



# Mechanisms of Immune-Related Adverse Events

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# Disclosures

- Consulting Fees and honoraria
  - BMS, Merck, Genentech, Merck KGA
- Research Support
  - Astrazeneca/Medimmune
- I will not be discussing non-FDA approved indications during my presentation.

## Outline

- Basic principles of immunological tolerance and autoimmunity
- Differential roles of CTLA-4 and PD-1 in maintenance of tolerance
- Mechanisms of breakdown of tolerance by checkpoint blockade

# Immune-related Adverse events (irAE): The players

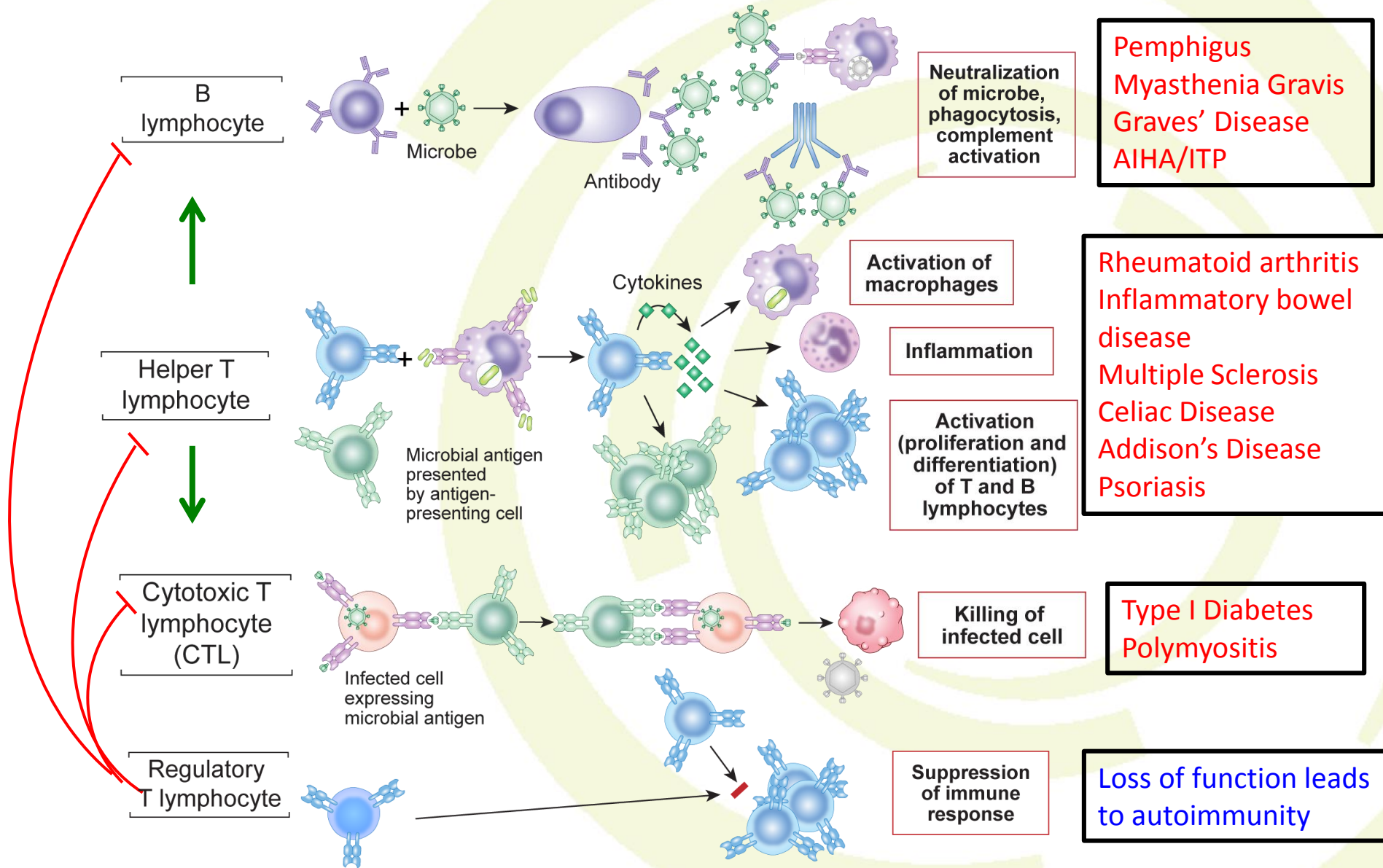
Inferences from Autoimmune Disease



# Most Autoimmune Diseases are due to Failure of T cell Tolerance (even in those diseases that are antibody-mediated)

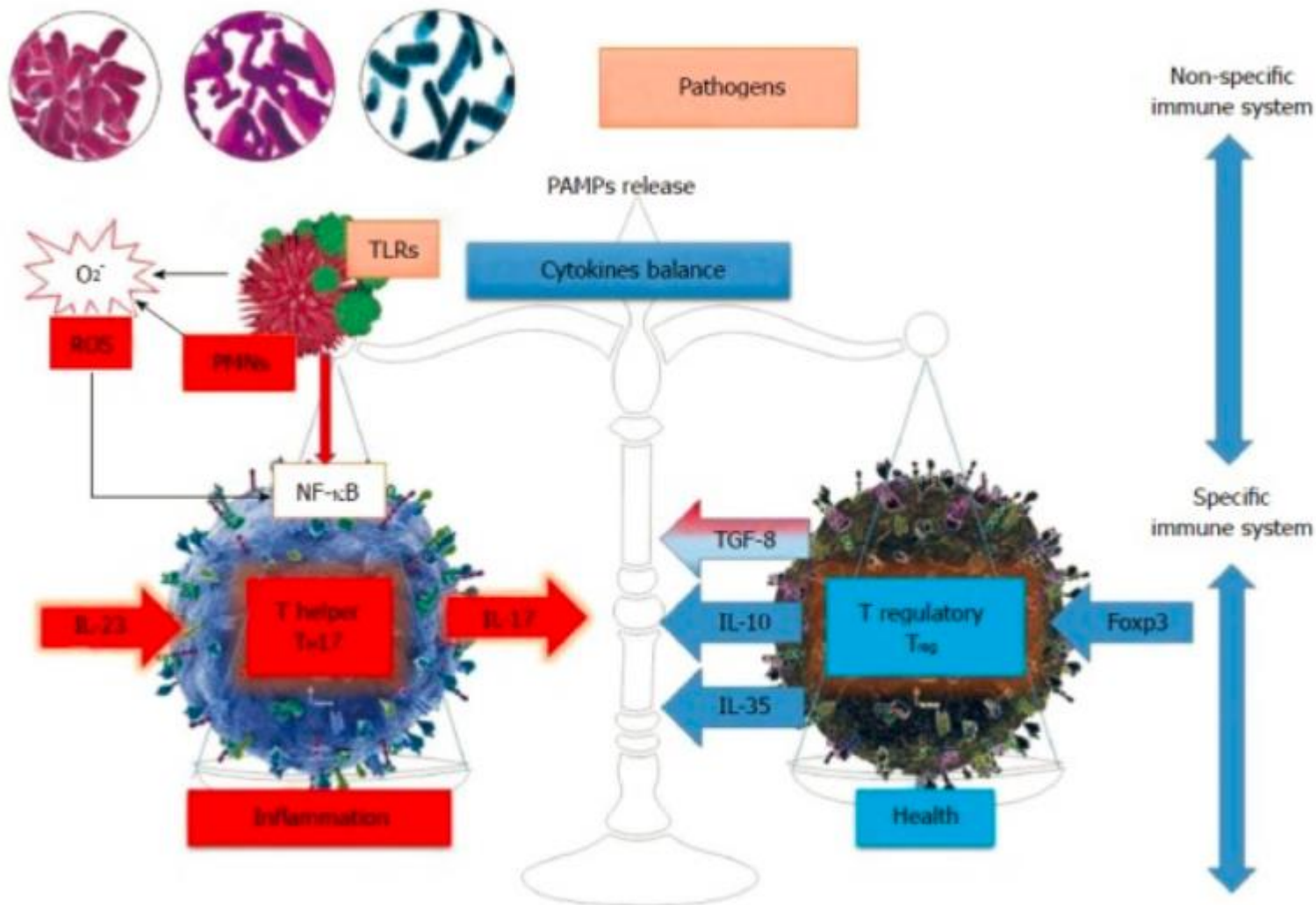
Immunologic Tolerance:  
unresponsiveness of immune system to self  
antigens

# Major Effector Cells of the Immune System





# Immunological Balance in inflammatory bowel dz



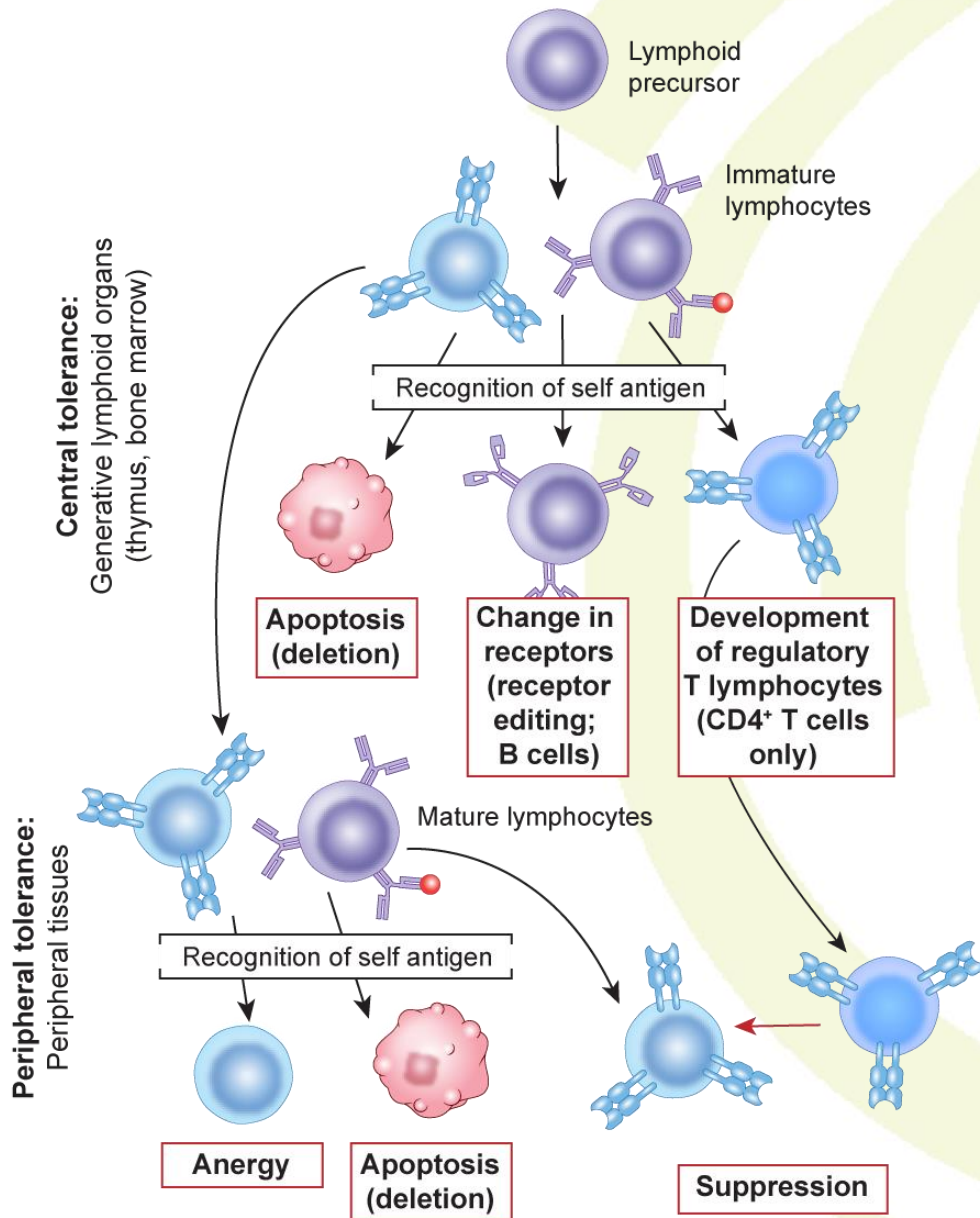
# Immune-related Adverse events (irAE):

