



# The PD-L1/PD-1 Pathway: Discovery and New Insights

**Gordon Freeman, PhD**

**Professor, Department of Medical Oncology**



Society for Immunotherapy of Cancer

#SITC2020



## Disclosure Information Gordon Freeman, PhD

I have the following financial relationships to disclose:

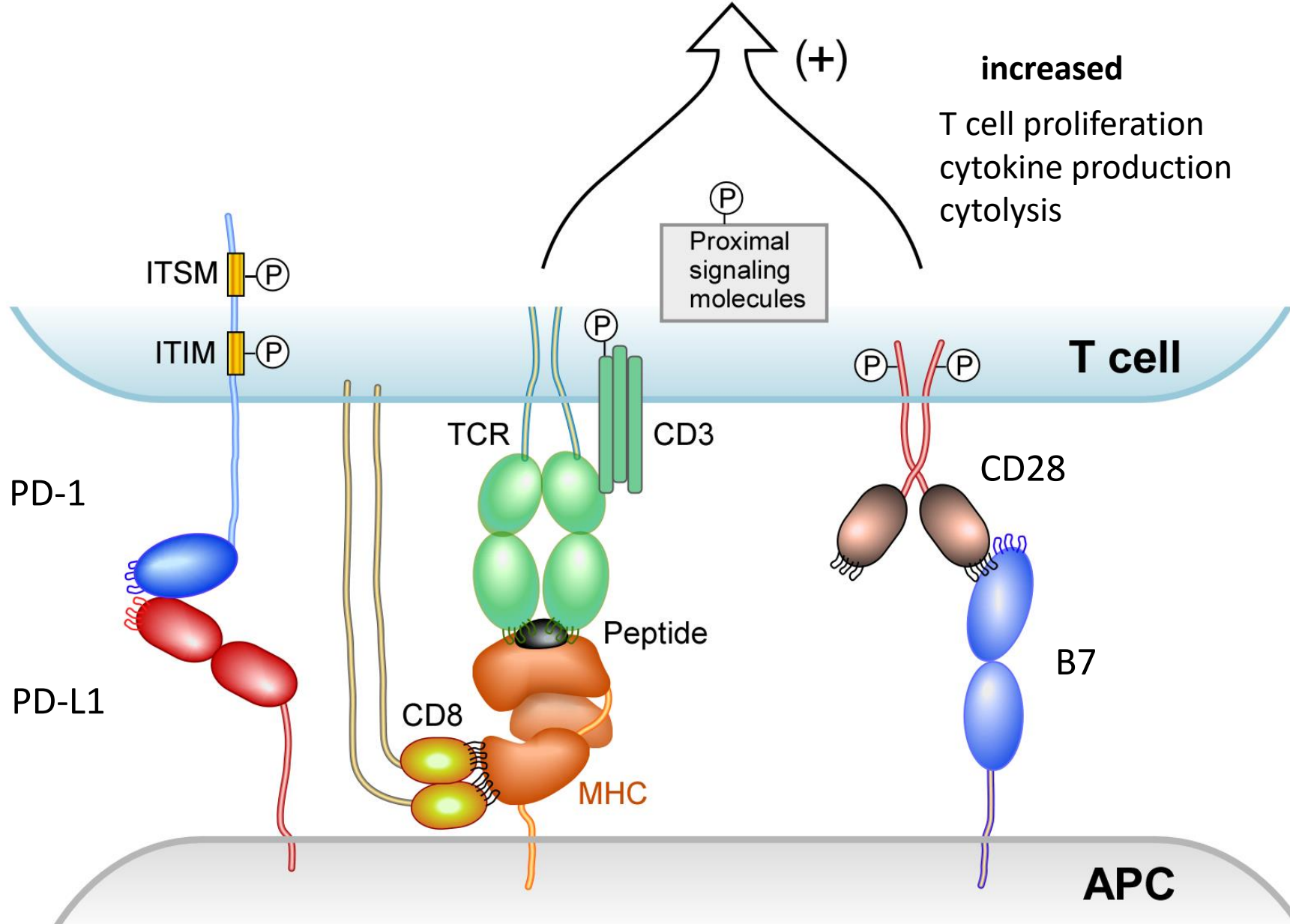
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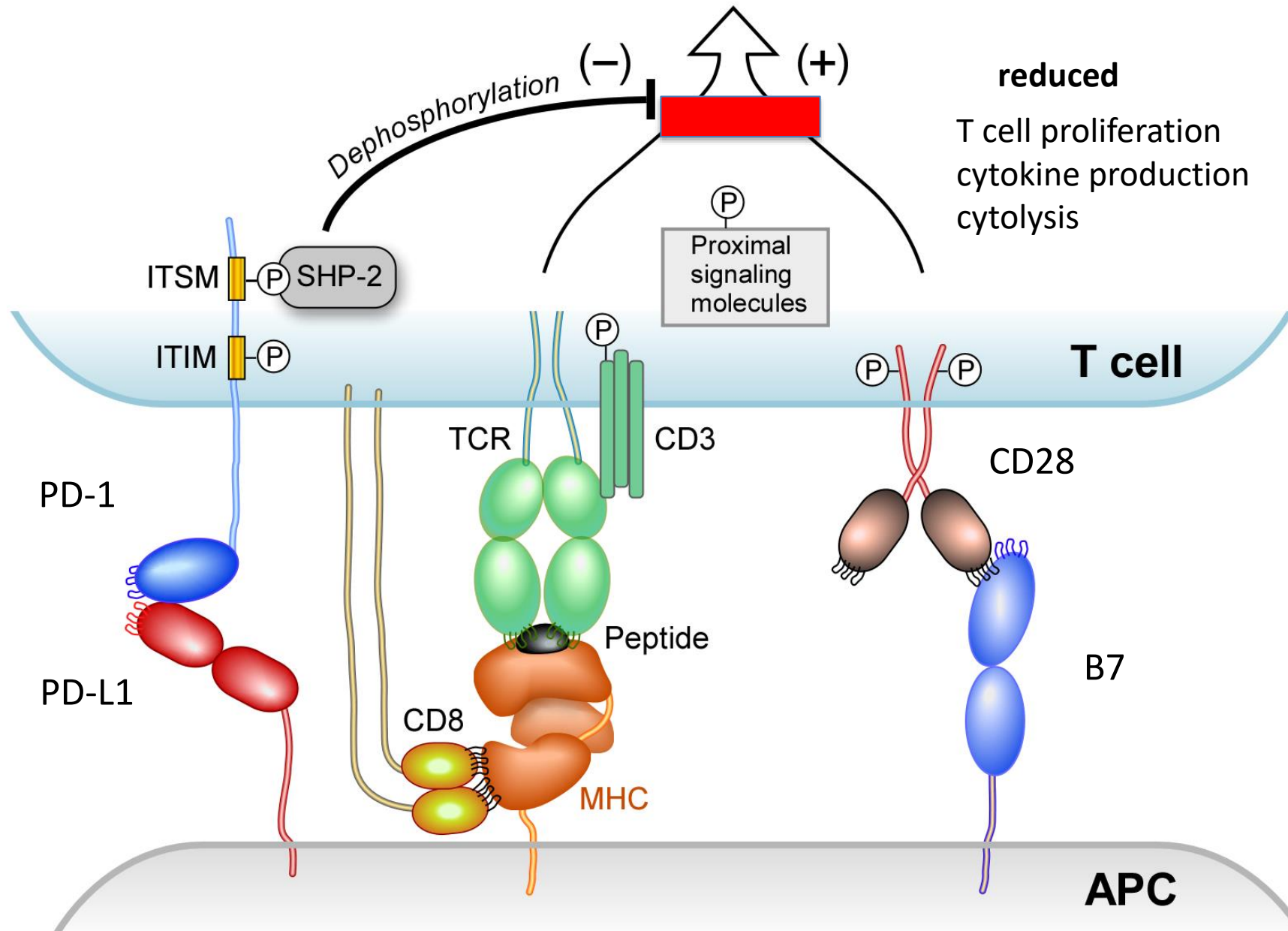
*Consultant for:* Roche, Bristol-Myers-Squibb, Xios, Origimed, Triursus, iTeos, NextPoint, IgM, Jubilant, Trillium and GV20

Equity in Nextpoint, Triursus, Xios, iTeos, IgM, and GV20

## Second signals regulate the outcome of TCR signalling



## There are negative second signals



# A Tale of Two Paths to Discovery

## B7

### **CD28 mAb costimulates T cells**

(Gmunder, Lesslauer, Eur J Biochem 1984)

### **B7-1 cloned**

(Freeman...Nadler, J Imm 1989)

### **B7-1 binds CD28**

(Linsley...Ledbetter, PNAS 1990)

### **B7-1 binds CTLA-4**

(Linsley...Ledbetter, J Exp Med 1991)

### **B7-1 KO shows B7-1 is the minor CD28 ligand**

(Freeman...Sharpe, Science 1993)

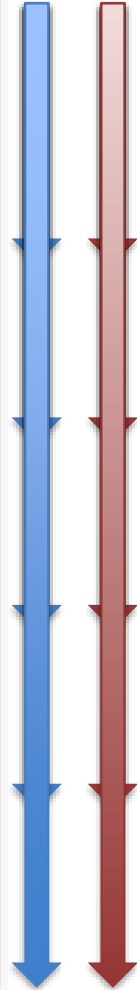
### **B7-2 is the major CD28 ligand**

(Freeman...Nadler, Science 1993)

## PD-1

### **PD-1 cloned**

(Ishida...Honjo, EMBO J 1992)



# Two Paths to Discovery

## B7

### **CTLA-4 functions as a negative regulator**

(Walunas...Bluestone, Immunity 1994)

(Tivol...Sharpe, Immunity 1995)

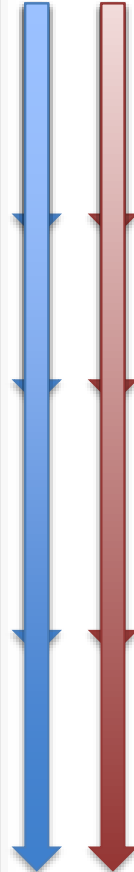
(Waterhouse...Mak, Science 1995)

### **CTLA-4 mAb promotes anti-tumor immunity**

(Leach...Allison, Science 1996)

### **Freeman finds a new B7**

(1998)



## PD-1

### **PD-1 KO shows PD-1 is a negative regulator**

(Nishimura...Honjo Immunity 1999)



# What genes look like B7-1?

Monday, July 27, 1998

BLAST Search Results

Page: 1



**AA292201 = 292 = B7-4 = PD-L1**

gb|AA292201|AA292201 zt50f01.r1 Soares ovary tumor NbHOT Homo sapiens cDNA clone 725785

5'

Length = 497

Score = 40.2 bits (92), Expect = 0.010

Identities = 29/108 (26%), Positives = 51/108 (46%), Gaps = 4/108 (3%)

Query: 78 GTYECVVLKYEKDAFKREHLAEVTL SVKADFPTPSISDFEI---PTSNIRRIICSTSGGF 134

G Y C++ Y +KR ++VK + P I+ + P ++ + C G +

Sbjct: 76 GVYRCMI-SYGGADYKR-----ITVKVNAPYNKINQRILVVDPVTSEHELTCQAEG-Y 228

Query: 135 PEPHLSWLENGEE-LNAINTTVSQDPETELYAVSSKLDNFMTTNHSMCLIK 185

P+ + W + + L+ TT + E +L+ V+S L N TTN F C +

Sbjct: 229 PKAEVIWTSSDHQVLSGKTTTTNSKREEKLFNVTSTLRINTTTNEIFYCTFR 384





# What genes look like B7-1?

Monday, July 27, 1998

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Query: 135 PEPHLSWLENGEE-LNAINTTVSQDPETELYAVSSKLDNFMTTNHSMCLIK 185

