

# **Type-1 Dendritic Cell Vaccines in Combination with Poly-ICLC**

## **Association Between Positive Tetramer Response and 6-Month Progression-Free Survival**

Hideho Okada, Frank S. Lieberman, Ryo Ueda, Aki Hoji,  
Pawel Kalinski, Arlan H. Mintz, Johnathan A. Engh, Deric M. Park,  
David L. Bartlett, Herbert Zeh, Teresa E. Donegan,  
Theresa L. Whiteside, Lisa H. Butterfield,  
Walter J. Storkus, Douglas M. Potter,  
Ronald L. Hamilton, Andres M. Salazar  
and Ian F. Pollack

**University of Pittsburgh Cancer Institute**



The Department of Neurological Surgery <sup>1</sup>  
at the University of Pittsburgh



# *Acknowledgement*

## Funding Sources

NIH/NCI and NIH/NINDS

Musella Foundation

Pittsburgh Foundation

The Brain Tumor Society

Brain Tumor Program

Clinical Research Services

IMCPL

Of the UPCI

Drs. Ronald Herberman Michael T Lot  
and Robert L. Ferris

Participants and their families

Cathedral of Learning  
Univ. Pittsburgh

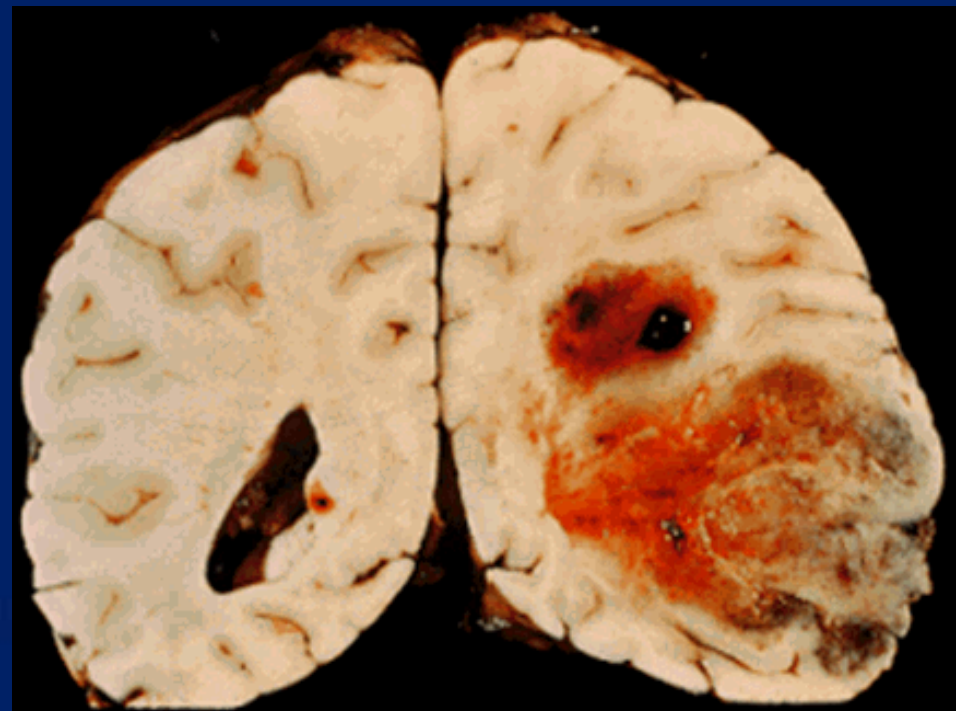
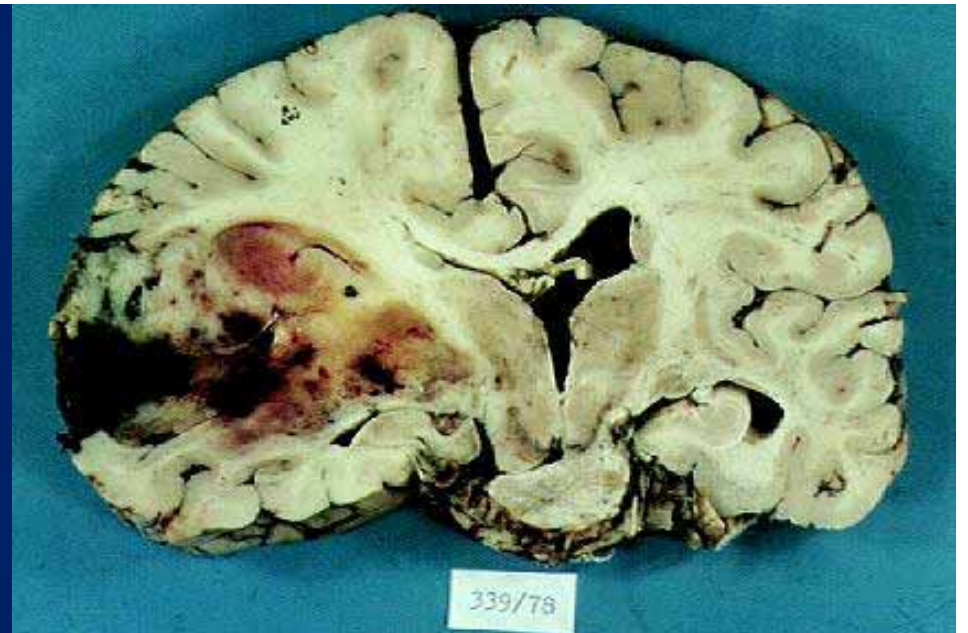
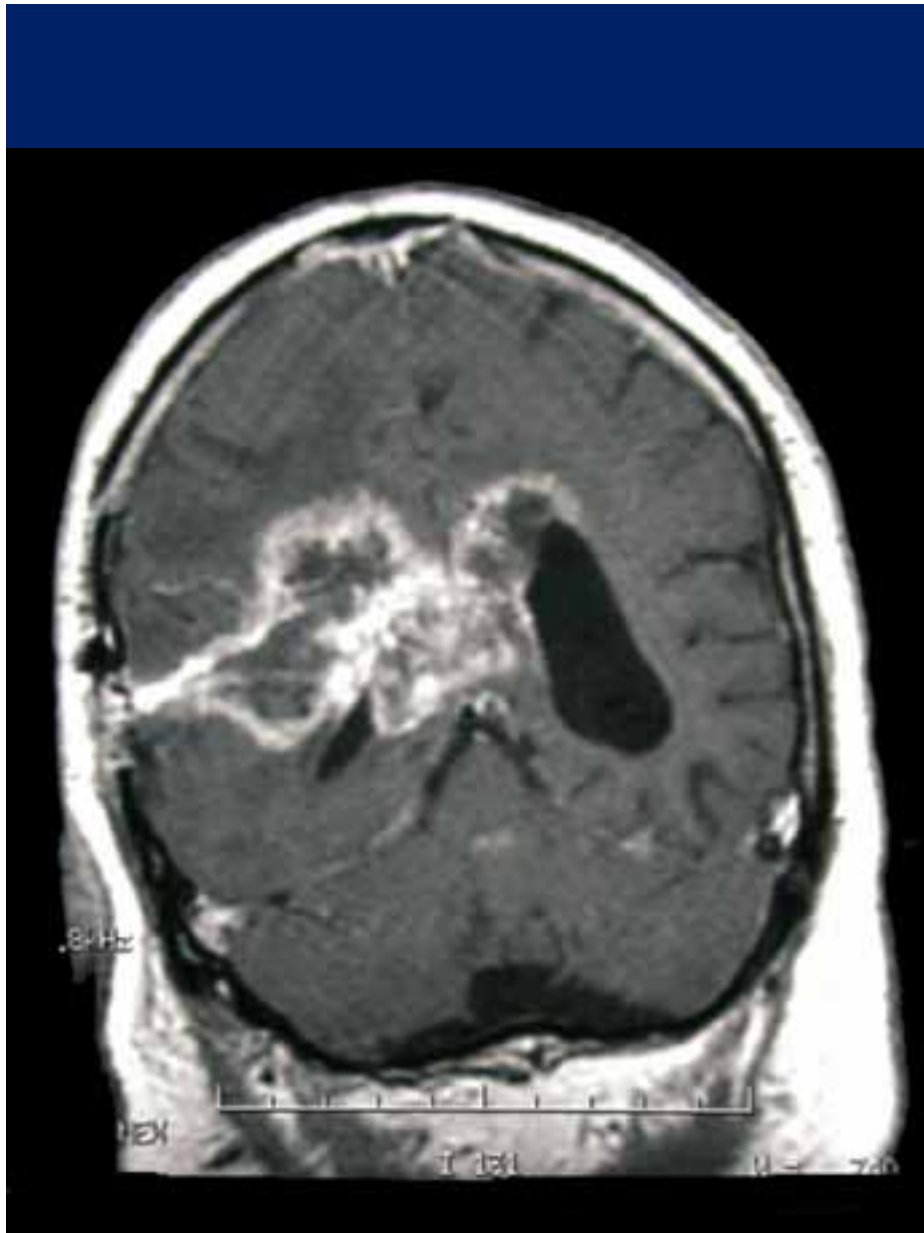


