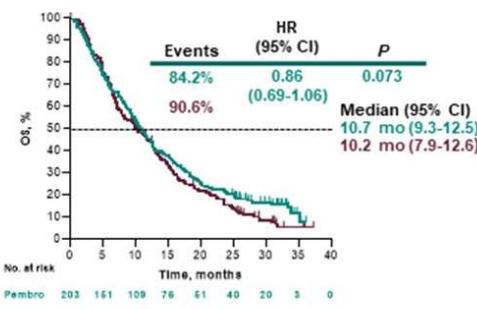
ITT



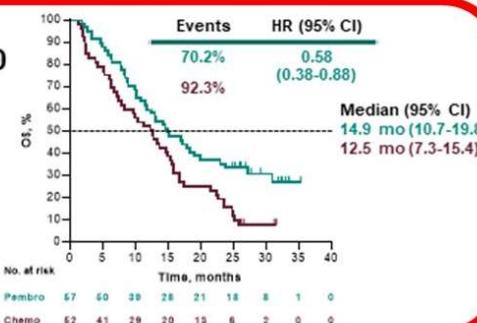
CPS ≥10



CPS ≥1



CPS ≥20



OS in the ITT, CPS ≥1 and CPS ≥10 populations were primary endpoints; OS in the CPS ≥20 population was an exploratory endpoint. Data cutoff date: April 11, 2019

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Cortes J, et al. ESMO 2019

Neoadjuvant Trials

- I-SPY2
- Geparnuevo
- NeoTRIP
- KEYNOTE 522
- IMpassion 031

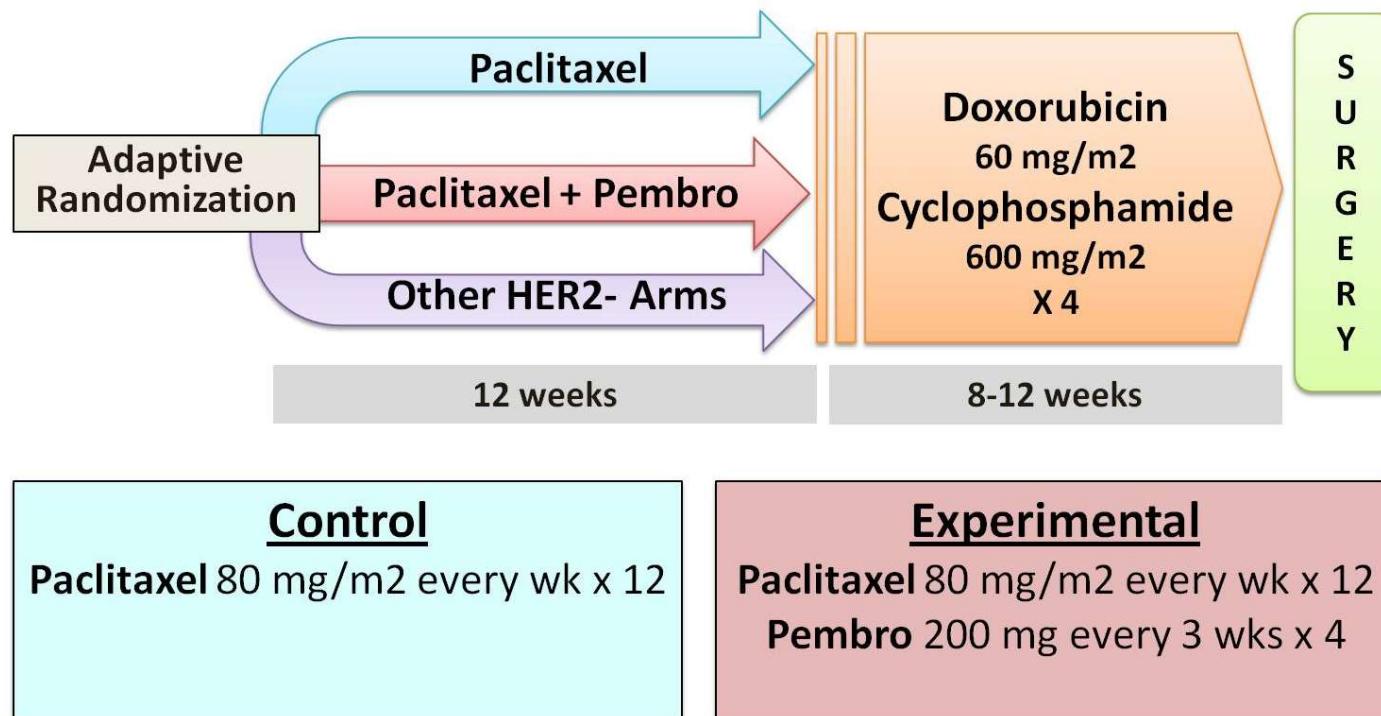
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I-SPY2: Neoadjuvant Pembrolizumab



pCR Rates

Subtype	Estimated pCR Rate (95% probability interval)		Probability, %	
	Pembro (n=69)	Control (n=181)	Probability Superior Control	Predictive Probability of Success in Phase 3 Trial
HER2-	44 (33-55)	17 (11-23)	>99.9	98.5
HR+/HER2-	30 (17-43)	13 (7-19)	>99.9	99.6
TNBC	60 (44-75)	22 (13-30)	99.6	83.4

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Nanda R, et al. JAMA Oncol 2020;6:1-9

irAEs

	Pembrolizumab (n=69) % (n)		Control (n=180) % (n)	
	All grades	Grade 3-5	All grades	Grade 3-5
Hypothyroidism	8.7 (6)	1.4 (1)	0.6 (1)	0 (0)
Hyperthyroidism	4.3 (3)	0 (0)	0 (0)	0 (0)
Adrenal Insufficiency	8.7 (6)	7.2 (5)	0 (0)	0 (0)
Hepatitis	2.9 (2)	2.9 (2)	0 (0)	0 (0)
Pneumonitis	2.9 (2)	0 (0)	1.1 (2)	0.6 (1)
Colitis	1.4 (1)	1.4 (1)	0.6 (1)	0.6 (1)
Pruritis	24.6 (17)	0 (0)	11.1 (20)	0.6 (1)

