



# **Development of Recombinant Vaccines for the Therapy of Carcinomas**

## **Monotherapy and Combination Therapy**

**Jeffrey Schlom, Ph.D.**  
**Laboratory of Tumor Immunology and Biology**  
**Center for Cancer Research**  
**National Cancer Institute, NIH**



# STRATEGIC PLAN

## Cancer Vaccine Development:

- Focus on human carcinoma
- Focus on development of vaccines that can be widely evaluated

## Ultimate Use:

- Early in disease process/low tumor burden
- Survival as the endpoint
- Minimal toxicity

## Immunologic Platform:

- Combination immune therapies
  - immune stimulation strategies
  - reduction of immune inhibitory entities
- Combination Therapies: Vaccine plus:
  - conventional therapies
  - conventional therapies in novel strategies
  - other experimental therapies

# Translational Research Programmatic Effort

## PRECLINICAL STUDIES:

### Laboratory of Tumor Immunology and Biology (LTIB)

James Hodge

Al Tsang

Claudia Palena

Jack Greiner

Connie Rogers

Benedetto Farsaci

Sofia Gameiro

Matteo Vergati

Mary Litzinger

Ken Hance

### Laboratory of Molecular Biology

Ira Pastan

### Vaccine Branch

Jay Berzofsky

## CLINICAL STUDIES:

### LTIB/Medical Oncology Branch

James Gulley

Philip Arlen

Ravi Madan

Mary Pazdur

### Medical Oncology Branch

William Dahut

Tito Fojo

William Figg

### Radiation Oncology

Kevin Camphausen

### Urologic Oncology

Marston Linehan

Peter Pinto

### Biostatistics and Data Management Section

Seth Steinberg

### NIH Nuclear Medicine

Jorge Carrasquillo

C.H. Park

# **Translational Research Programmatic Effort**

## **CLINICAL STUDIES — EXTRAMURAL:**

Georgetown – John Marshall

Dana Farber Cancer Center – Donald Kufe, Paul Eder, Philip Kantoff

Columbia – Howard Kaufman

Cancer Institute of New Jersey – Edward Lattime, Robert DiPaola

Ohio State – William Carson

Eastern Cooperative Oncology Group (ECOG) – Robert DiPaola,  
Howard Kaufman, Louis Weiner

## **CANCER THERAPY EVALUATION PROGRAM (CTEP):**

Howard Streicher

Jan Casadei

## **PRIVATE SECTOR:**

- GlobeImmune – Alex Franzusoff, David Apelian
- BN ImmunoTherapeutics – Wayne Godfrey, Reiner Laus

**NCI Technology Transfer Center:** Kevin Brand, Karen Maurey

**NIH Office of Technology Transfer:** Mojdeh Bahar

# Strategies to Enhance Vaccine Potency

1. Mode of Delivery of the Vaccine
  - place the gene for the tumor antigen into a vector
2. Diversified Vaccine Prime and Boost
3. T-cell Costimulation
  - these molecules are essential for vigorous T-cell activation
  - place costimulatory molecule into vaccine vector
4. Alter the a.a. sequence of the tumor antigen to enhance the immune response “epitope enhancement”
5. Combination therapies

# Vaccine Platforms

---

- ❖ Recombinant poxviruses
  - vaccinia; (MVA)
  - fowlpox
- ❖ Recombinant *saccharomyces* (yeast)
- ❖ Chitosan / nanoparticles

# Recombinant Vaccine Vectors

- Pox vectors

- Vaccinia (rV-)** elicits a strong immune response

- host induced immunity limits its continuous use
    - MVA (replication defective)

- Avipox (fowlpox rF-, ALVAC)**

- derived from avian species
    - safe; does not replicate
    - can be used repeatedly with little if any host neutralizing immunity

