

# Immunotherapy for the Treatment of Head and Neck Cancer

Daniel R Carrizosa, MD MS

Chief, Section of Head and Neck Medical Oncology

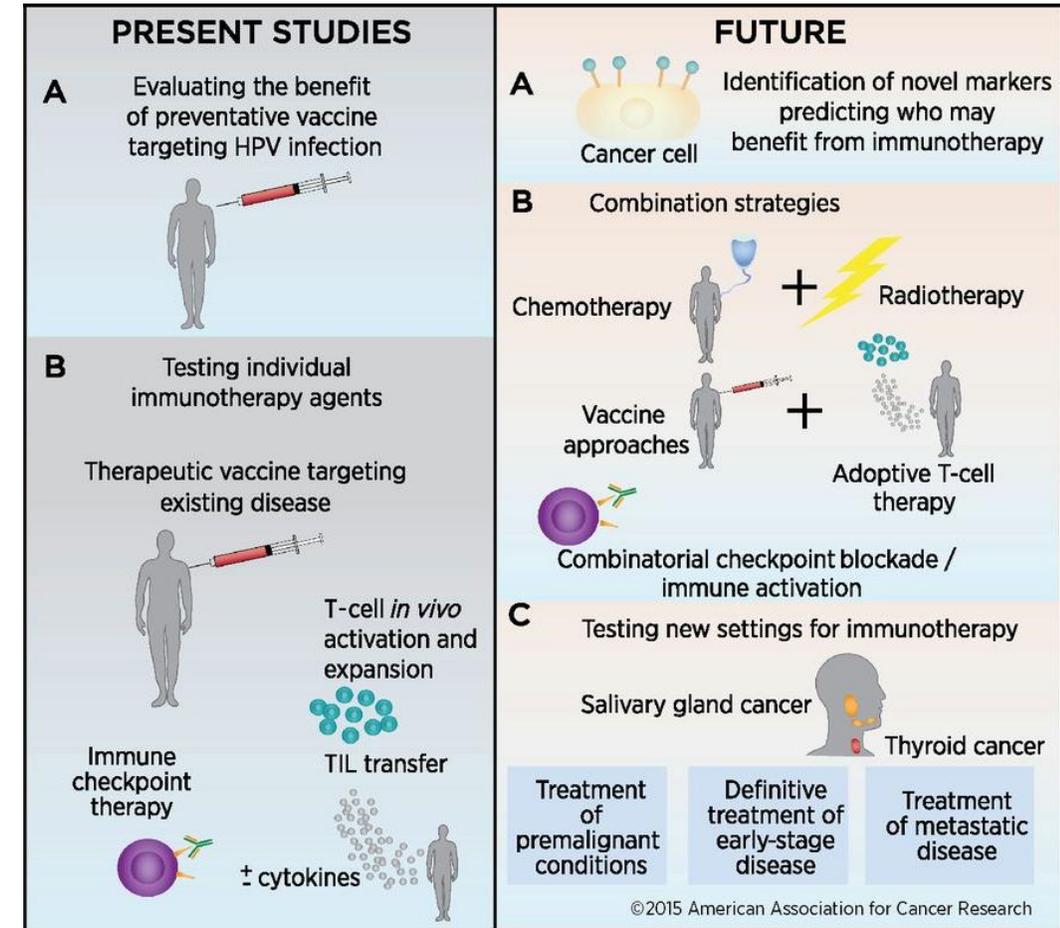
Levine Cancer Institute – Atrium Health

# Disclosures

- Contracted Research: Aeglea Biotherapeutics, Astra-Zeneca, GlaxoSmithKline, Loxo, Merck, Pfizer
- I will be discussing non-FDA approved indications during my presentation.

# Immunotherapy for the Treatment of Head and Neck Cancers

- Immuno-Oncology (I-O) developments in treatment of head and neck cancers
  - Expression of immunologic markers to guide treatment
  - Preventive vaccination against virally mediated cancers
  - Therapeutic vaccines for established cancers
  - CAR-T and cell-mediated therapies
  - Combinations with immunotherapies

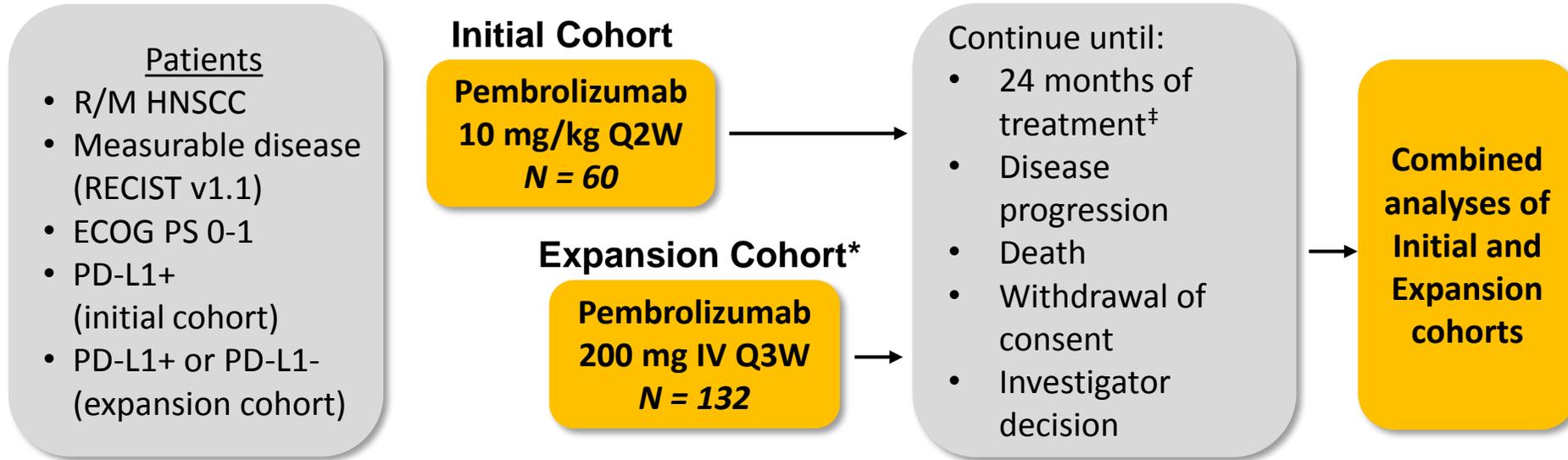


# Approved checkpoint inhibitors in Head and Neck Cancers

Drug	Approved	Indication	Dose
Pembrolizumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	200 mg Q3W
Nivolumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	240 mg Q2W or 480 mg Q4W
Cemiplimab-rwlc	2018	Metastatic cutaneous squamous cell carcinoma, not candidate for curative therapies (any site)	350 mg Q3W
Pembrolizumab + platinum + fluorouracil	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – all patients	200 mg Q3W
Pembrolizumab	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – PD-L1 CPS $\geq$ 1	200 mg Q3W
Pembrolizumab	2019	Recurrent locally advanced/metastatic squamous cell carcinoma of esophagus (PD-L1 CPS $\geq$ 10)	200 mg Q3W

# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2



**Response assessment:** Every 8 weeks until disease progression

**Primary end points:** ORR (RECIST v1.1, central imaging vendor review), safety

**Secondary end points:** ORR (investigator), PFS, OS, duration of response (DOR), ORR in HPV+ patients<sup>§</sup>

<sup>†</sup>Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

<sup>‡</sup>Treatment beyond progression was allowed.