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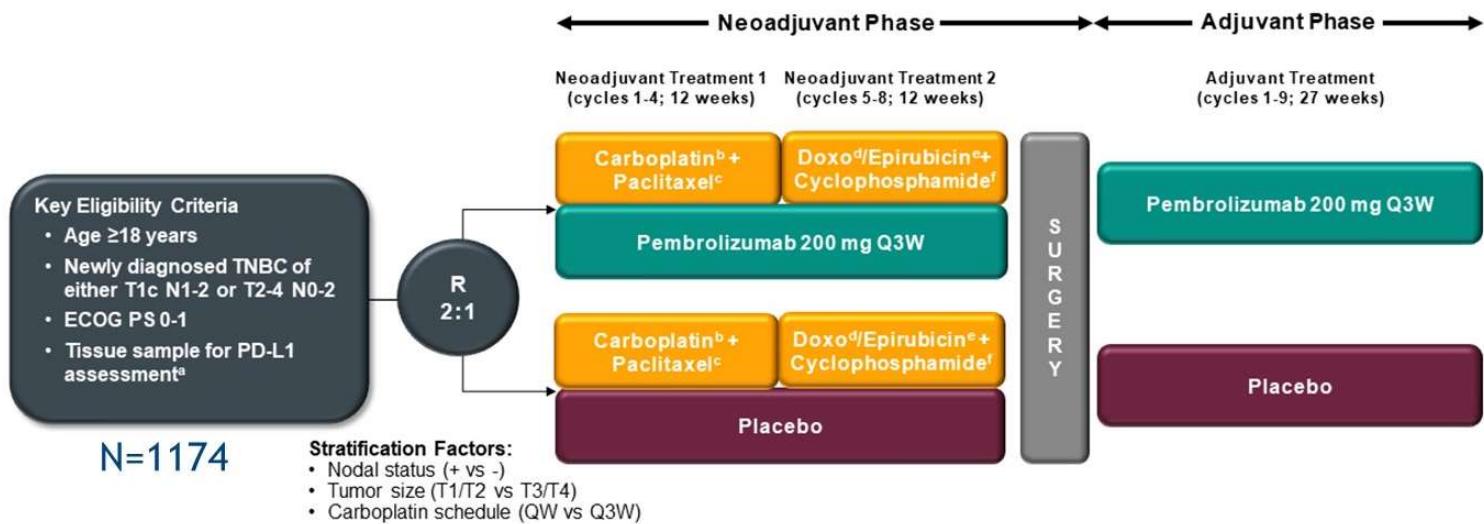


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Nanda R, et al. JAMA Oncol 2020;6:1-9

KEYNOTE 522: Preoperative Pembro



Neoadjuvant phase: starts from the first neoadjuvant treatment and ends after definitive surgery (post treatment included)

Adjuvant phase: starts from the first adjuvant treatment and includes radiation therapy as indicated (post treatment included)

^aMust consist of at least 2 separate tumor cores from the primary tumor.

^bCarboplatin dose was AUC 5 Q3W or AUC 1.5 QW.

^cPaclitaxel dose was 80 mg/m² QW.

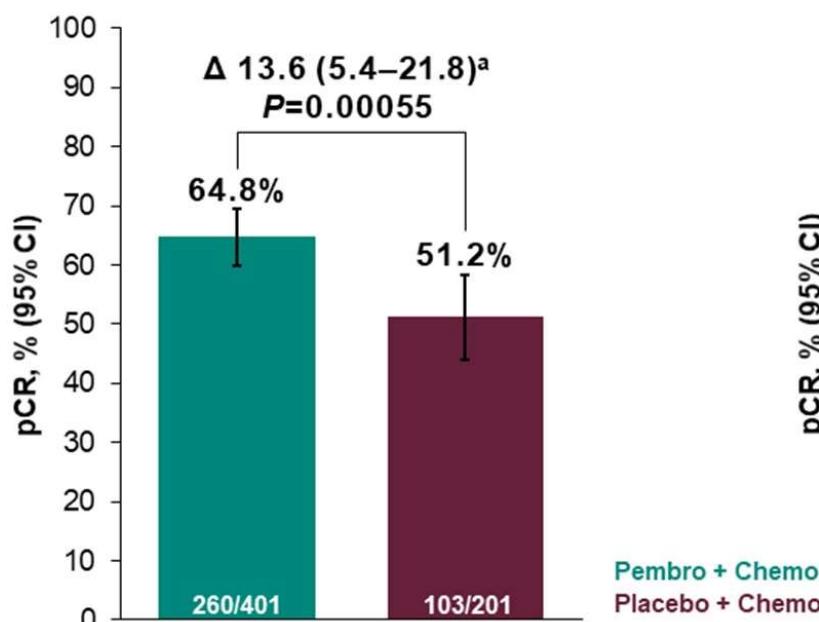
^dDoxorubicin dose was 60 mg/m² Q3W.

^eEpirubicin dose was 90 mg/m² Q3W.

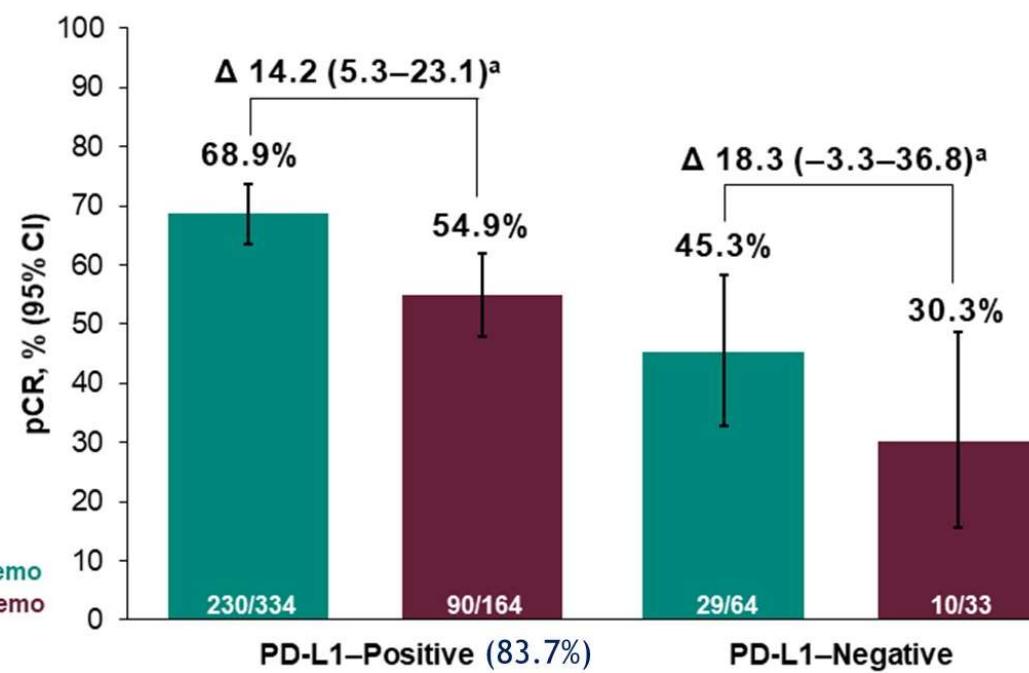
^fCyclophosphamide dose was 600 mg/m² Q3W.