P+ + W + + L+ TT + E +L+ V+S L N TTN F C +

Sbjct: 229 PKAEVIWTSSDHQVLSGKTTTNSKREEKLFNVTSTLRINTTTNEIFYCTFR 384

# Testing the immunological function of 292 (PD-L1)

Prepare DR7 specific alloreactive T cell blasts by activation of allogeneic T cells with DR7<sup>+</sup> lymphoblastoid cell line

After one week, harvest T cells and rest overnight in media

COS cells stably transfected with human MHC class II, DR7 = Signal 1

Introduce costimulatory genes by transient transfection = Signal 2

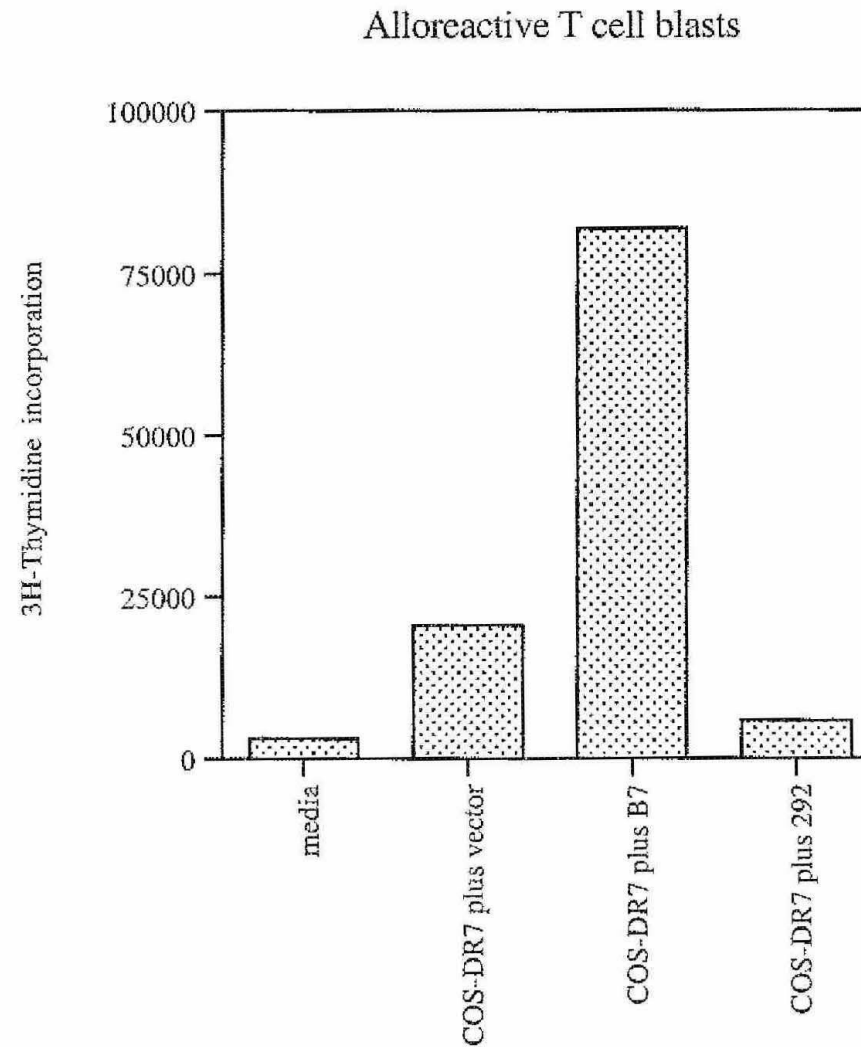
Harvest COS cells after 3 days and treat with Mitomycin C

Incubate alloreactive T cell blasts with transfected COS cells

Assay proliferation and cytokine production

# 292 inhibits proliferation of previously activated T cells

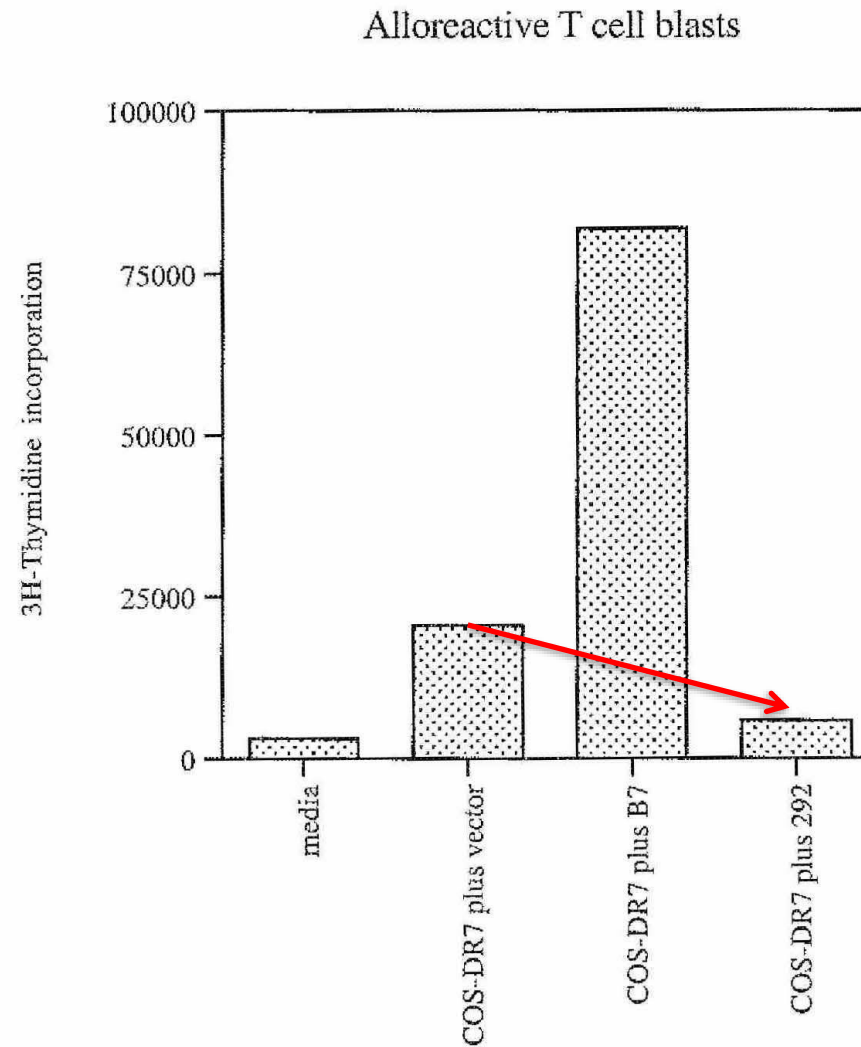
T cell proliferation



Vicki Boussiotis

# 292 inhibits proliferation of previously activated T cells

T cell proliferation



Vicki Boussiotis

# Two Paths to Discovery

## B7

### **CTLA-4 functions as a negative regulator**

(Walunas...Bluestone, Immunity 1994;  
Tivol...Sharpe, Immunity 1995)

### **CTLA-4 mAb promotes anti-tumor immunity**

(Leach...Allison, Science 1996)

### **Freeman finds a new B7**

(1998)

Clive Wood



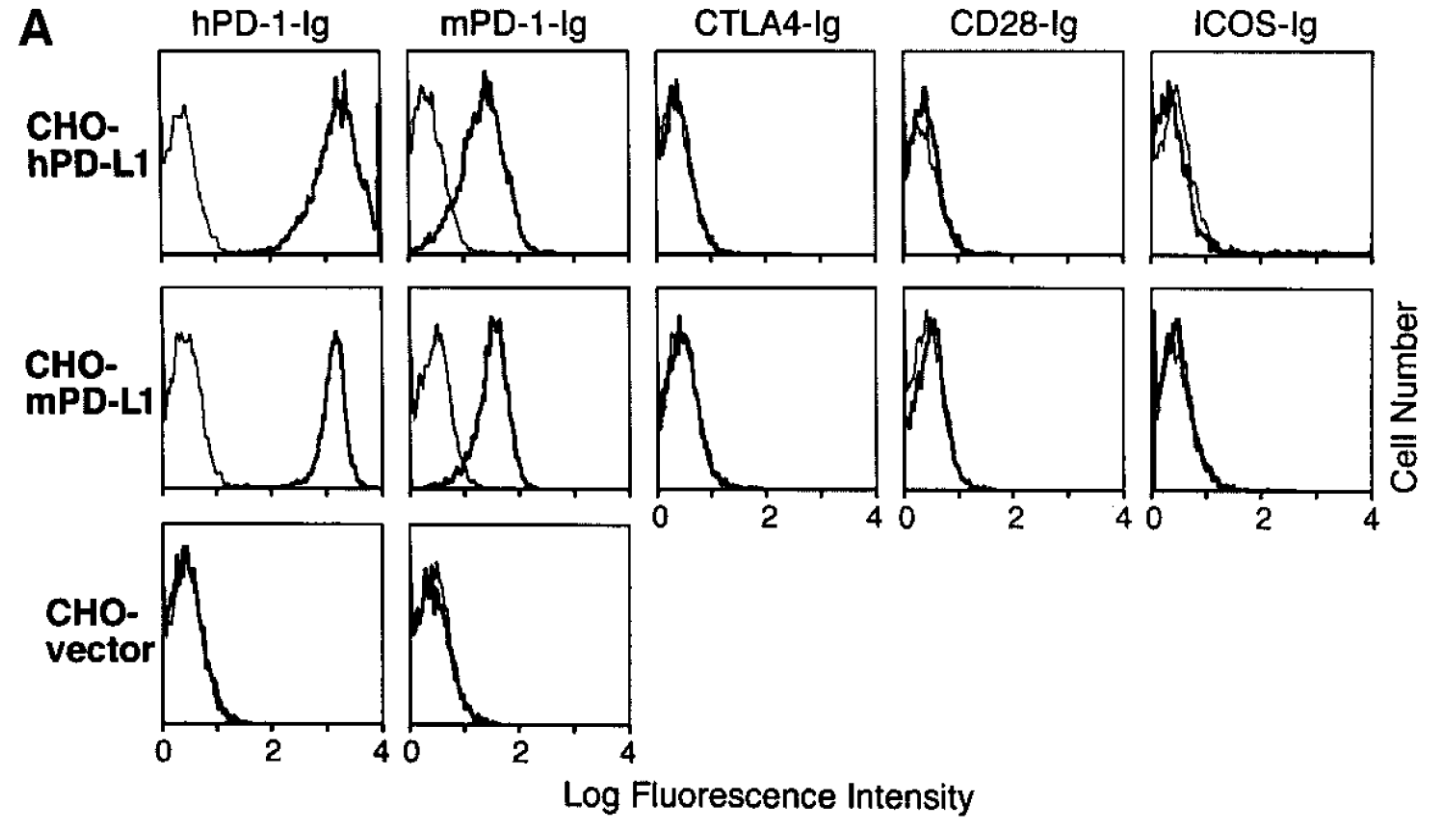
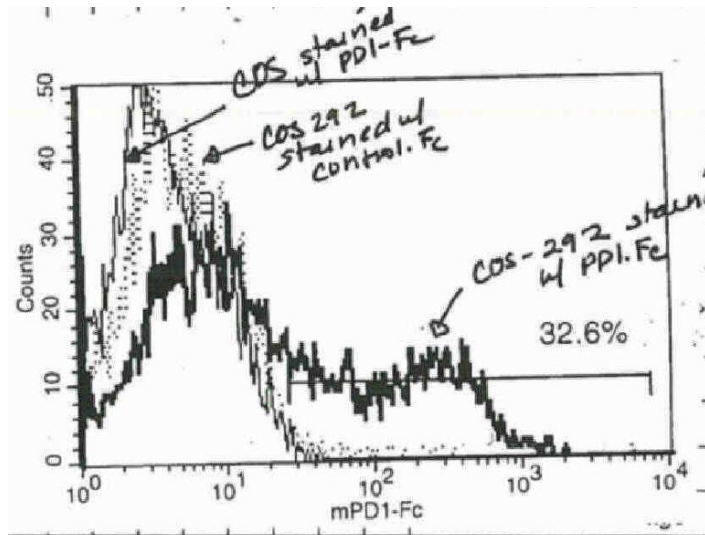
## PD-1