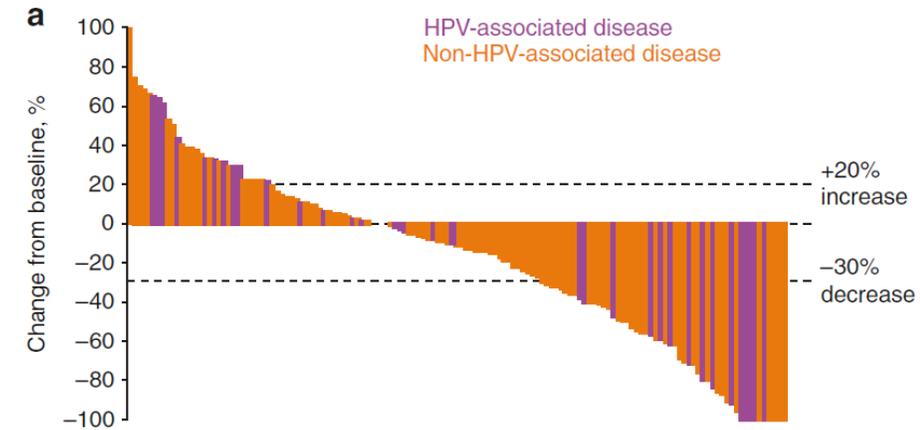
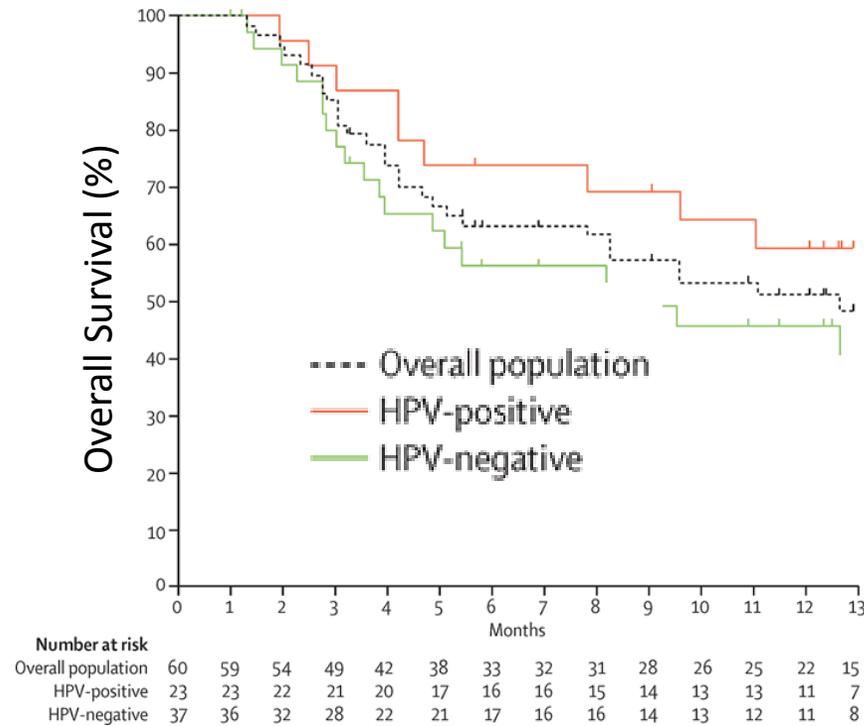
<sup>§</sup> Initial cohort only.

\*Median duration of disease not reached.

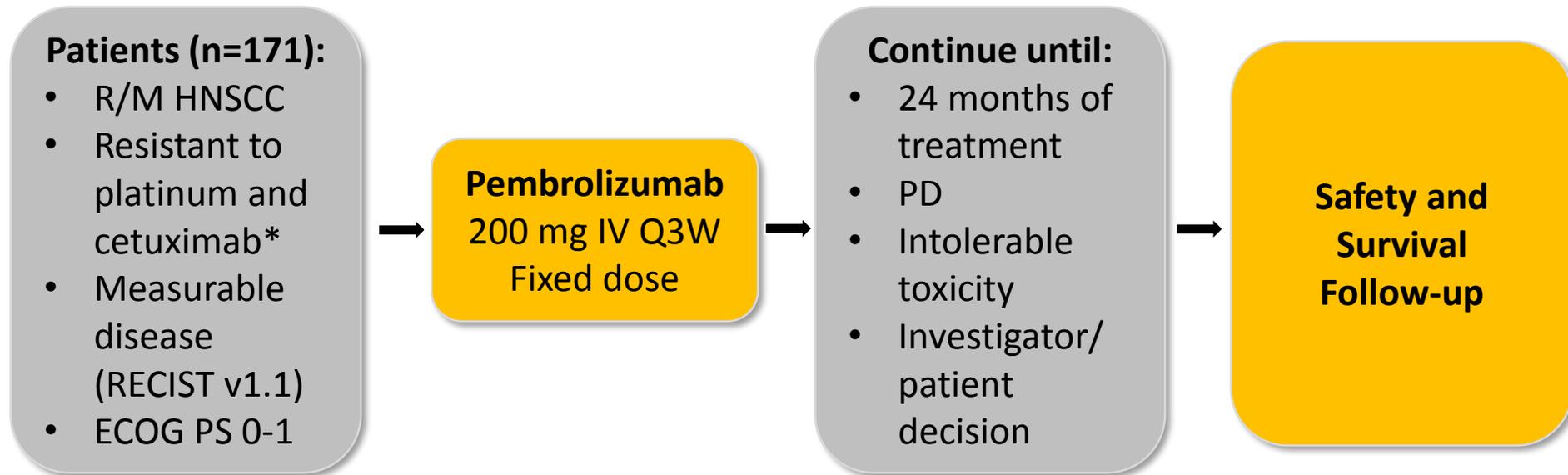
# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2

- ORR = 18%
  - CR = 4%
  - PR = 14%
- mOS = 8.0 months
- mPFS = 2.1 months



# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



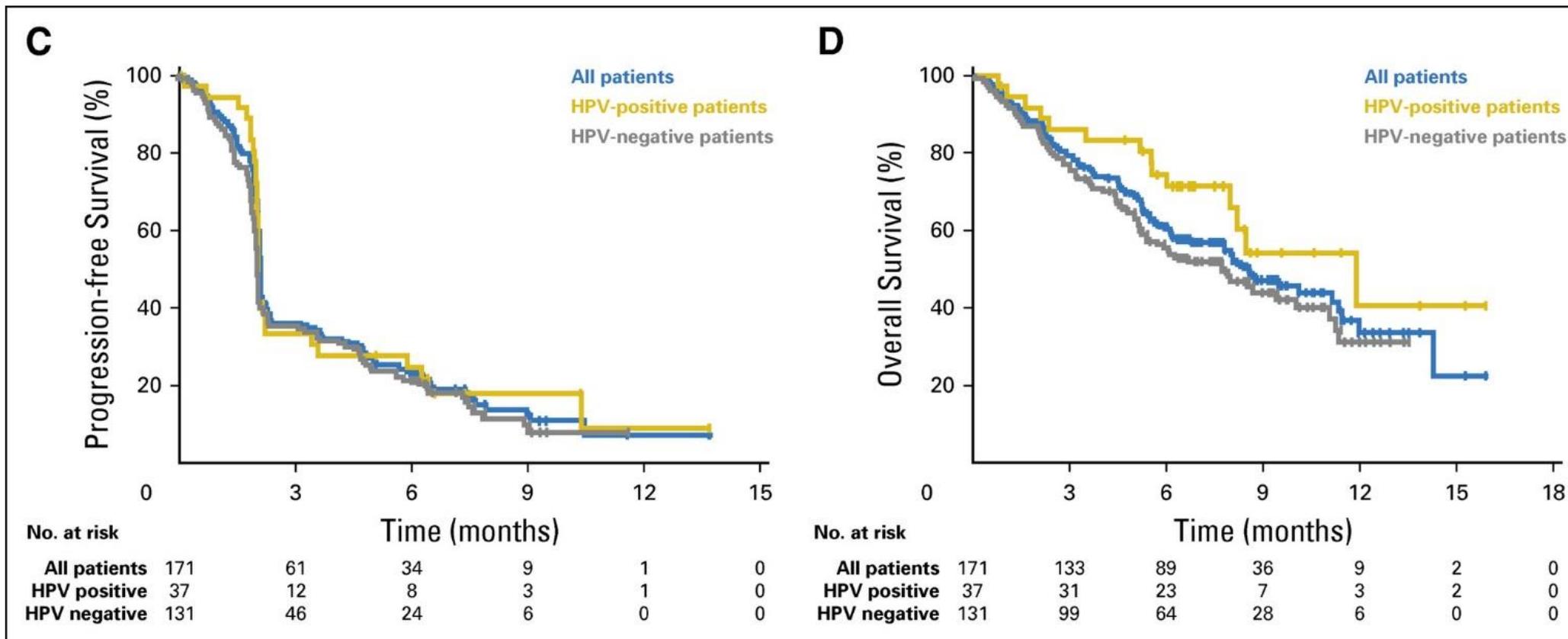
**Response assessment:** Imaging every 6 to 9 weeks (central radiology review)