pCR

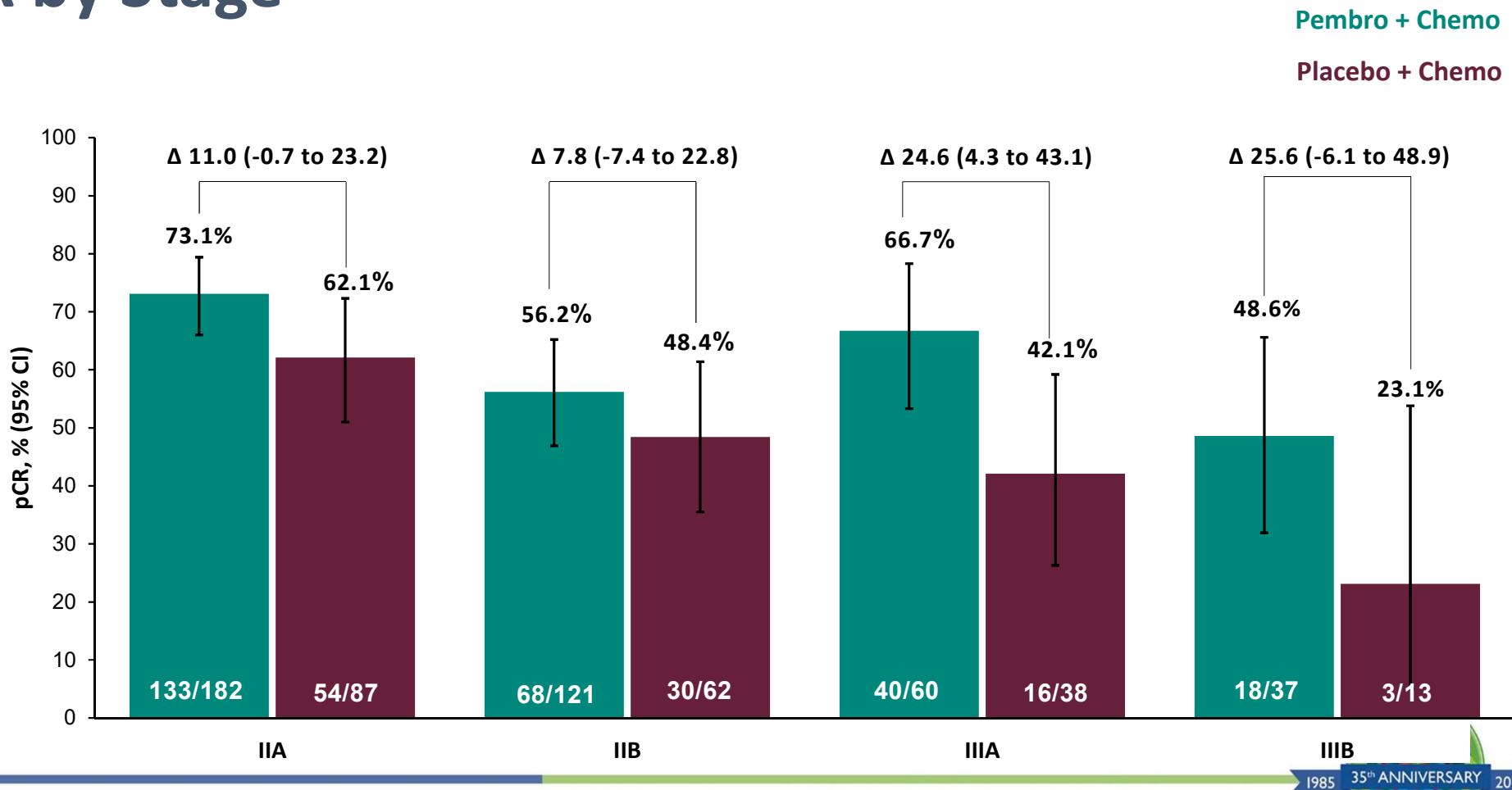
Primary Endpoint: ypT0/Tis ypN0



By PD-L1 Status^b: ypT0/Tis ypN0



pCR by Stage



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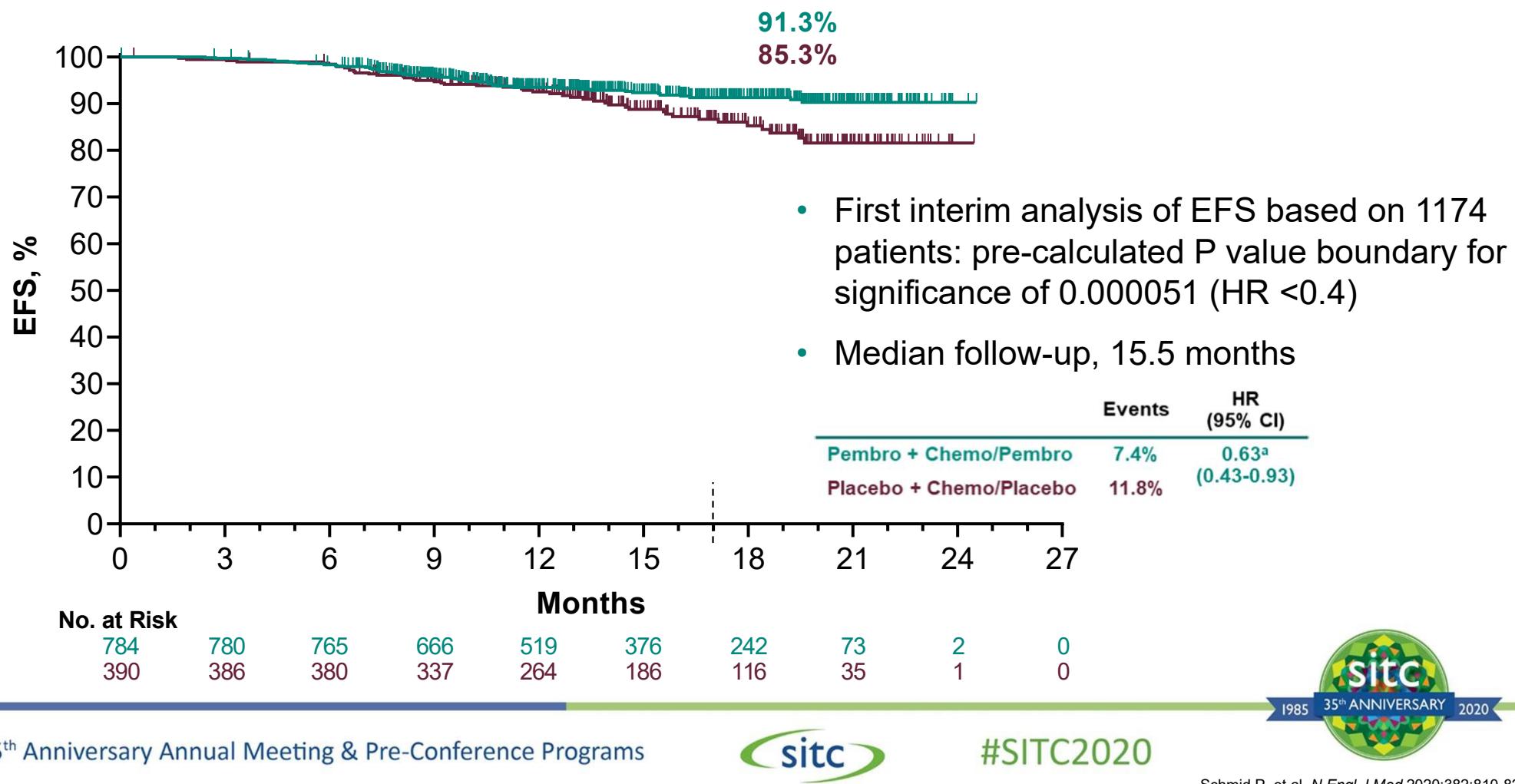