Inferences from basic biology





# Central and Peripheral Tolerance



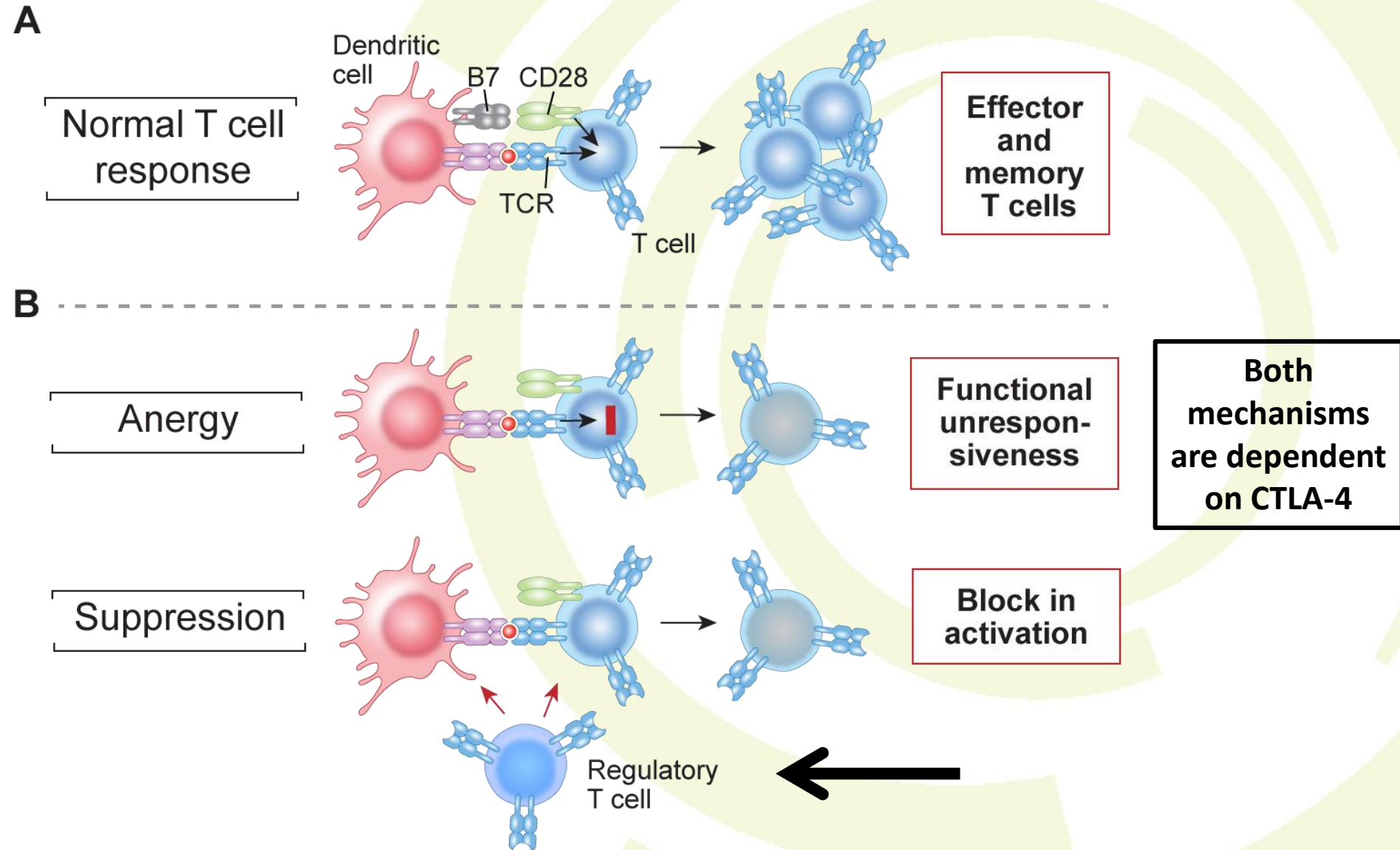
## Central Tolerance

- For T cells it occurs in the thymus
- Fate of high affinity, self-reactive T cells is death (deletion) and removal from T cell pool
- Some survive as regulatory (suppressor) T cells while others escape to peripheral tissues