**Primary end points:** ORR (RECIST v1.1) by Response Evaluation Criteria in Solid Tumors and safety

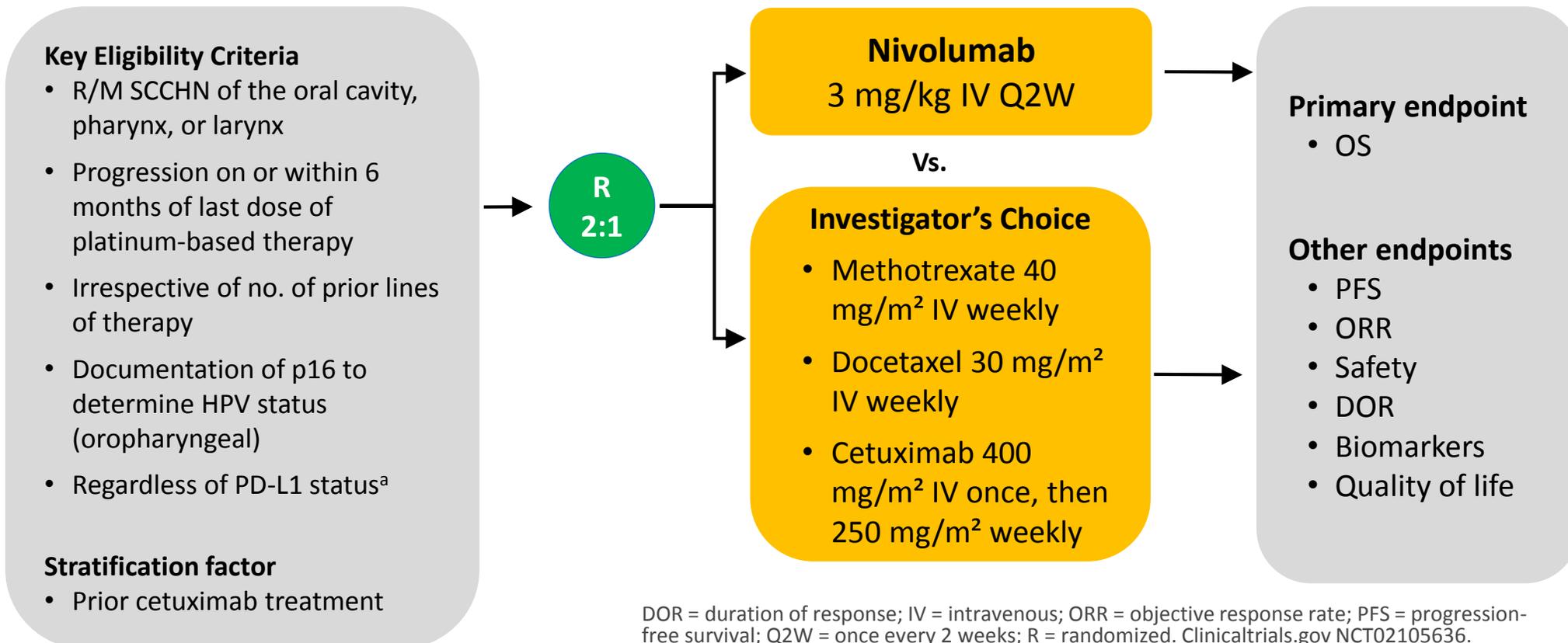
**Secondary end points:** ORR (RECIST v1.1) in all dosed patients, ORR for HPV+, PD-L1+, DOR, PFS, OS

\*75% of patients had  $\geq 2$  prior lines of therapy for metastatic disease

# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



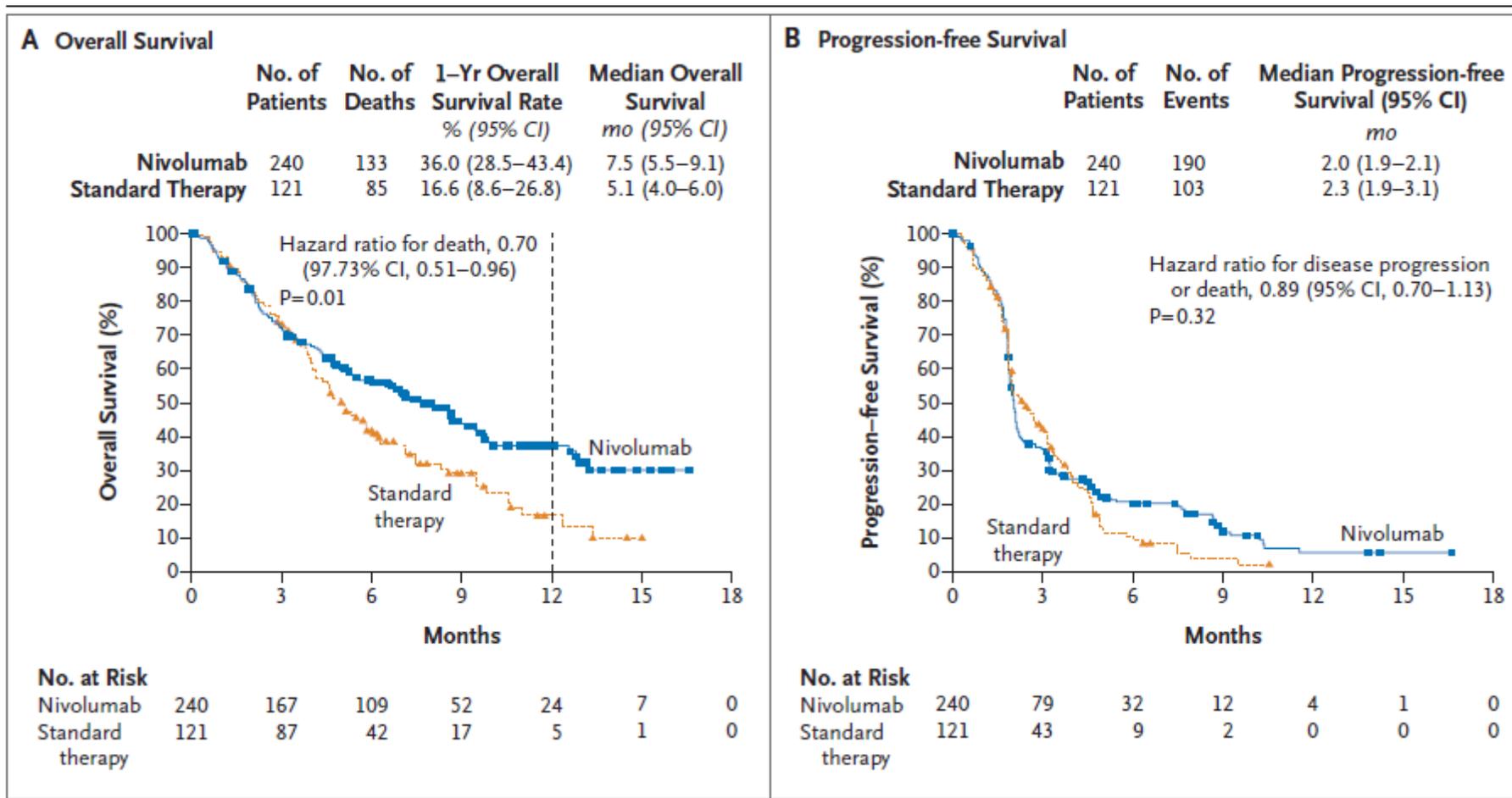
# CheckMate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy Phase III Randomized, Safety and Efficacy Trial



DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized. Clinicaltrials.gov NCT02105636.