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Schmid P, et al. *N Engl J Med* 2020;382:810-821

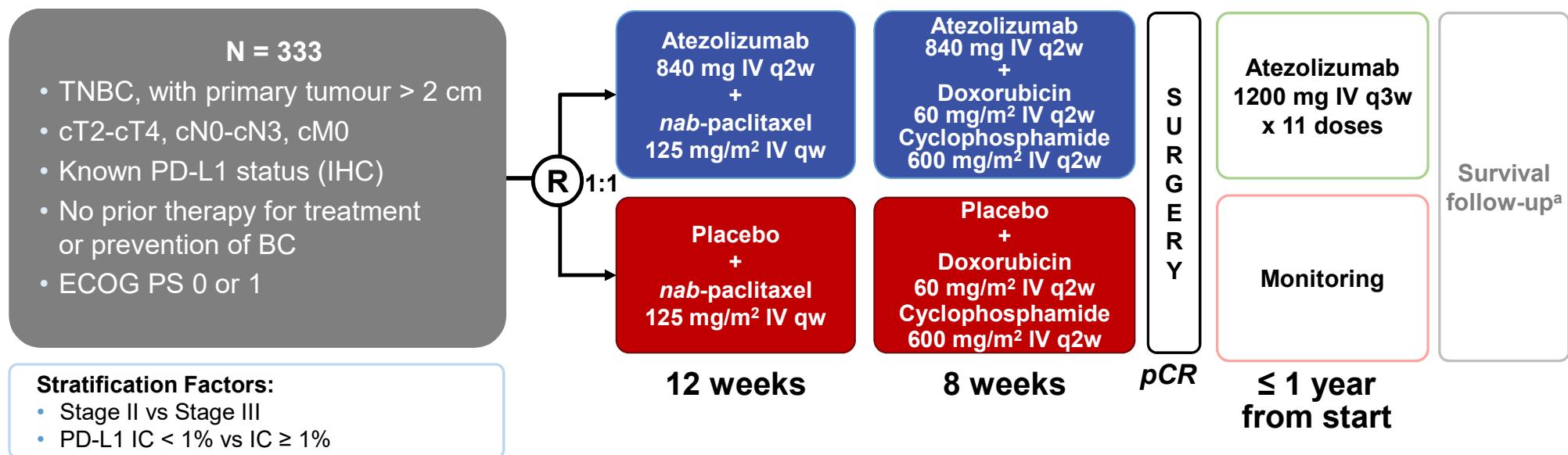
EFS – Interim Analysis



Treatment-Related AEs

- Generally similar rates of grade 3-5 tox during neoadjuvant phase
- Discontinuation rates
 - Neoadjuvant: 23.3% pembro vs 12.3% placebo
 - Adjuvant: 3.3% pembro vs 1.3% placebo
- Immune related: 10-15% can be permanent
 - Hypothyroidism 14.9%; hyperthyroidism 5.1%
 - Adrenal insufficiency 2.7% (highlighted with a green box)
 - Pneumonitis 1.9%
 - Colitis 1.8%
 - Hypophysitis 1.8%
 - Hepatitis 1.4%

IMpassion031: Preoperative Atezolizumab

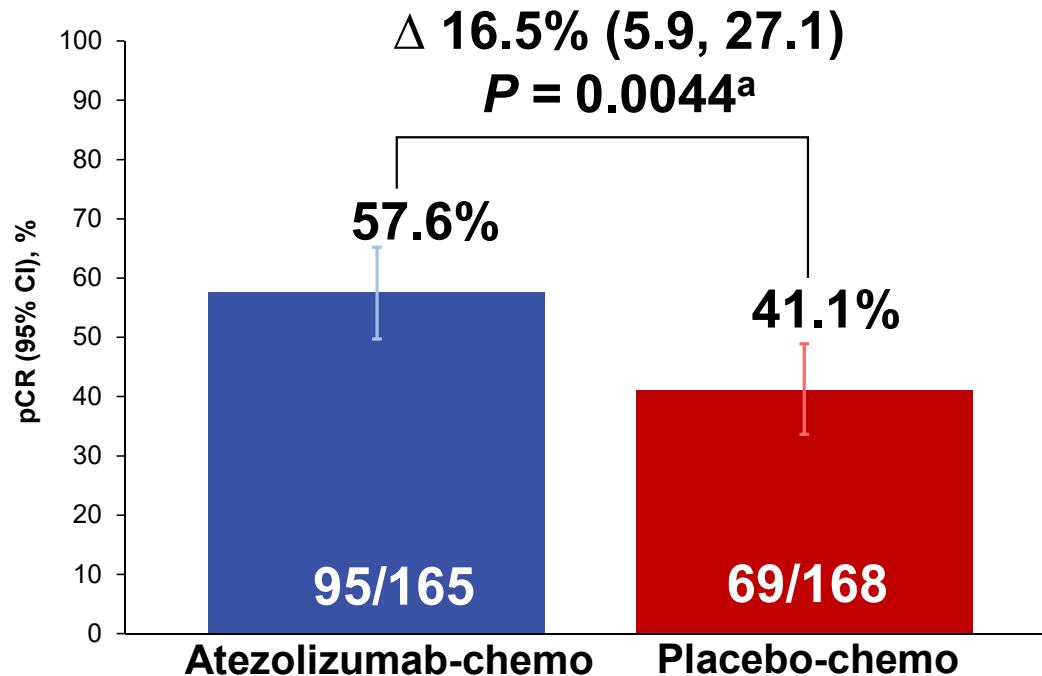


Primary Endpoints: pCR in ITT and PD-L1+ populations

Secondary Endpoints: EFS/DFS/OS in ITT and PD-L1+ populations, safety, PROs

pCR

pCR (95% CI), ypT0/is ypN0



^aOne-sided significance boundary P = 0.0184 (accounting for the adaptive enrichment design). P = 0.0085 for the intersection hypothesis of pCR in the ITT and PD-L1-positive population.