# Conflicts of Interests (COI)

Hideho Okada and Walter J. Storkus are inventors of the IL-13R $\alpha$ 2 (345-353:1A9V) peptide, for which an exclusive licensing agreement has been executed with Stemline, Inc.

Per the University of Pittsburgh COI policy, interpretation of presented data was not performed solely by Hideho Okada, but by the investigator team.





The Department of



# Critical Aspects/Factors for Potent Dendritic Cell Vaccines

- Type of DCs
  - Type-1 DCs (alphaDC1)
- Target Antigens
  - Multiple CTL epitopes from 4 GAAs
- Administration Route
  - Intranodal administration (superior to s.c)
- Adjuvant
  - Poly-ICLC as a ligand for intracellular dsRNA receptors

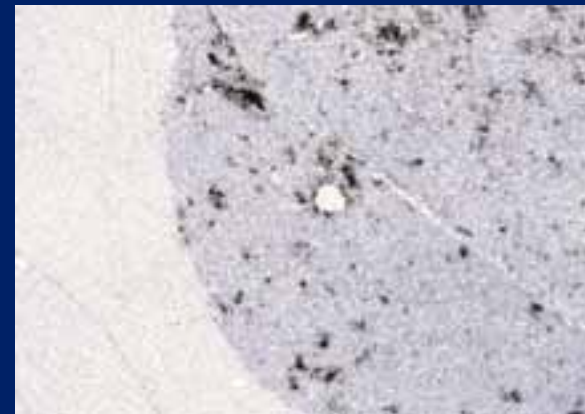


# Upregulated expression of CXCL10 mRNA in murine GL261 glioma treated with GAA-vaccines and i.m. poly-ICLC (*In situ* hybridization)