<sup>a</sup>Tissue required for testing

# Checkmate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy



# Cemiplimab in advanced/metastatic cutaneous squamous-cell carcinoma

## Key Eligibility Criteria

- Advanced cutaneous squamous-cell carcinoma (any site)
- Not eligible for surgery
- ECOG 0-1
- ≥1 assessable lesion



**Cemiplimab**  
3 mg/kg IV Q2W



## Primary endpoint

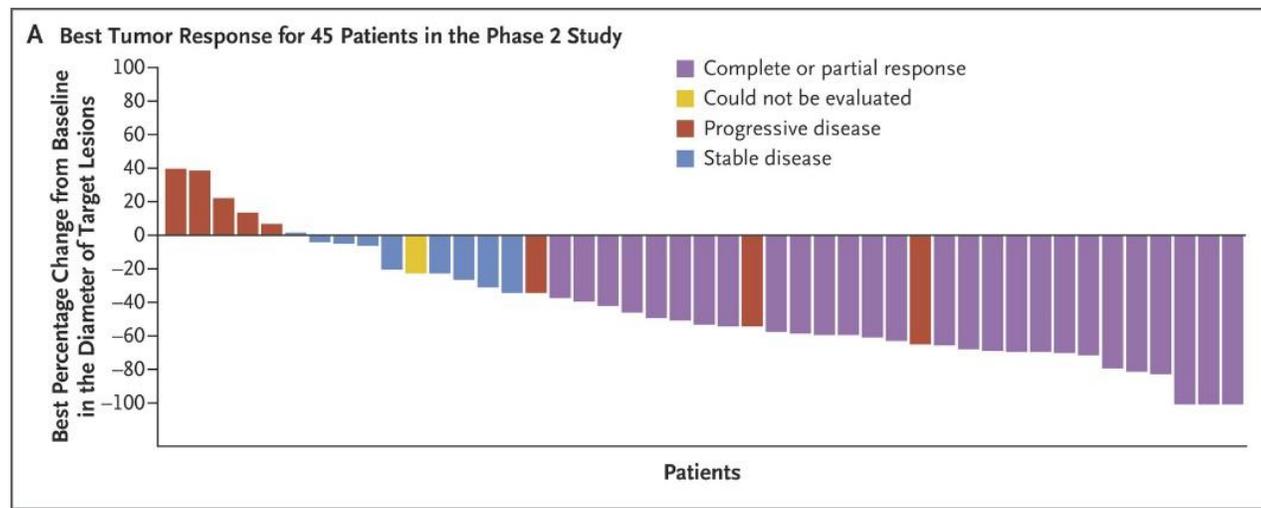
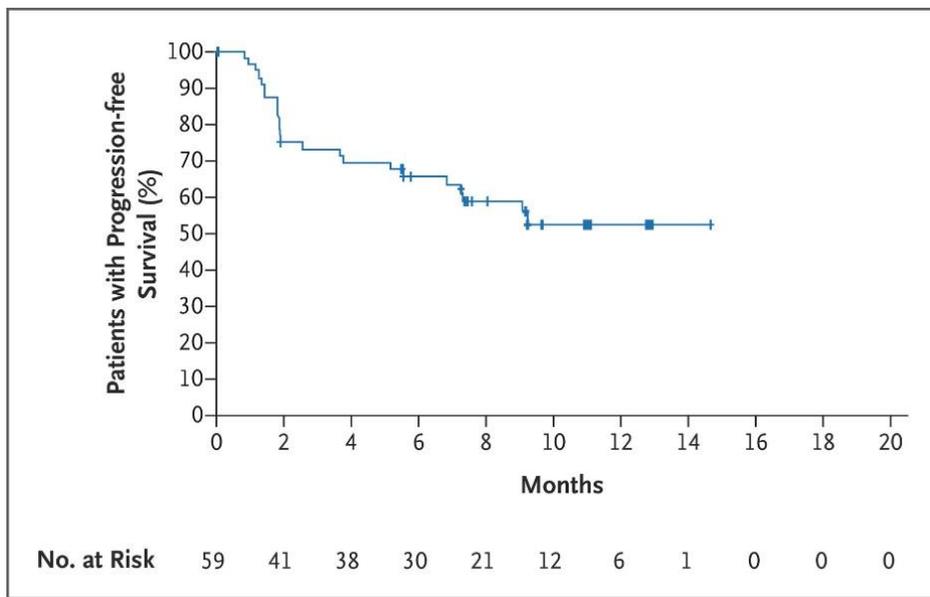
- Response rate

## Other endpoints

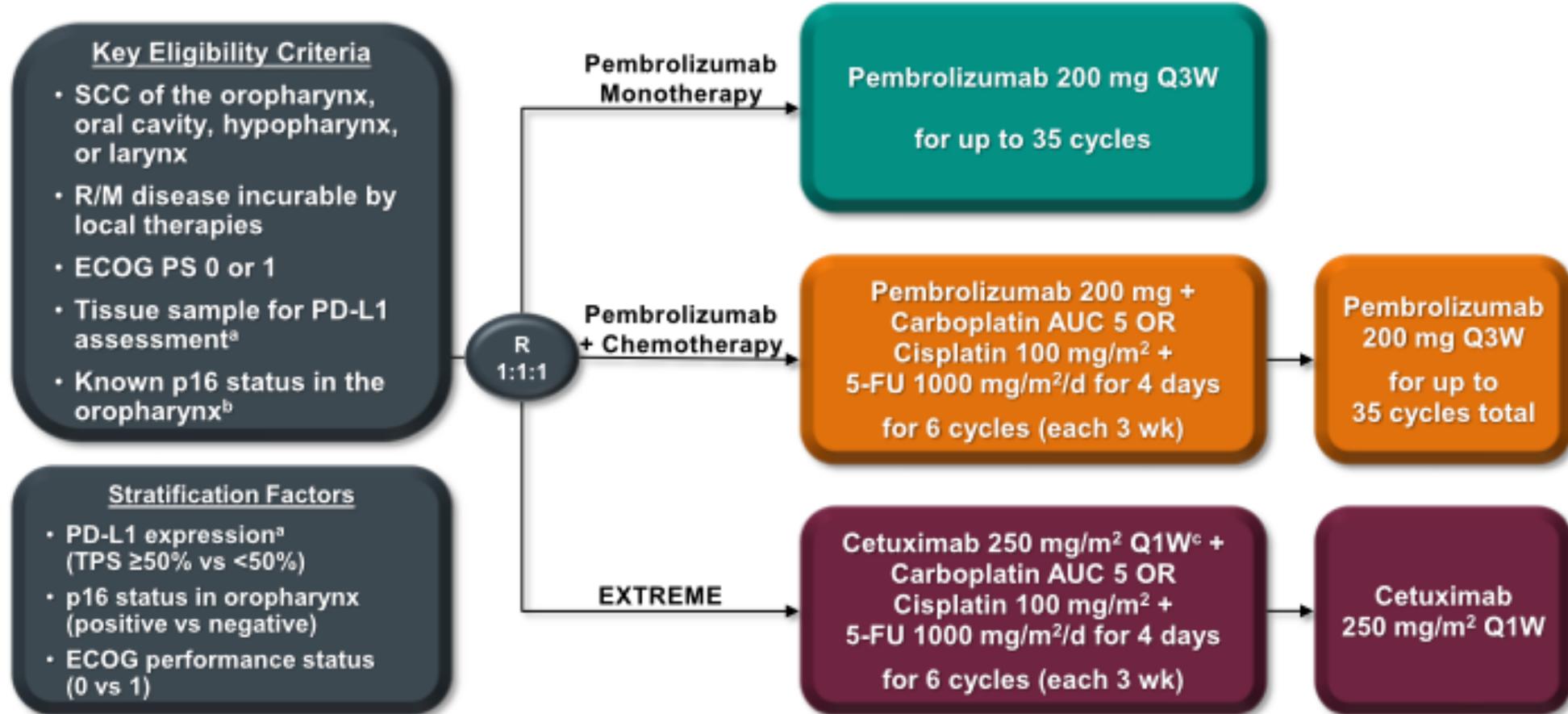
- Duration of response
- PFS
- OS
- Side effects
- Durable disease control