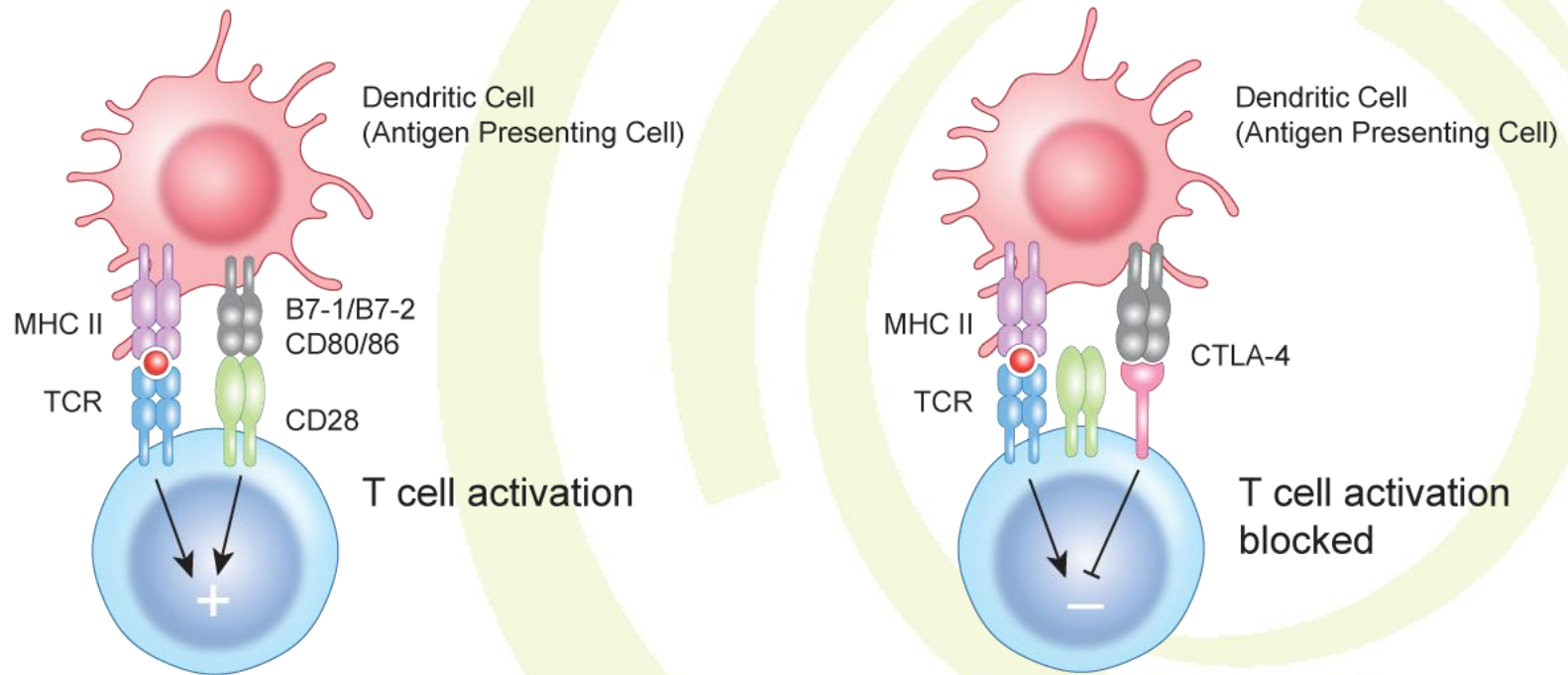
## Peripheral Tolerance

- Self-reactive T cells are suppressed by regulatory T cells
- CTLA-4 and PD-1, among other molecules play a role in maintaining self-reactive T cells from becoming activated (anergic)

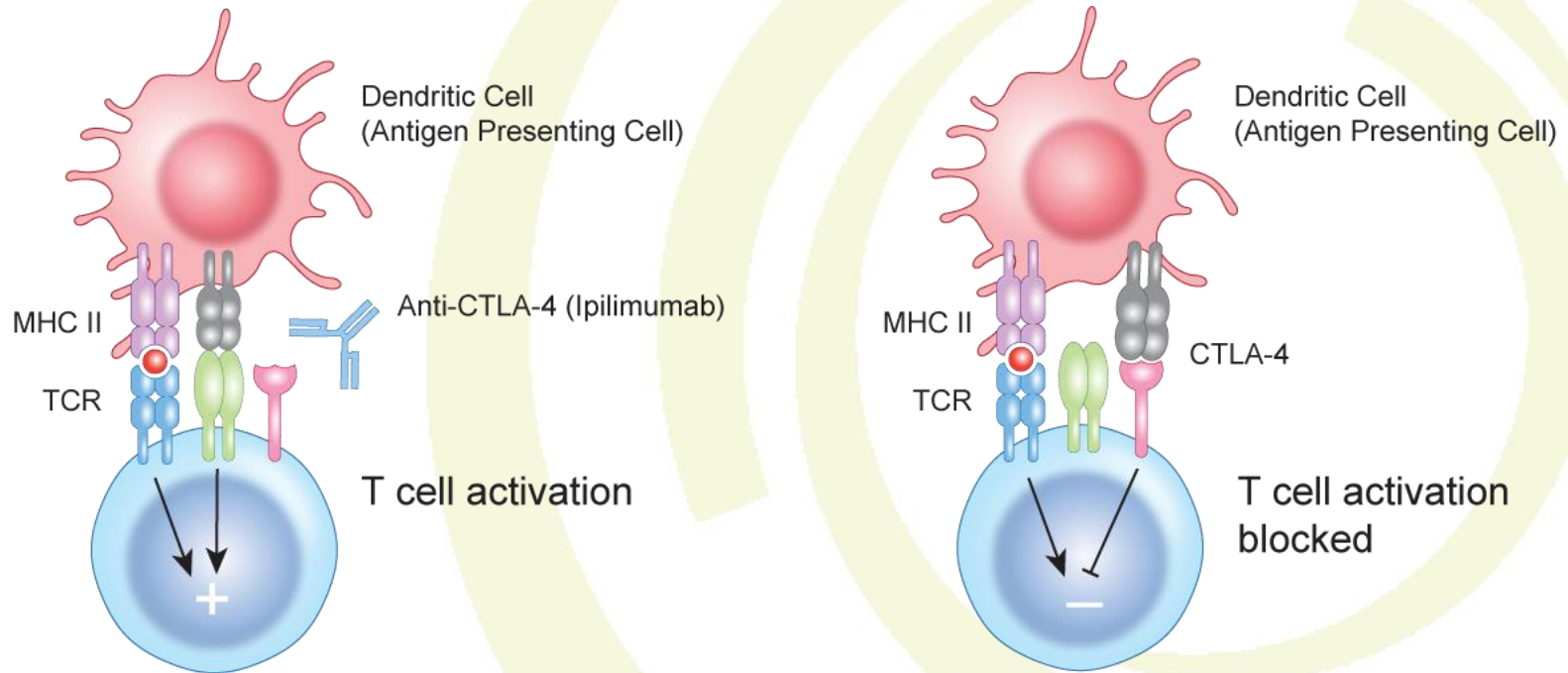
# Peripheral tolerance occurs in the absence of CD28 dependent co-stimulation



