



Society for Immunotherapy of Cancer

Advances in Cancer Immunotherapy™

Immunotherapy as Part of Standard of Care in Head and Neck Cancer

Trisha Wise-Draper MD, PhD

Associate Professor

University of Cincinnati Cancer Center

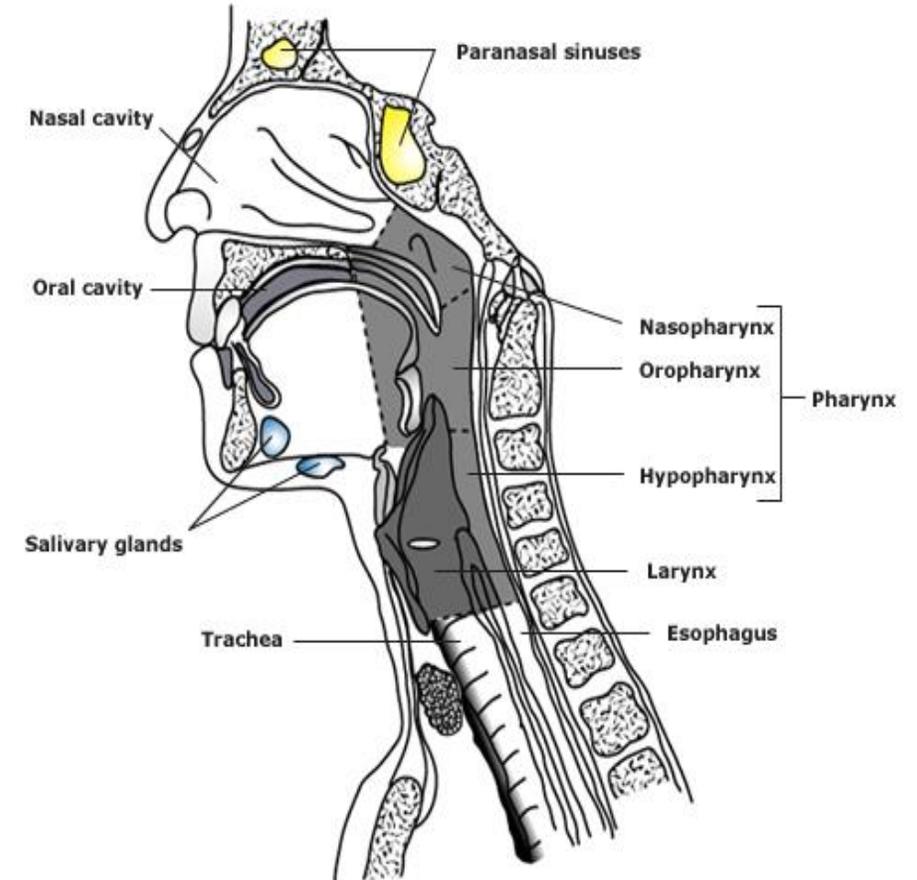
#LearnACI

Disclosures

- Clinical Research Grants (IITs): Merck & Co., BMS, AstraZeneca, Tesaro/GSK, Janssen, IsoRay
- Advisory Board for Head and Neck: Merck & Co.
- Consulting: Rakuten, Shattuck Labs, Caris Life Sciences
- Executive Steering Committee for Cavrotolimod: Exicure
- Caris Life Sciences, HNC POA Chair
- I will be discussing non-FDA approved indications during my presentation.

Head and Neck Cancer

- 550,000 new cases worldwide each year; >90% are squamous cell carcinomas (SCC)
- Etiologic factors: Tobacco and EtOH use, and Human Papilloma Virus (HPV)
- Successful treatment requires a multidisciplinary approach including surgery, chemotherapy and radiation
- Despite aggressive therapy, HPV negative patients have a 50-70% 1 yr DFS
- Historically poor OS for recurrent/metastatic (R/M) HNSCC of 10-15%

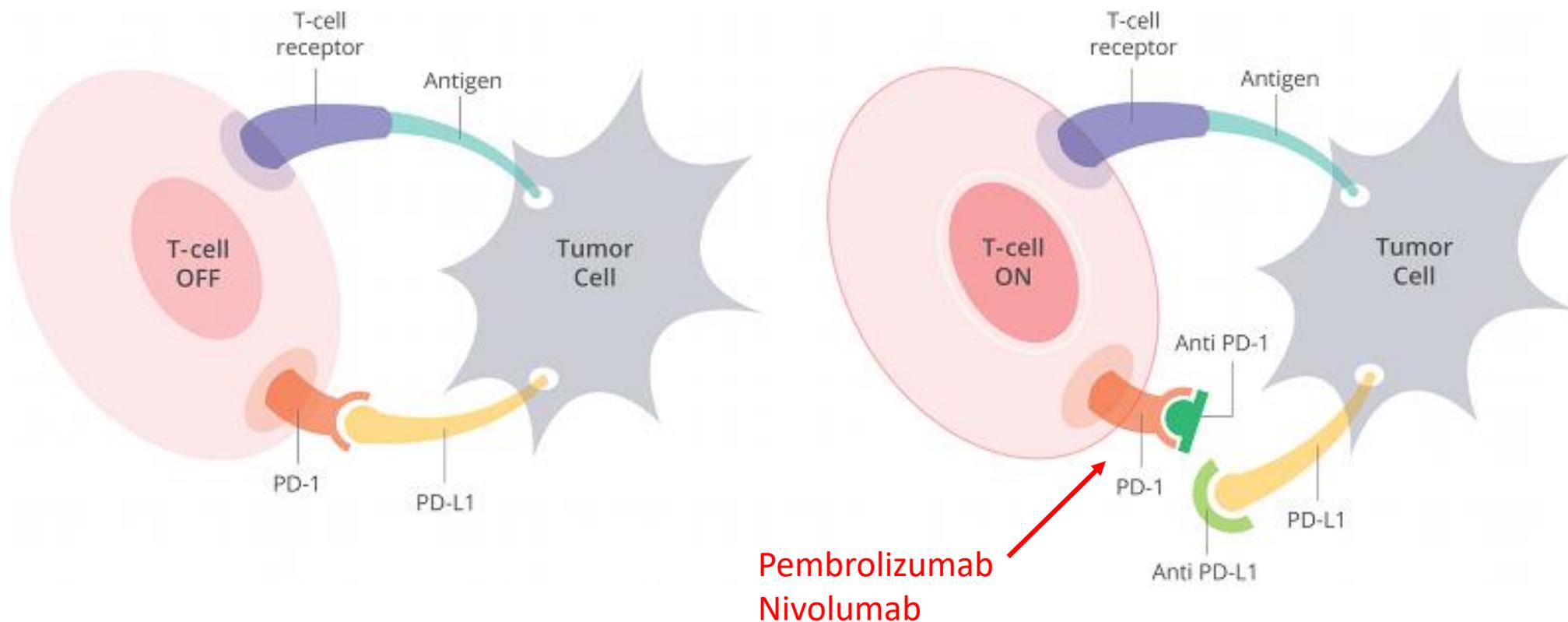


R/M HNSCC Therapeutic Options

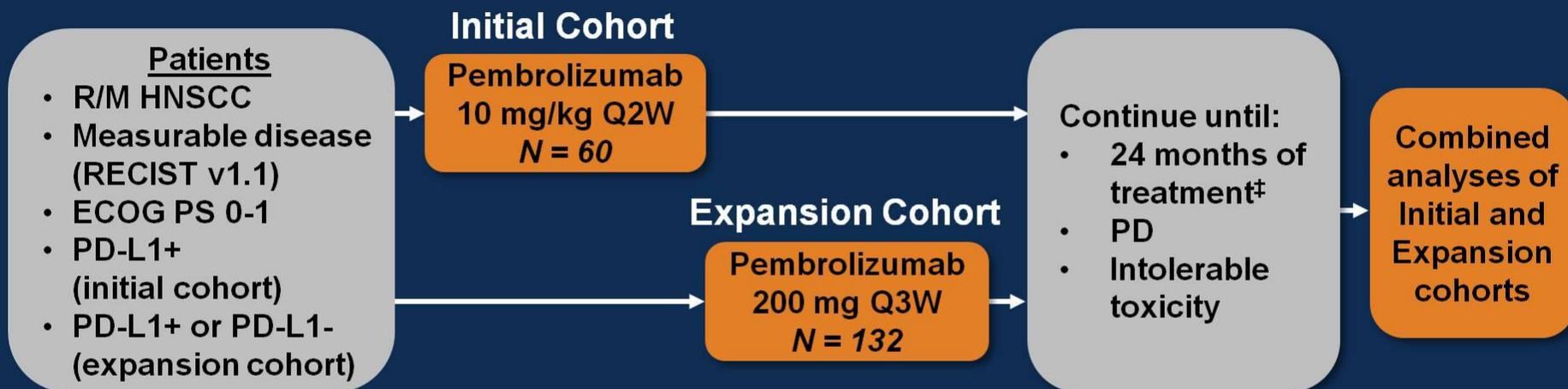
- Single agent options after failure of first line therapy historically had response rates of 3-13%
- Single agents include cetuximab, taxanes and methotrexate
- HNSCC patients often have impaired immune functions and high levels of mutations (HPV neg)
- Tumors with high T cell infiltration have superior survival outcomes

Can immune dysfunction be reversed?

PD-1 Inhibitors



HNSCC Cohorts of Nonrandomized, Phase 1b, Multi-cohort KEYNOTE-012 Trial†



Response assessment: Every 8 weeks

Primary end points: ORR (RECIST v1.1, central imaging vendor), safety

Secondary end points: ORR (investigator), PFS, OS, response duration, ORR in HPV+ patients§