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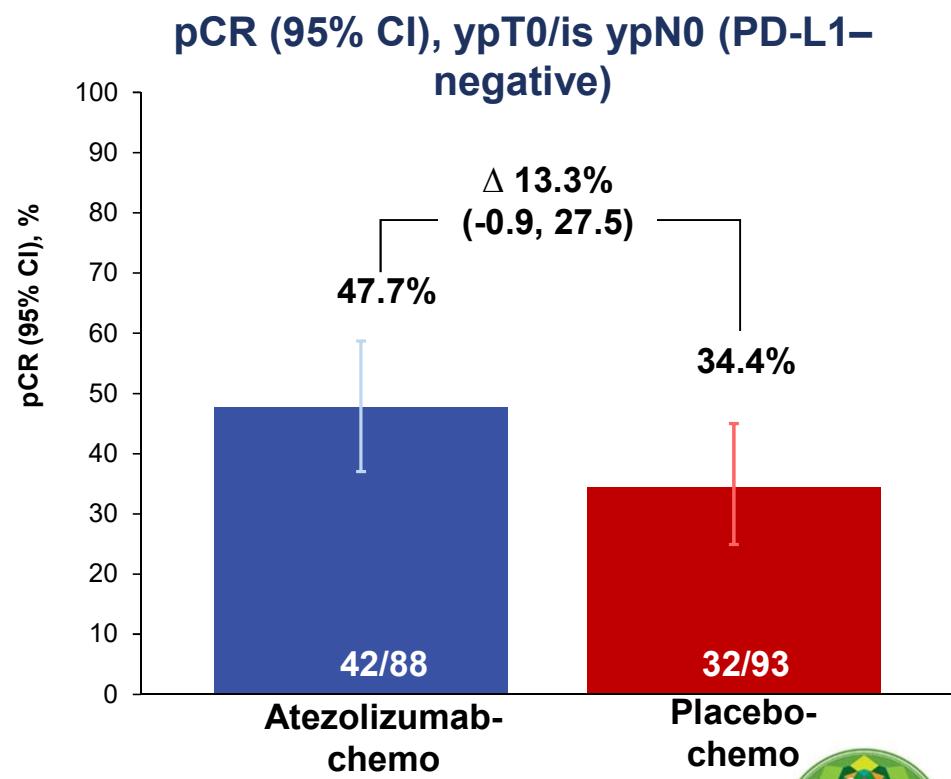
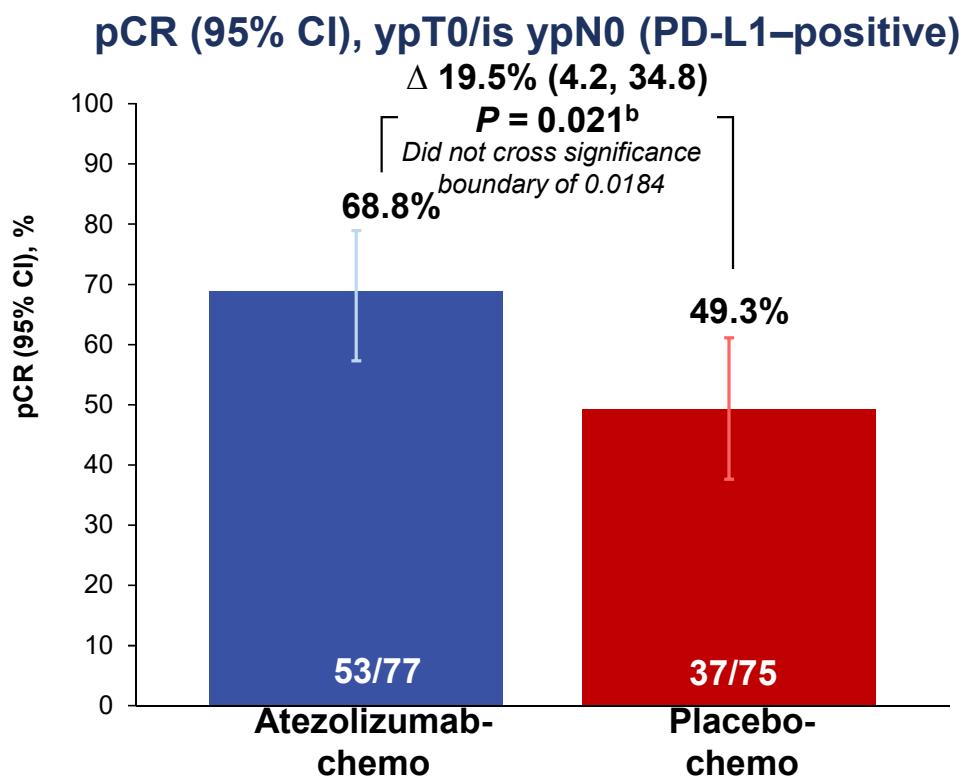


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Mittendorf EA, et al. *Lancet* 2020;396:1090-1100