# CTLA-4 inhibits co-stimulation by blocking interaction between CD28 and B7 molecules



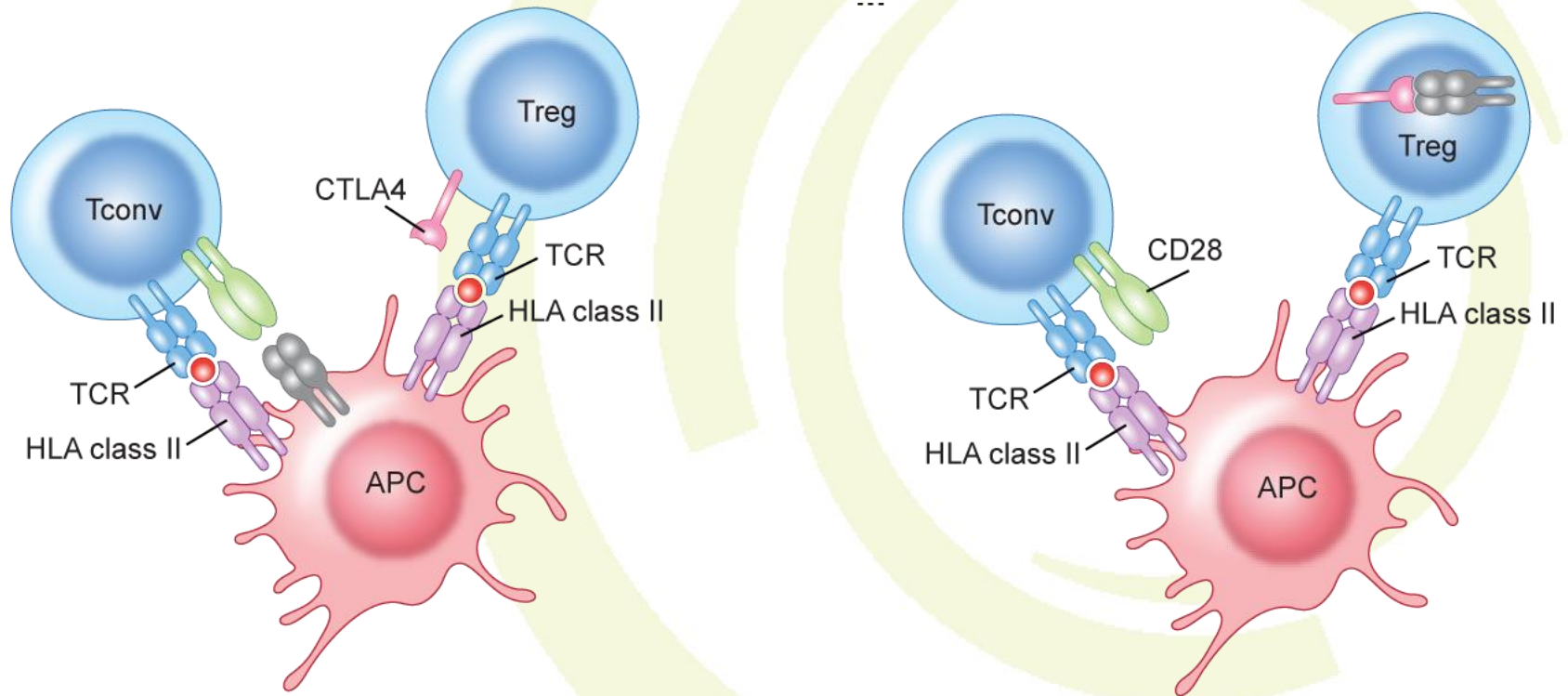
# Anti-CTLA-4 can lead to breakdown of peripheral tolerance by restoring co-stimulation



Breakdown of peripheral tolerance leading to activation of self-reactive T cells



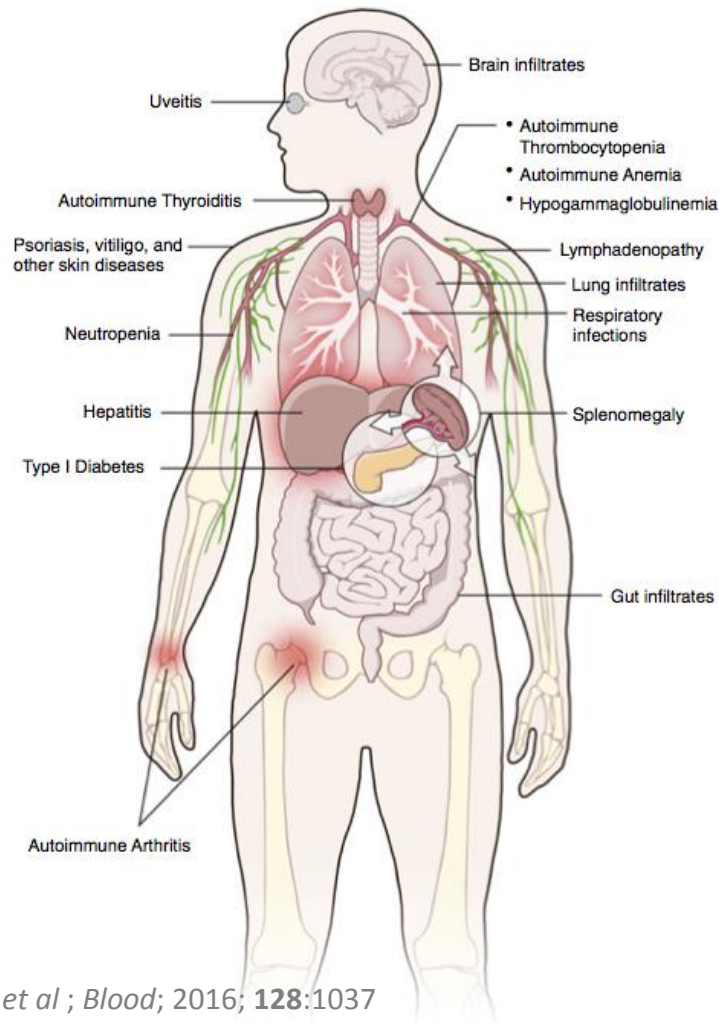
Regulatory T cells (Tregs) use CTLA-4 to remove B7 molecules from surface of antigen presenting cells to prevent activation of self reactive T cells