PRESENTED AT: **ASCO ANNUAL MEETING '16**

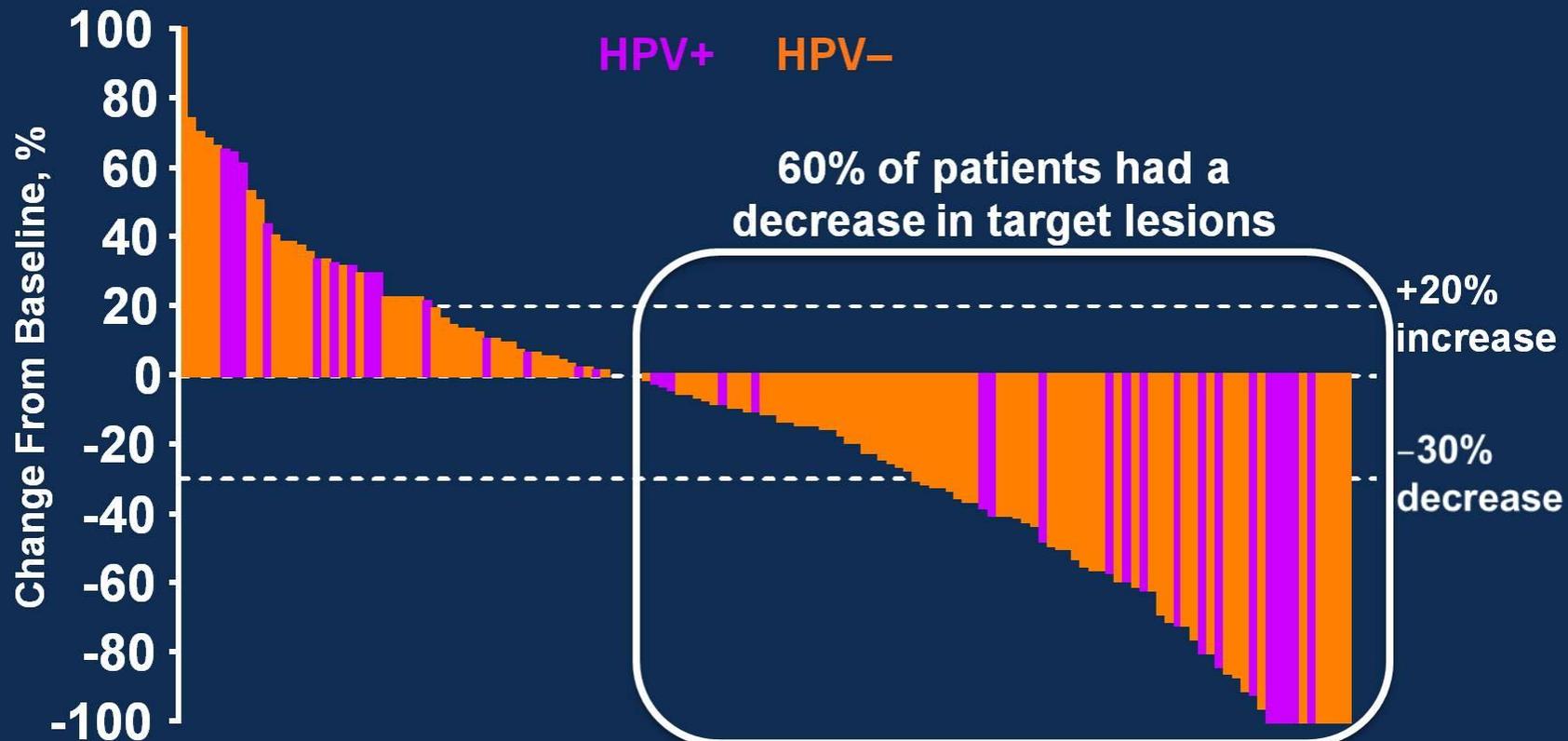
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†Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

‡Treatment beyond progression was allowed.

§Initial cohort only.

Best Change From Baseline in Tumor Size

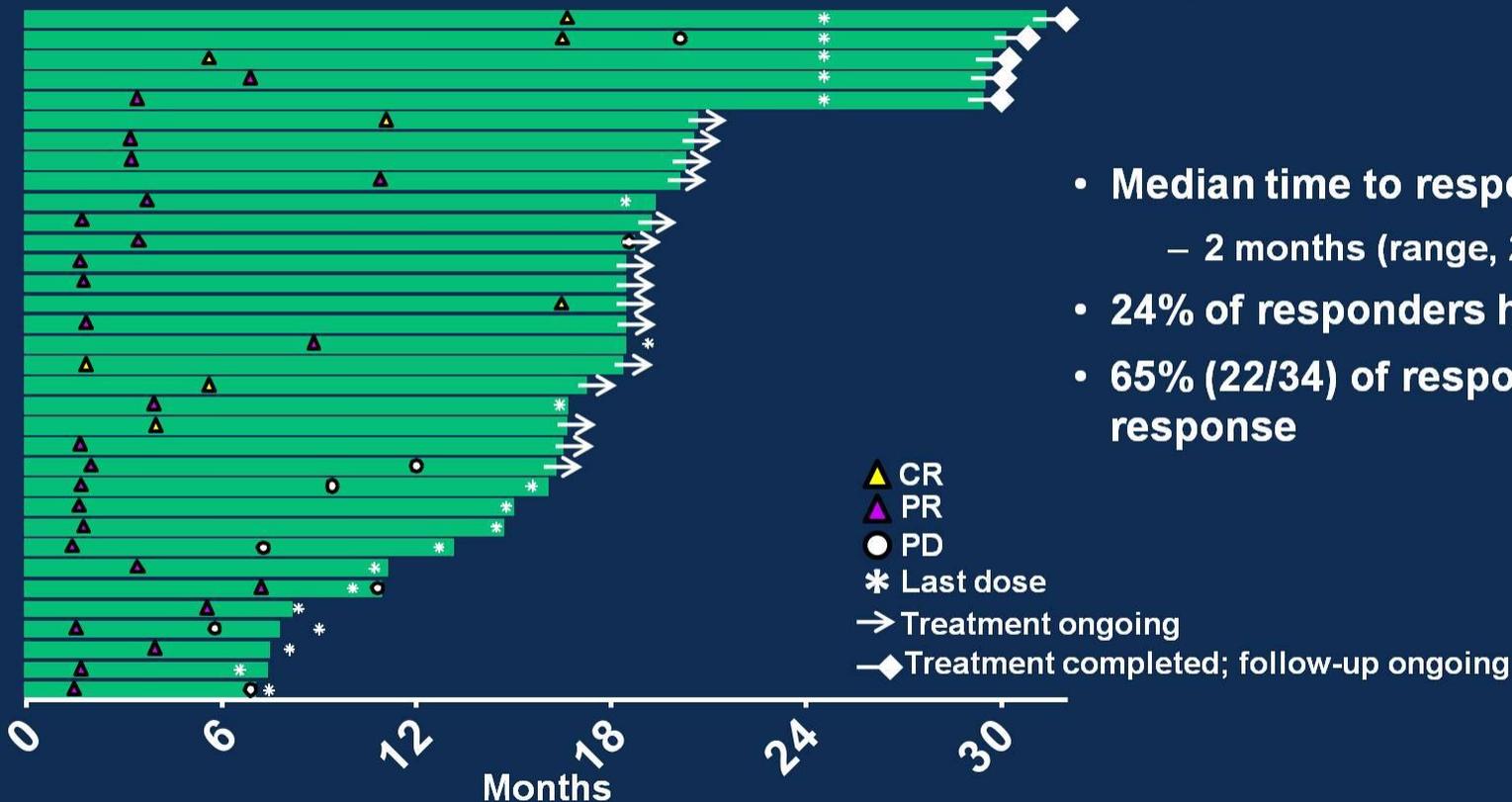


ORR of 18%

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Data cutoff date: Apr 26, 2016. Based on RECIST v1.1 per central imaging vendor review (waterfall plot). Includes patients who received ≥ 1 dose of pembrolizumab, had a baseline scan with measurable disease per RECIST v1.1, and a postbaseline assessment (n = 140).

Duration of Response in Responders



- Median time to response
– 2 months (range, 2–17)
- 24% of responders had CR
- 65% (22/34) of responders remain in response

- ▲ CR
- ▲ PR
- PD
- * Last dose
- Treatment ongoing
- ◆ Treatment completed; follow-up ongoing

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Data cutoff date: Apr 26, 2016.
Based on RECIST v1.1 per central imaging vendor review (swimlane plot).
Only confirmed responses shown.

Phase 3 KEYNOTE-040 Study (NCT02252042)

Key Eligibility Criteria

- SCC of the oral cavity, oropharynx, hypopharynx, or larynx
- PD after platinum-containing regimen for R/M HNSCC or recurrence or PD within 3-6 mo of multimodal therapy using platinum^a
- ECOG PS 0 or 1
- Known p16 status (oropharynx)^b
- Tissue sample^c for PD-L1 assessment^d

Stratification Factors

- ECOG PS (0 vs 1)
- p16 status^b (positive vs negative)
- PD-L1 TPS^d (≥50% vs <50%)

R
1:1

Pembrolizumab
200 mg IV Q3W
for 2 y

Methotrexate 40 mg/m² QW^e
OR
Docetaxel 75 mg/m² Q3W
OR
Cetuximab 250 mg/m² QW^f

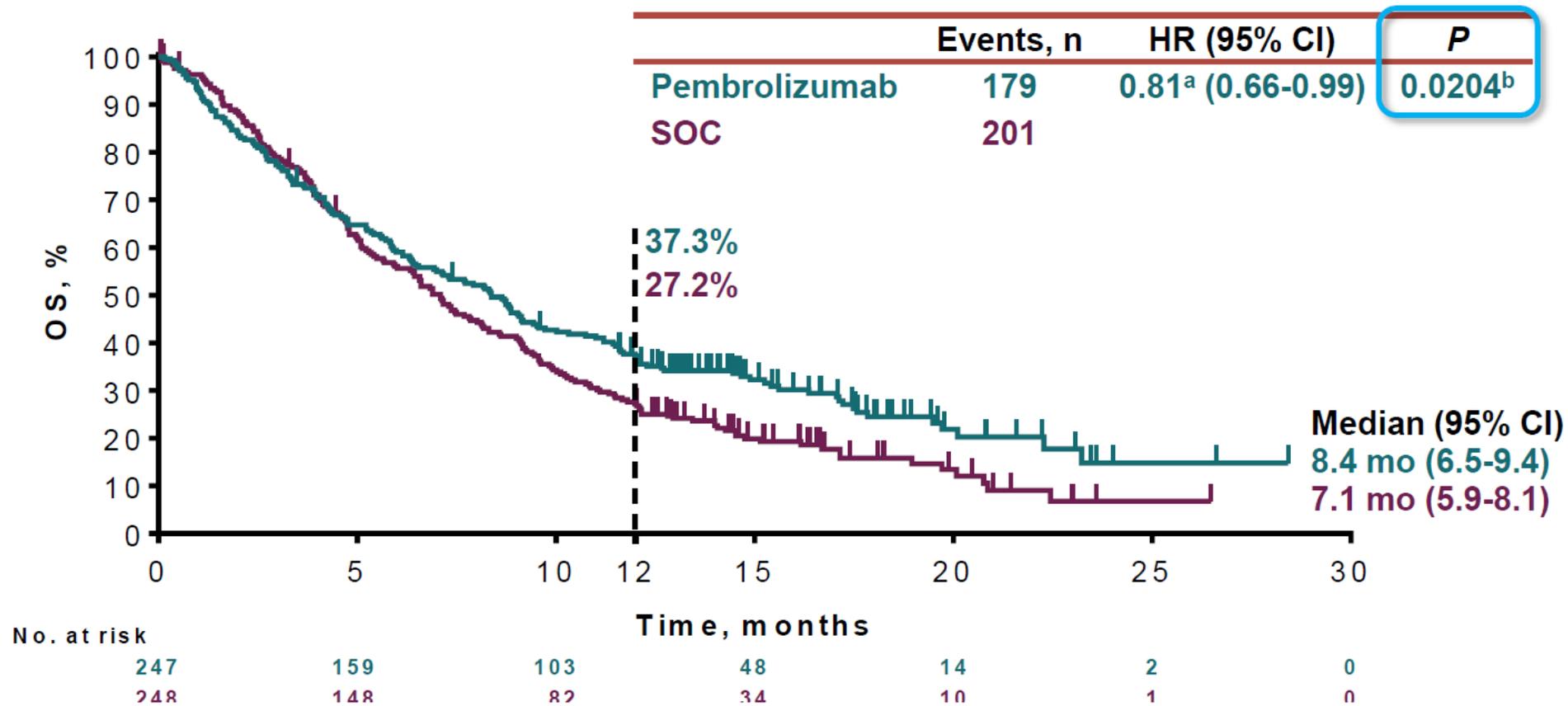
- Clinically stable patients with radiologic PD could continue treatment until imaging performed ≥4 wk later confirmed PD
- Crossover not permitted

^aLimit of 2 prior therapies for R/M HNSCC. ^bAssessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%.

^cNewly collected preferred. ^dAssessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent Technologies). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression.