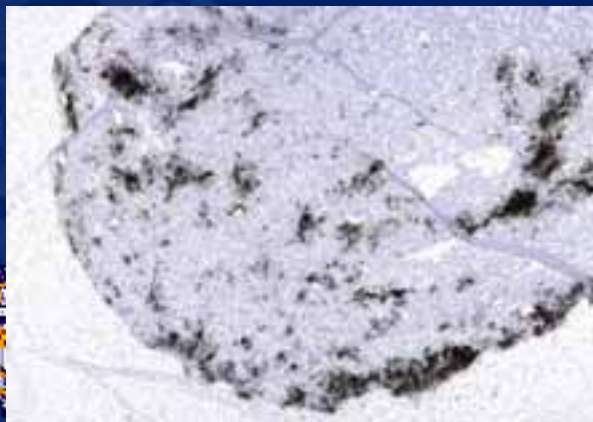
A Vaccine Plus Poly-ICLC



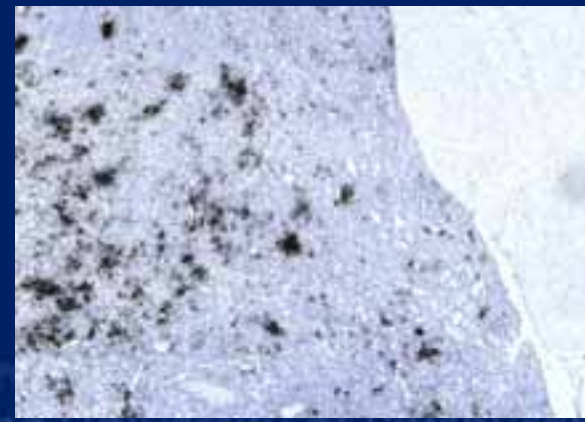
B Vaccine Alone



C Poly-ICLC Alone



D Mock-Treatment

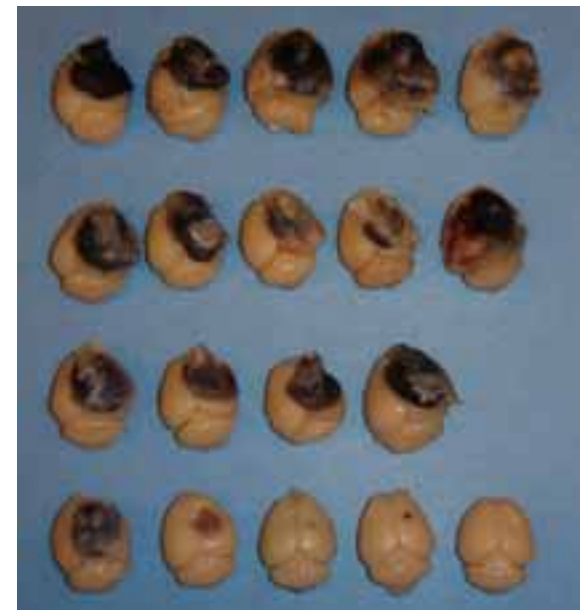
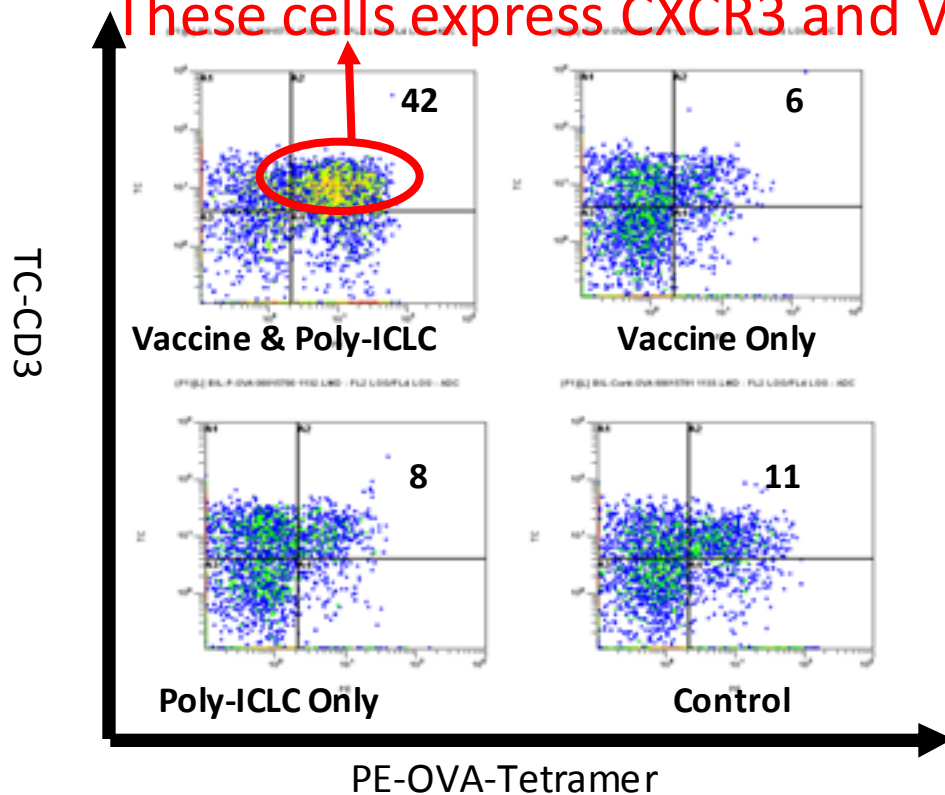