### **PD-1 KO shows it is a negative regulator**

(Nishimura...Honjo Immunity 1999)



# PD-L1 binds to PD-1





# The dawn of a revolution



scientific meeting to discuss PD-L1/PD-1 pathway  
October 25, 1999  
Genetics Institute, Fresh Pond, Cambridge, MA

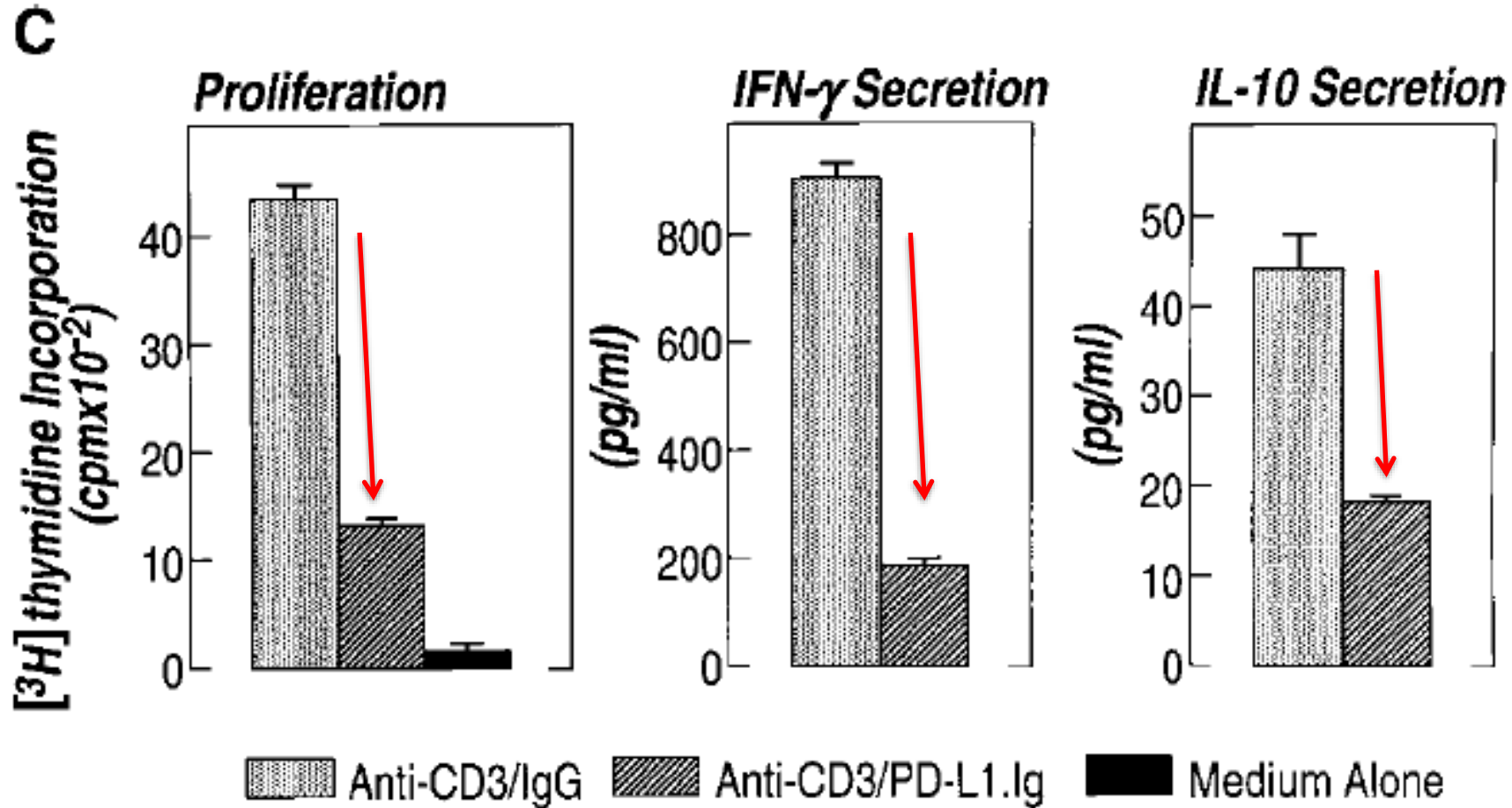
## 292, A novel B7 related gene

identified by a search of the EST database for genes with protein homology to B7 extracellular domain

3 ESTs from a human ovary tumor NbHOT

full length cloned by RecA capture and magnetic beads from human placenta/activated keratinocyte cDNA libraries

# PD-L1 inhibits T cell activation and cytokine production



# The idea for PD-L1/PD-1 cancer immunotherapy

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
1 March 2001 (01.03.2001)

PCT

(10) International Publication Number  
WO 01/14557 A1

(30) Priority Data:

60/150,390	23 August 1999 (23.08.1999)	US
60/164,897	10 November 1999 (10.11.1999)	US

## PD-1, a receptor for B7-4, and uses therefor Clive Wood and Gordon Freeman

1. A method for modulating an immune response comprising contacting an immune cell with an agent that modulates signaling via PD-1...
8. The method of claim 1, wherein the signaling via PD-1 is inhibited using an agent selected from the group consisting of: a blocking antibody that recognizes PD-1....
22. ..., wherein the condition is selected from the group consisting of: a tumor,

**PD-L1 = B7-H1**

**Same molecule, different results**

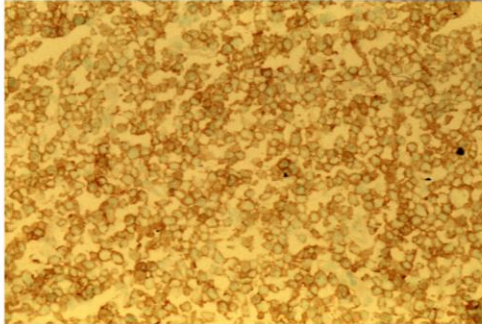
**1999-2003**

**Does it stimulate or inhibit T cells ?**

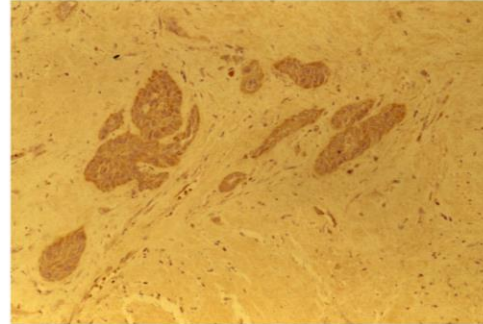
**Different results with Fc fusion proteins and transfectants**

1. B7-H1, a third member of the B7 family, co-stimulates T-cell proliferation and interleukin-10 secretion. Dong H, Zhu G, Tamada K, Chen L. Nat Med. 1999; 5:1365-9
2. Tamura H, Dong H, Zhu G, Sica GL, Flies DB, Tamada K, Chen L. B7-H1 costimulation preferentially enhances CD28-independent T-helper function Blood. 2001; 97:1809-16.

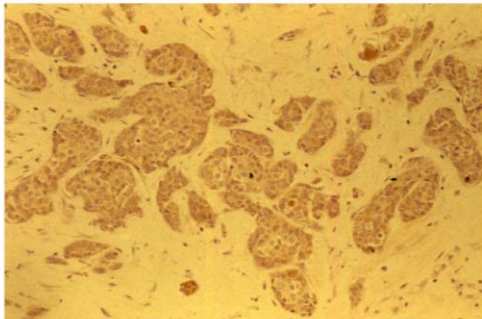
**anaplastic large  
cell lymphoma**



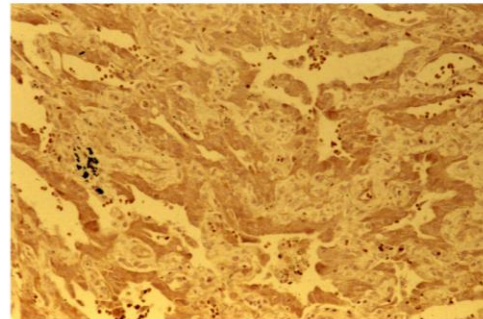
**tongue, squamous  
cell carcinoma**



**breast, invasive  
lobular carcinoma**



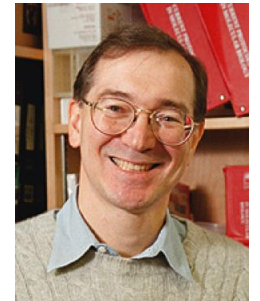
**lung  
adenocarcinoma**



# PD-L1 Immunohistochemistry early 2000



David Dorfman



Gordon Freeman



# Cancer starts to become a focus

- Meeting at AAI in Seattle, May 13, 2000



## Anti-human PD-L1 mAbs

7 mAbs

all block binding of PD-1-Ig to PD-L1 transfected cells

2A3 good for immunohistochemistry of paraffin fixed tissue

5A9 good for immunohistochemistry of frozen sections

## Immunohistochemistry of tumors

PD-L1 expressed on

All thymomas

Some lung carcinomas

Some tongue squamous cell carcinomas

PD-L1 is not expressed on B cell neoplasms

Expressed on some T cell neoplasms, primarily  
anaplastic large cell lymphoma

Freeman et al. J. Exp. Med. 2000

small intestine (16; Fig. 3 D). *PD-L1* is also expressed in some cancers, as three ESTs are from human ovarian tumors. This raises the possibility that some tumors may use *PD-L1* to inhibit an antitumor immune response.