^eCould be increased to 60 mg/m² QW in the absence of toxicity. ^fFollowing a loading dose of 400 mg/m².

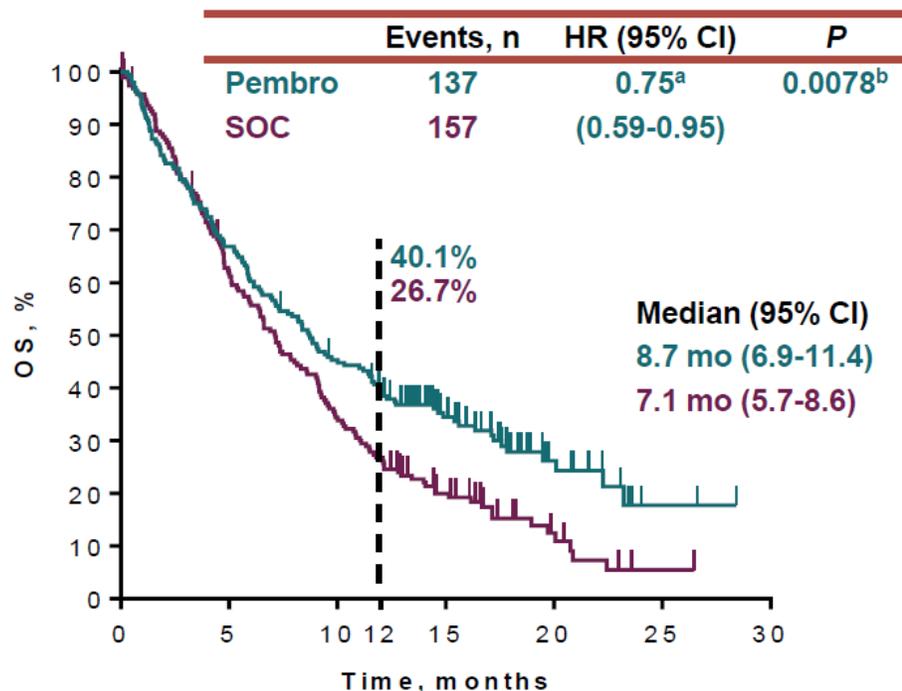
Overall Survival in ITT Population



^aCox proportional hazards model with treatment as a covariate stratified by the randomization stratification factors. Initially reported data: HR 0.82 (95% CI, 0.67-1.01), P = 0.0316. After the initial report, updated survival data were obtained for 4 patients. ^bOne-sided P value based on the log-rank test stratified by the randomization stratification factors. Data cutoff date: May 15, 2017.

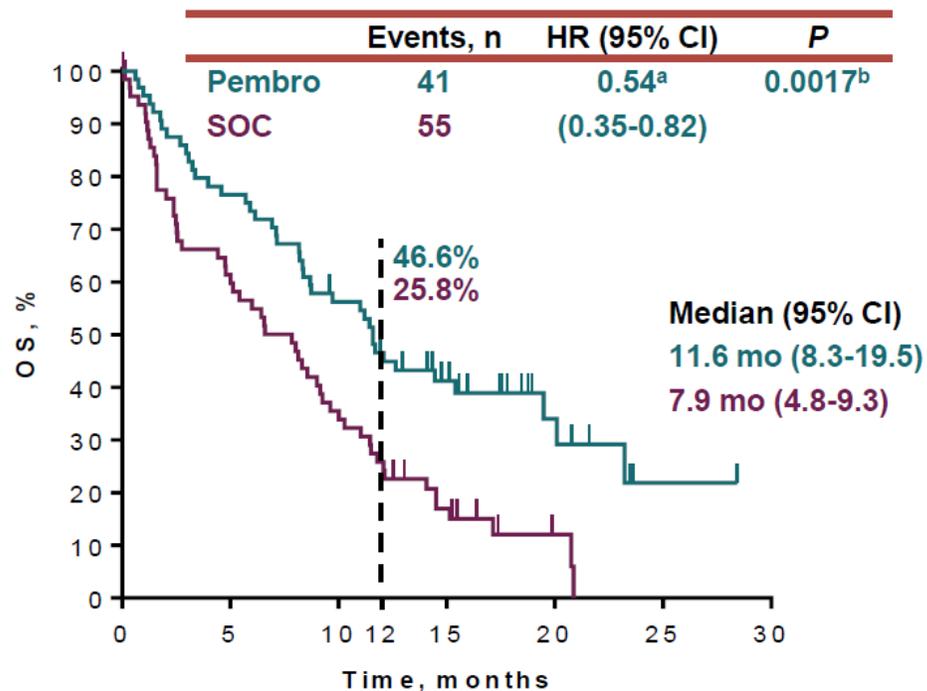
Overall Survival by PD-L1 Expression

PD-L1 CPS ≥1



| No. at risk | 0 | 5 | 10 | 15 | 20 | 25 | 30 |
|-------------|-----|-----|----|----|----|----|----|
| Pembro | 196 | 131 | 87 | 43 | 14 | 2 | 0 |
| SOC | 191 | 113 | 63 | 28 | 8 | 1 | 0 |

PD-L1 TPS ≥50%



| No. at risk | 0 | 5 | 10 | 15 | 20 | 25 | 30 |
|-------------|----|----|----|----|----|----|----|
| Pembro | 64 | 49 | 35 | 19 | 7 | 1 | 0 |
| SOC | 65 | 38 | 22 | 9 | 2 | 0 | 0 |

^aCox proportional hazards model with treatment as a covariate stratified by the randomization stratification factors.

^bNominal one-sided P value based on the log-rank test stratified by the randomization stratification factors.

Data cutoff date: May 15, 2017.

Best Overall Response

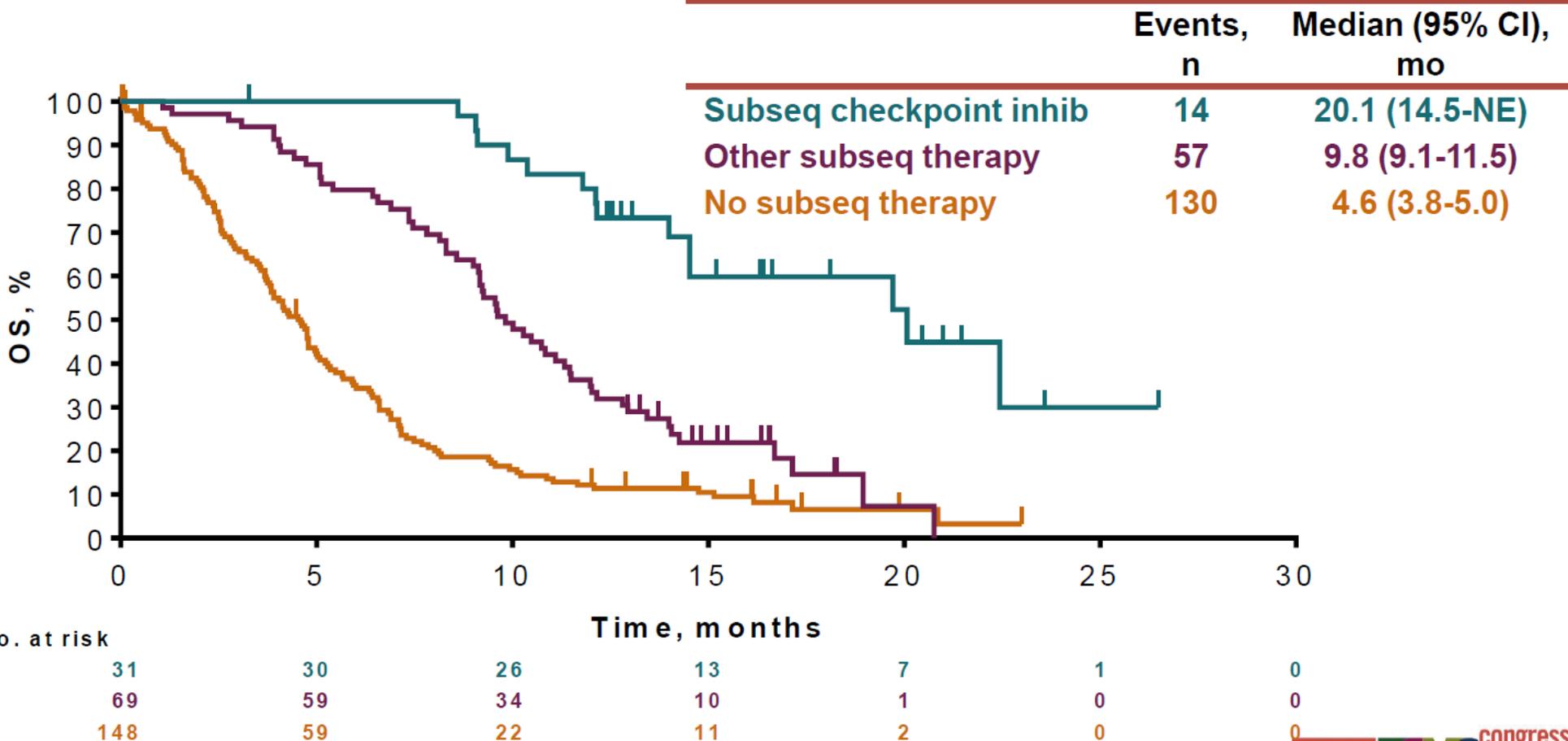
(RECIST v1.1, Blinded Independent Radiology Review)

| Best Response, (%) | ITT | | CPS ≥1 | | TPS ≥50% | |
|--|-------------------|----------------|-------------------|----------------|------------------|---------------|
| | Pembro N = 247 | SOC N = 248 | Pembro n = 196 | SOC n = 191 | Pembro n = 64 | SOC n = 65 |
| CR | 4 (1.6) | 1 (0.4) | 4 (2.0) | 1 (0.5) | 3 (4.7) | 1 (1.5) |
| PR | 32 (13.0) | 24 (9.7) | 30 (15.3) | 18 (9.4) | 14 (21.9) | 5 (7.7) |
| SD | 56 (22.7) | 65 (26.2) | 46 (23.5) | 53 (27.7) | 15 (23.4) | 15 (23.1) |
| PD | 108 (43.7) | 97 (39.1) | 77 (39.3) | 72 (37.7) | 22 (34.4) | 23 (35.4) |
| NonCR/nonPD ^a | 2 (0.8) | 1 (0.4) | 2 (1.0) | 0 | 1 (1.6) | 0 |
| Not evaluable or assessable ^b | 45 (18.2) | 60 (24.2) | 37 (18.9) | 47 (24.6) | 9 (14.1) | 21 (32.3) |

^aPatients without measurable disease at baseline per RECIST v1.1 by independent radiology review who did not experience CR or PD.