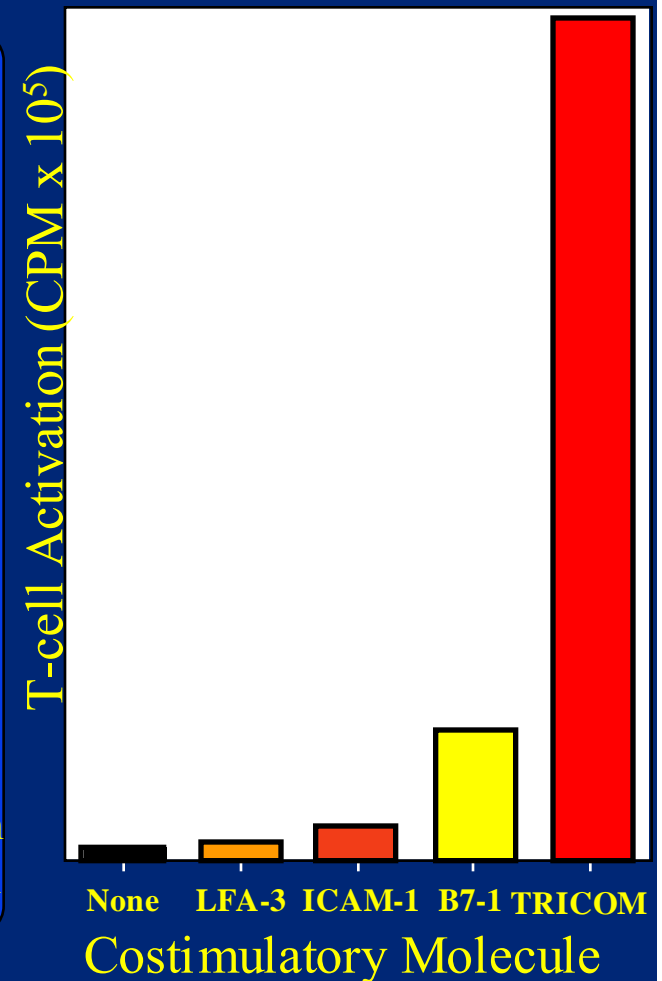
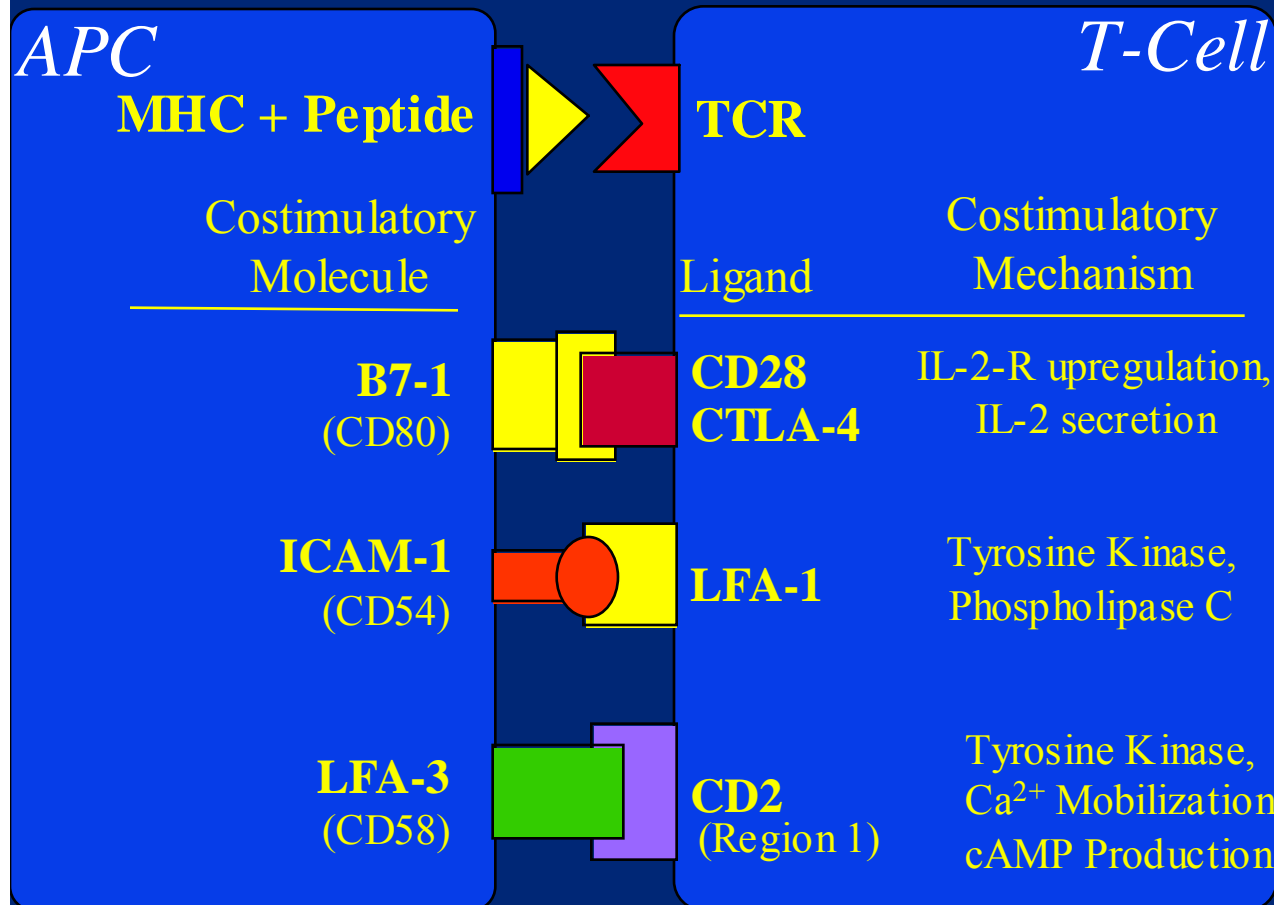
- **Can insert multiple transgenes**

- Do not integrate into host DNA

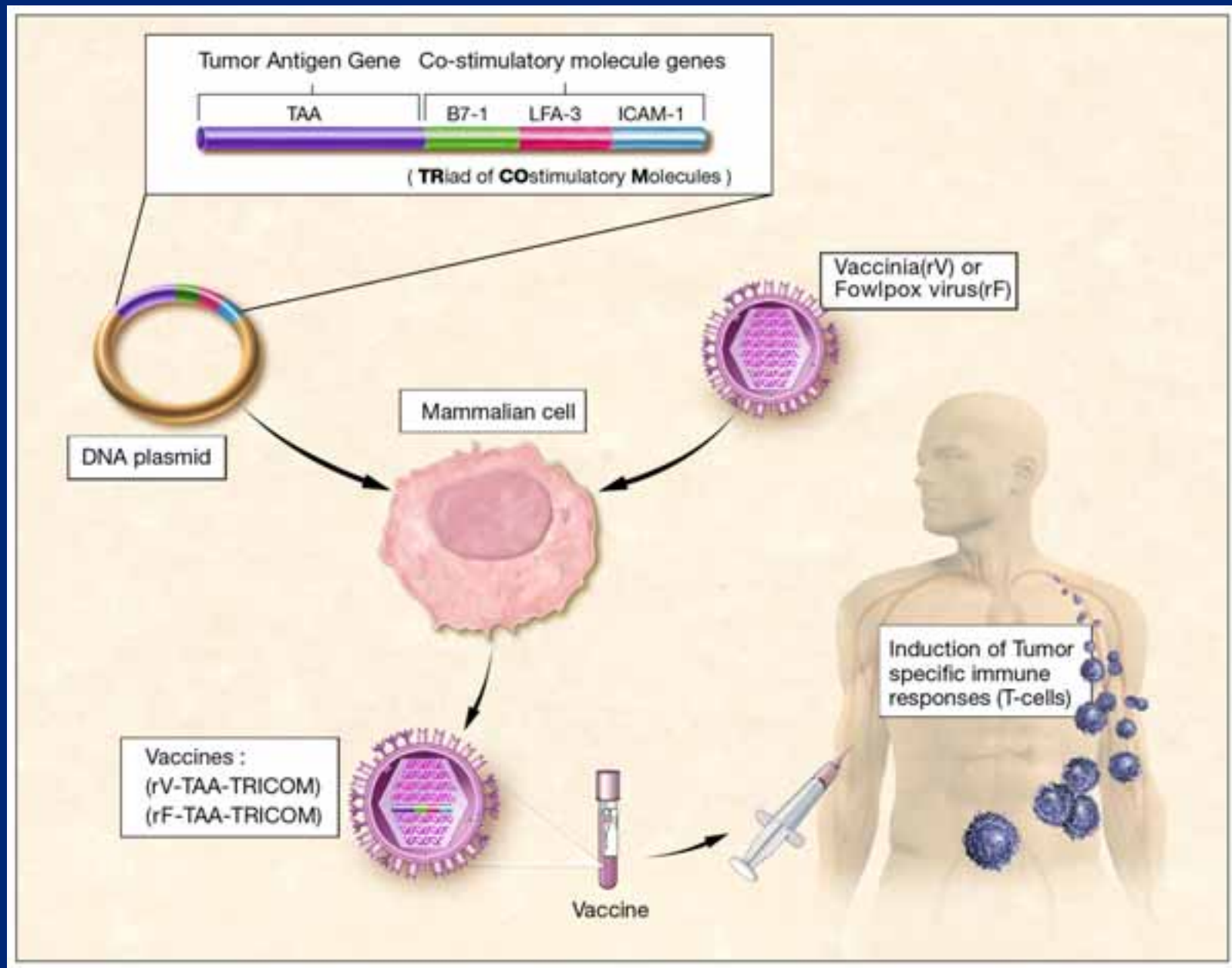
- Efficiently infect antigen presenting cells including dendritic cells