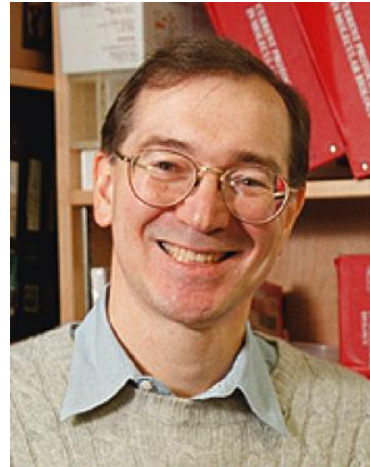
# Define the PD-1 pathway

## Identified the drug target: block PD-1/PD-L1

### **Engagement of the PD-1 Immunoinhibitory Receptor by a Novel B7 Family Member Leads to Negative Regulation of Lymphocyte Activation**

By Gordon J. Freeman,<sup>\*</sup> Andrew J. Long,<sup>‡</sup> Yoshiko Iwai,<sup>§</sup>  
Karen Bourque,<sup>‡</sup> Tatyana Chernova,<sup>\*</sup> Hiroyuki Nishimura,<sup>§</sup>  
Lori J. Fitz,<sup>‡</sup> Nelly Malenkovich,<sup>\*</sup> Taku Okazaki,<sup>§</sup> Michael C. Byrne,<sup>‡</sup>  
Heidi F. Horton,<sup>‡</sup> Lynette Fouser,<sup>‡</sup> Laura Carter,<sup>‡</sup> Vincent Ling,<sup>‡</sup>  
Michael R. Bowman,<sup>‡</sup> Beatriz M. Carreno,<sup>‡</sup> Mary Collins,<sup>‡</sup>  
Clive R. Wood,<sup>‡</sup> and Tasuku Honjo<sup>§</sup>

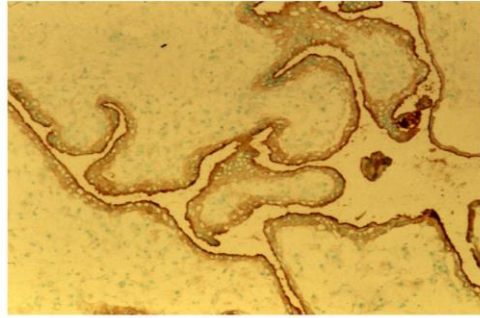
J. Exp. Med. © The Rockefeller University Press • 0022-1007/2000/10/1027/08 \$5.00  
Volume 192, Number 7, October 2, 2000 1027-1034



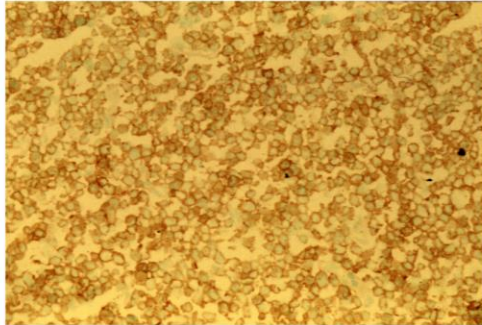
# Cancer becomes a focus

- Meeting at Genetics Institute, September 8, 2000

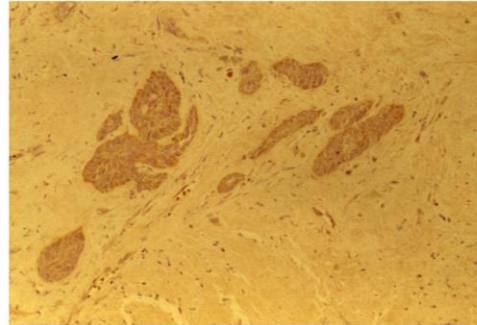
**placenta**



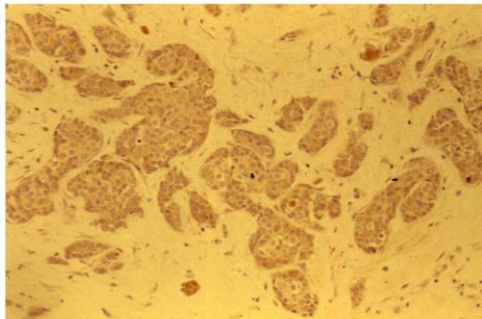
**anaplastic large  
cell lymphoma**



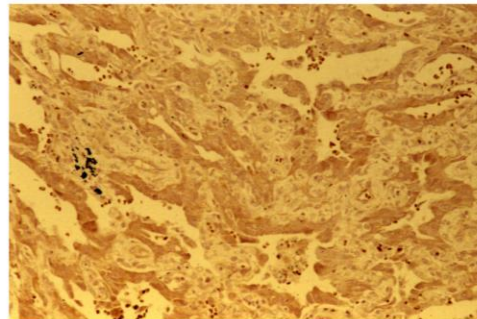
**tongue, squamous  
cell carcinoma**



**breast, invasive  
lobular carcinoma**



**lung  
adenocarcinoma**



PD-L1 tumor  
Immunohistochemistry  
presented at September  
2000 meeting

Submitted JEM June 2002,  
Published in  
Brown, Dorfman, et al.,  
J Imm 2003; 170:1257-66

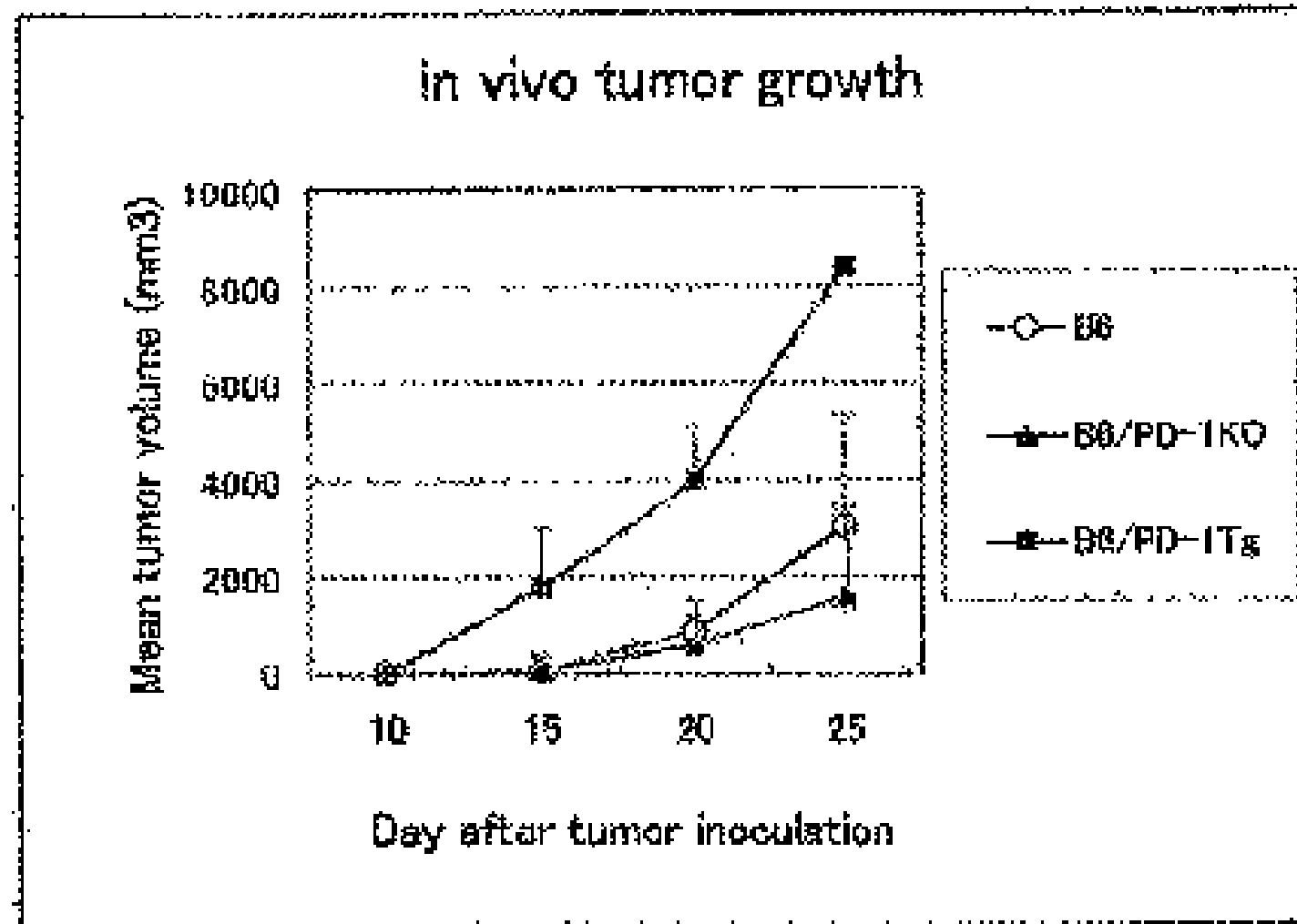


David Dorfman



Gordon Freeman

B16F10 transfected with mPD-L1 grows faster in a PD-1 transgenic and slower in a PD-1 KO



Yoshiko Iwai



# PD-L2 is a second ligand for PD-I and inhibits T cell activation

Yvette Latchman<sup>1</sup>, Clive R. Wood<sup>2</sup>, Tatyana Chernova<sup>3</sup>, Divya Chaudhary<sup>2</sup>, Madhuri Borde<sup>1</sup>, Irene Chernova<sup>3</sup>, Yoshiko Iwai<sup>4</sup>, Andrew J. Long<sup>2</sup>, Julia A. Brown<sup>3</sup>, Raquel Nunes<sup>3</sup>, Edward A. Greenfield<sup>3</sup>, Karen Bourque<sup>2</sup>, Vassiliki A. Boussiotis<sup>3</sup>, Laura L. Carter<sup>2</sup>, Beatriz M. Carreno<sup>2</sup>, Nelly Malenkovich<sup>3</sup>, Hiroyuki Nishimura<sup>4</sup>, Taku Okazaki<sup>4</sup>, Tasuku Honjo<sup>4</sup>, Arlene H. Sharpe<sup>1,\*</sup> and Gordon J. Freeman<sup>3,\*</sup>

## Discovery may shed light on cancer's shield against the immune system

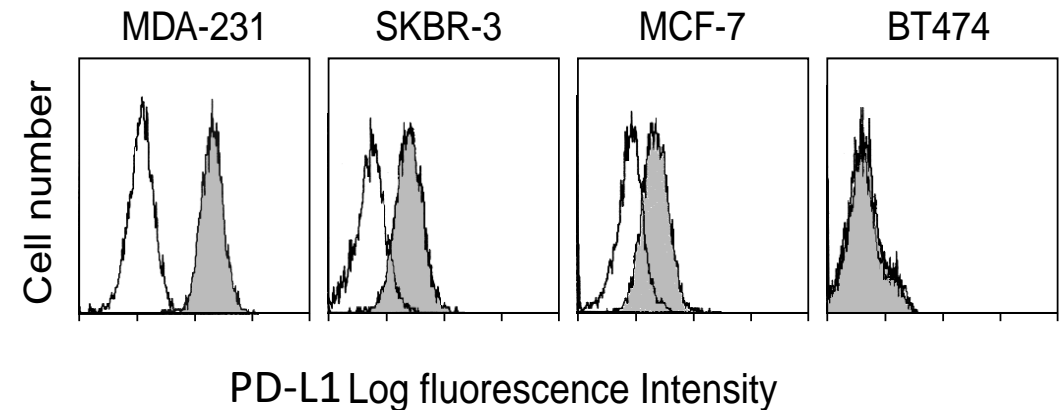
For years, a question has tantalized cancer researchers: why is the immune system, normally so adept at unmasking and eliminating foreign invaders and abnormal cells, not always spry enough to destroy tumor cells?

A new study by Dana-Farber scientists suggests an answer.