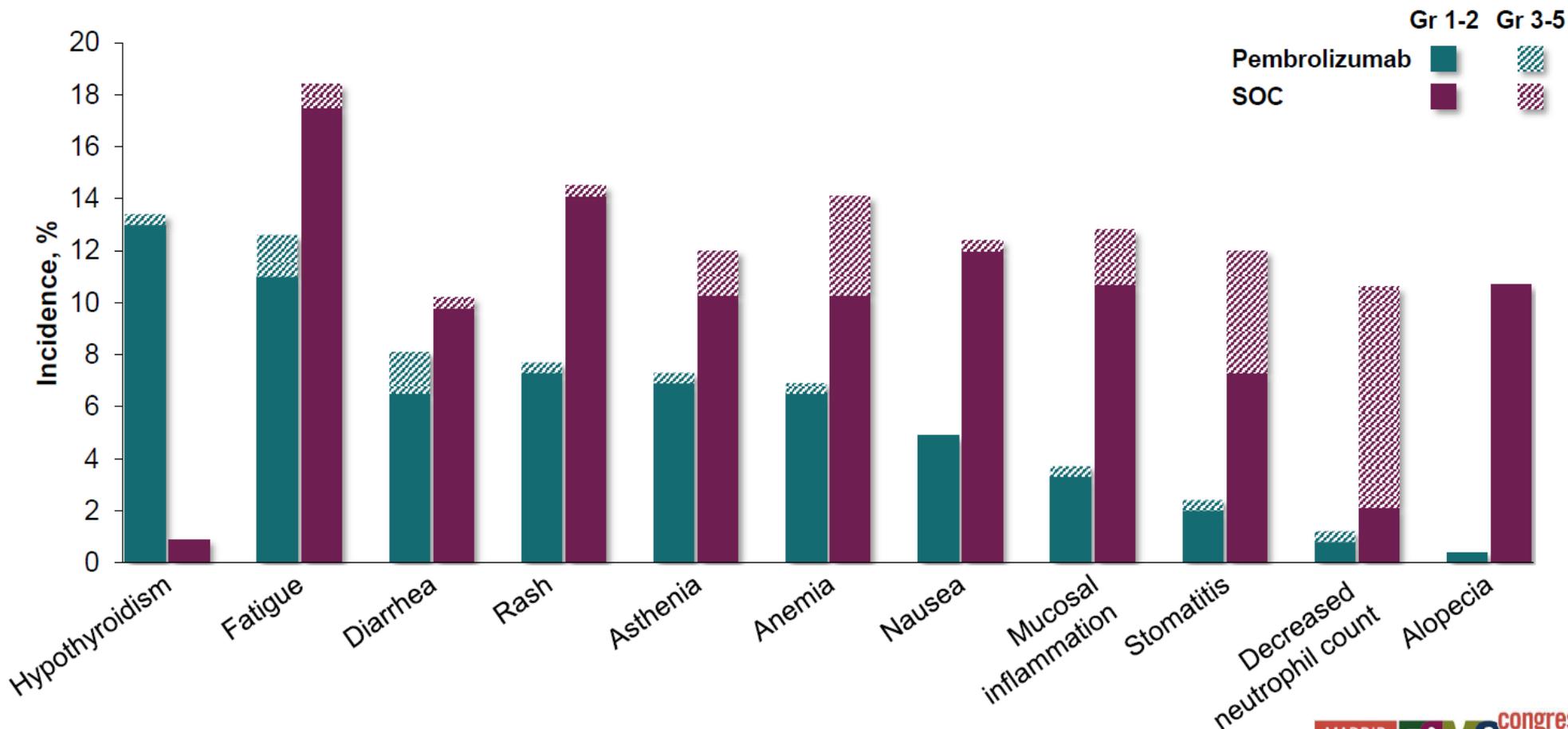
^bNot evaluable: patients who had ≥1 postbaseline tumor assessment, none of which were evaluable (n = 9); not assessable: patients who had no postbaseline tumor assessment because of death, withdrawal of consent, loss to follow-up, or start of new anticancer therapy (n = 96).
Data cutoff date: May 15, 2017.

Overall Survival: Effect of Subsequent Immune Checkpoint Inhibitors in the SOC Arm



Data cutoff date: May 15, 2017.

Treatment-Related AEs With Incidence $\geq 10\%$

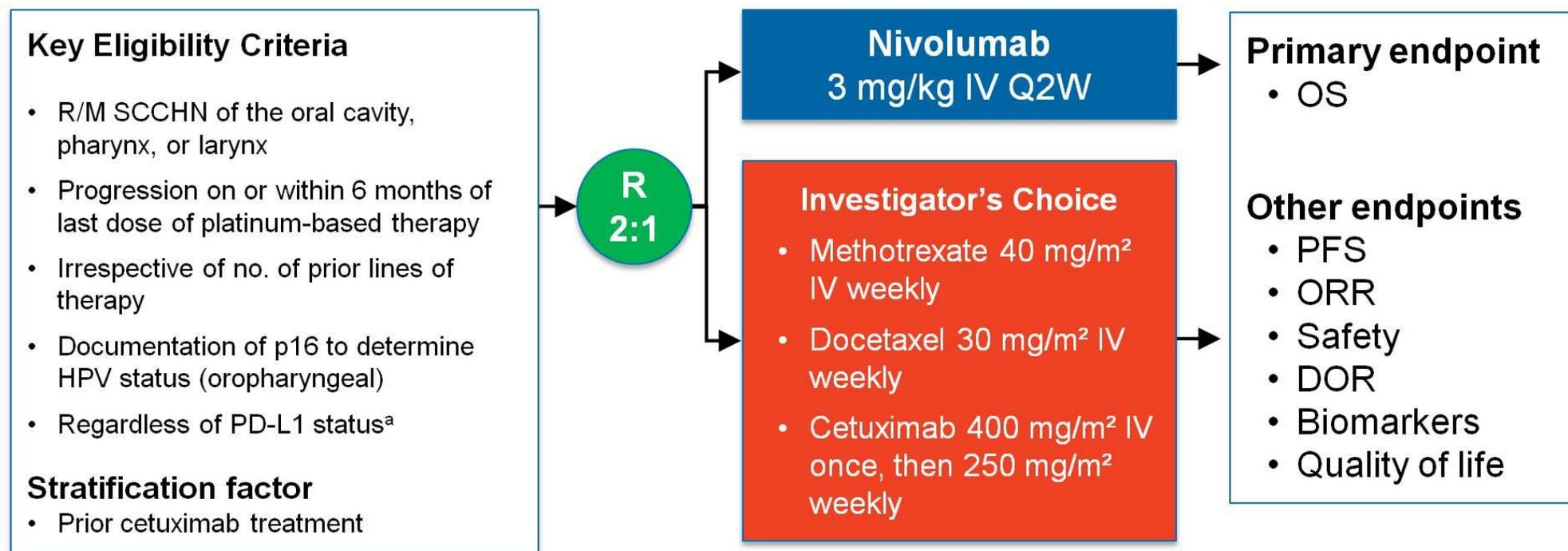


Relationship to treatment was determined by the investigator.
Data cutoff date: May 15, 2017.

Phase 3 CheckMate 141 Study Design

Nivolumab in R/M SCCHN After Platinum Therapy

Randomized, global, phase 3 trial of the efficacy and safety of nivolumab vs investigator's choice in patients with R/M SCCHN



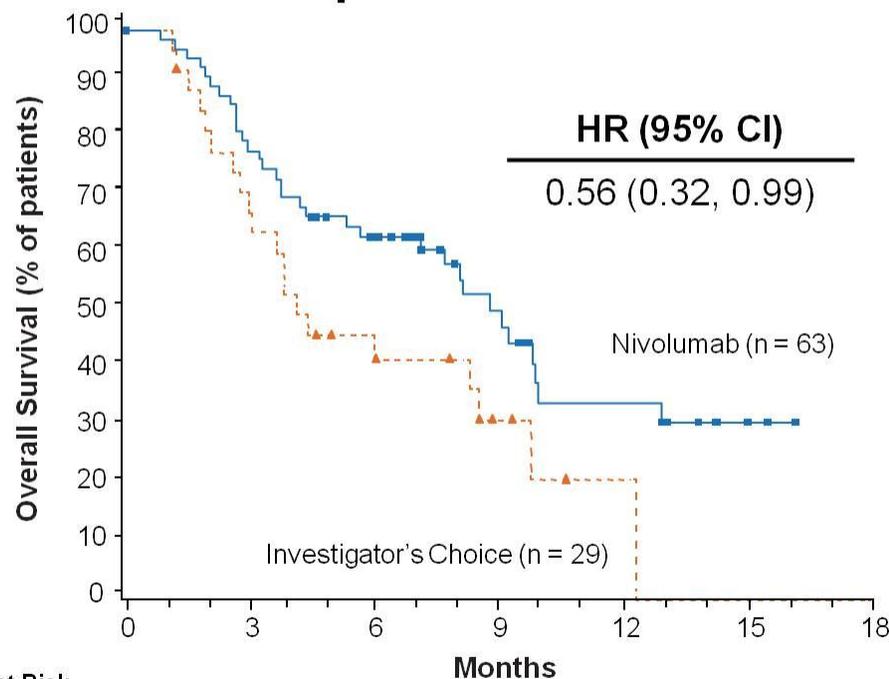
^aTissue required for testing

DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized. Clinicaltrials.gov NCT02105636.

Overall Survival by p16 Status

Nivolumab in R/M SCCHN After Platinum Therapy

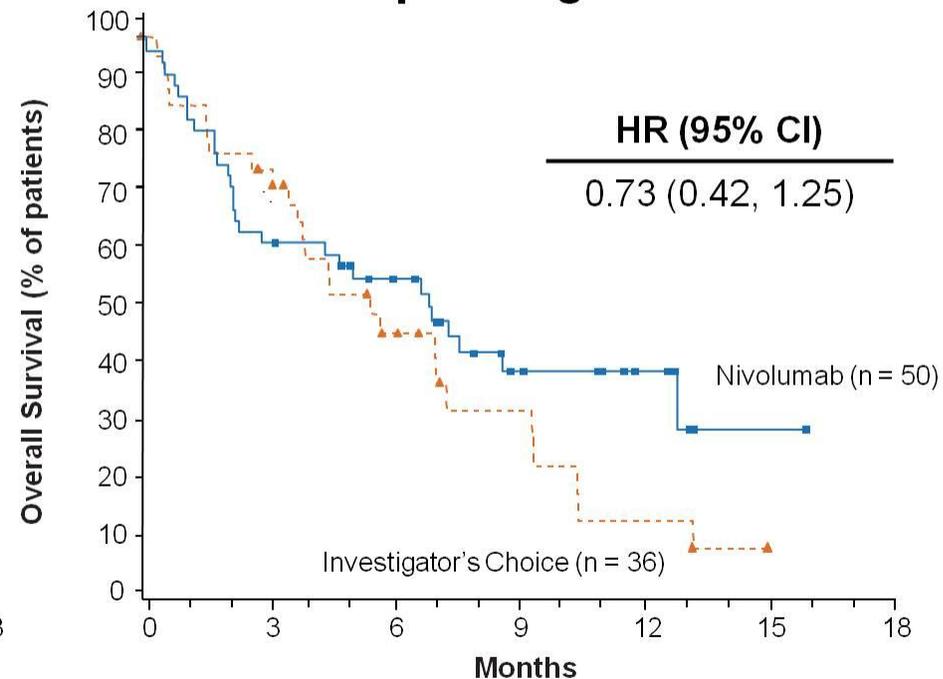
p16-Positive



No. at Risk

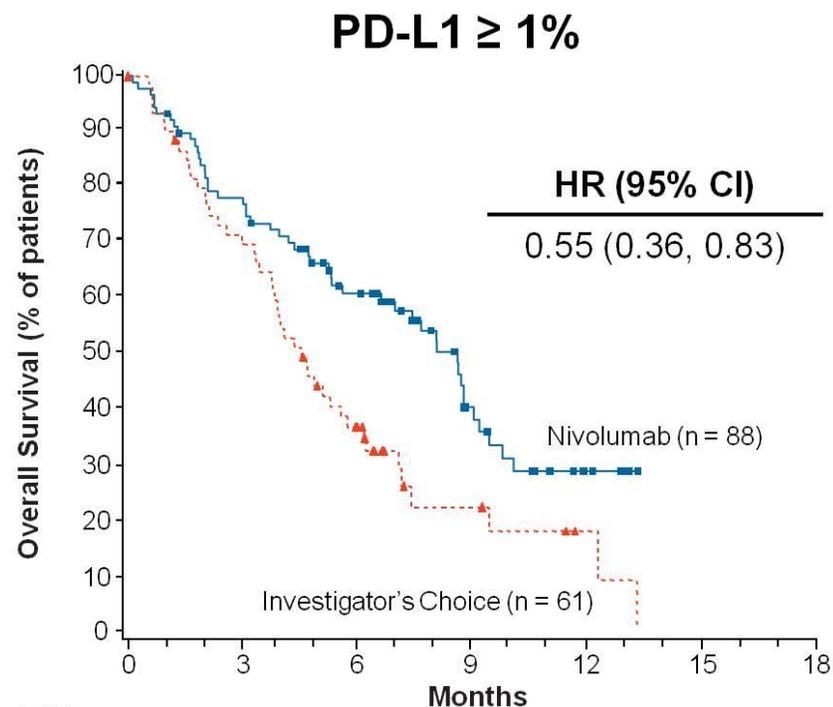
| | 0 | 3 | 6 | 9 | 12 | 15 | 18 |
|-----------------------|----|----|----|----|----|----|----|
| Nivolumab | 63 | 49 | 35 | 18 | 10 | 3 | 0 |
| Investigator's Choice | 29 | 20 | 11 | 4 | 1 | 0 | 0 |