# Poly-ICLC administration enhances the infiltration of OVA-Specific T cells and therapeutic efficacy

Brain-Infiltrating T cells

Anti-Tumor Effect

These cells express CXCR3 and VLA-4



Mock Tx Alone

Poly-ICLC Alone

Vaccine Alone

Vaccine plus Poly-ICLC

Zhu X. *et al.*

# Objectives (UPCI 05-115)

- Primary Objective
  - Safety - to determine the maximal tolerated dose of DC1 and evaluation of toxicities
- Secondary Objectives
  - Assess immunological response against GAAs using ELISPOT and tetramer assays
  - Assess the preliminary anti-tumor clinical activity of the vaccines as measured by radiological response (MRI), overall survival as well as 6 month-progression free survival (PFS).





# Eligibility

- Adult patients with recurrent GBM or WHO grade 3 AG
- HLA-A2+ based on flow-cytometry
- Minimum corticosteroid (4 mg/day or less for Dexamethasone)
- Maximum 2 previous recurrences



# Treatment

- Ultrasound-guided intranodal injections of **type-1 DC1** (1 or  $3 \times 10^7$  /injection with dose escalation) loaded with **4 glioma-associated antigen (GAA)-derived HLA-A2-restricted CTL epitopes**

(IL-13Ra2<sub>345-353:1A9V</sub>, gp100<sub>209-217:2M</sub>, EphA2<sub>883-891</sub> and YKL-40<sub>201-210</sub>)

- Intramuscular injections of **poly-ICLC** (20 mg/kg; Twice/week)



## Demographics for All Patients Enrolled (N=22)

Parameter	No.	%
Received at least one vaccine	22	
Completed at least 4 vaccines	19	86
	(10 and 9 for dose levels 1 and 2)	
Median age, years	48.6	
Range	28-71	
Tumor Histology		
AA	6	27
AO	3	14
GBM	13	59
No. of Previous Recurrences		
0	5	23
1	11	50
2	6	27



The regimen was well-tolerated

No CTCAE grade 3 or grade 4 events observed related to treatment.

All adverse events listed above were believed to be possibly, probably, or definitely related to the vaccine and/or poly ICLC regimen.

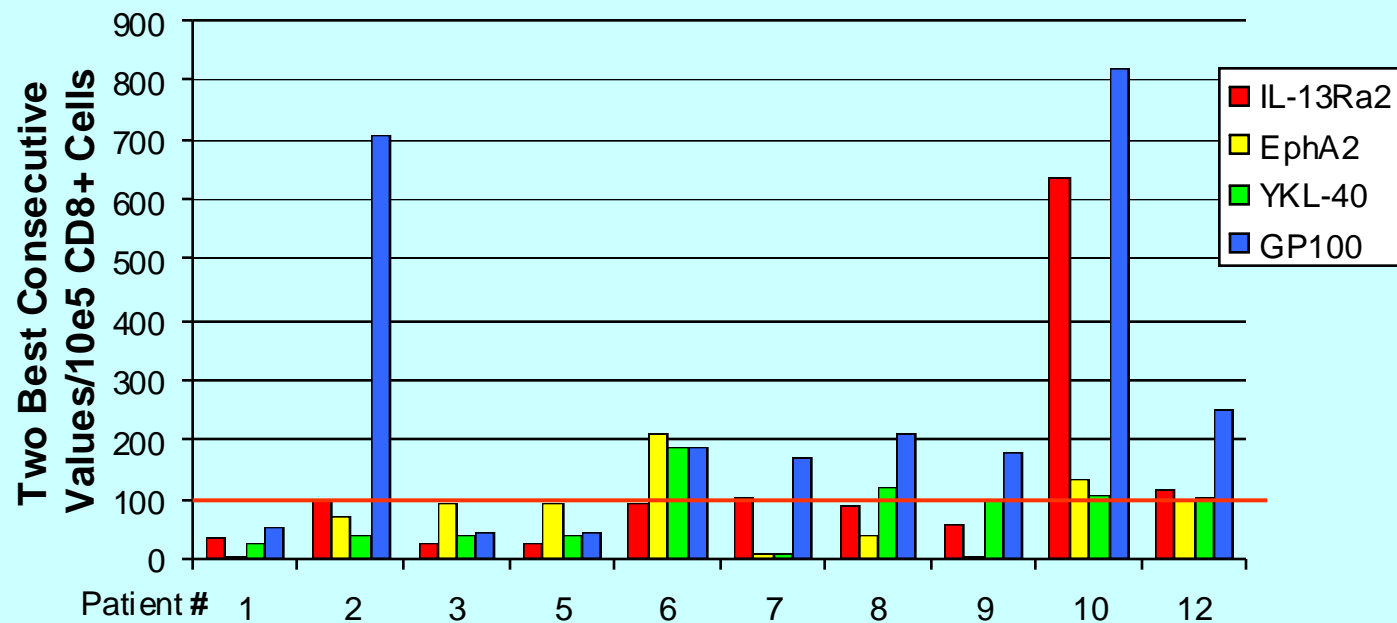
The numbers represent the No. of patients (of 22) experiencing a particular event at any point during the treatment period, with the highest grade reported for any single individual.