In a paper published in the March issue of *Nature Immunology*, investigators led by Gordon Freeman, Ph.D., of Adult Oncology report that a structure

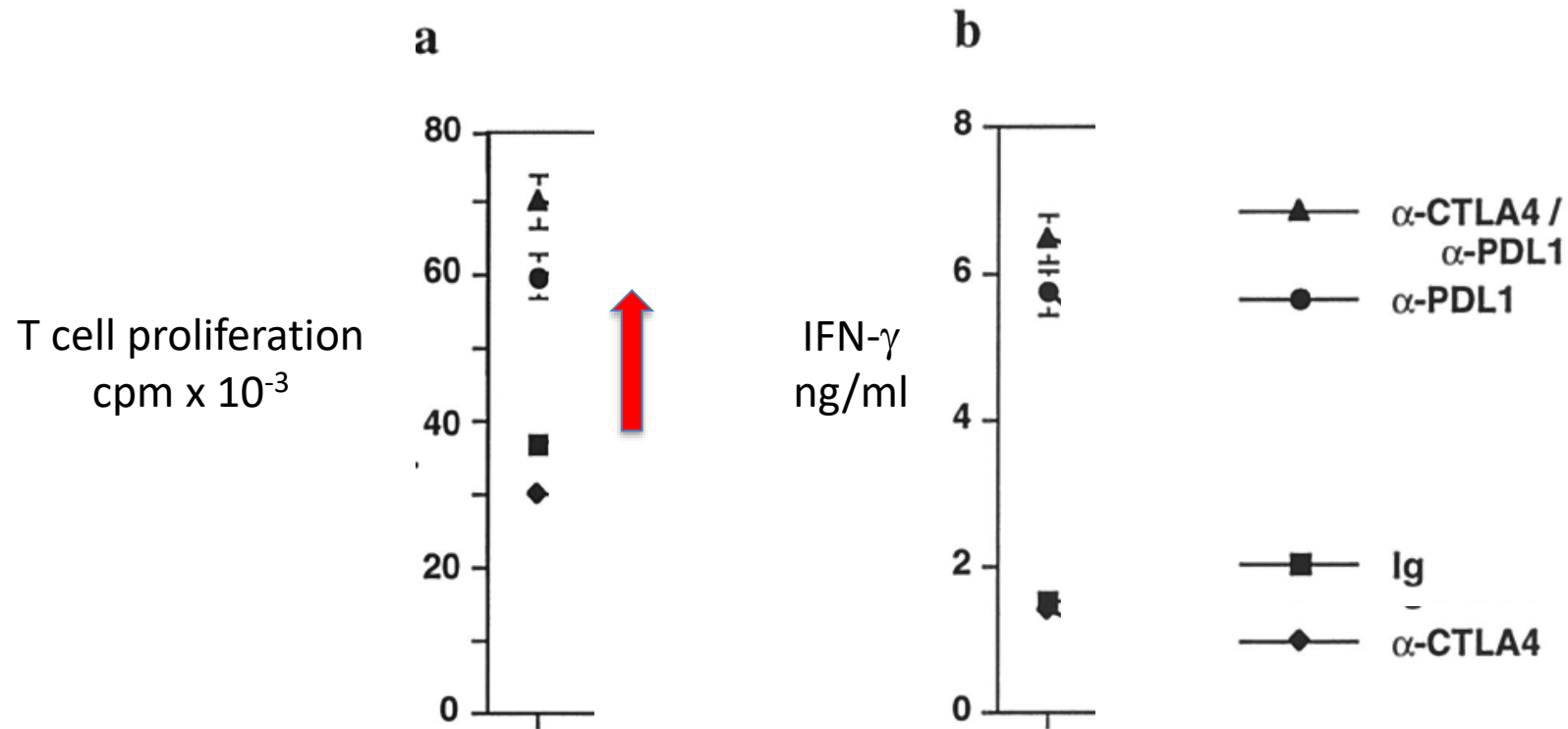


## PD-L1 on Breast cancer cell lines



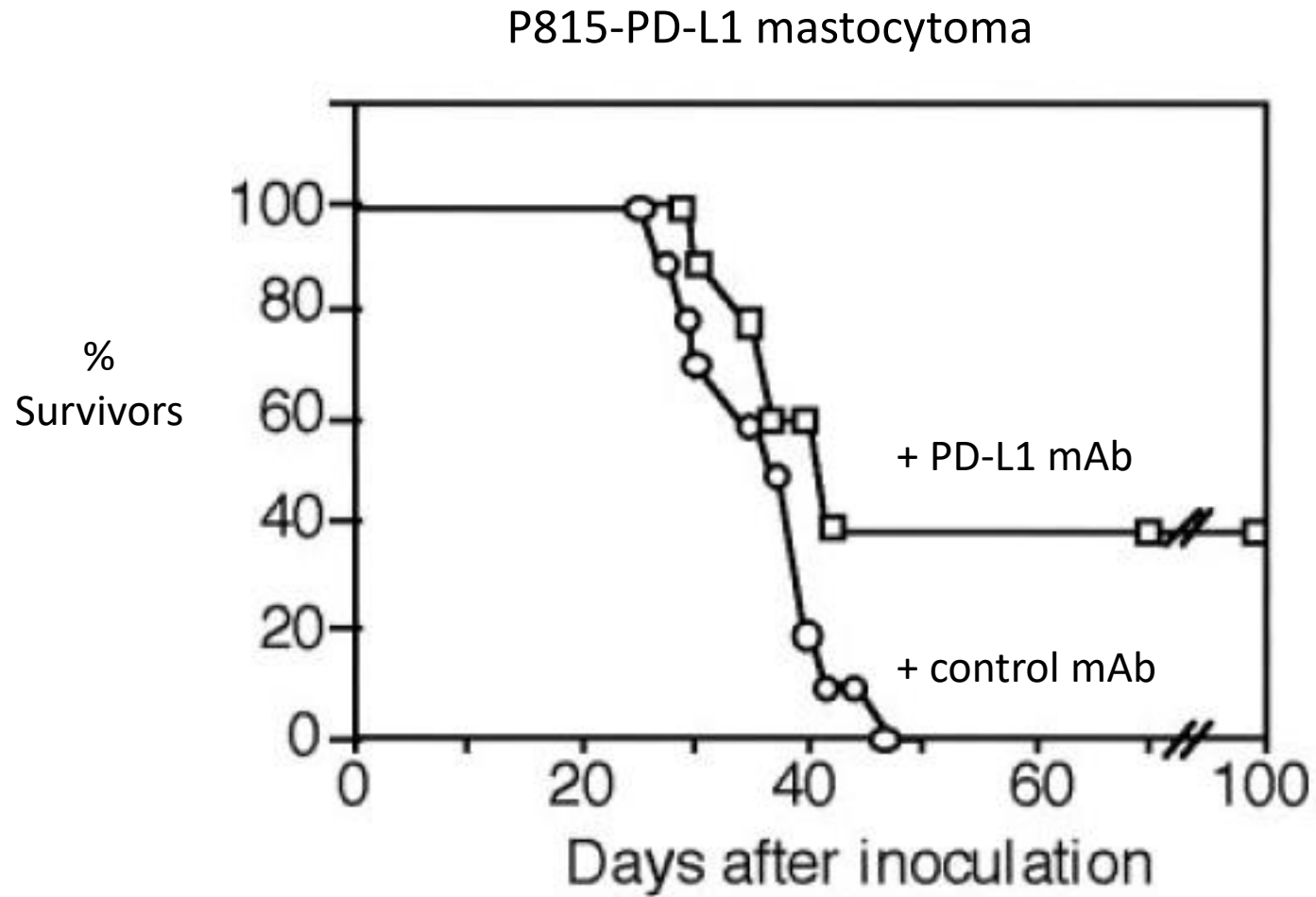
Nat Immunol. 2001 Mar; 2:261

# PD-L1 antibody blockade enhances CD4 T cell responses



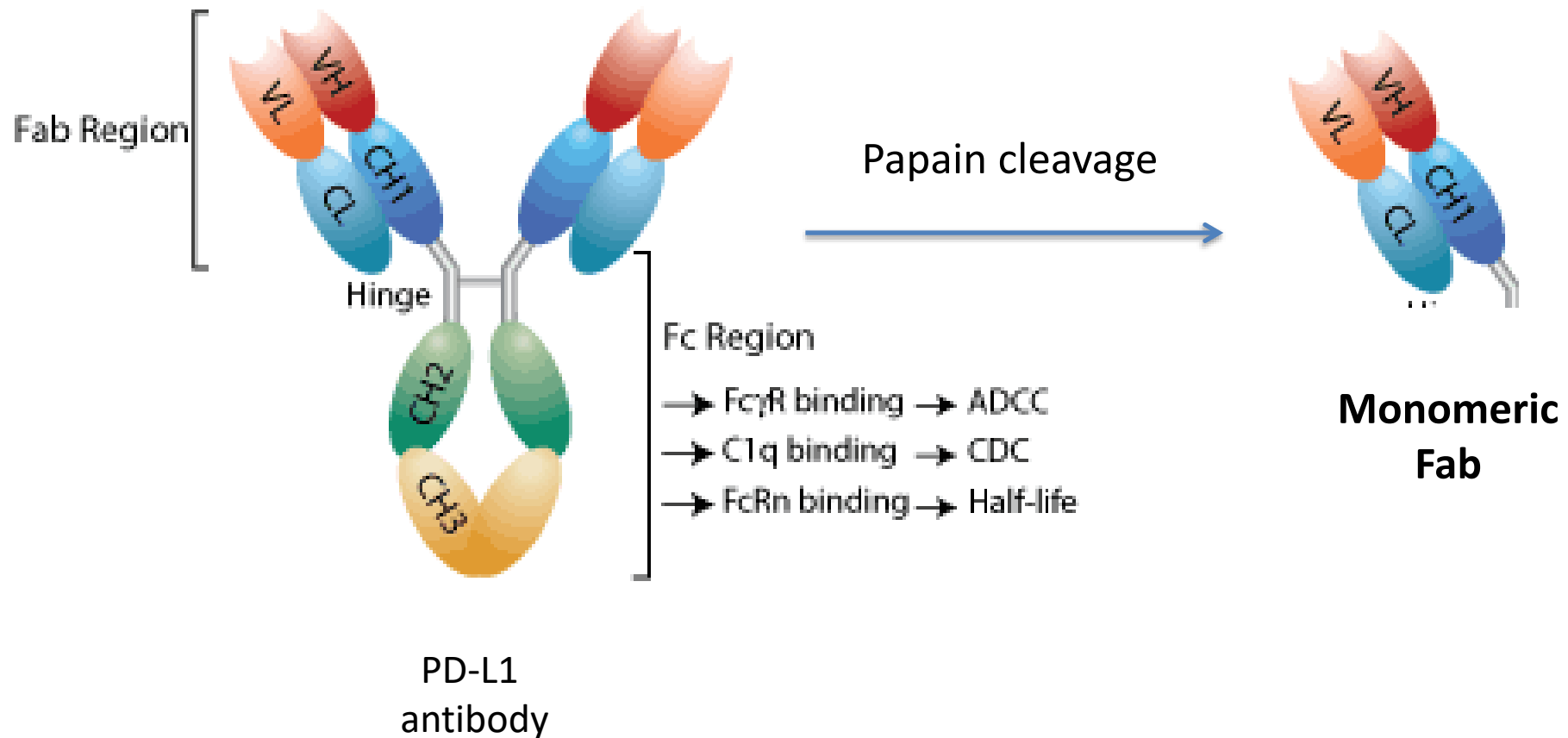
Human CD4<sup>+</sup>CD25<sup>-</sup> T cells  
+ anti-CD3 and anti-CD28  
+ T depleted accessory cells