# Cemiplimab in advanced/metastatic cutaneous squamous-cell carcinoma

- Cemiplimab 3 mg/kg Q2W
- 47% response rate in metastatic patients
- 60% of locally advanced had objective response



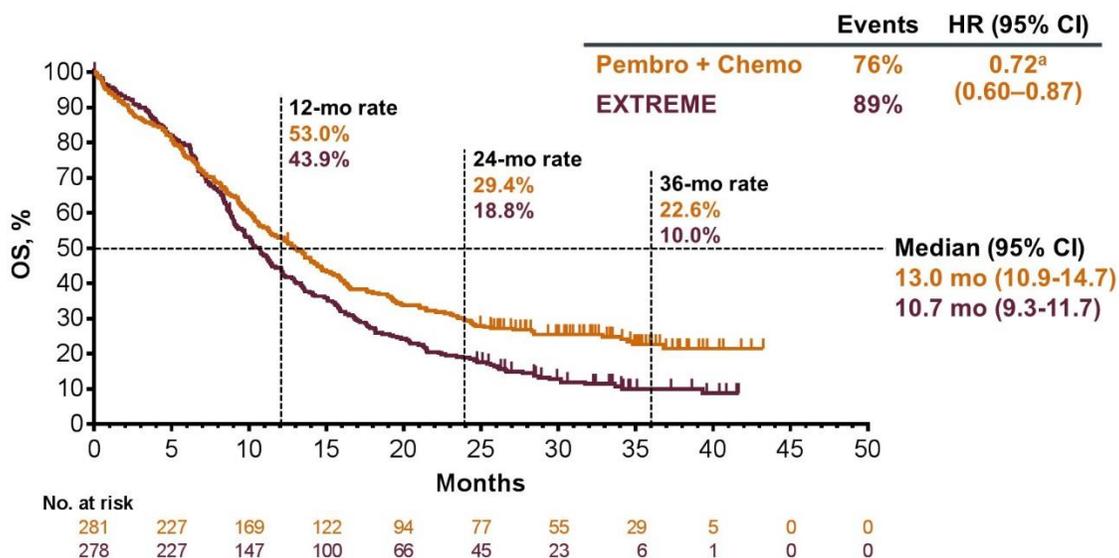
# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC



<sup>a</sup>Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. <sup>b</sup>Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. <sup>c</sup>Following a loading dose of 400 mg/m<sup>2</sup>.

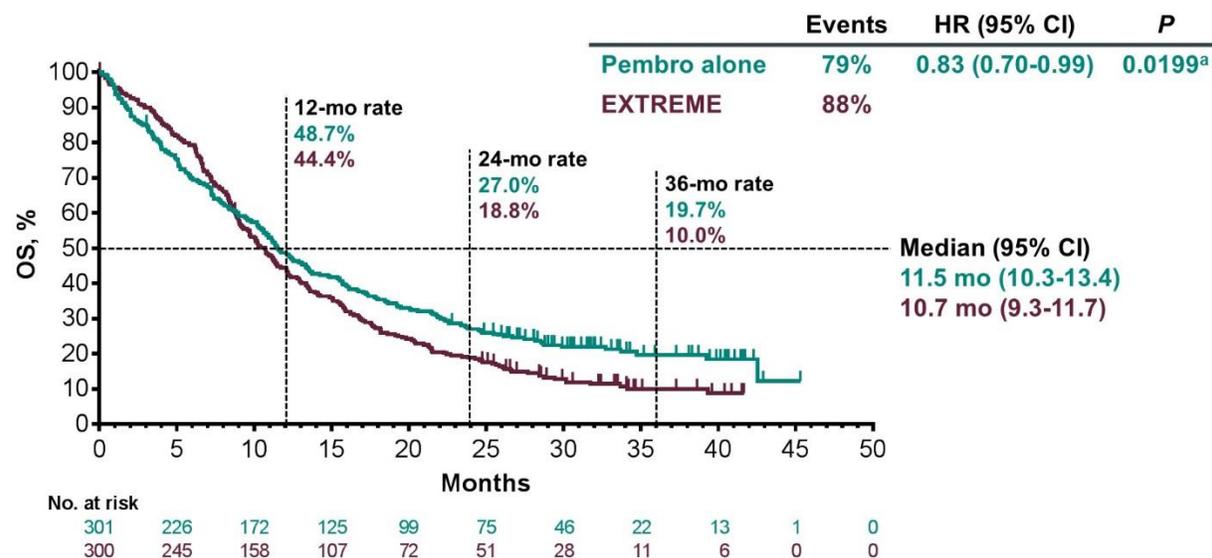
# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC

## OS, P+C vs E, Total Population



<sup>a</sup>At IA2 (data cutoff date: Jun 13, 2018): HR 0.77 (95% CI 0.53-0.93).  
 FA (data cutoff date: Feb 25, 2019).

## OS, P vs E, Total Population



<sup>a</sup>Not statistically significant at the superiority threshold of  $P = 0.0059$ .  
 FA (data cutoff date: Feb 25, 2019).

# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC

## Summary of Overall Survival

Population	IA2 <sup>1</sup> HR (95% CI)	FA HR (95% CI)
<b>Pembrolizumab monotherapy vs EXTREME</b>		
PD-L1 CPS ≥20	0.61 (0.45–0.83); <i>P</i> = 0.0007 <sup>a</sup>	0.58 (0.44–0.78) <sup>c</sup>
PD-L1 CPS ≥1	0.78 (0.64–0.96); <i>P</i> = 0.0086 <sup>a</sup>	0.74 (0.61–0.90) <sup>c</sup>
Total	0.85 (0.71–1.03) <sup>b</sup>	0.83 (0.70–0.99); <i>P</i> = 0.0199 <sup>d</sup>
<b>Pembrolizumab + chemotherapy vs EXTREME</b>		
PD-L1 CPS ≥20	—	0.60 (0.45–0.82); <i>P</i> = 0.0004 <sup>a</sup>
PD-L1 CPS ≥1	—	0.65 (0.53–0.80); <i>P</i> < 0.0001 <sup>a</sup>
Total	0.77 (0.63–0.93); <i>P</i> = 0.0034 <sup>a,b</sup>	0.72 (0.60–0.87) <sup>c</sup>

<sup>a</sup>Superiority demonstrated. <sup>b</sup>Noninferiority demonstrated (boundary of 1.2). <sup>c</sup>No statistical testing performed. <sup>d</sup>Superiority not demonstrated.  
 1. Burtness B et al. *Ann Oncol* 2018;29(suppl 8):LBA8\_PR.

# PD-L1: TPS vs CPS

- TPS = Tumor Proportion Score
  - TPS (%) =  
$$\left( \frac{\text{\# of PD-L1 Stained Tumor Cells}}{\text{total number of viable tumor cells}} \right) * 100$$
- CPS = Combined Positive Score
  - CPS (%) =  
$$\left( \frac{\text{\# of PD-L1 stained cells}^\wedge}{\text{total number of viable tumor cells}} \right) * 100$$
  
^\wedge tumor cells, lymphocytes and macrophages
- Math: If TPS is positive then CPS is positive but if TPS is negative then CPS could still be Positive ( $\geq 1\%$ )

Kulangara K, Zhang N, Corigliano E, et al. Clinical Utility of the Combined Positive Score for Programmed Death Ligand-1 Expression and the Approval of Pembrolizumab for Treatment of Gastric Cancer *Arch Pathol Lab Med* 2019;143:330-337.