p16-Negative

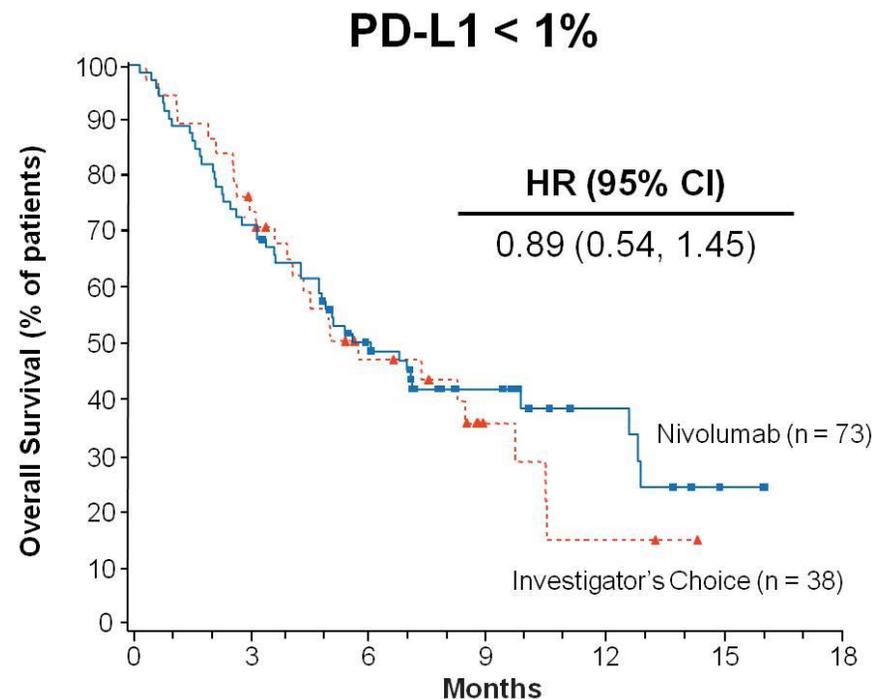


| | 0 | 3 | 6 | 9 | 12 | 15 | 18 |
|-----------------------|----|----|----|----|----|----|----|
| Nivolumab | 50 | 32 | 25 | 12 | 6 | 1 | 0 |
| Investigator's Choice | 36 | 26 | 13 | 7 | 3 | 1 | 0 |

Overall Survival by Tumor PD-L1 Expression at 1% Nivolumab in R/M SCCHN After Platinum Therapy



| No. at Risk | 0 | 3 | 6 | 9 | 12 | 15 | 18 |
|-----------------------|----|----|----|----|----|----|----|
| Nivolumab | 88 | 67 | 44 | 18 | 6 | 0 | 0 |
| Investigator's Choice | 61 | 42 | 20 | 6 | 2 | 0 | 0 |



| No. at Risk | 0 | 3 | 6 | 9 | 12 | 15 | 18 |
|-----------------------|----|----|----|----|----|----|----|
| Nivolumab | 73 | 52 | 33 | 17 | 8 | 3 | 0 |
| Investigator's Choice | 38 | 29 | 14 | 6 | 2 | 0 | 0 |

PD-1 inhibitors in R/M HNSCC

- Pembrolizumab approved for HNSCC patients whose disease progressed during or after platinum containing chemotherapy
- Nivolumab approved following progression on platinum-based therapy
- **What about first line treatment in R/M HNSCC?**

Metastatic/Recurrent HNSCC First Line

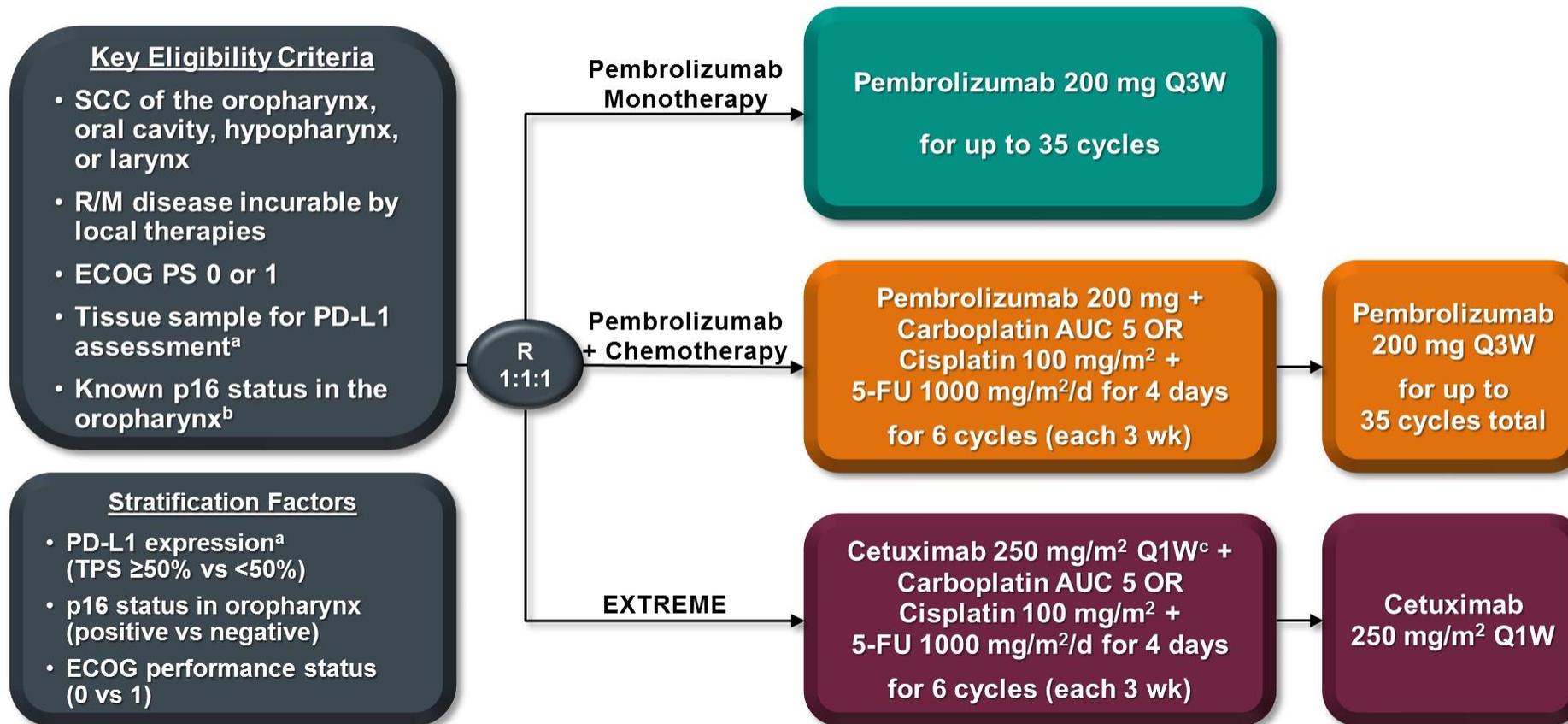
- Prior to 2019, first line standard of care treatment for unresectable disease included chemotherapy/cetuximab combinations

Table 2. Responses to Treatment and Survival.*

| Variable | Cetuximab plus Platinum–Fluorouracil (N = 222) | Platinum–Fluorouracil Alone (N = 220) | Hazard Ratio or Odds Ratio (95% CI) | P Value |
|---------------------------------|--|--|--|---------|
| Survival — mo† | | | | |
| Overall | 10.1 (8.6–11.2) | 7.4 (6.4–8.3) | Hazard ratio, 0.80 (0.64–0.99) | 0.04‡ |
| Progression-free | 5.6 (5.0–6.0) | 3.3 (2.9–4.3) | Hazard ratio, 0.54 (0.43–0.67) | <0.001‡ |
| Best response to therapy — % | | | | |
| Overall | 36 (29–42) | 20 (15–25) | Odds ratio, 2.33 (1.50–3.60) | <0.001§ |
| Disease control¶ | 81 (75–86) | 60.0 (53–67) | Odds ratio, 2.88 (1.87–4.44) | <0.001§ |
| Time to treatment failure — mo‡ | 4.8 (4.0–5.6) | 3.0 (2.8–3.4) | Hazard ratio, 0.59 (0.48–0.73) | <0.001‡ |
| Duration of response — mo | 5.6 (4.7–6.0) | 4.7 (3.6–5.9) | Hazard ratio, 0.76 (0.50–1.17) | 0.21‡ |

***82% Grade 3 or 4 Adverse Events**

KEYNOTE-048 Study Design (NCT02358031)

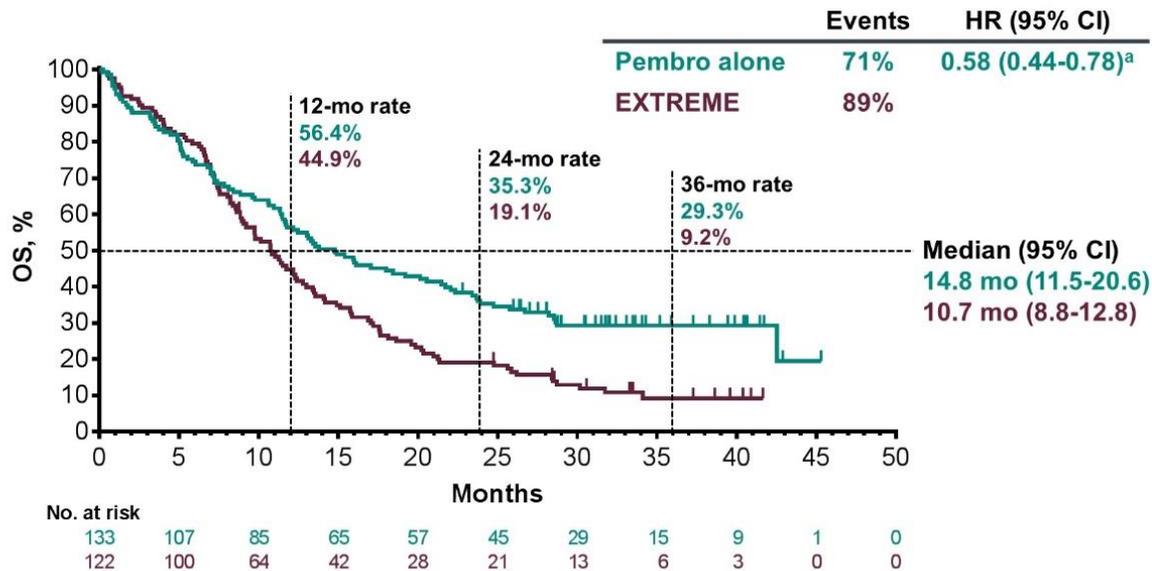


^aAssessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression.

^bAssessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. ^cFollowing a loading dose of 400 mg/m².

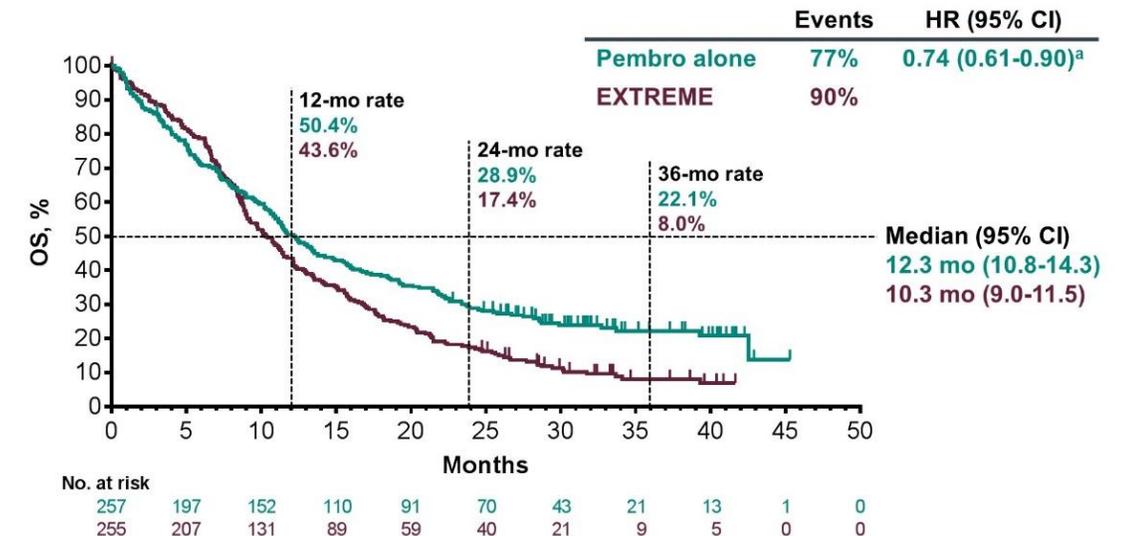
OS, Pembrolizumab vs Extreme

OS, P vs E, CPS ≥20 Population



^aAt IA2 (data cutoff date: Jun 13, 2018): HR 0.61 (95% CI 0.45-0.83).
FA (data cutoff date: Feb 25, 2019).

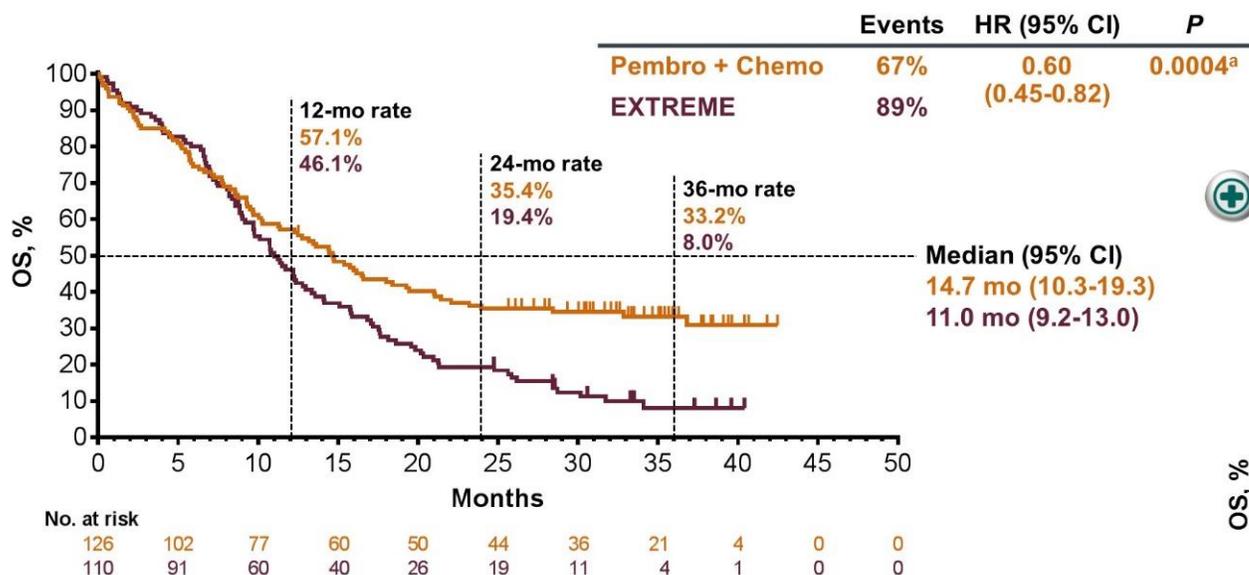
OS, P vs E, CPS ≥1 Population



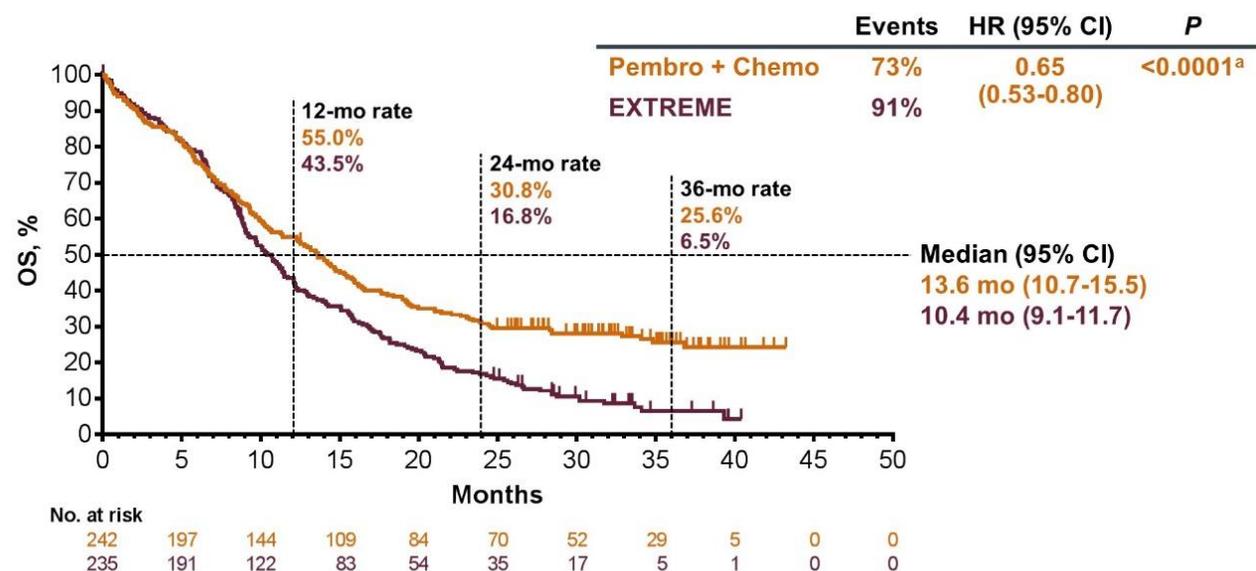
^aAt IA2 (data cutoff date: Jun 13, 2018): HR 0.78 (95% CI 0.64-0.96).
FA (data cutoff date: Feb 25, 2019).

OS, Pembro+ Chemo vs Extreme

OS, P+C vs E, CPS ≥20 Population



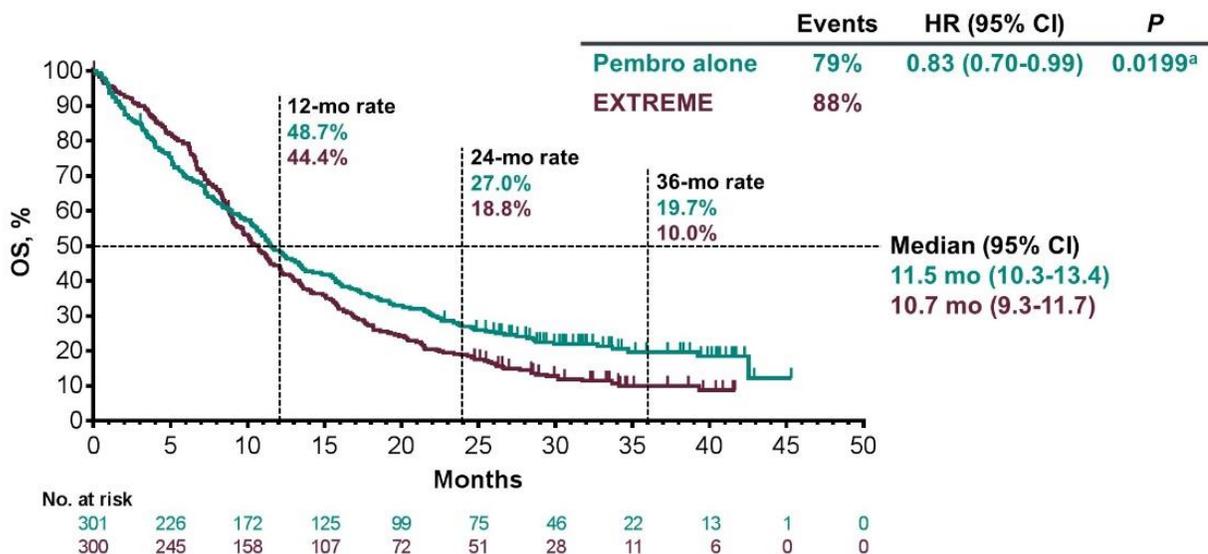
OS, P+C vs E, CPS ≥1 Population



^aStatistically significant at the superiority threshold of P = 0.0026.
FA (data cutoff date: Feb 25, 2019).