# PD-L1 antibody tumor immunotherapy

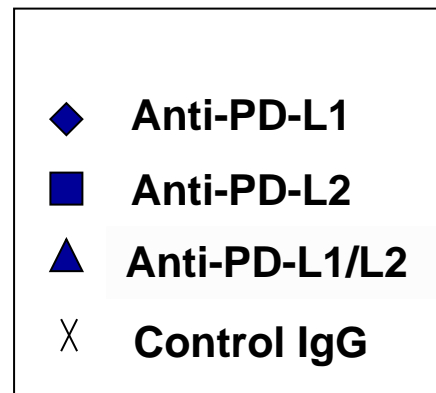
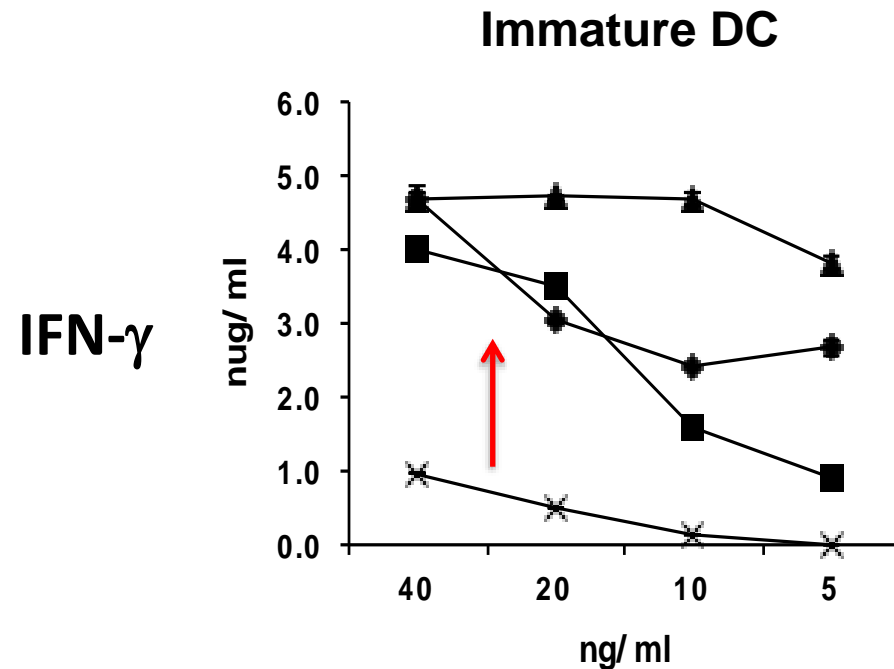


Yoshiko Iwai

# What is the right antibody drug strategy: blockade or crosslinking?



# PD-Ligand blockade with Fab enhances Interferon- $\gamma$ production in a Mixed Leukocyte Response (MLR)



Fab



Julia Brown

# **Clinical translation: block the PD-L1/L2 : PD-1 pathway**

**Drug development of PD-L1 and PD-1 (nivolumab) mAbs begins at Medarex in 2001**

**Medarex and Ono/Honjo enter into research agreement to develop PD-1 mAb in May 2005**

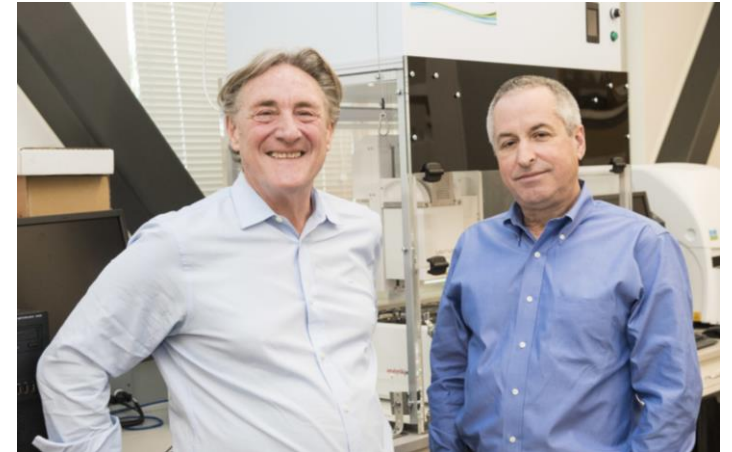
**Phase I trial begins 2006**

**Phase I safety data reported 2008**

**Medarex acquired by BMS 2009**

**Pivotal trial reported in 2012**

**FDA approval in 2014**



**Alan Korman & Nils Lonberg**



# Intellectual property issues of immune checkpoint inhibitors

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Ulrich Storz\*

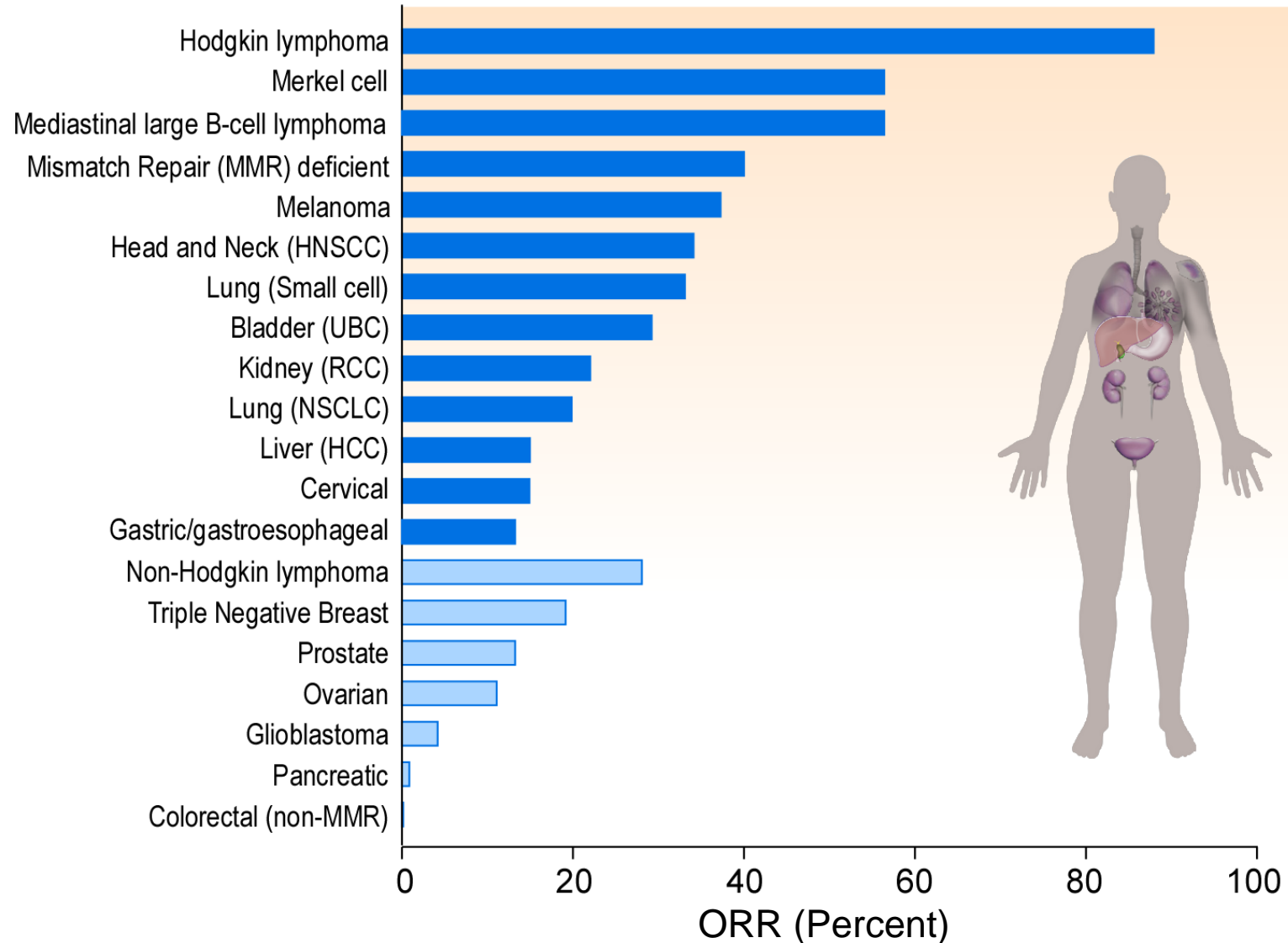
mAbs 8:1, 10–26; January 2016;

Harvard and Dana-Farber out-licensed 11 patents from this portfolio non-exclusively to BMS, Merck & Co. (Merck), Roche, Novartis, Boehringer Ingelheim, Amplimmune, and MerckSerono ([Table 3](#)).<sup>16</sup> This open policy has tremendously spurred research on antibodies against PD-1 and its ligands, and today 34 candidates are in clinical studies



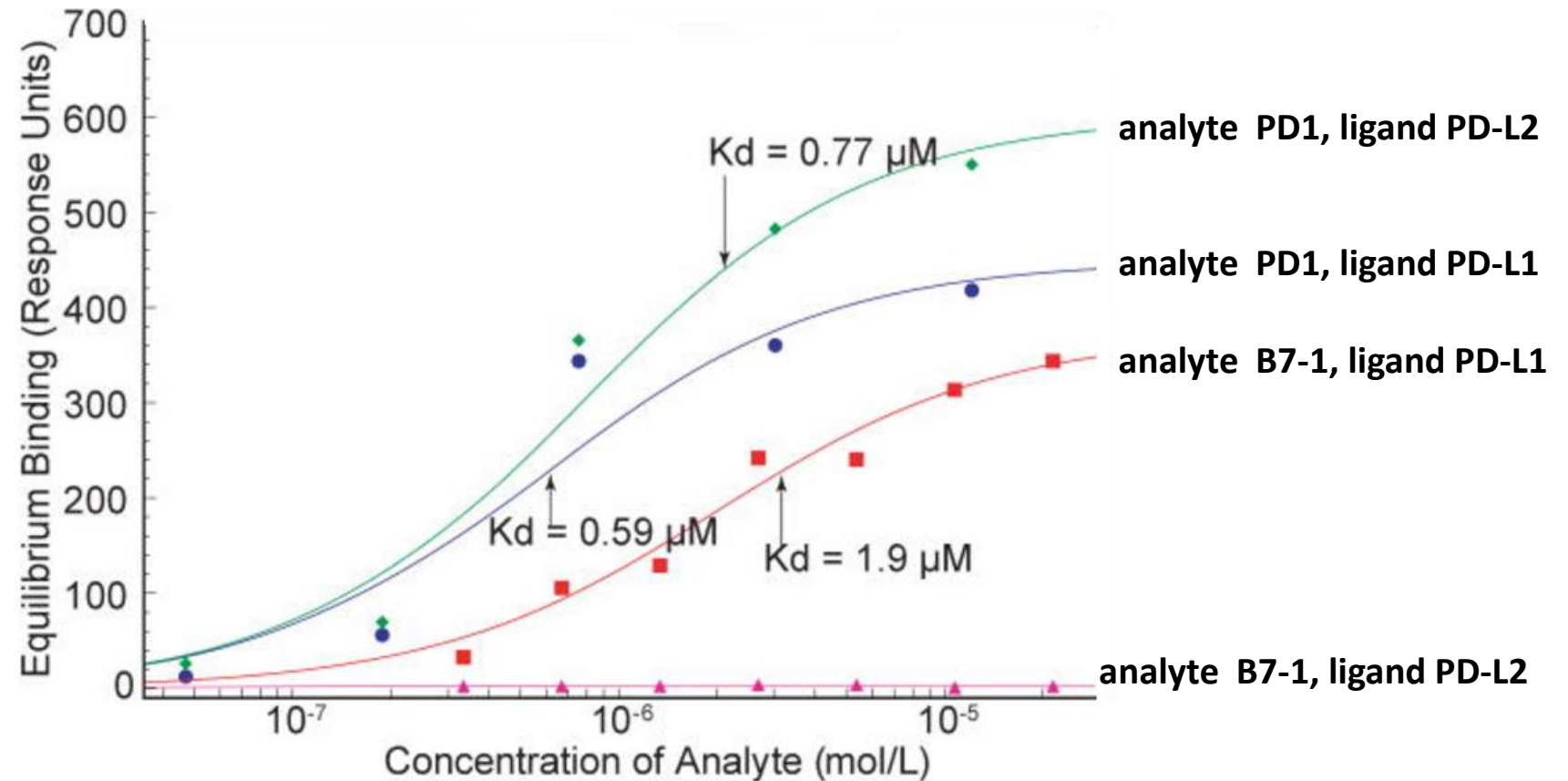
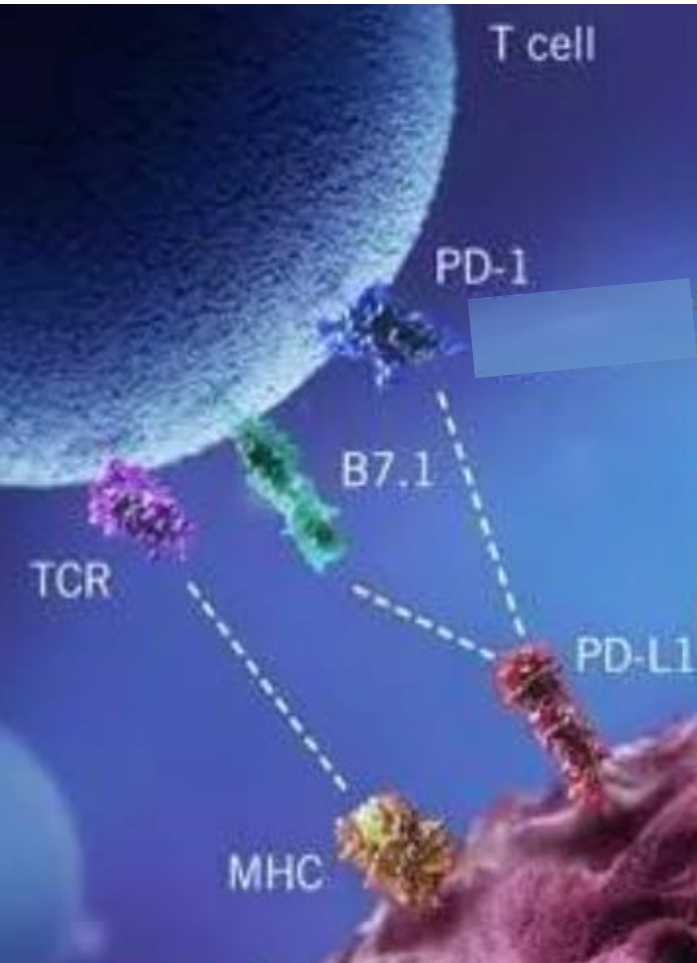
# Broad anti-tumor efficacy of anti-PD-1/PD-L1 inhibitors:

## Overall Response Rates (ORR)

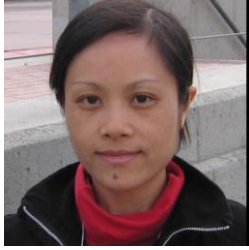


# New Insights

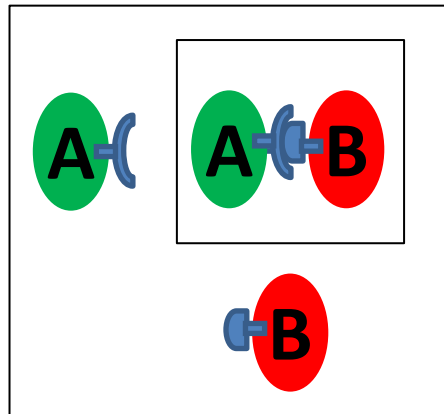
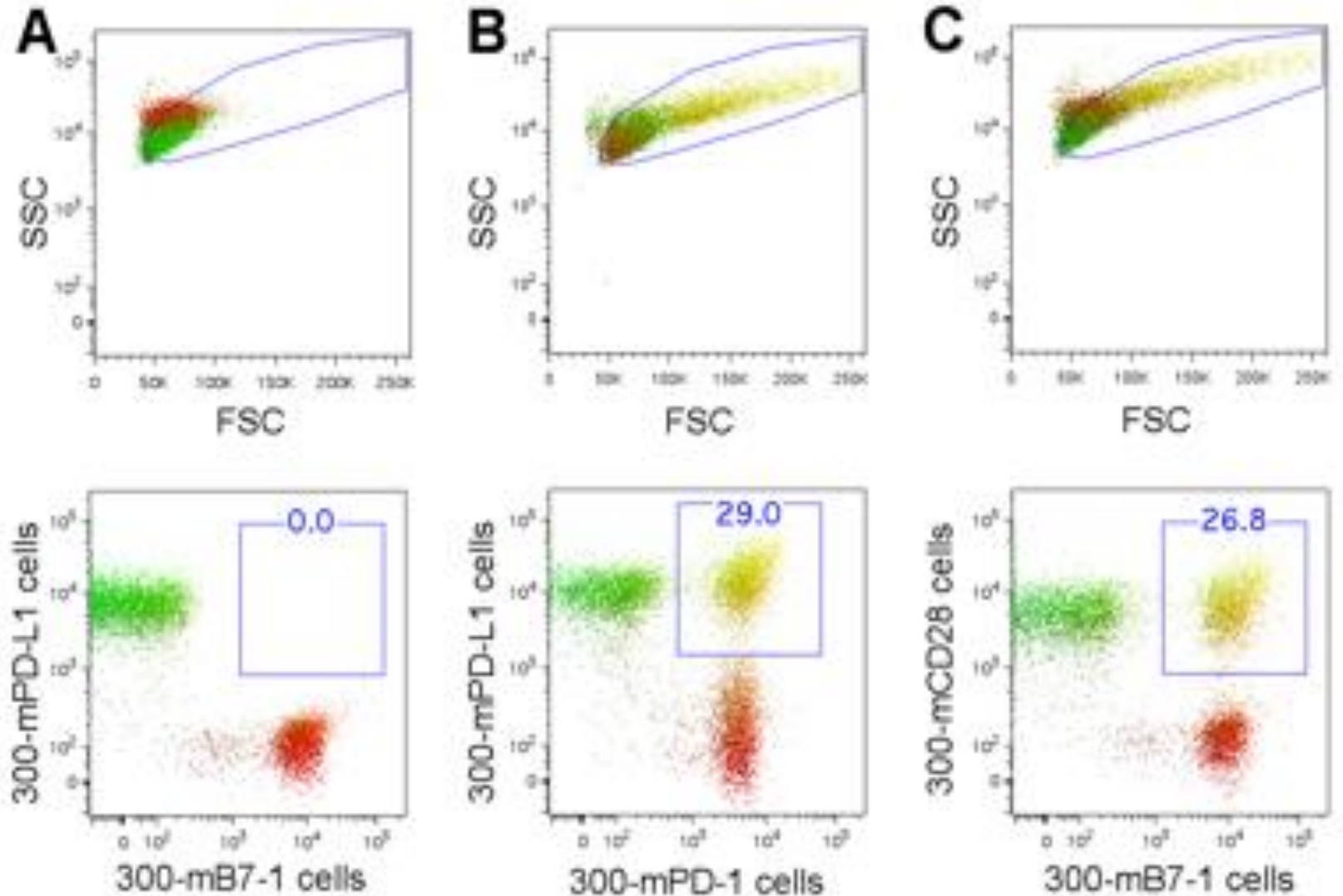
# PD-L1 binds to B7-1 (CD80)



# Cell-to cell binding assay: PD-L1 does not bind to B7-1 in trans

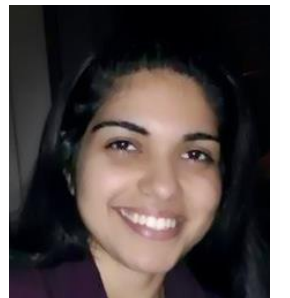
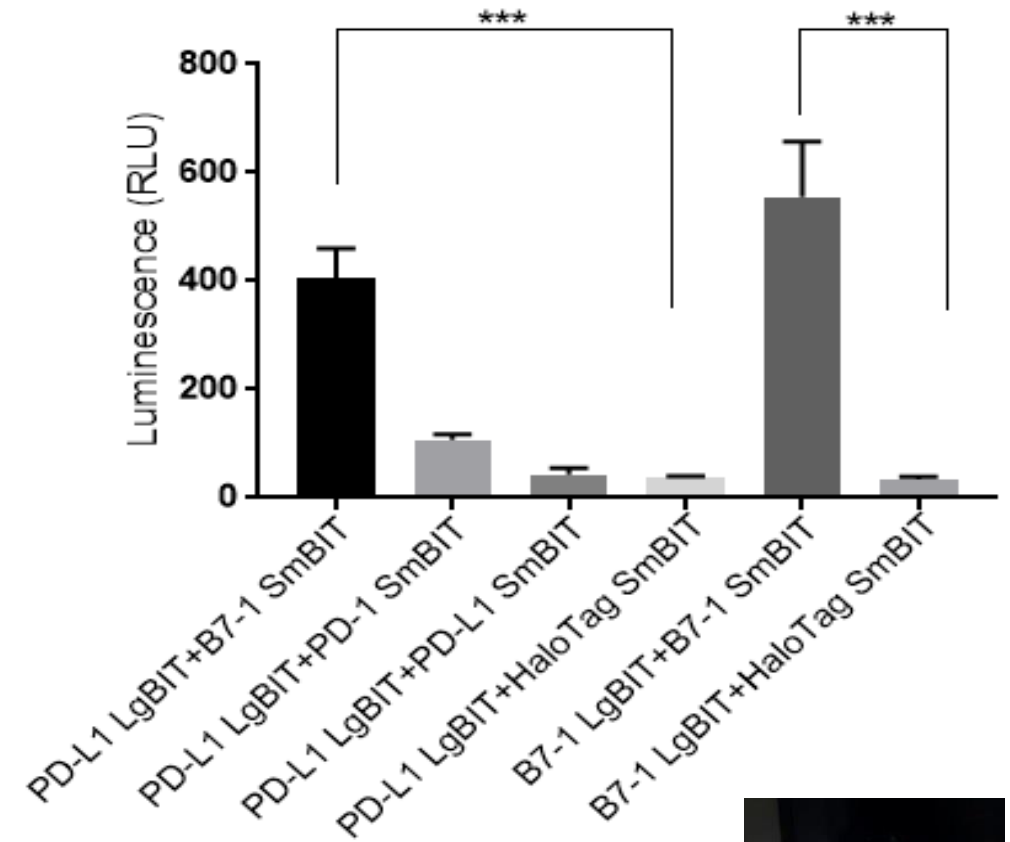
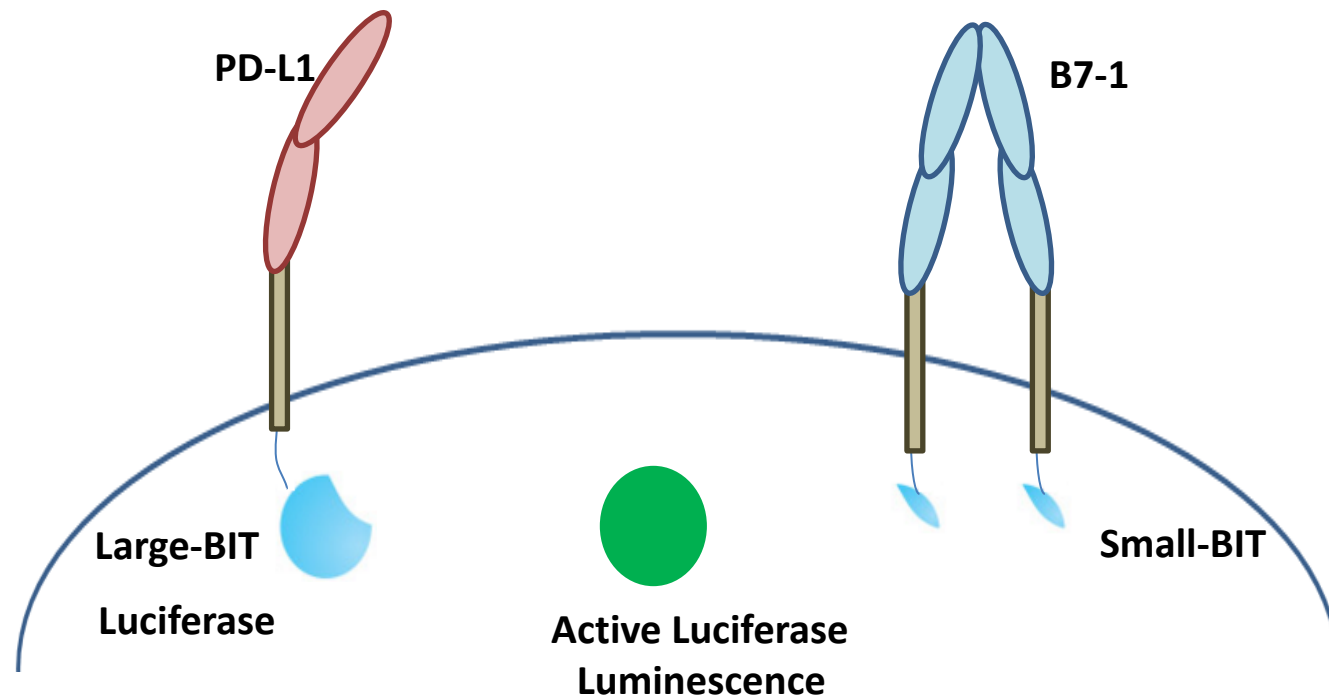