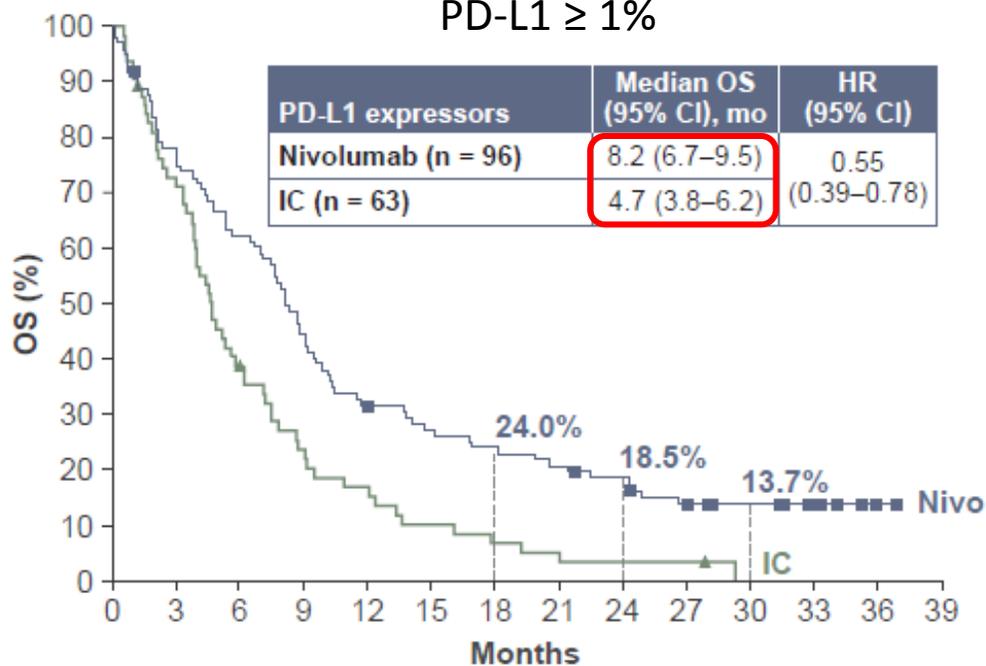
# Evaluating Biomarkers in HNSCC

- Only indication that relies on PD-L1 expression: pembrolizumab monotherapy in 1<sup>st</sup> line HNSCC – CPS  $\geq$  1 (KEYNOTE-048)
- All other approvals not dependent on PD-L1 expression
  - KEYNOTE-012/055: Response rates not significantly different on the basis of tumor PD-L1 staining
  - Checkmate 141: Most benefit seen in PD-L1 positive tumors
  - KEYNOTE-040: pembrolizumab vs investigator's choice chemotherapy – did not meet survival endpoints in total population but improved outcomes in PD-L1-expressors

# Evaluating Biomarkers in HNSCC

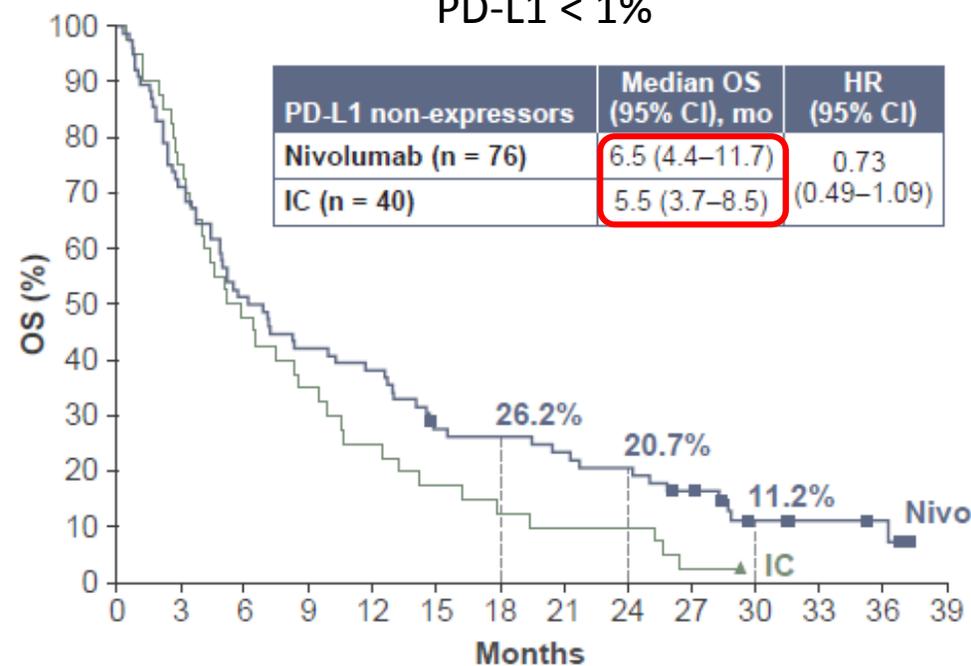
## CheckMate 141: 2 year update

PD-L1 ≥ 1%



No. at risk		0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	96	74	59	42	30	25	22	19	16	11	8	5	1	0	
IC	63	45	24	14	10	6	4	3	2	2	0	0	0	0	

PD-L1 < 1%



No. at risk		0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	76	54	39	32	29	20	19	17	15	11	5	4	3	0	
IC	40	30	19	14	10	7	5	4	4	1	0	0	0	0	

# In development: T-VEC + pembrolizumab KEYNOTE-137

- T-Vec  $10^6$  PFU/mL intratumoral injection followed by  $10^8$  PFU/mL Q3W
- Pembrolizumab 200 mg IV Q3W
- Eligibility:
  - R/M HNSCC not suitable for curative therapy
  - Progressed after platinum treatment
  - At least 1 injectable cutaneous, subcutaneous, or nodal tumor  $\geq 10$  mm in longest diameter
- ORR: 16.7%

# In development: Checkpoint inhibitors + radiotherapy

- NCT03247712: neoadjuvant nivolumab + SBRT
  - Decreased tumor size prior to surgery; high pathologic CR rate
- KEYNOTE-412: pembrolizumab + chemoradiation
  - Safety confirmed
- REACH: avelumab + cetuximab + radiation
  - Safety confirmed

# Conclusions

- Cytotoxic chemotherapy achieves limited survival with unfavorable side effects.
- Checkpoint inhibitors that target the PD-1 axis, nivolumab and pembrolizumab, are approved in platinum-refractory/exposed recurrent/metastatic HNSCC.
- Nivolumab and pembrolizumab are in general better tolerated than cytotoxic chemotherapy.
- Ongoing areas of research include: combinations of immunotherapy with radiation and/or other drugs, development of predictive biomarkers and approaches to overcoming resistance.

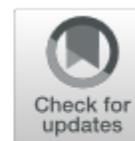
Cohen *et al.* *Journal for Immunotherapy of Cancer* (2019) 7:184  
<https://doi.org/10.1186/s40425-019-0662-5>

Journal for Immunotherapy  
of Cancer

**POSITION ARTICLE AND GUIDELINES**

**Open Access**

## The Society for Immunotherapy of Cancer consensus statement on immunotherapy for the treatment of squamous cell carcinoma of the head and neck (HNSCC)