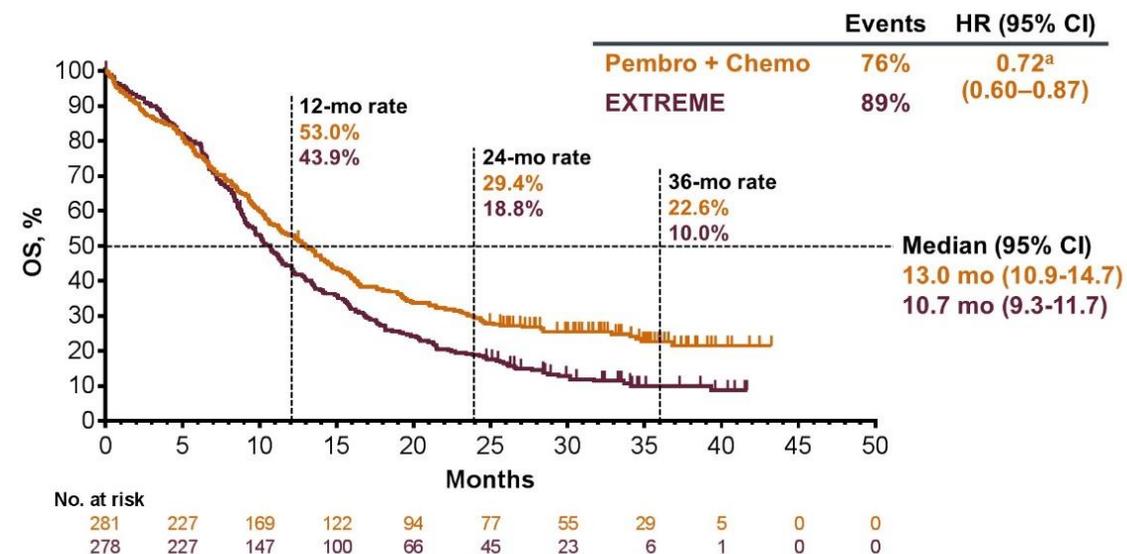
Overall Survival Total Population

OS, P vs E, Total Population



^aNot statistically significant at the superiority threshold of $P = 0.0059$.
FA (data cutoff date: Feb 25, 2019).

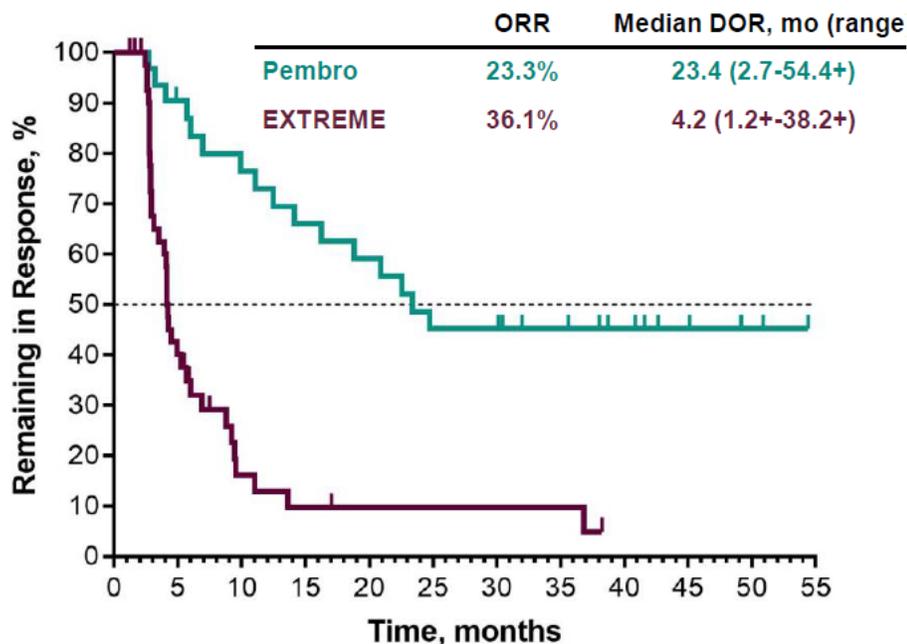
OS, P+C vs E, Total Population



^aAt IA2 (data cutoff date: Jun 13, 2018): HR 0.77 (95% CI 0.53-0.93).
FA (data cutoff date: Feb 25, 2019).

DOR: Pembrolizumab vs EXTREME

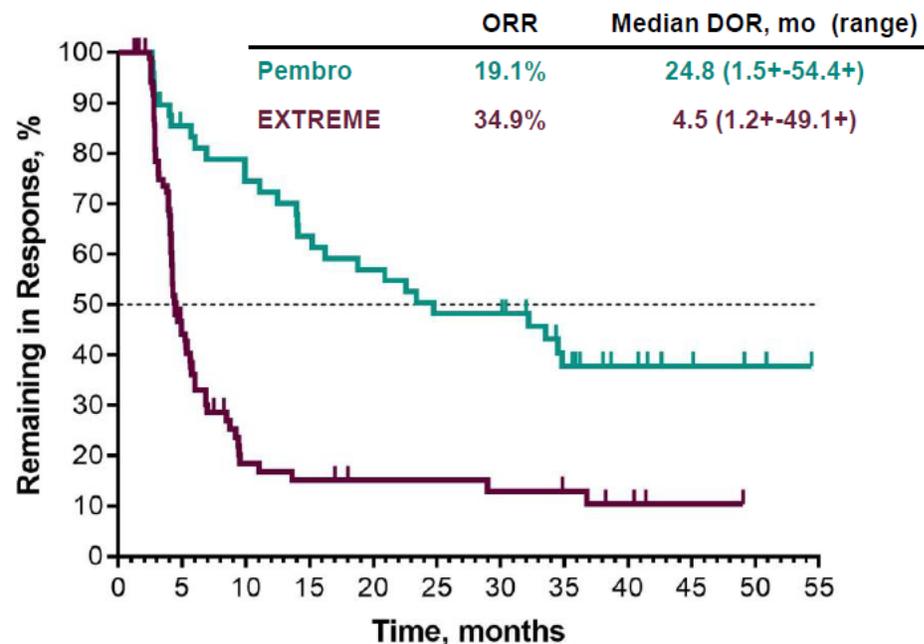
PD-L1 CPS ≥20



No. at Risk

| | | | | | | | | | | | | |
|---------|----|----|----|----|----|----|----|----|---|---|---|---|
| Pembro | 31 | 26 | 22 | 19 | 17 | 13 | 13 | 10 | 7 | 4 | 2 | 0 |
| EXTREME | 44 | 16 | 5 | 3 | 2 | 2 | 2 | 2 | 0 | 0 | 0 | 0 |

PD-L1 CPS ≥1



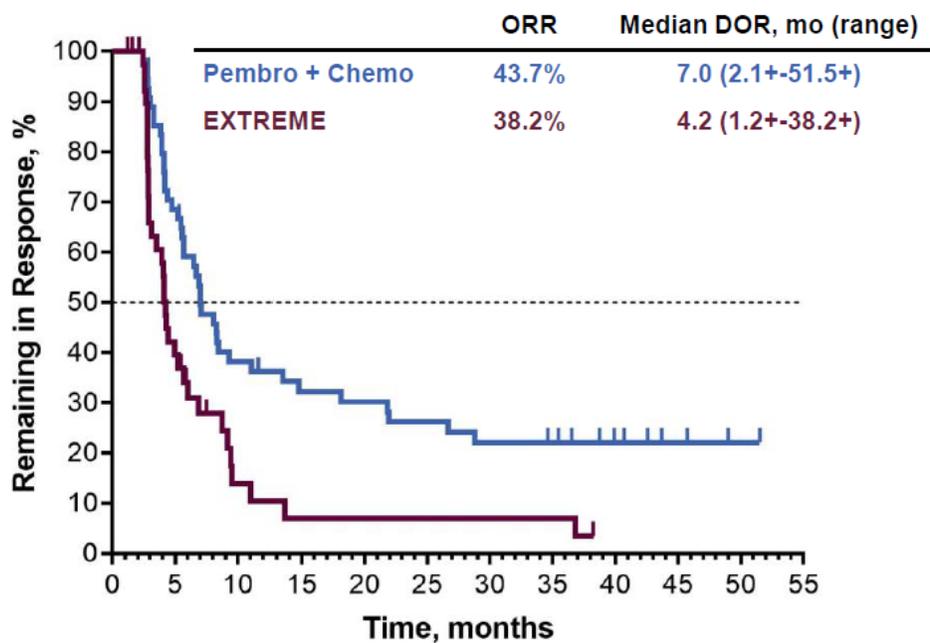
No. at Risk

| | | | | | | | | | | | | |
|---------|----|----|----|----|----|----|----|----|---|---|---|---|
| Pembro | 49 | 39 | 34 | 29 | 26 | 22 | 22 | 14 | 8 | 5 | 2 | 0 |
| EXTREME | 89 | 34 | 11 | 9 | 7 | 7 | 6 | 5 | 3 | 1 | 0 | 0 |

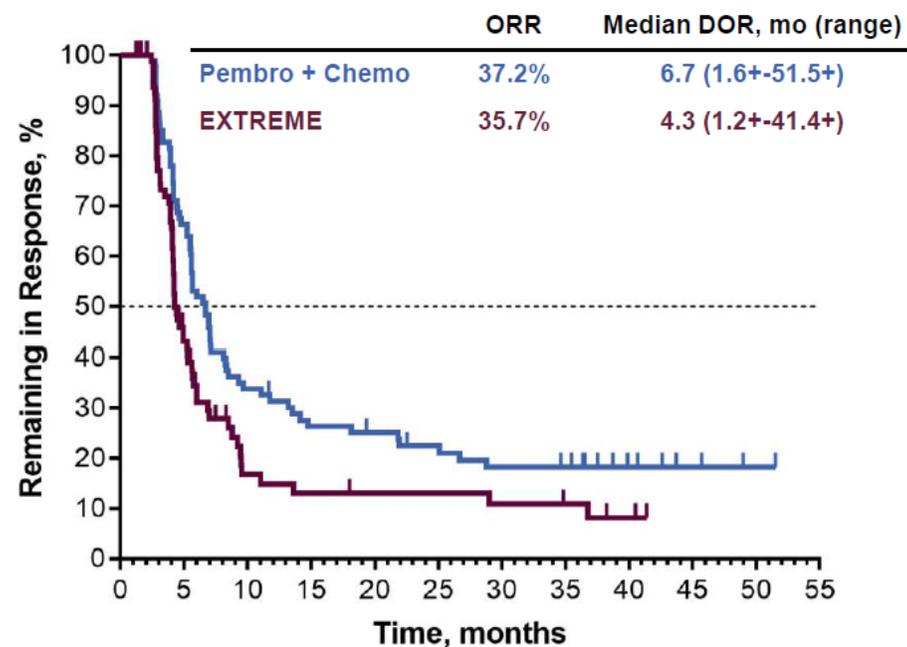
ORR, overall response rate.
Data cutoff: February 18, 2020.