# Costimulatory Molecule Candidates:

- Major Costimulatory Effect must be on the T-cell
- No Overlap of T-cell Ligands
- No Redundancy of Costimulatory Mechanisms







# TRICOM

## TRIad of COstimulatory Molecules

<u>Costimulatory Molecule</u>	<u>Ligand on T cell</u>
B7-1 (CD80)	CD28/CTLA-4
ICAM-1 (CD54)	LFA-1
LFA-3 (CD58)	CD2

-----

TRICOM = B7-1/ICAM-1/LFA-3

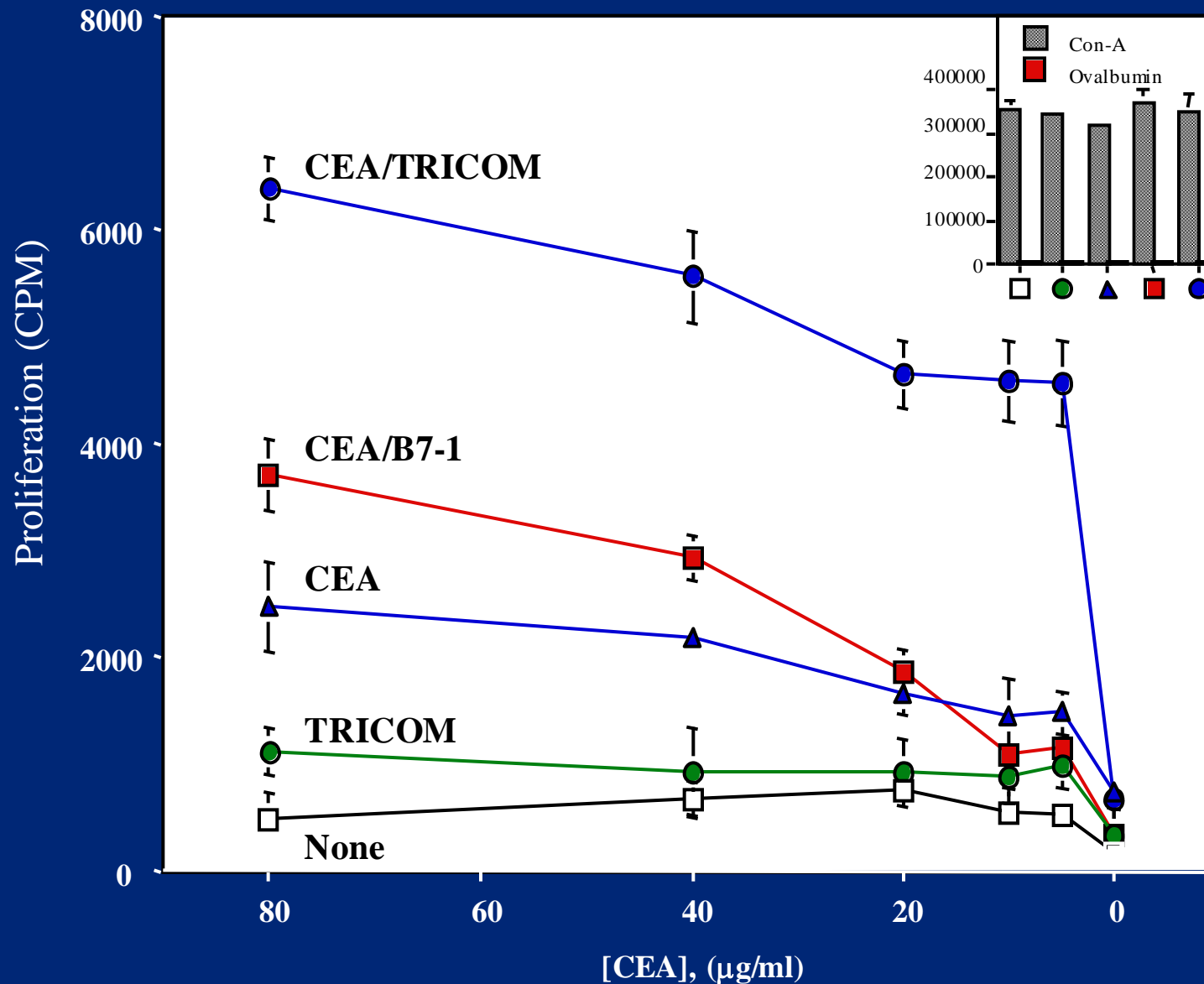
CEA/TRICOM = CEA/B7-1/ICAM-1/LFA-3

CEA/MUC-1/TRICOM = CEA/MUC-1/B7-1/ICAM-1/LFA-3 (PANVAC)

PSA/TRICOM = PSA/B7-1/ICAM-1/LFA-3 (PROSTVAC)

**All vaccines contain:** rV- as a prime vaccine  
avipox (fowlpox, rF-) as multiple booster vaccines  
CEA, MUC-1, and PSA transgenes all contain  
enhancer agonist epitopes