Anti-CTLA-4 (ipilimumab) may interfere with inhibitory function of Tregs

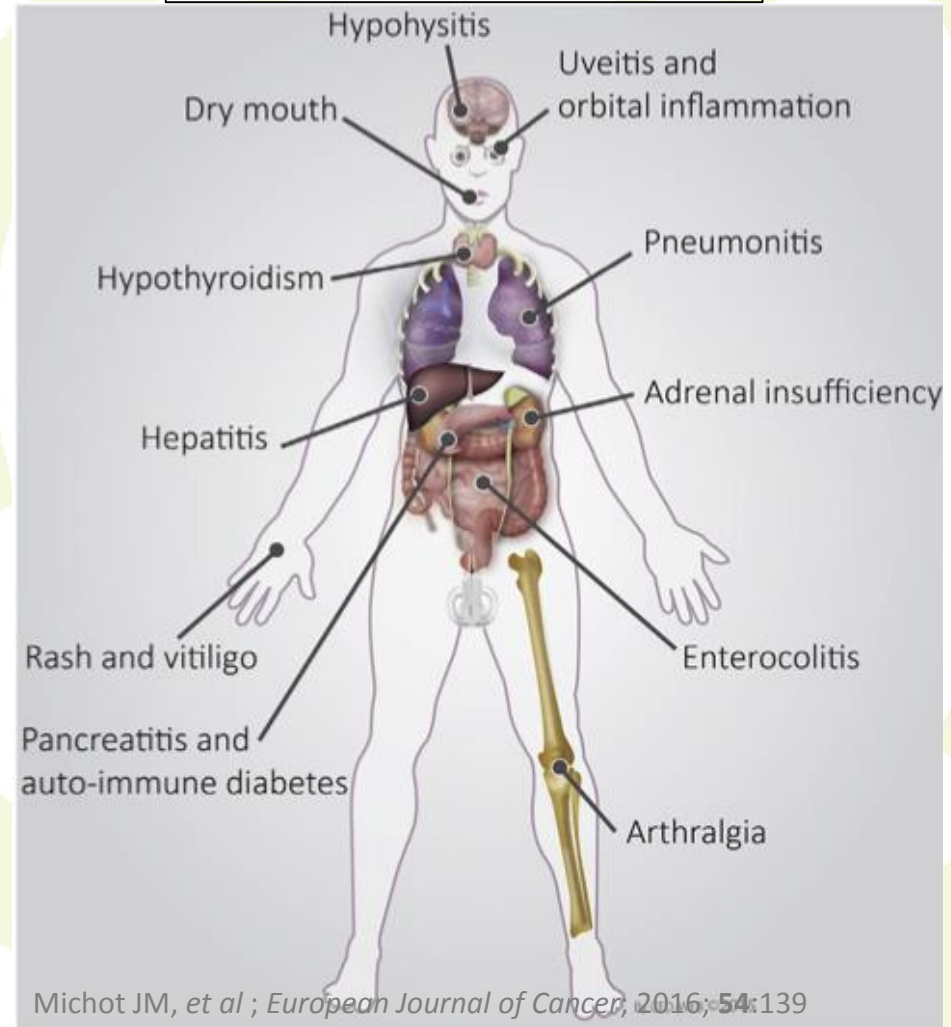
# People with CTLA-4 haploinsufficiency develop a spectrum of autoimmune diseases similar to the irAEs observed with ipilimumab

## CHAI/LATAIE Phenotype



Lo, B. et al ; *Blood*; 2016; **128**:1037

## Ipilimumab irAEs



Michot JM, et al ; *European Journal of Cancer*; 2016; **54**:139

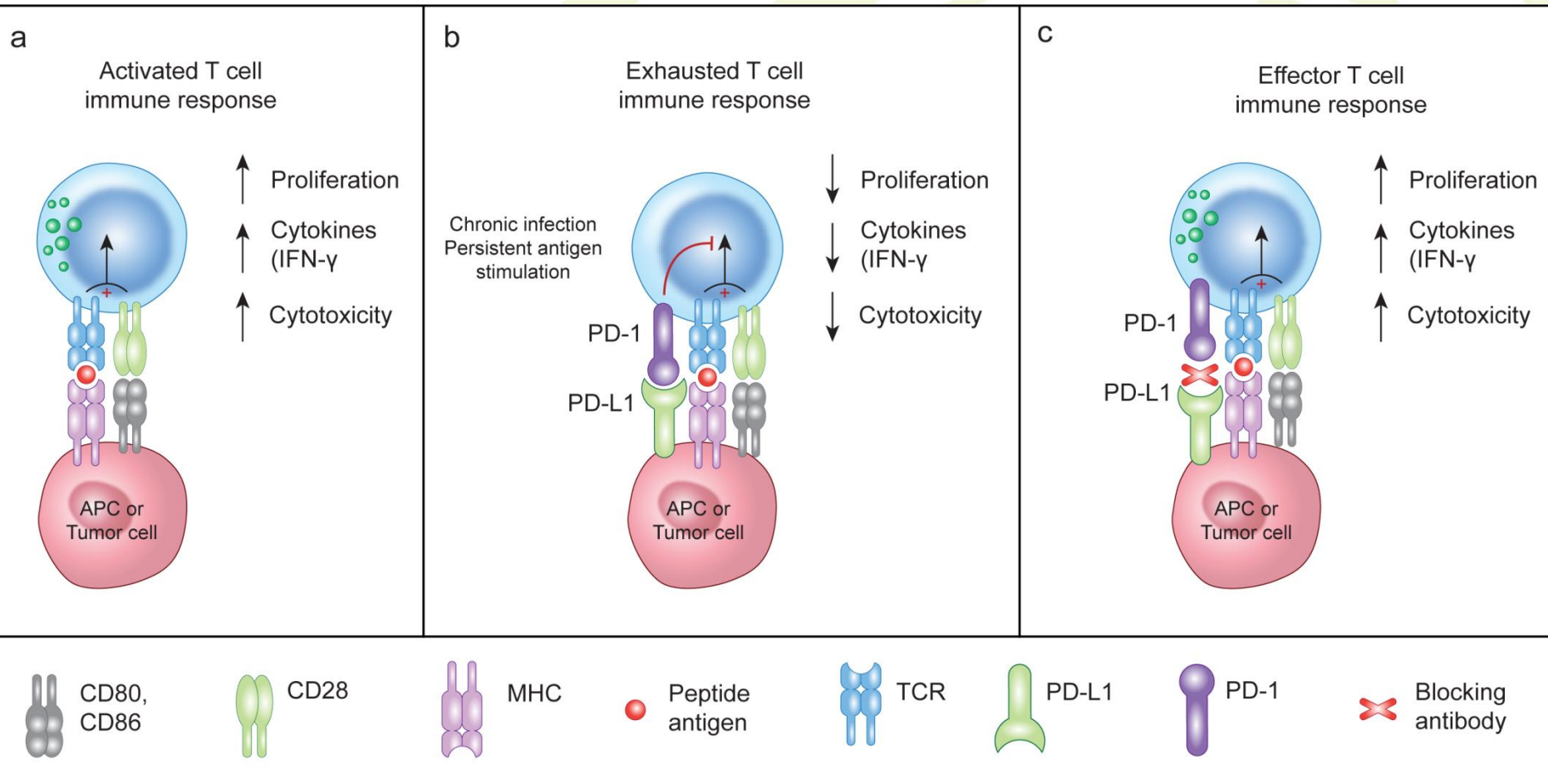
# Polymorphisms in CTLA-4 gene has been linked to human autoimmune diseases