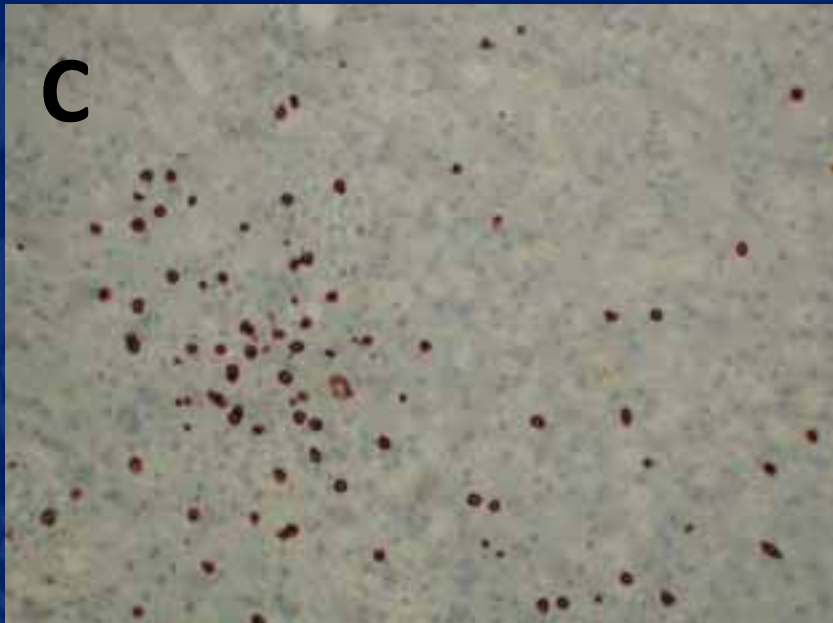
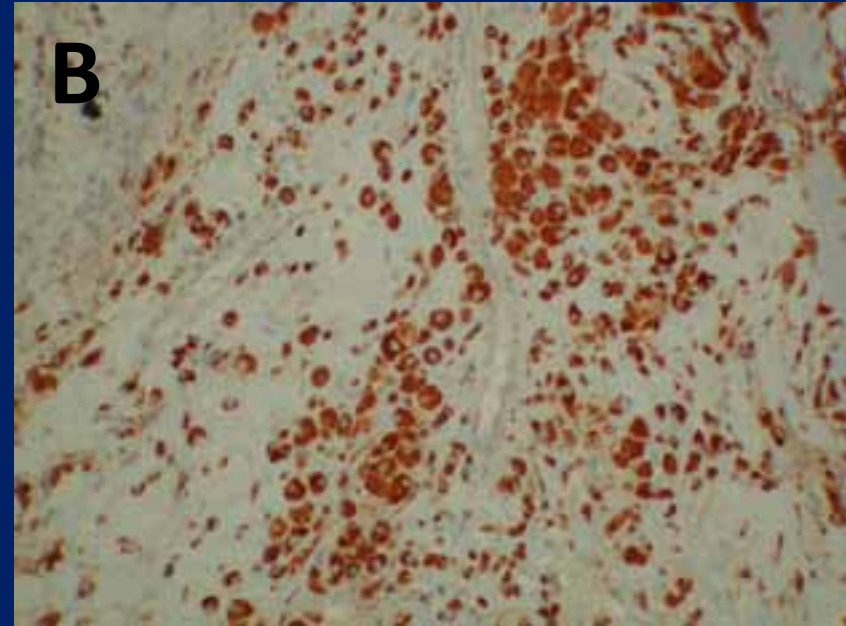
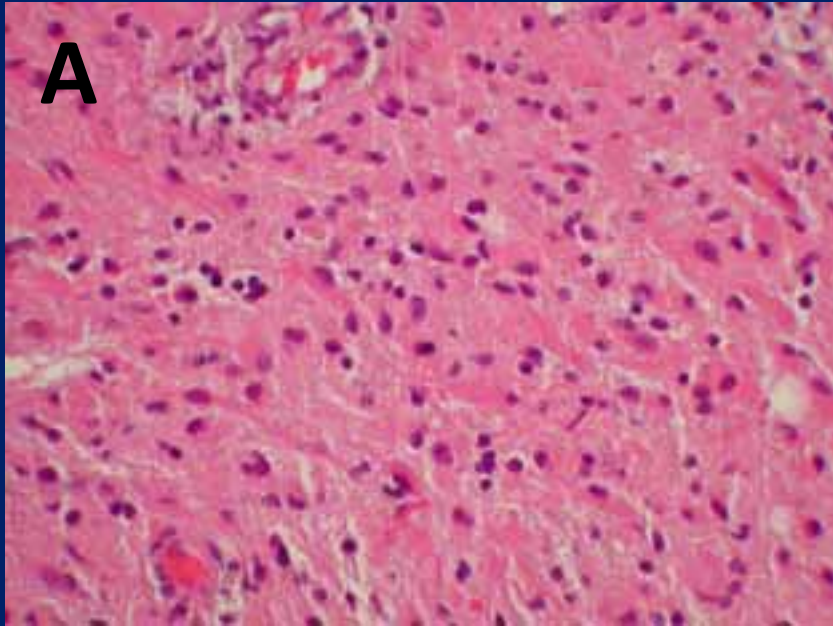
Adverse Event	Grade 1		Grade 2	
	No.	%	No.	%
Injection site reactions				
Redness, induration, pruritis, pain	15	68	3	13.6
Constitutional symptoms				
Fatigue	13	59	2	9
Fever	4	18.1		
Chills/Rigors	5	22.7		
Nausea	6	27.3		
Vomiting	1	4.5		
Headache	4	18.1	1	4.5
Insomnia	1	4.5		
Dyspnea				
Light headed/dizziness	1	4.5		
Dermatological				
Skin rash	3	13.6		
Dry skin	1	4.5		
Bruising	1	4.5		
Musculoskeletal				
Pain, back spasm				
Arthralgias				
Myalgias	4	18.1		
Muscle weakness/pain	6	27.3	2	9
GI				
Constipation				
Diarrhea				
Cardiovascular				
Tachycardia				
Edema				
Pain				
Throat/pharynx/larynx	2	9		
Pulmonary/Upper Respiratory				
Rhinitis/Runny nose	1	4.5		