# CEA-specific Lymphoproliferation of T Cells from CEA-Tg Mice Vaccinated with TRICOM Vectors



**VAAA  
Regimen**

CEA

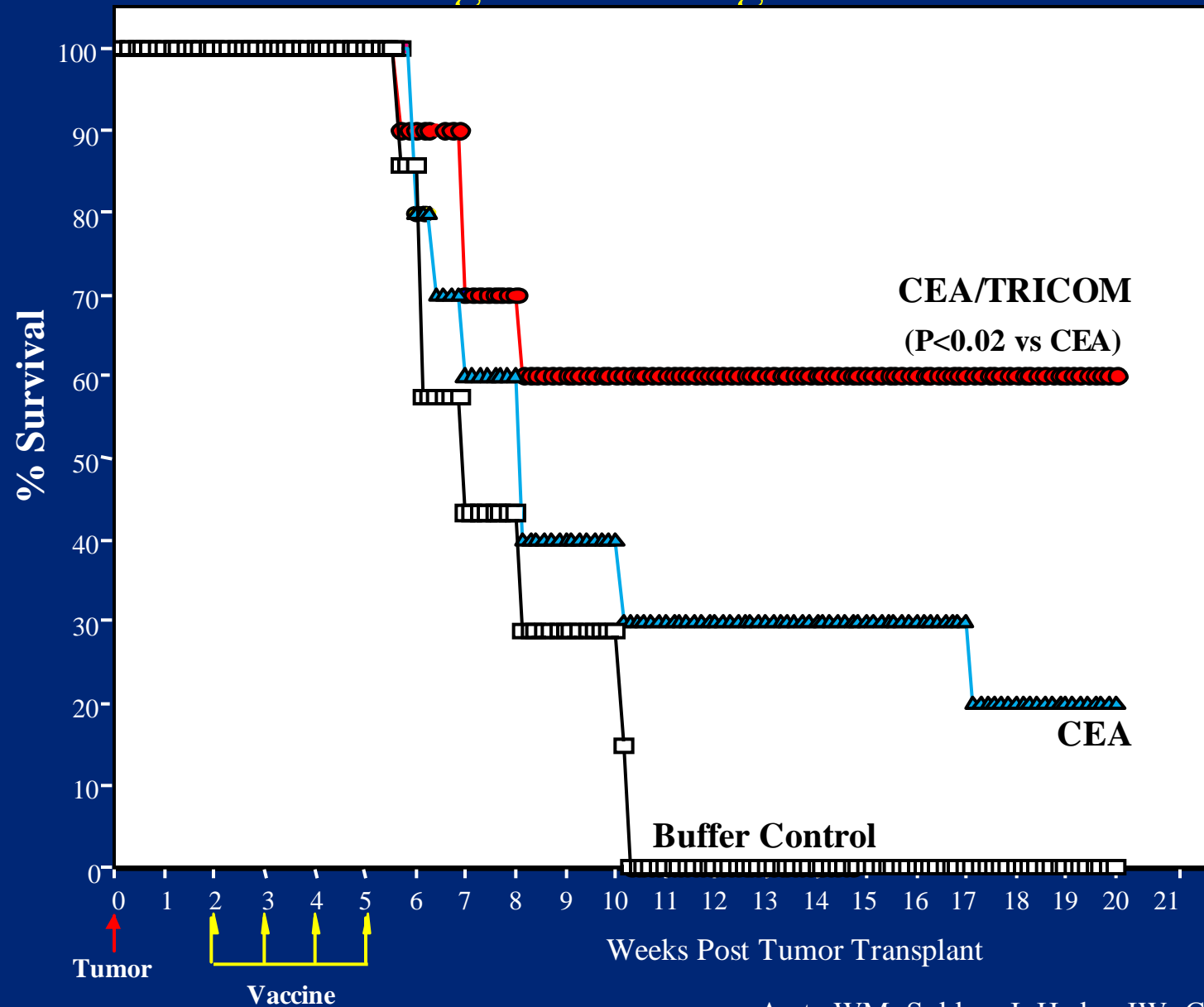
CEA/B7-1

CEA/TRICOM

TRICOM

All groups with  
GM-CSF and  
low dose IL-2

# Therapy of 14-Day Established CEA<sup>+</sup> Experimental Metastases in CEA-Tg Mice Using CEA/TRICOM Vectors



**VAAA  
Regimen**

**CEA**

**CEA/TRICOM**

All groups with  
GM-CSF and  
low dose IL-2

# **The Next Frontier: Combinatorial Therapies**

---

**The use of cancer vaccines in combination  
with conventional therapies**

- **Chemotherapy**
- **Hormone therapy**
- **Local radiotherapy of tumor**
- **Small molecule targeted therapeutics**

# Vaccine Combination Therapies

---

## 1. Vaccines Induce Minimal Toxicity

– can act independently of concomitant therapy

## 2. Do NOT confuse

multiple therapies used prior to vaccine

**vs.**

therapies used with vaccine or following vaccine

# Vaccine Combination Therapies

---

**3. The vaccine induction of a dynamic host immune response can be boosted by**

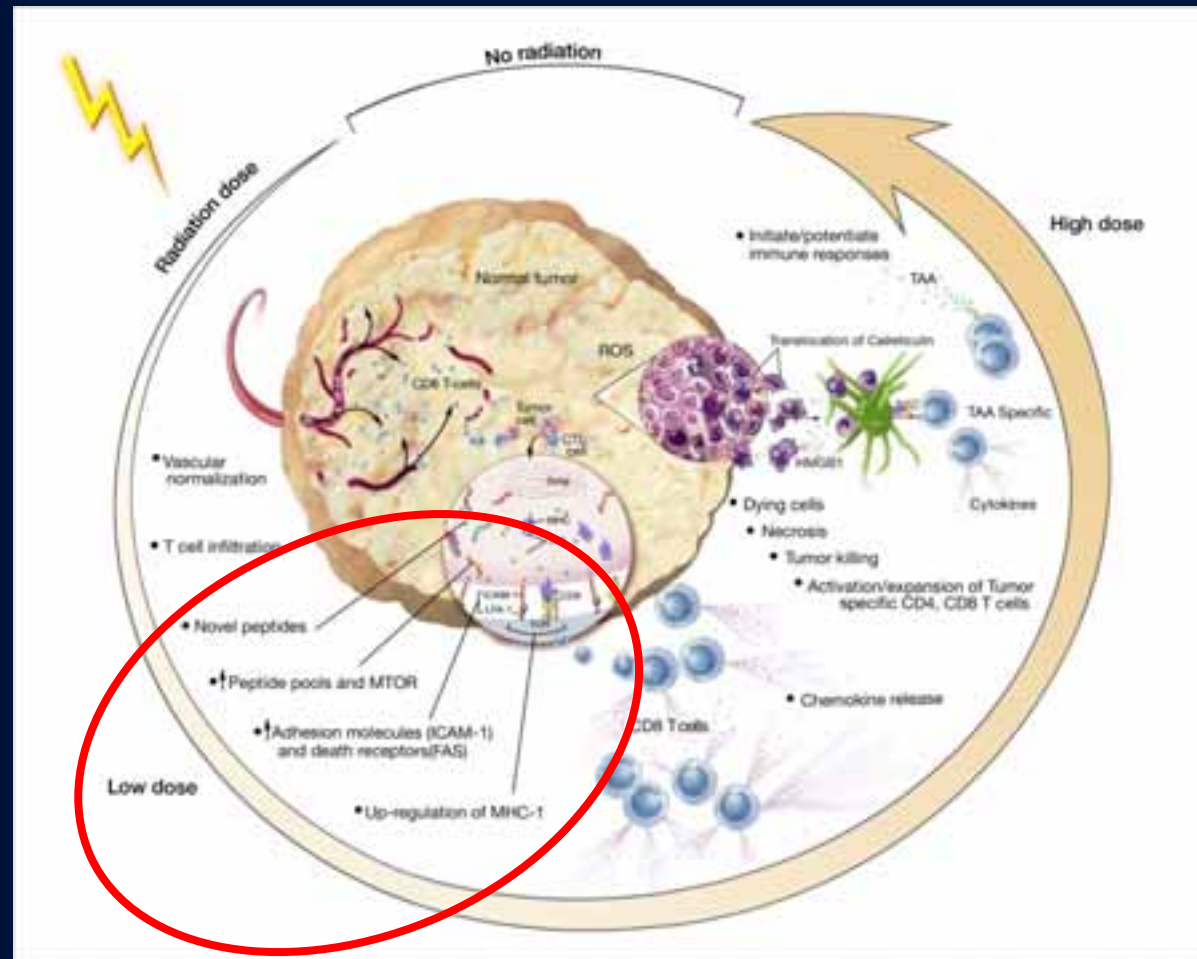
**– concomitant or subsequent therapies**