pCR by PD-L1 Status



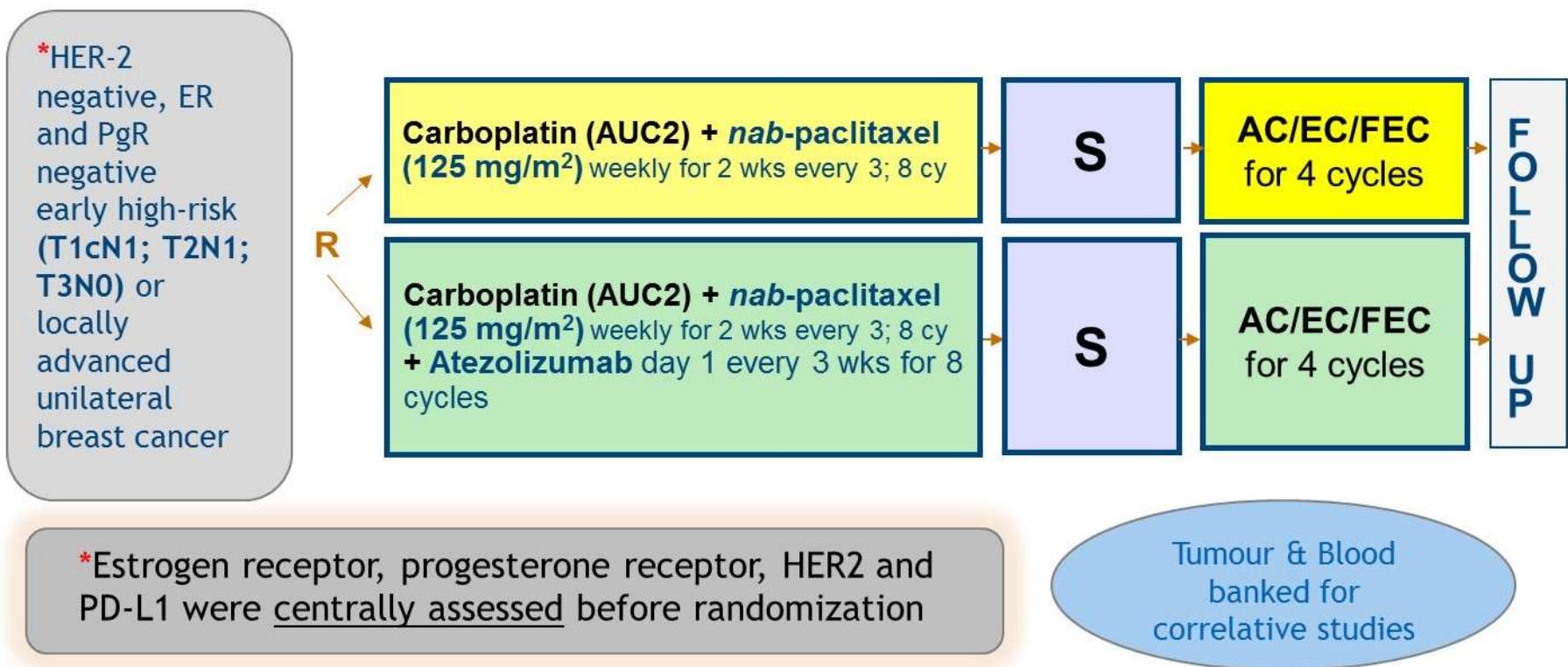
Adverse Events of Special Interest

Summary, n (%)	Atezolizumab-Chemo (n = 164)	Placebo-Chemo (n = 167)
All AESIs	115 (70.1)	101 (60.5)
Grade 3-4 AESI	24 (14.6)	20 (12.0)
Serious AESI	11 (6.7)	11 (6.6)
AESI requiring systemic corticosteroids	21 (12.8)	10 (5.9)
Specific AESIs, n (%)	Any Grade	
Hepatitis	2 (1.2)	
Hypothyroidism	11 (6.7)	
Hyperthyroidism	5 (3.0)	
Adrenal insufficiency	0	
Pneumonitis	2 (1.2)	1 (0.6)
Colitis	1 (0.6)	1 (0.6)
Guillain-Barré syndrome	0	0
Diabetes	1 (0.6)	1 (0.6)
Encephalitis ^b	1 (0.6)	1 (0.6)
Myositis	1 (0.6)	1 (0.6)
Rash	80 (48.8)	6 (3.7)
Infusion-related reactions	17 (10.4)	1 (0.6)
Ocular inflammatory toxicity	2 (1.2)	0
Severe cutaneous reactions	0	1 (0.6)