## ELISPOT Response and Clinical Outcomes

[illegible]

# Biopsy of the Residual Tumor



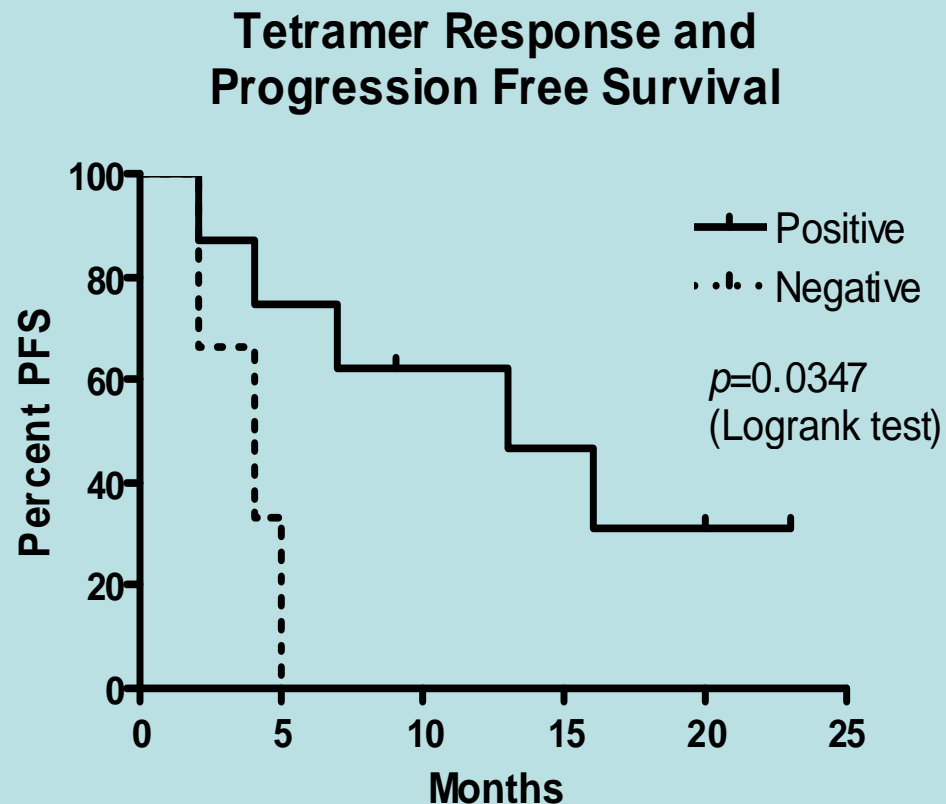
A: H&E; cellular area with reactive gliosis and possible residual glioma with no evidence of mitosis (400x)

B: CD68 – numerous macrophages (200x)

C: most of the T cells are CD8+ (200x)



# Association between Positive Tetramer Response and 6M-PFS



An association between positive tetramer response (against any of the GAAs) and progression-free survival by Logrank test.

Fisher's exact test also indicated a positive association for 6M-PFS ( $p= 0.040$  [one-sided];  $0.048$  [two-sided])



# Summary

1. These interim data demonstrate the safety of the regimen and, for the first time, induction of specific reactivity against novel IL-13R $\alpha$ 2- and EphA2-derived epitopes in vaccine recipients.
2. Although we treated mixed tumor types, our preliminary data also indicated an association between positive tetramer response and progression free survival.





# Ongoing Vaccine Trials using glioma-associated antigen (GAA)-peptides and poly-ICLC as the common platform

- Type-1 DCs loaded with GAA-peptides in recurrent high grade gliomas (UPCI 05-115)

Novel trials using GAA in Montanide ISA-51 VG (Seppic, Inc) and poly-ICLC

- GAA-peptide vaccines plus poly-ICLC in patients with newly diagnosed WHO G2 gliomas with high risk factors (UPCI 07-057)
- The same vaccine as above for recurrent LGG (UPCI08-135)
- GAA-peptide vaccines plus poly-ICLC in pediatric patients with gliomas (CHP)



The Department of Neurological Surgery  
at the University of Pittsburgh



# About the Clinical Immunology Society

- Established in 1986;
- Key inter-disciplinary organization for the field of clinical immunology and is devoted to fostering developments in the science and practice of clinical immunology;
- International professional organization which includes clinicians, investigators, and trainees;
- *Clinical Immunology* – official journal of CIS.

**Mission:** To facilitate education, translational research and novel approaches to therapy in clinical immunology to promote excellence in the care of patients with immunologic/inflammatory disorders.

**Apply for membership in CIS today at:**  
**[www.clinimmsoc.org](http://www.clinimmsoc.org)**

# SAVE THE DATE!

## May 20, 2010 ~ Philadelphia, PA

### First CIS Annual Meeting

- Corporate Thursday Session on "New Biotherapeutics and Immune Deficiency" that will include:
  - Mucosal Immunology
  - Cancer Immunotherapy
  - Dermatology
  - New Therapeutics in Autoimmunity
- Will provide education for clinician scientists in immunology.
- Held in conjunction with PIDD National Conference.

Watch the CIS website for more information!

I am stopping my talk



NO  
STOPPING  
ANY  
TIME

– though our work will  
never stop at any time!  
THANK YOU!