Autoimmune Disease	Polymorphism
Thyroiditis, Graves' disease, Hashimoto's disease	CTLA-4
Diabetes mellitus	CTLA-4
Celiac disease	CTLA-4
Myasthenia gravis	CTLA-4
Lupus	CTLA-4
Rheumatoid Arthritis	CTLA-4
Addison's disease	CTLA-4



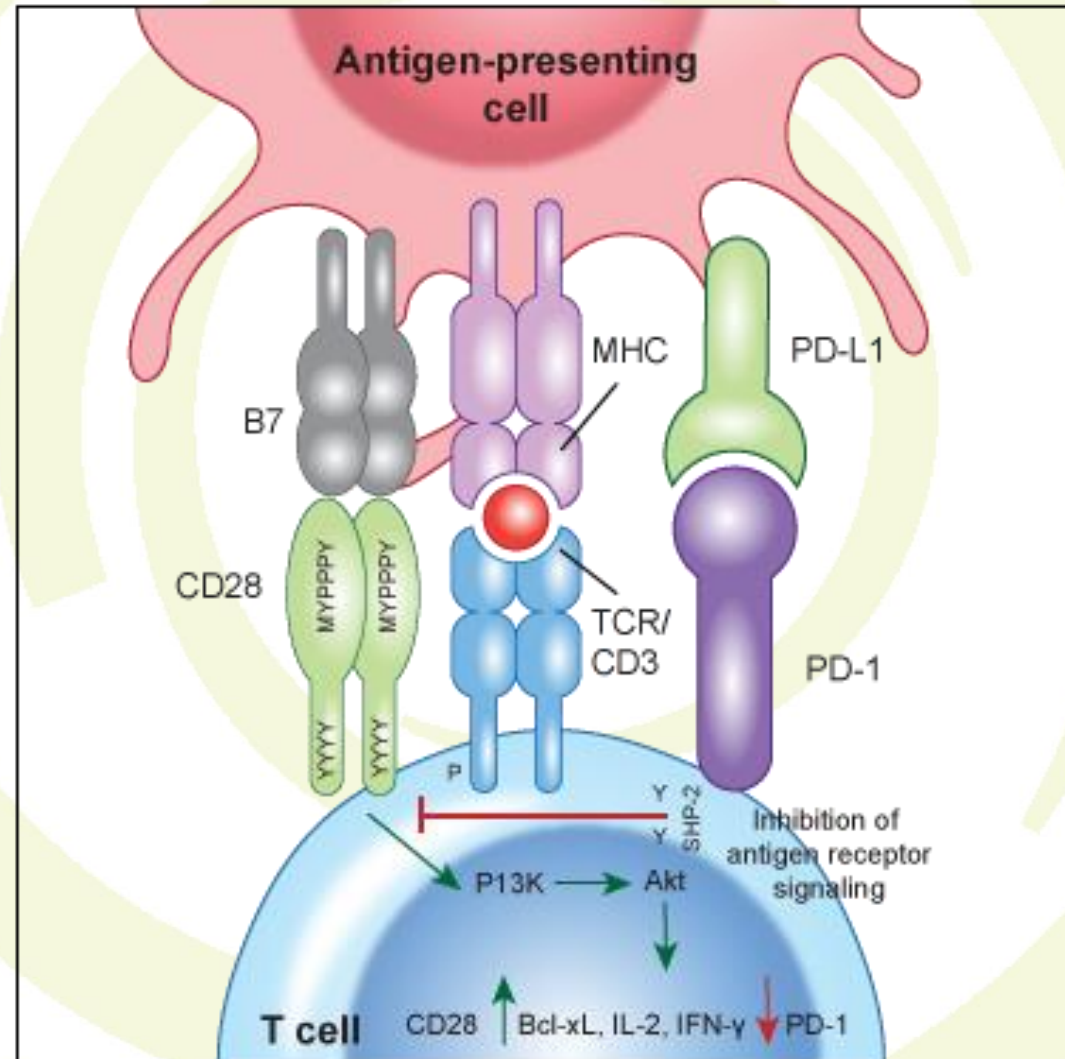
# Blocking PD-1/PD-L1 Pathway Reactivates T cells

PD-1 is the receptor on T cells – its ligand PD-L1 is on immune cells or tumor cells