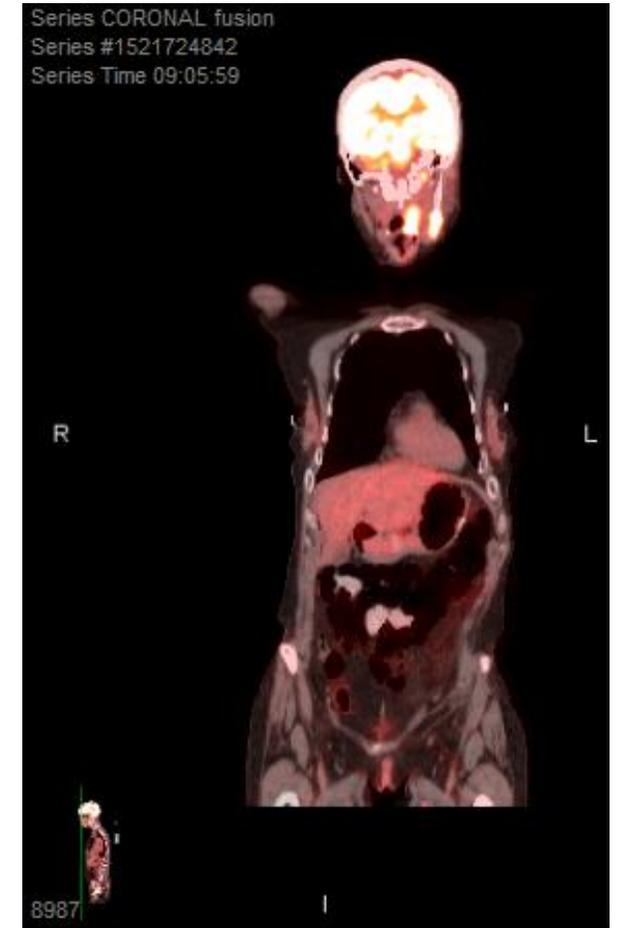
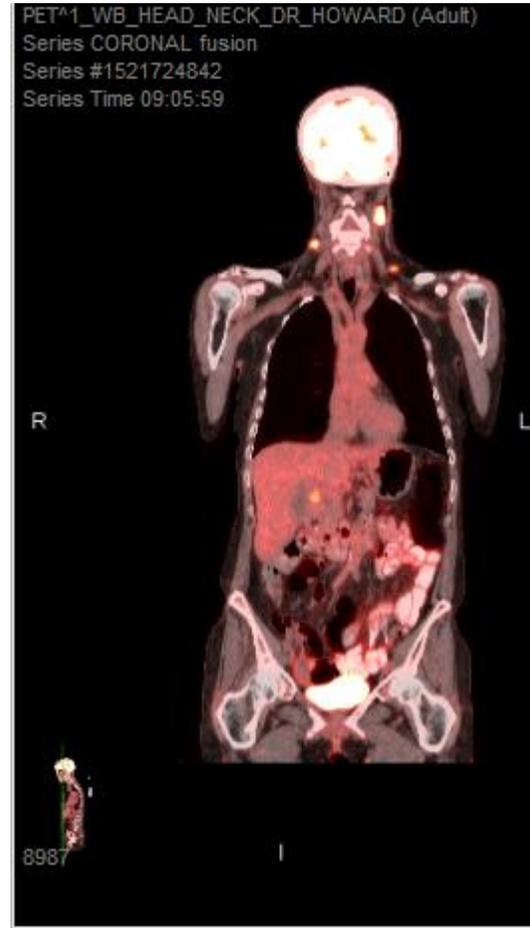
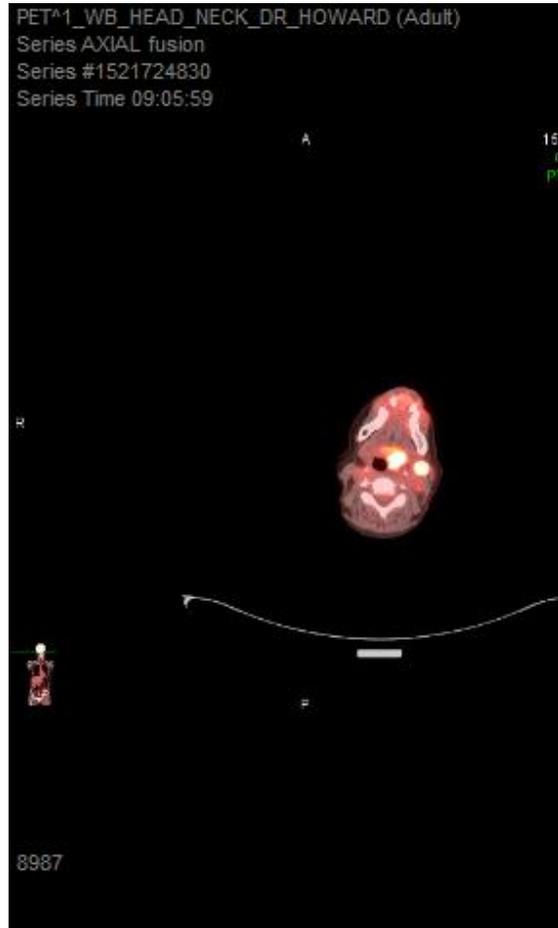
Ezra E. W. Cohen<sup>1</sup>, R. Bryan Bell<sup>2</sup>, Carlo B. Bifulco<sup>2</sup>, Barbara Burtness<sup>3</sup>, Maura L. Gillison<sup>4</sup>, Kevin J. Harrington<sup>5</sup>,  
Quynh-Thu Le<sup>6</sup>, Nancy Y. Lee<sup>7</sup>, Rom Leidner<sup>2</sup>, Rebecca L. Lewis<sup>8</sup>, Lisa Licitra<sup>9</sup>, Hisham Mehanna<sup>10</sup>, Loren K. Mell<sup>1</sup>,  
Adam Raben<sup>11</sup>, Andrew G. Sikora<sup>12</sup>, Ravindra Uppaluri<sup>13</sup>, Fernanda Whitworth<sup>14</sup>, Dan P. Zandberg<sup>8</sup> and  
Robert L. Ferris<sup>8\*</sup>

# Case Studies

# Case Study 1

- 50 yo female presents to office after being referred by ENT
  - 3 months of progressive dysphagia/odynophagia
  - Left tonsillar mass on flexible fiberoptic visualization
  - FNA of left cervical lymph node
    - Squamous cell carcinoma – P40 positive
    - P16 negative
  - PET scan shows no evidence of disease outside the head and neck
  - PMHx: Only Tobacco and ETOH Abuse
  - SHx: 80 oz beer daily but quit at cancer diagnosis (no DTs); current smoker with 10 pack year history (1 pack every 3 days)
  - Exam: benign except for mild submental lymphedema, no palpable lymphadenopathy or visible oropharyngeal mass

# Case Study 1



# Case Study 1

- What would be your expected treatment plan?
  - Referral for TransOral Robotic Surgery
    - Incorrect: Though on NCCN as an option, patient has high risk of Extranodal extension and would likely need trimodality therapy that will have greater risk of morbidity
  - Induction chemotherapy
    - Incorrect: Category 3 on NCCN as there is no survival benefit and no indication per LCI guidelines (bulky cervical lymphadenopathy, inability to start radiation in a timely manner)
  - Concurrent chemoradiation with Cisplatin
    - Correct: Personal preference of Bolus Cisplatin (100mg/m<sup>2</sup> q3wks \* 3) as she is a young patient with no hearing or renal issues but weekly cisplatin (40mg/m<sup>2</sup>) is also an option
  - Clinical Trial
    - Correct: always on option but patient was not interested in research