Yanping Xiao



# PD-L1 and B7-1 associate in *cis* on the same cell surface

## Nanobit proximity assay

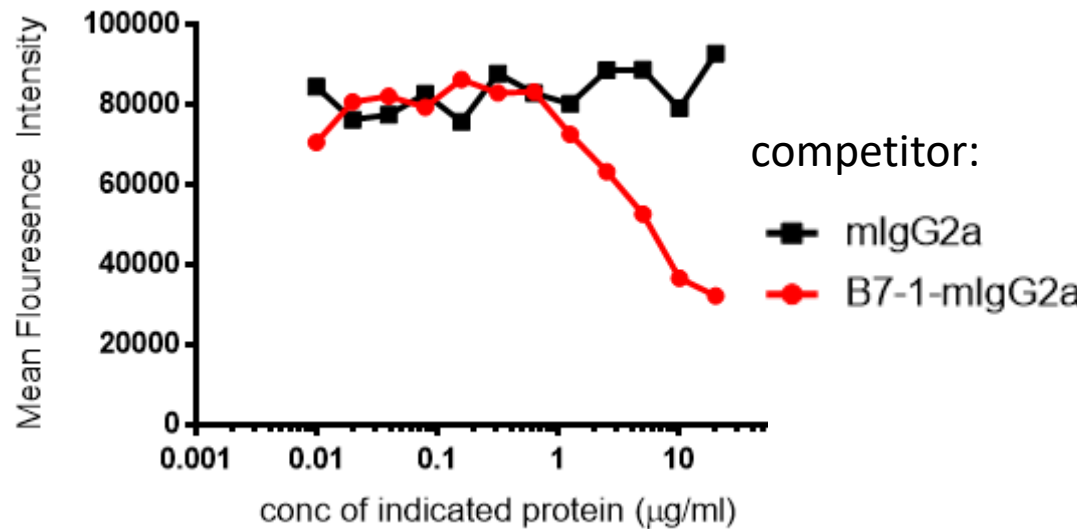


Apoorvi Chaudhri

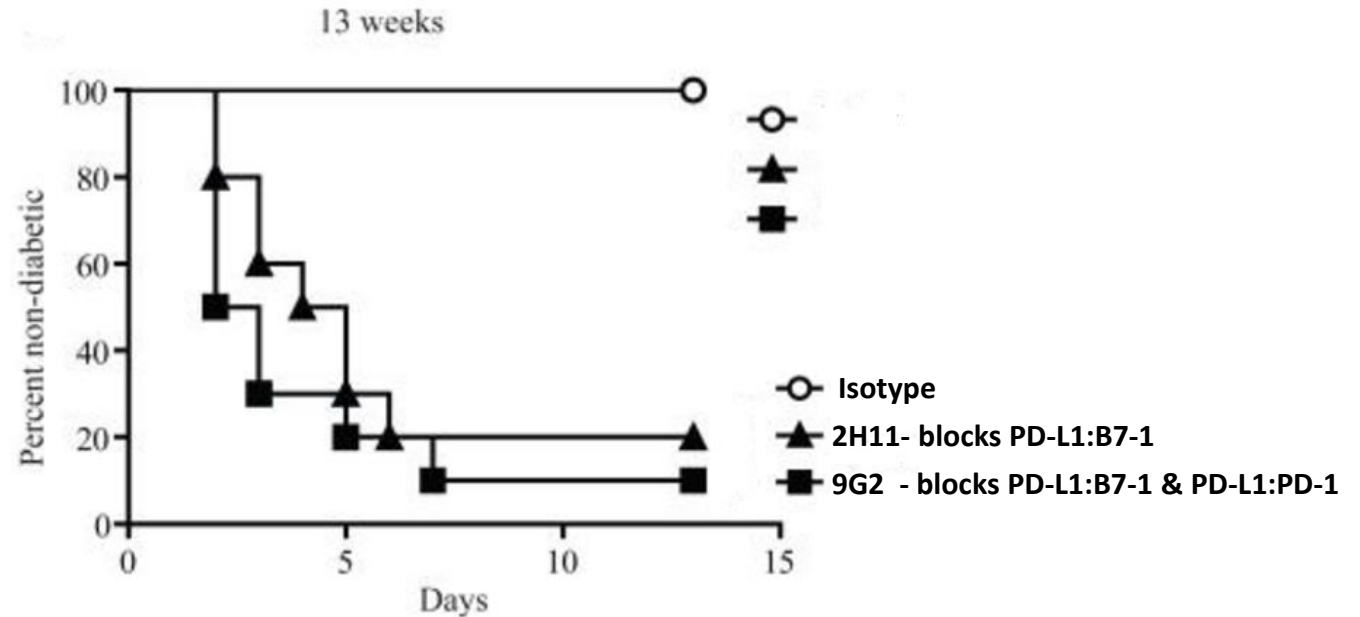


## B7-1 competes with PD-1 for binding to PD-L1

Binding of PD-1 to PD-L1

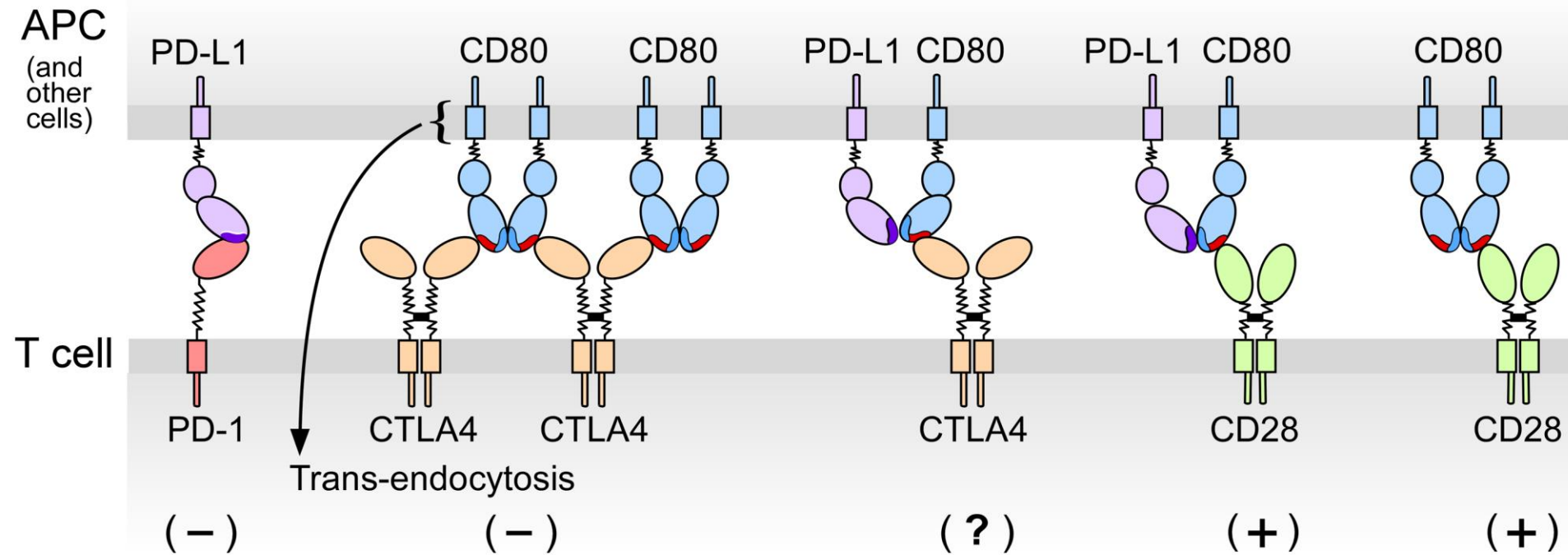


## Blockade of PD-L1 : B7-1 accelerates onset of diabetes in NOD



In this case, the net effect of PD-L1 interaction with B7-1 is immunoinhibitory

# Dynamic interplay



CD80 > PD-L1 favors CD28 signaling, more CTLA-4 trans-endocytosis, less PD-1 signaling

PD-L1 > CD80 favors PD-1 signaling, CD28 signaling, less CTLA-4 trans-endocytosis

additional insights from:

Sugiura...Okazaki, Science 2019; 364:558

Zhao...Hui, Immunity 2019; 51:1059

# Tsunami of data coming: 1000s of PD-1/PD-L1 and combo clinical trials

- We will learn
  - What works
  - What's safe
  - How it works
  - Who it will work for



**Freeman lab**

- Julia Brown
- Guifang Cai
- Tatyana Chernova
- Irene Chernova
- Nellie Malenkovich
- Feng-Rong Ma
- Ed Greenfield
- Kathleen Mahoney
- Sanhong Yu
- Apoorvi Chaudhri
- Yanping Xiao
- Kathleen Mahoney
- Sanhong Yu
- Sarah Klein
- Marica Speranza
- Xia Bu
- Ping Hua
- Baogong Zhu
- Yahui Hao

# Acknowledgements

## Dana-Farber Cancer Institute

- David Reardon
- Peter Hammerman
- Cathy Wu
- Kwok Wong
- Glenn Dranoff
- Margaret Shipp
- Arnold Freedman
- Lee Nadler

## Brigham and Women's Hospital

- David Dorfman
- Andrew Lichtman
- Sabina Signoretti
- Scott Rodig

## Emory University

- Rafi Ahmed

## Harvard Medical School

- Arlene Sharpe

## Beth Israel Deaconess Medical Center

- Vicki Boussiotis
- Wenyi Wei
- David McDermott
- Michael Atkins

## U of Pennsylvania

- E. John Wherry

## Genetics Institute

- Clive Wood

## Kyoto University

- Tasuku Honjo

**The winners: patients and their families !!**



**Barry Nelson: NSCLC patient 9 years after PD-1 immunotherapy**