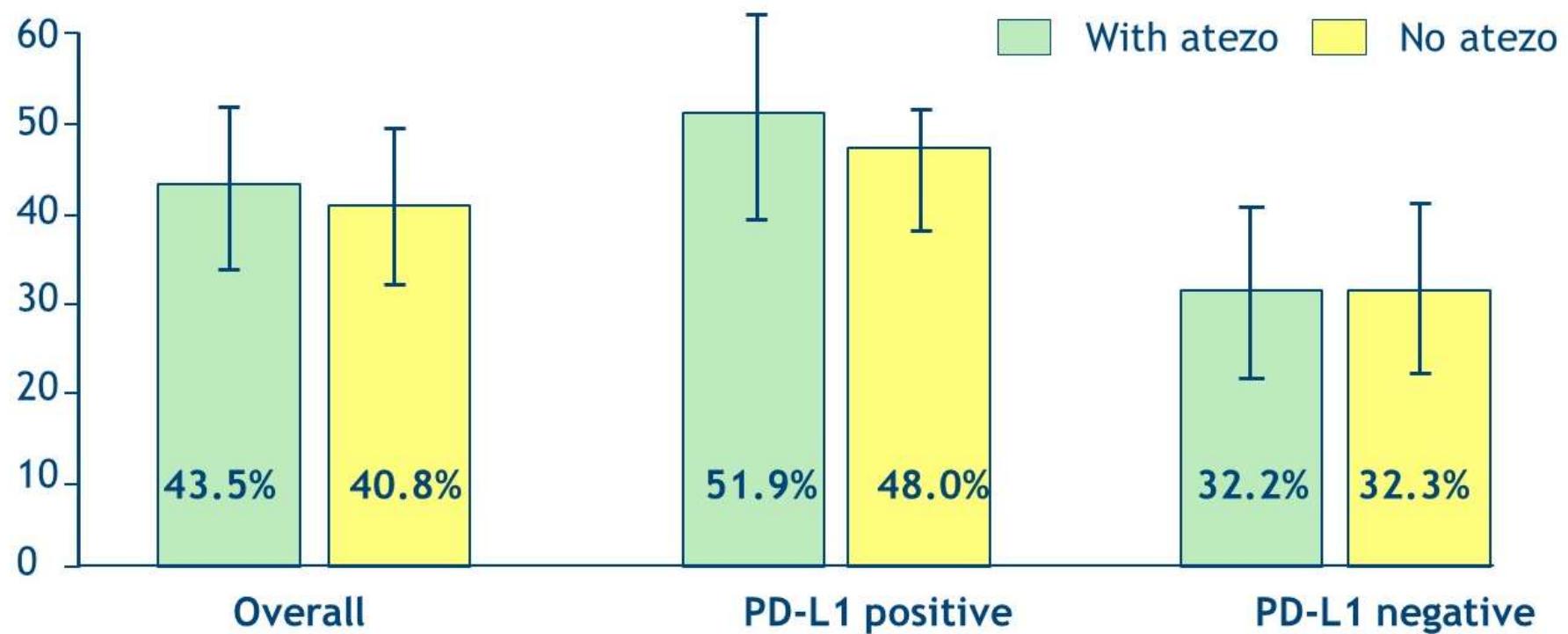
KN522

Hypothyroidism 14.9%
Hyperthyroidism 5.1%
Adrenal insufficiency 2.7%

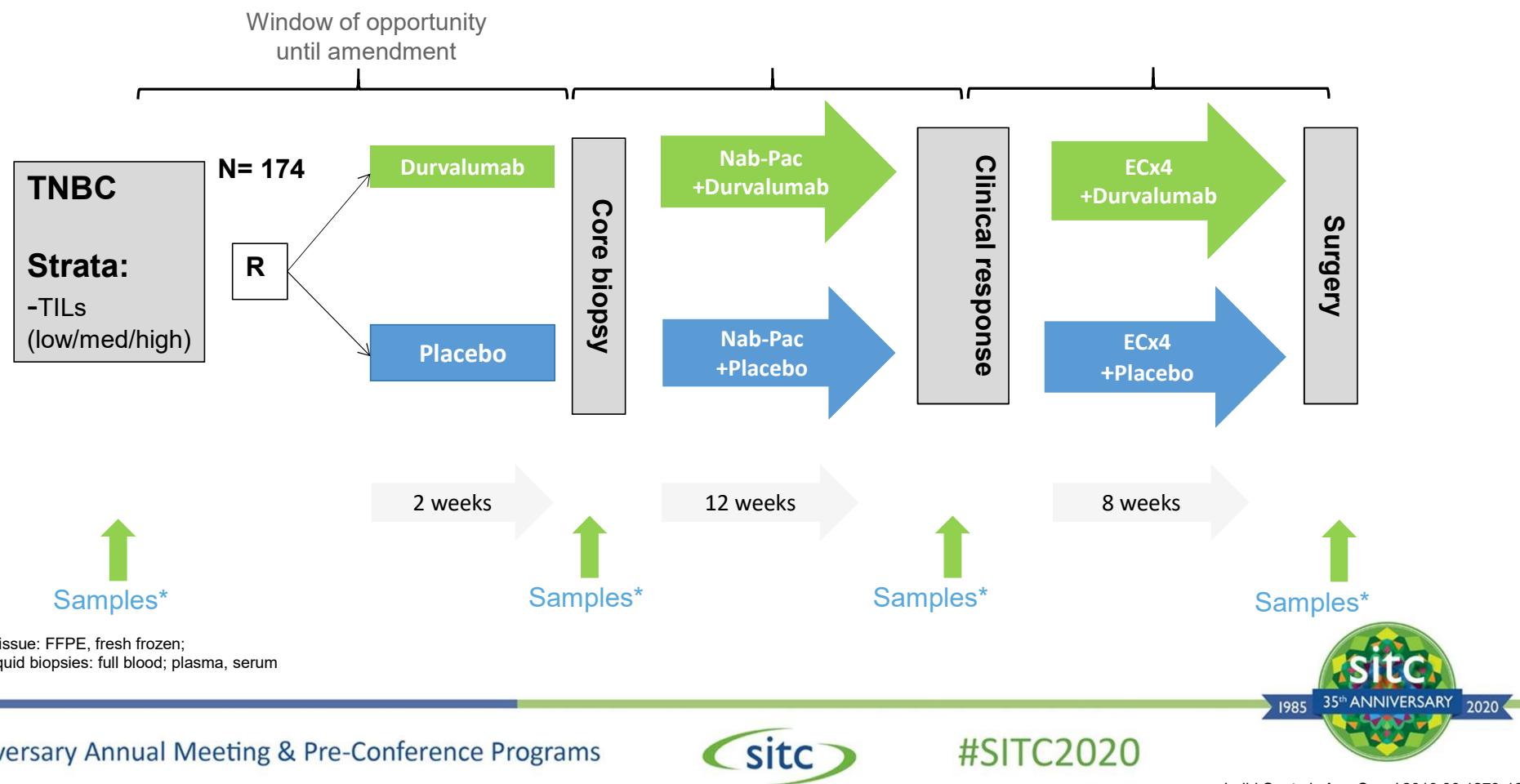
NeoTRIP – Preoperative Atezolizumab



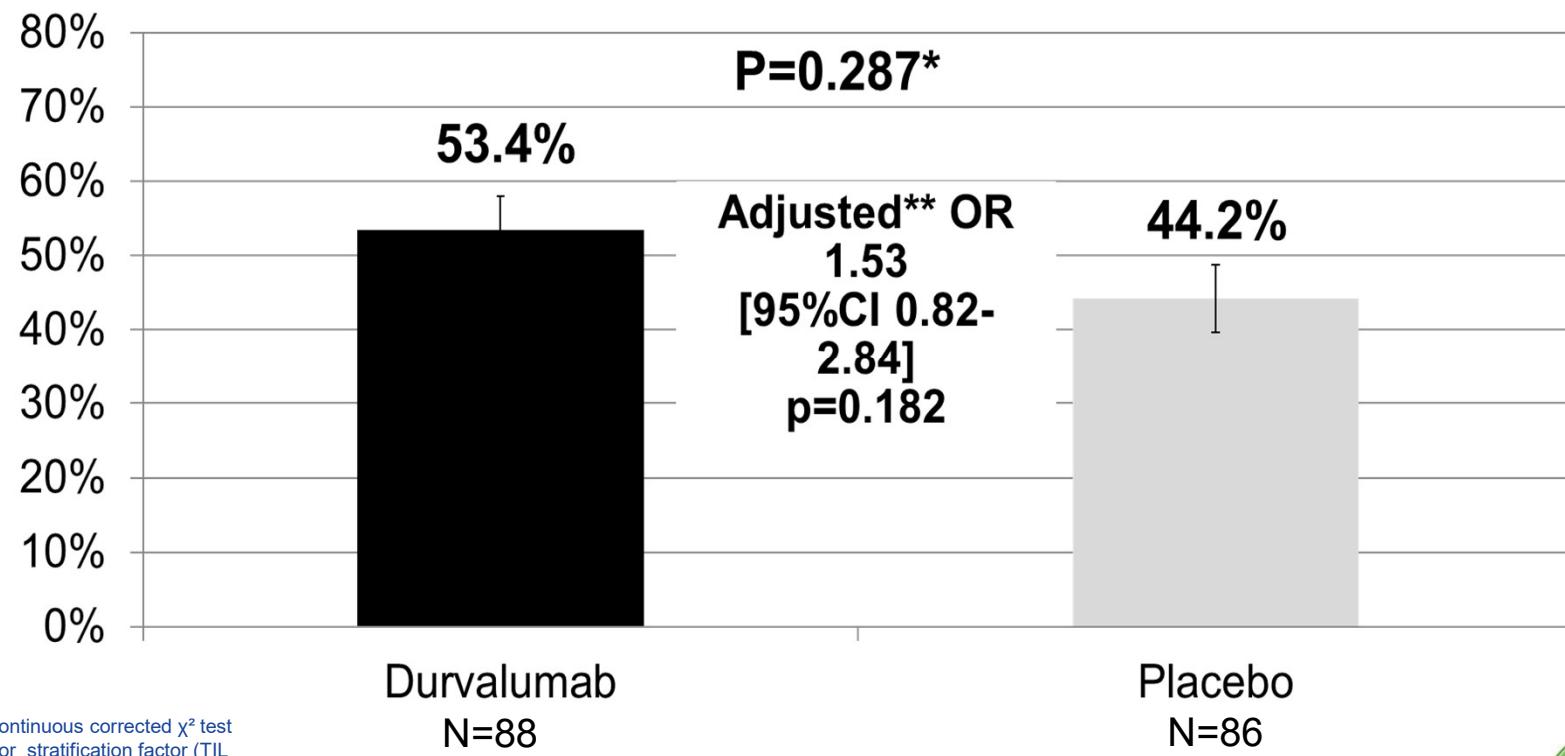
pCR rates



GeparNuevo



Primary Endpoint: pCR, ypT0N0

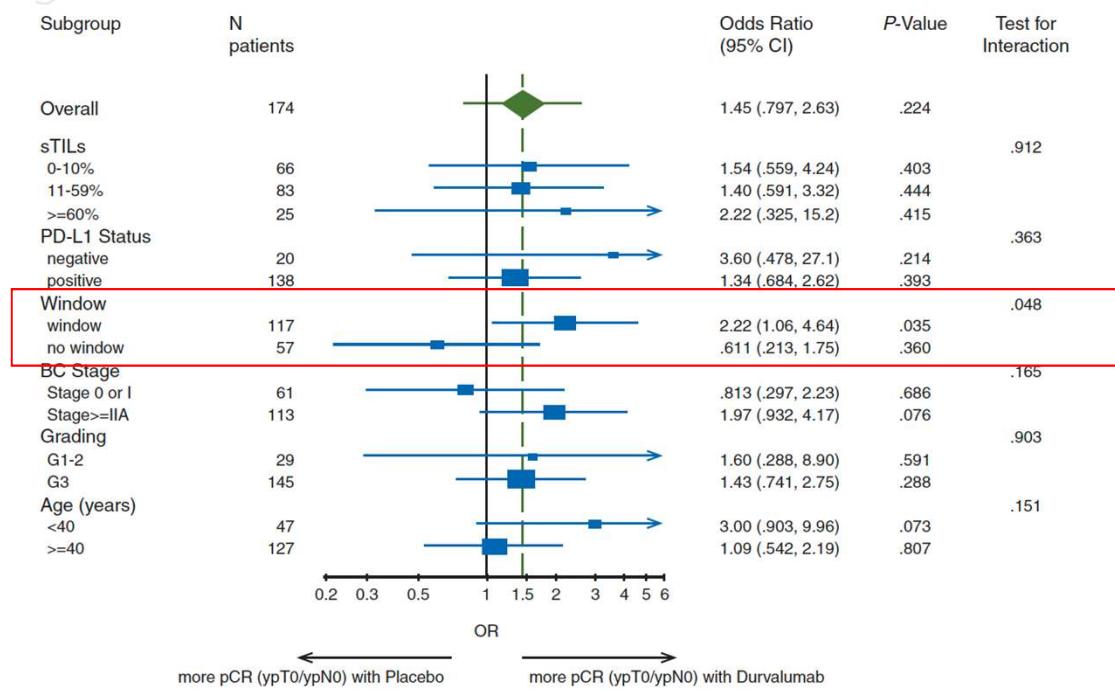


* Continuous corrected χ^2 test

** For stratification factor (TIL groups)

Primary Endpoint: pCR, ypT0N0

- Durva effect seen only in window cohort (n=117)
 - pCR 61% vs 41.4% (p=.035)



Summary Neoadjuvant IO Studies

	I-SPY2 Pembrolizumab	KEYNOTE-522 Pembrolizumab	NEOTRIP Atezolizumab	IMpassion 031 Atezolizumab	GEPARNUEVO Durvalumab
Total patients	69/181	602/1174	280	333	174
Target	PD-1	PD-1	PD-L1	PD-L1	PD-L1
Stage	II/III	II/III	I-III	II/III	35% stage I
Anthracycline	Yes	Yes	No	Yes	Yes
Carboplatin	No	Yes	Yes	No	No
pCR rate	60% vs 22% (graduated)	65% vs 51% (p=0.00055)	44% vs 41% (p=0.66)	57.6% vs 41.1% (p=0.0044)	53% vs 44% (p=0.287)

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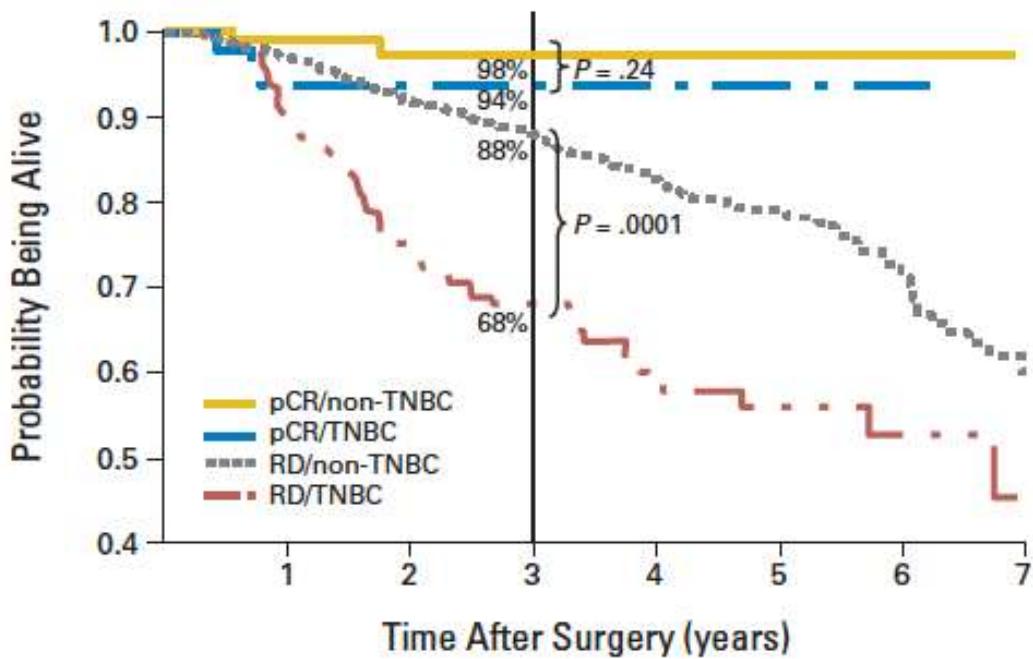
Take Home Messages

- KEYNOTE-522 and IMpassion 031 are complementary and generally supportive studies
 - ↑ pCR with addition of immunotherapy
 - Benefit seen regardless of PD-L1 status
 - EFS data in KEYNOTE-522 encouraging but need longer f/u; IMpassion 031 underpowered
 - Unclear how pCR with IO will translate to survival advantage
 - Role of adjuvant therapy unclear at this time (blinded in KN522, unblinded in IMpassion 031)
 - Duration of IO is important clinical question
 - Critical differences in chemotherapy backbone

Questions that Remain

- What is the optimal chemotherapy backbone?
- Can we de-escalate the chemotherapy?
- How should chemotherapy and checkpoint blockade be sequenced?
- Do patients need *adjuvant* checkpoint blockade?
- Can we expand the benefit to other breast cancer subtypes?
- Do all early stage TNBC patients require IO?

Do All Early Stage TNBC Patients Need Neoadjuvant Immunotherapy?



- Early stage TNBC is curable
- TNBC patients that have good response to anthracycline/taxane-based chemotherapy have good prognosis

Thank You



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