DOR: Pembrolizumab + Chemo vs EXTREME

PD-L1 CPS ≥20



PD-L1 CPS ≥1

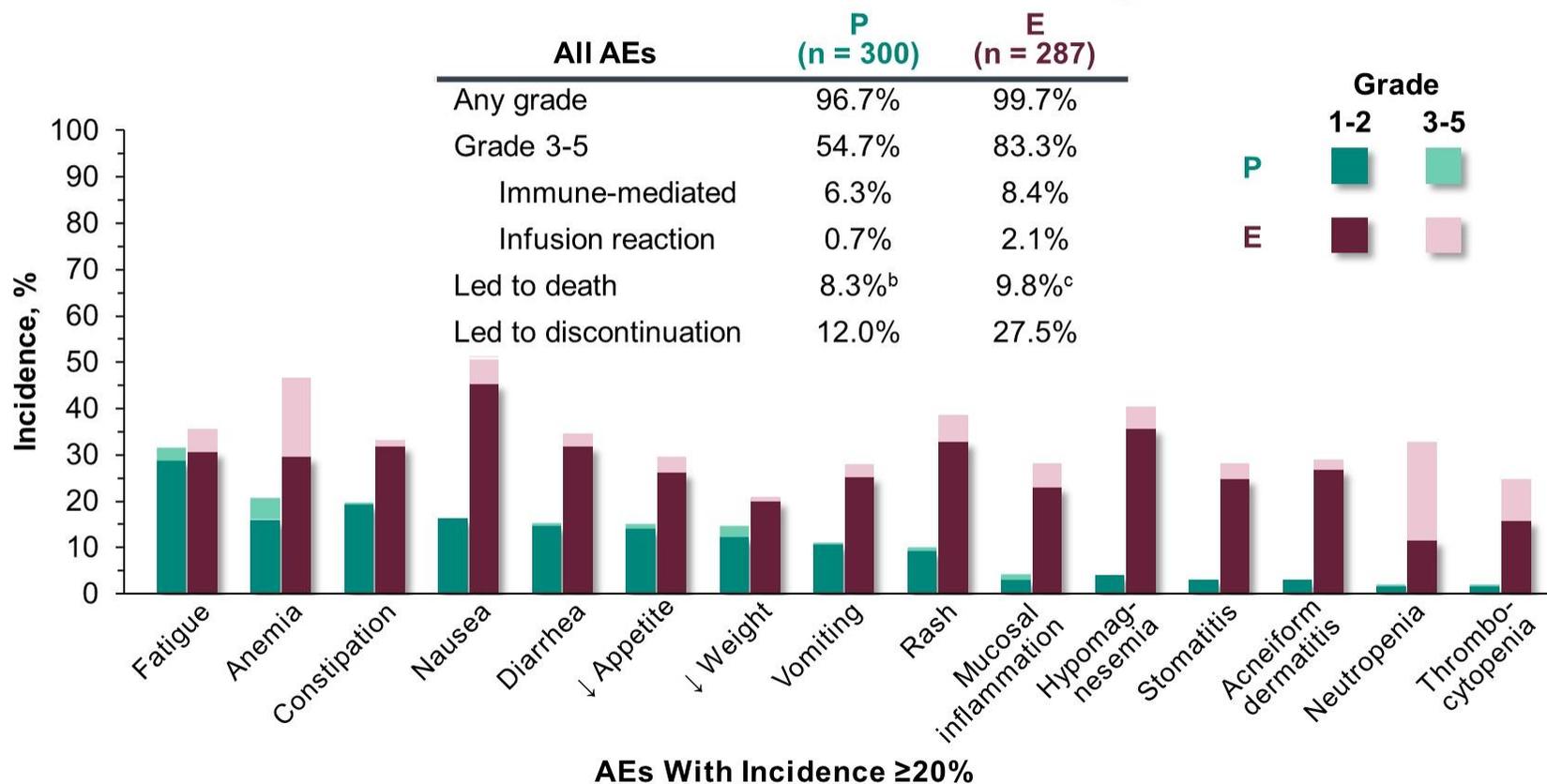


| No. at Risk | | 55 | 50 | 45 | 40 | 35 | 30 | 25 | 20 | 15 | 10 | 5 | 0 |
|----------------|----|----|----|----|----|----|----|----|----|----|----|---|---|
| Pembro + Chemo | 55 | 37 | 20 | 16 | 15 | 13 | 11 | 10 | 6 | 3 | 1 | 0 | 0 |
| EXTREME | 42 | 15 | 4 | 2 | 2 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 |

| No. at Risk | | 55 | 50 | 45 | 40 | 35 | 30 | 25 | 20 | 15 | 10 | 5 | 0 |
|----------------|----|----|----|----|----|----|----|----|----|----|----|---|---|
| Pembro + Chemo | 90 | 56 | 28 | 21 | 19 | 16 | 13 | 12 | 6 | 3 | 1 | 0 | 0 |
| EXTREME | 84 | 31 | 9 | 7 | 6 | 6 | 5 | 4 | 2 | 0 | 0 | 0 | 0 |

Data cutoff: February 18, 2020.

All-Cause AEs,^a P vs E, Total Population

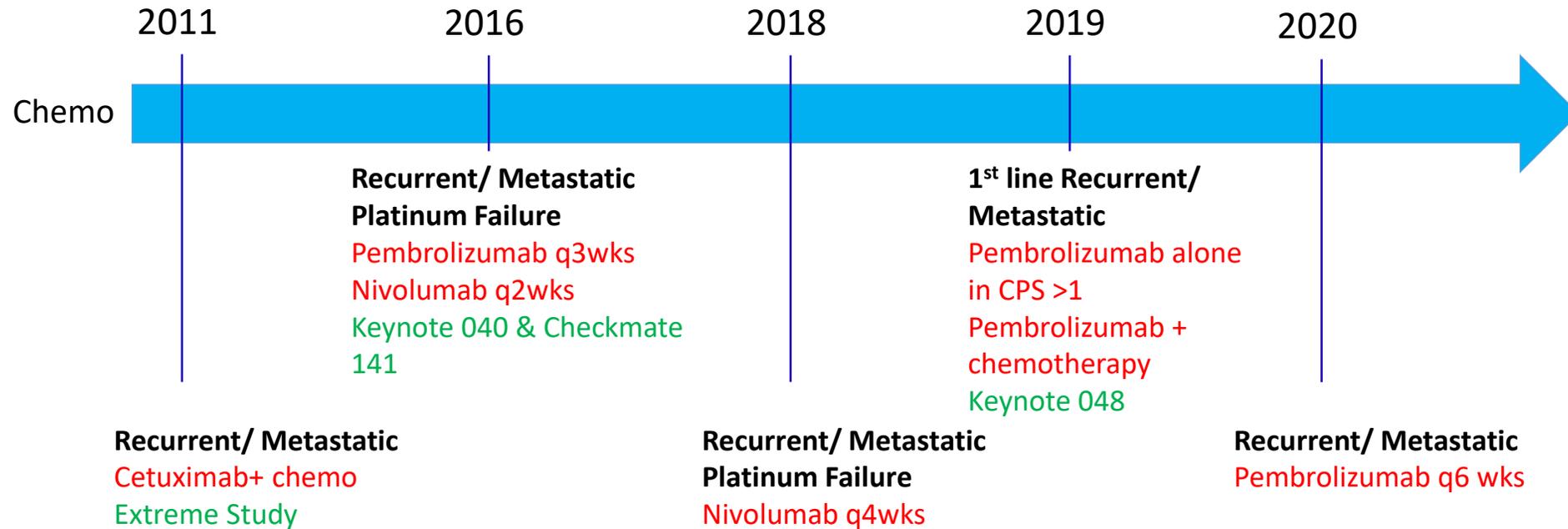


^aData for treatment-related AEs were presented at ESMO 2018. ^bEvents were considered treatment related in 1.0%. ^cEvents were considered treatment related in 2.8%. FA (data cutoff date: Feb 25, 2019).

Pembrolizumab as First Line in R/M HNSCC

- Favorable safety profile for pembrolizumab alone and comparable between extreme regimen and pembro + chemo
- Longer duration of response for pembro as well as pembro + chemo
- FDA approval in first line unresectable recurrent or metastatic HNSCC:
 - Pembrolizumab monotherapy in those with PD-L1 CPS ≥ 1
 - Pembrolizumab + platinum + 5FU regardless of PD-L1 score

Timeline of FDA Approvals



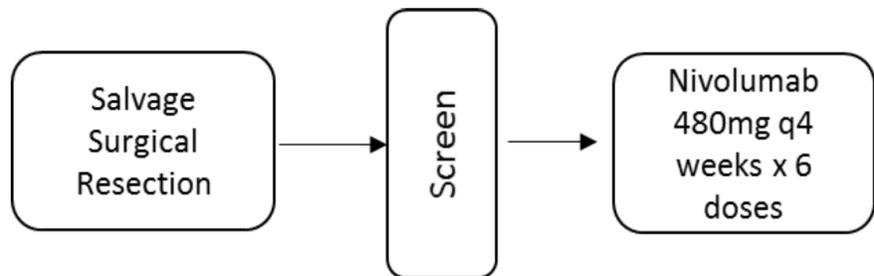
Taxanes and Immunotherapy

- Early evidence of success of taxanes after immunotherapy failure
 - Retrospective study demonstrated OS of 5.8 mo post platinum failure vs 10 mo post nivolumab (Moloney et al., ASCO 2020)
- Taxane substitution for 5FU in chemo+pembro 1st line treatment
 - Ongoing trials but based on TPEX demonstrating similar efficacy with less toxicity to Extreme regimen, many advocate for substitution to taxane as standard of care

Salvage Surgery and Immunotherapy

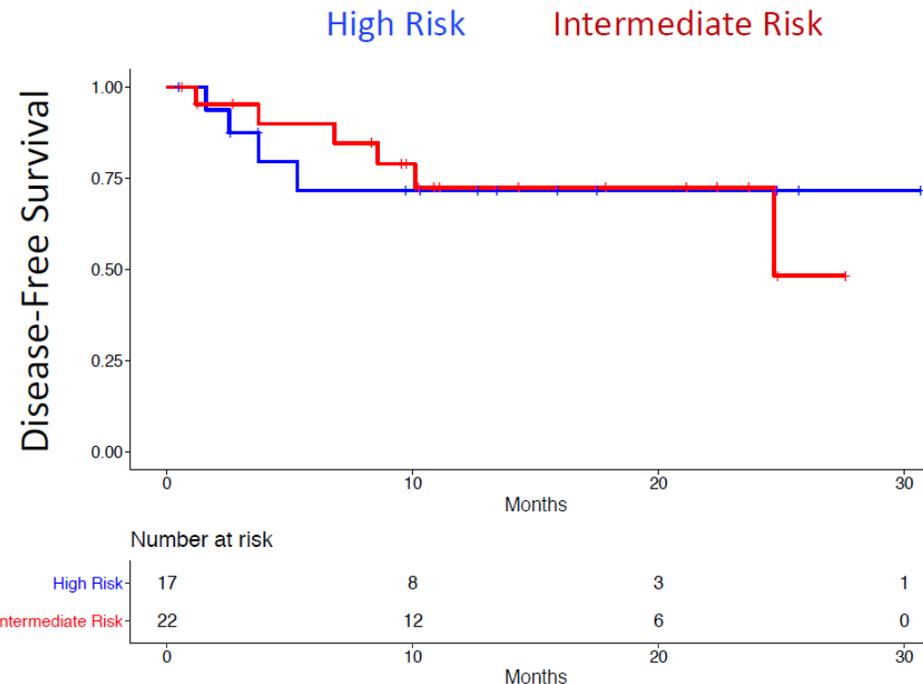
- <40% long term survival for HNSCC patients undergoing salvage resection
- Early evidence for nivolumab as adjuvant therapy in recurrent surgical salvage setting

Trial Design



Primary End Point: DFS at 2 years

Secondary End Points: Safety, tolerability, & OS



Conclusions

- Pembrolizumab monotherapy is approved as first line therapy for HNSCC patients with PD-L1 CPS ≥ 1
- Pembrolizumab + Platinum and 5FU is approved first line for all HNSCC patients
- Nivolumab and pembrolizumab monotherapy are approved for R/M patients after platinum failure
- Taxanes may be substituted for 5FU and may be good options after immunotherapy failure although trials ongoing (NCT04489888, NCT04831320)
- PD-1 inhibitors may prolong survival in the salvage setting but randomized trials are ongoing (EA3191)

- Any Questions?