# Interaction of PD-1 with its ligands, PD-L1/PD-L2 inhibits CD28 signaling in T cells

- PD-1 is upregulated on T cells after activation
- PD-L1 is found on both immune and non-immune cells in peripheral tissues
- PD-L2 is mostly found on immune cells in response to inflammatory stimuli
- In contrast, CTLA-4 and its ligands are only found on immune cells
- Mice deficient in PD-1 have delayed development of autoimmune disease compared to CTLA-4 deficient ones



# Knockout of PD-1 genes associated with autoimmune diseases (preclinical data)

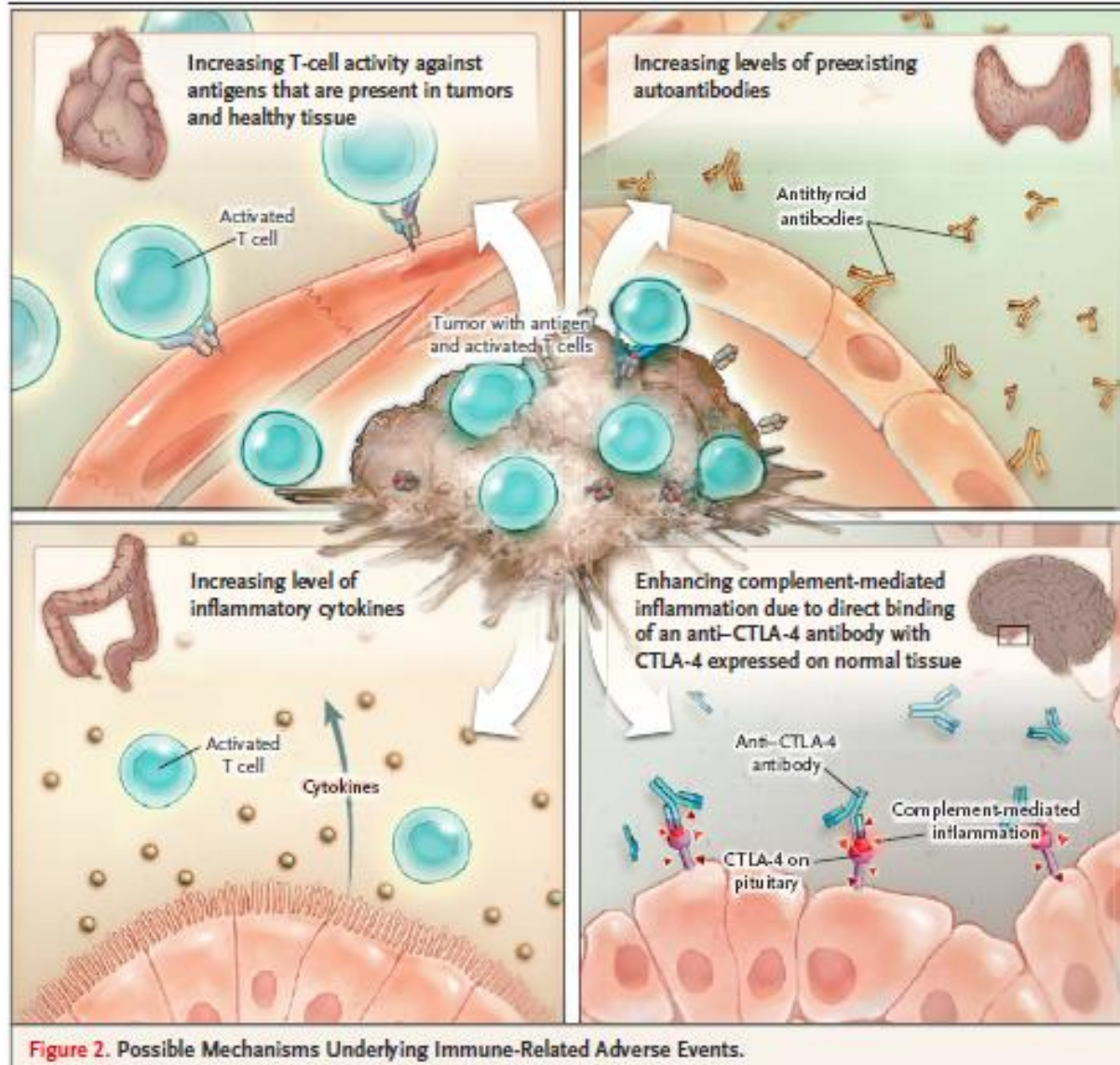
Mice lacking PD-1: autoimmunity depending on model  
e.g., arthritis and cardiomyopathy.

# Polymorphism of PD-1 genes associated with autoimmune diseases (clinical data)

Autoimmune Disease	Polymorphism
Lupus	PD-1
Rheumatoid Arthritis	PD-1



# Overview of possible mechanisms of irAE



N Engl J Med.  
2018;378(2):158-168.

# Early and late irAEs may occur by distinct mechanisms

## Early and common

Mucosal  
Colitis  
Rash  
Pneumonitis

Global Regulatory T  
cell dysfunction

Activation of Effector  
T cells (Th<sub>17</sub>)

Recruitment of  
inflammatory cells  
(neutrophils)

## Late and rare

Specific organ  
Hypophysitis  
(other endocrine)  
Myocarditis; Neurologic  
Arthritis; Vitiligo

Breakdown of organ  
specific tolerance

Activation of tumor  
specific T cells that  
recognize antigen  
shared between tumor  
and healthy tissue:  
vitiligo, myocarditis

Activation of tissue  
specific anergic T cells  
that recognize antigen  
distinct from the tumor

T cell or antibody mediated  
tissue destruction

# Summary: CTLA-4 and PD-1 are important in maintenance of peripheral immune tolerance

- CTLA-4 expression on effector and regulatory T cells prevents co-stimulation through CD28 and maintains T cell anergy and peripheral tolerance
- Activation of PD-1 on activated T cells by its ligands renders them non-functional
- PD-1 activates regulatory T cells to maintain peripheral tolerance
- Humans with CTLA-4 haploinsufficiency develop a spectrum of autoimmune manifestations similar to irAEs seen after treatment with Ipilimumab