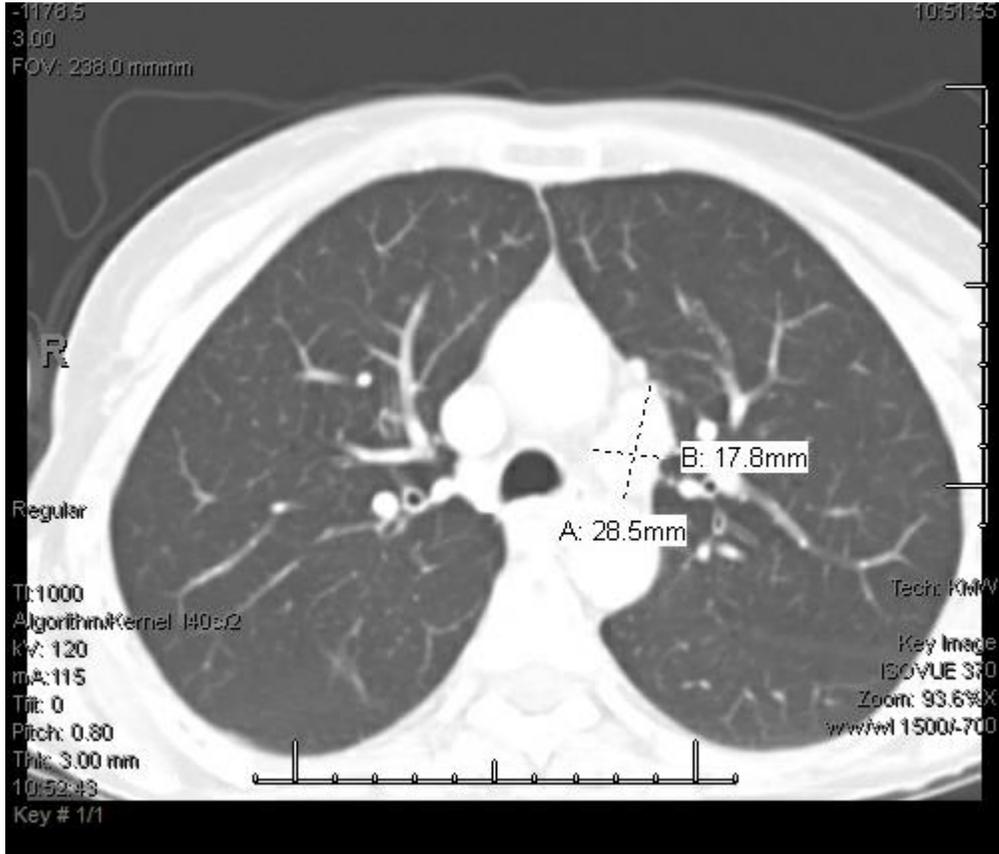
# Case Study 1

- Treated with Bolus Cisplatin (100mg/m<sup>2</sup>) with concurrent radiation
  - Received all three cycles with no delay or dose reduction
  - No renal dysfunction or clinical hearing loss or tinnitus
- PET Scan 3 months following completion of radiation
  - Complete Response (CR) with no residual tonsillar mass or lymphadenopathy

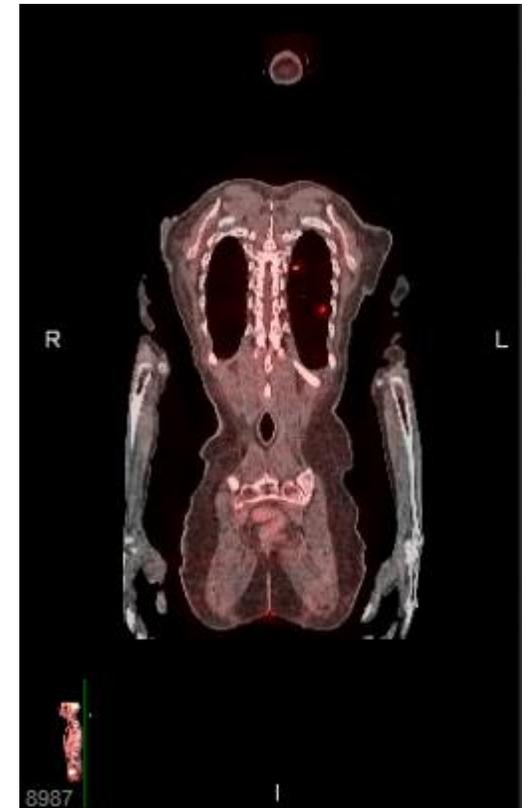
# Case Study 1

- Coming in for 6 month follow-up
- Has been “not feeling well like when I was diagnosed with cancer”
- Lost 8 lbs without intent
- No clinical lymphadenopathy and no lesion on direct visualization of the tonsil
- CT Scan of neck ordered:

# Case Study 1



# Case Study 1

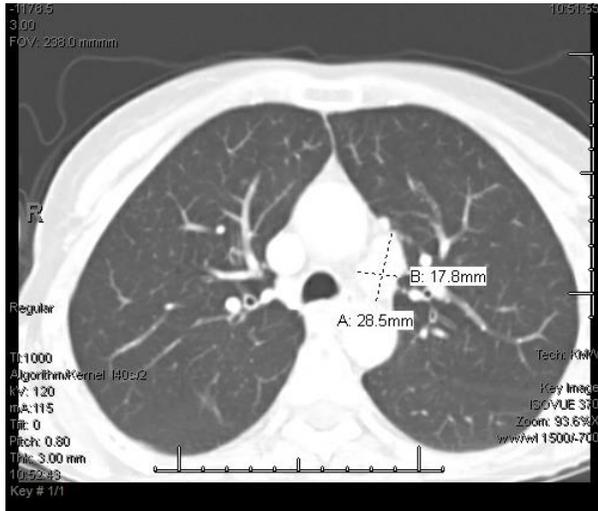


# Case Study 1

- What would you do now?
  - Biopsy to document recurrence and check PD-L1
    - **Correct:** PD-L1 would guide treatment therapy and prove recurrence in smoker
  - Empirically treat with Extreme Regimen (Platinum/Cetuximab/5-FU)
    - **Incorrect:** Keynote 048 would favor either pembrolizumab (CPS $\geq$ 1) or chemoimmunotherapy (platinum/5-FU/pembrolizumab for any or unknown CPS)
  - Treat with Pembrolizumab
    - **Correct** if PD-L1 (CPS)  $\geq$  1; **Incorrect** if CPS of 0
  - Treat with Platinum/5-FU/Pembrolizumab
    - **Correct:** Option available without knowing CPS or if patient needs immediate response or if there is a concern that they are progressing rapidly

# Case Study 1

- Patient underwent biopsy:
  - CT-guided core showed squamous cell carcinoma c/w known disease
    - CPS 25%
- Started on Pembrolizumab alone w/ CR after 3 doses



## Case Study 2

- 77 yo female with a past medical history of Diabetes Mellitus, hypertension and R MCA CVA and recurrent TIAs presents in follow-up
  - Patient treated 6 years prior for squamous cell carcinoma of the Right Upper lobe and NED
    - Resected (T2aN0M0) with lobectomy
    - Adjuvant chemotherapy (carboplatin/paclitaxel \* 4)
  - Diagnosed with Right Squamous Cell Carinoma of the Pinna/Conchal Bowl 1 year ago and s/p MOHS surgery
    - Margins negative
  - Presents with enlarging mass in Right Neck
- MEDS: Clopidogrel, ASA, Insulin, Carvedilol, Losartan, Ezetimibe, Tramadol
- PMHx: DM, HTN, R MCA CVA (mild left-sided deficits), recurrent TIAs, MI (s/p drug eluding stent), GERD, hypercholesterolemia, COPD

# Case Study 2

- PE
  - Right ear with scarring and no lesion
  - Right Neck: 2 firm, mildly tender, immobile 2cm lesions inferior to right mastoid
  - Chest CTA
  - CV: irregularly irregular

# Case Study 2

- What would you do now?
  - CT Scan of the Neck/PET Scan
    - Correct: low-density enhancing lesion inferior to right ear lobe between tail of parotid and mastoid (2.3\*1.4cm), 2 Level 2A necrotic lymph nodes (1.8\*1.6cm and 1.4\*1cm), small lymph nodes in superior mediastinum with low density concerning for mets.
    - Correct: Above lesions are all FDG avid, No hilar lymphadenopathy, no other FDG-avid lesions.
  - Biopsy Lesion or cervical lymph node
    - Correct: Right FNA with malignant cells, poorly-differentiated c/w squamous cell carcinoma.
  - Refer to Surgery
    - Correct: Patient is not a surgical candidate due to comorbidities
  - Refer to Hospice as no treatment available for metastatic cutaneous squamous cell carcinoma
    - Incorrect: If patient is not a surgical candidate, could consider radiation or systemic therapy

## Case Study 2

- Patient not a surgical candidate due to comorbidities and could not come off of Plavix
- Patient saw radiation oncology and refused radiation (family member had bad experience)
- No history of autoimmune disease
- ECOG 1

# Case Study 2

- What would you do now?
  - Refer to Hospice as patient refused radiation
    - Incorrect: see below
  - Extreme Chemotherapy (carboplatin/cetuximab/5-FU)
    - Incorrect: No data in cutaneous squamous cell carcinoma of the head and neck
  - Cemiplimab-rwlc
    - Correct: Patient has no contraindication to PD-L1 blockade, higher response rates than chemotherapy or biologics
  - Cetuximab
    - Incorrect: As patient is a candidate for immunotherapy, would favor immunotherapy and this could be considered if ineligible for immune checkpoint inhibition or clinical trials.
  - Refer for Clinical Trial
    - Always correct

## Case Study 2

- Patient started Cemiplimab and had partial response after 3 cycles.
  - Pain had resolved and she was feeling well/hopeful
- Unfortunately, she had two more cycles with clinical improvement and then had a large hemorrhagic stroke leading to functional decline and death within a few weeks.