**(a) can alter the phenotype of tumor cells, rendering them more susceptible to T-cell killing**

**(b) can lyse populations of tumor cells which, in turn, act as a booster for tumor-specific immune cells**

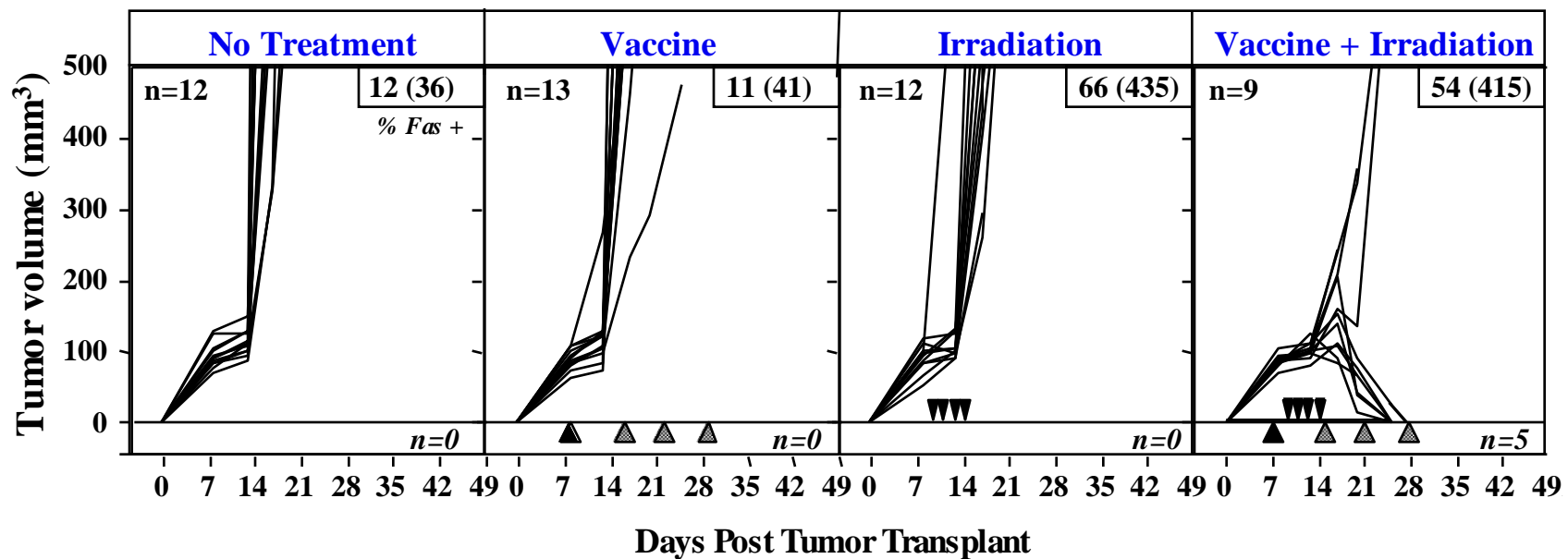
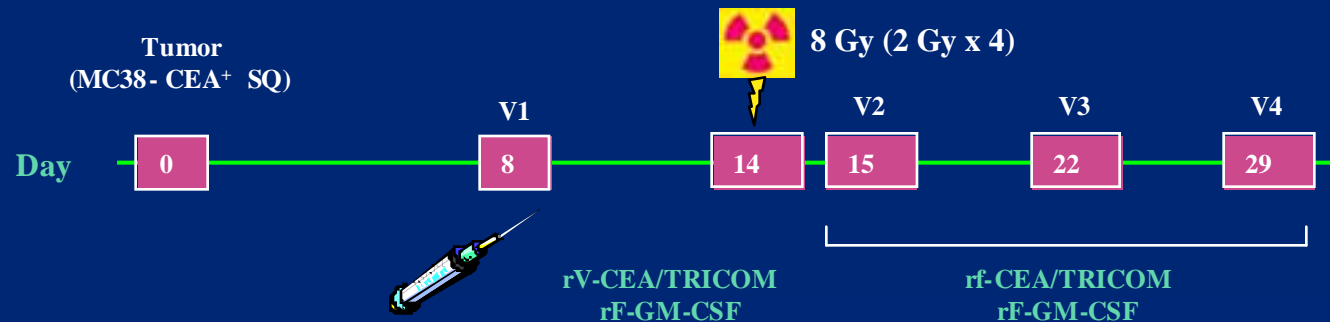
**(c) can kill or inhibit regulatory T cells and thus boost the immune response**

# Potential Multiple Effects of Local Irradiation of Tumors

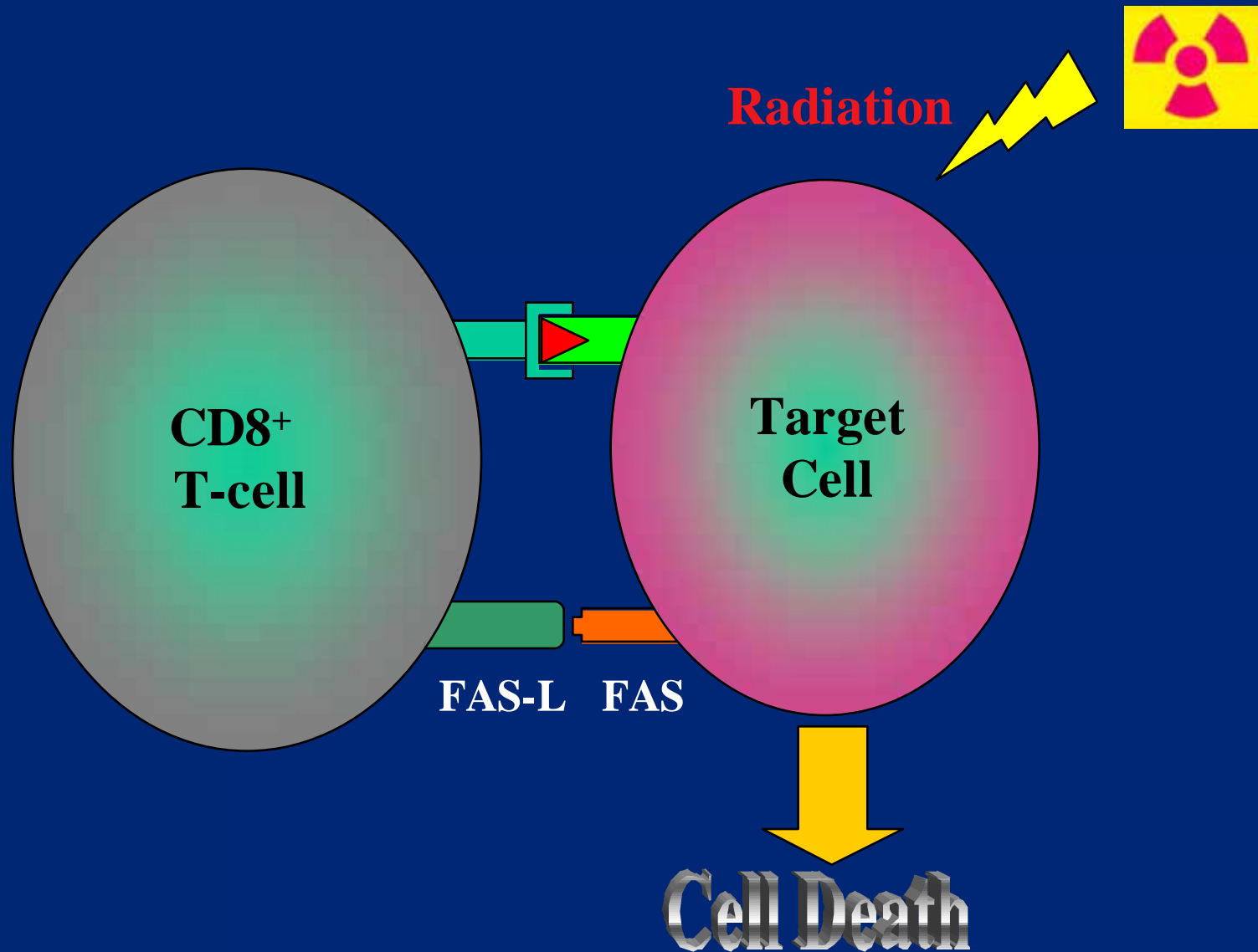




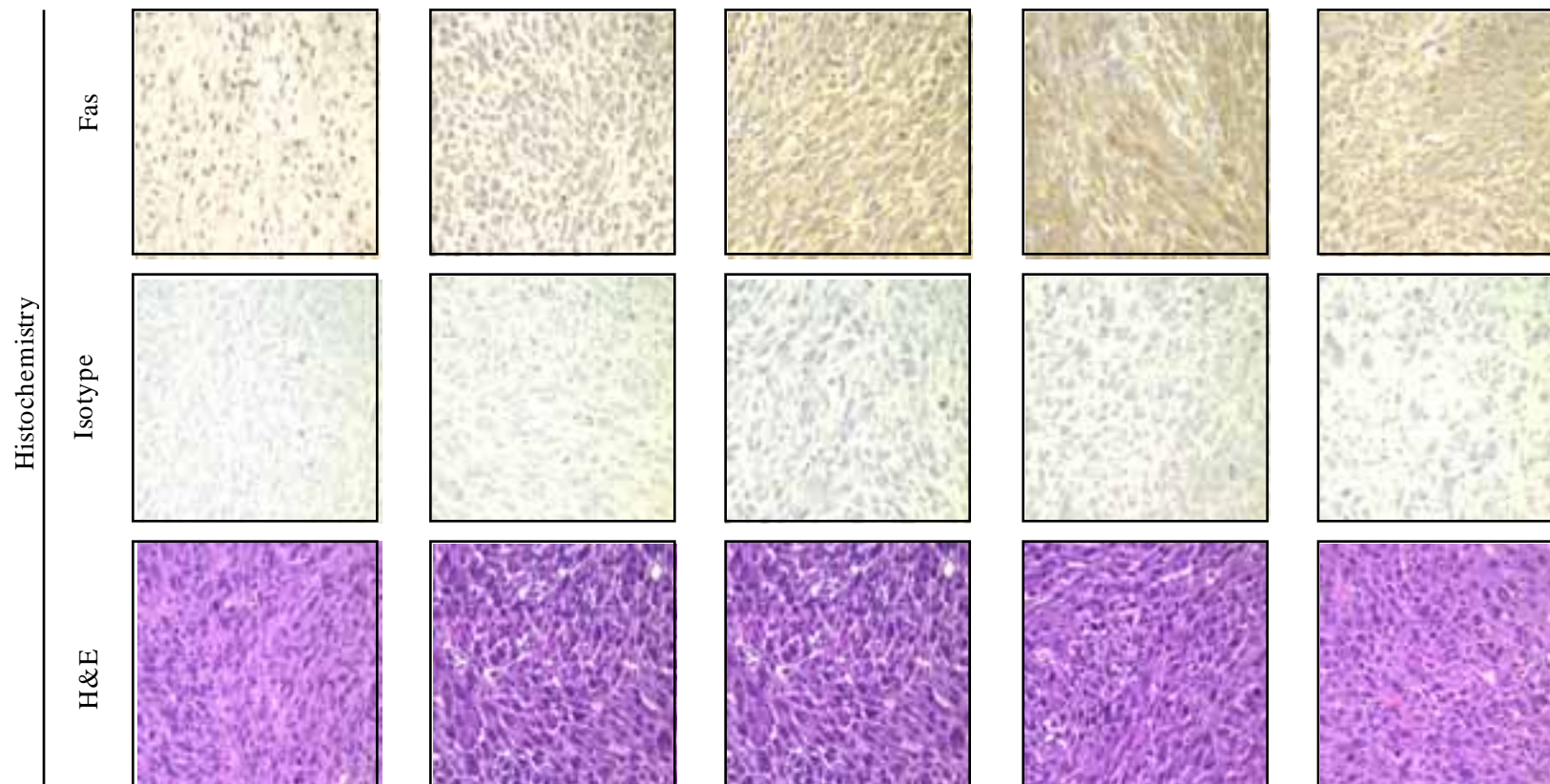
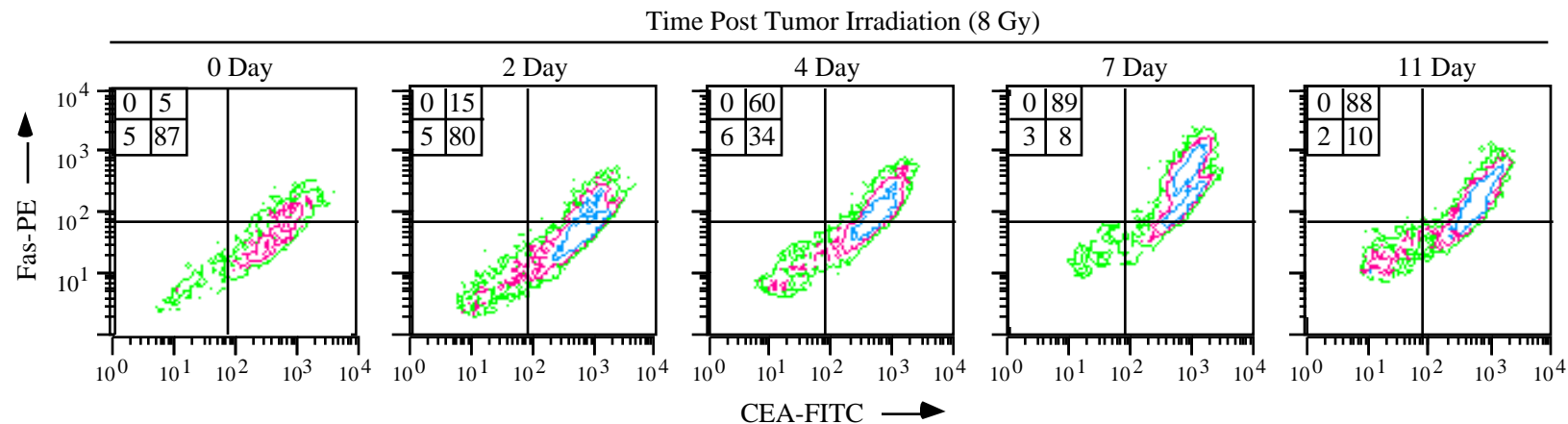
# Combination Therapy: Vaccine + External Beam Radiation



# Radiation-Enhanced Antigen-Specific Lysis of Tumor Cells



# Persistence of Fas Upregulation on MC38-CEA<sup>+</sup> Tumors After External-Beam Irradiation



**QUADRAMET is a therapeutic agent consisting of radioactive samarium ( $^{153}\text{Sm}$ ) and chelator.**

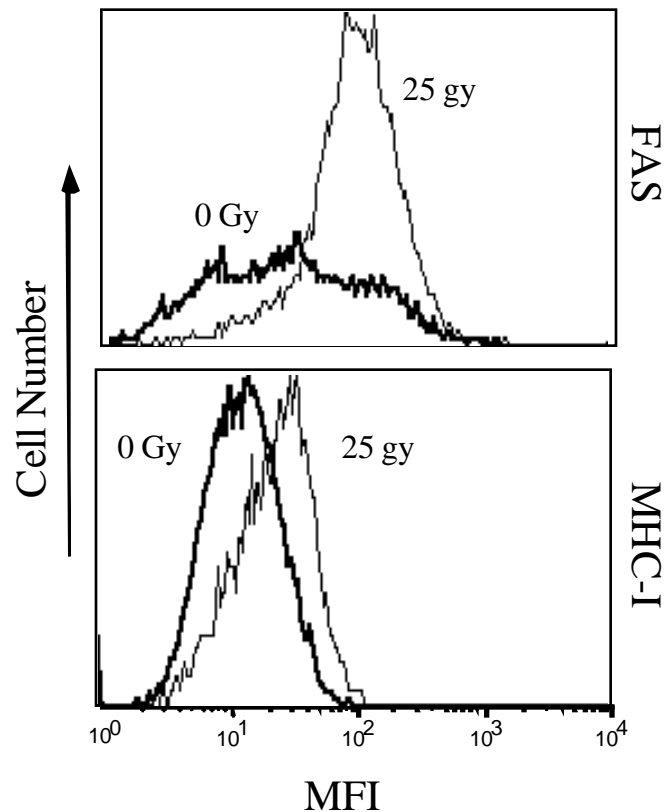
**It preferentially binds to osteoblastic metastatic tumor deposits in bone.**

**$^{153}\text{Sm}$  is currently FDA approved and clinically utilized for palliation of bone metastasis in multiple tumor histologies.**



# Low Dose Radiation (25 Gy) of LnCaP Human Prostate Cell Line

Treatment of LnCaP prostate cancer cells with low dose radiation results in the upregulation of MHC and Fas



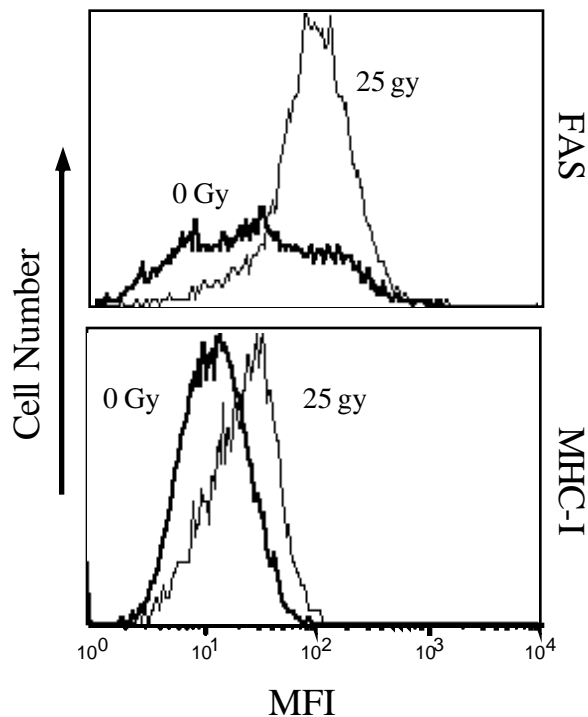
## Gene Expression in LnCaP cells RT-PCR

Tumor Antigen Genes

	0 Gy	25 Gy
PSA	1	2.79
PSMA	1	4.14
PAP	1	29.0
CEA	1	10.3
MUC-1	1	3.67

# Treatment of LnCaP Prostate Cells with Palliative Levels of $^{153}\text{Sm}$ (Quadramet) Modulates Phenotype, Upregulates TAA, and Increases Sensitivity to Antigen-specific CTL Killing

Treatment of LnCaP prostate cancer cells with Palliative doses of  $^{153}\text{Sm}$  results in the upregulation of MHC class I and Fas



Treatment of LnCaP prostate cancer cells with Palliative doses of  $^{153}\text{Sm}$  results in the upregulation of TAAs

Gene Expression in LNCaP cells after Sm-153 treatment

Accessory Genes

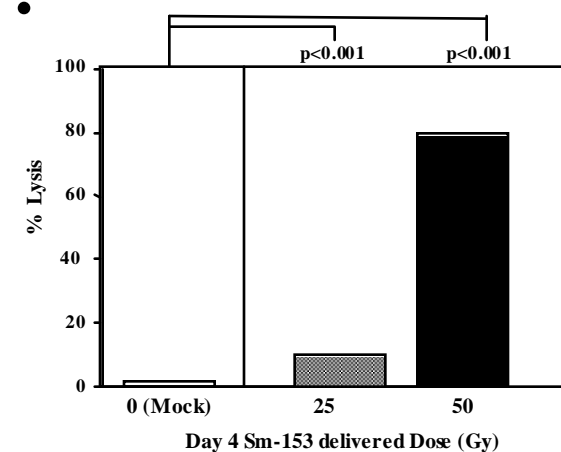
	0 Gy	25 Gy
Fas	1	1.96
ICAM-1	1	29.1

Tumor Antigen Genes

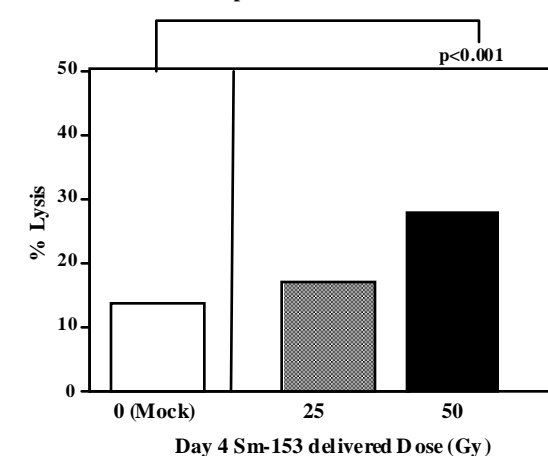
	0 Gy	25 Gy
PSA	1	2.79
MUC-1	1	3.67

Treatment of LnCaP prostate cancer cells with Palliative doses of  $^{153}\text{Sm}$  results in increased sensitivity to multiple CTLs

CTL: PSA-Specific



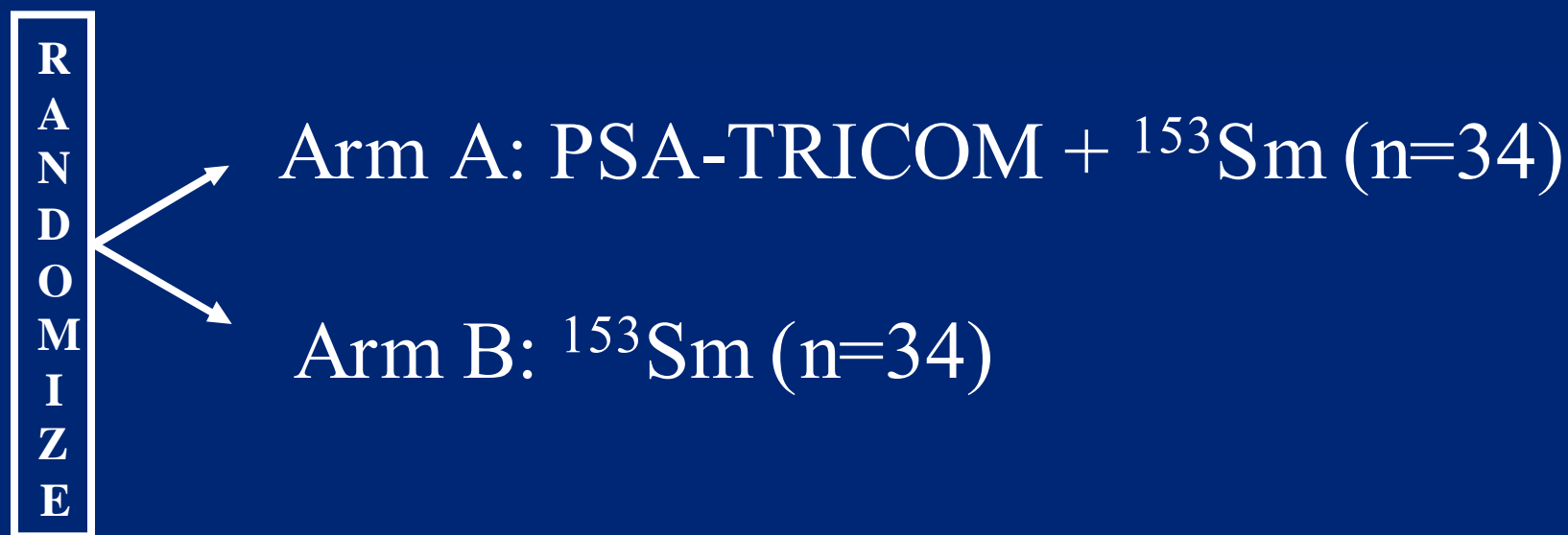
CTL: MUC-1-Specific



Chakraborty, Wansley...Schlom, Hodge, NCI, Clin Cancer Res., 2008.  
Collaboration with Nuclear Medicine Branch.

# PSA-TRICOM + $^{153}\text{Sm}$

*Patient Population:* Metastatic Androgen Independent Prostate Cancer



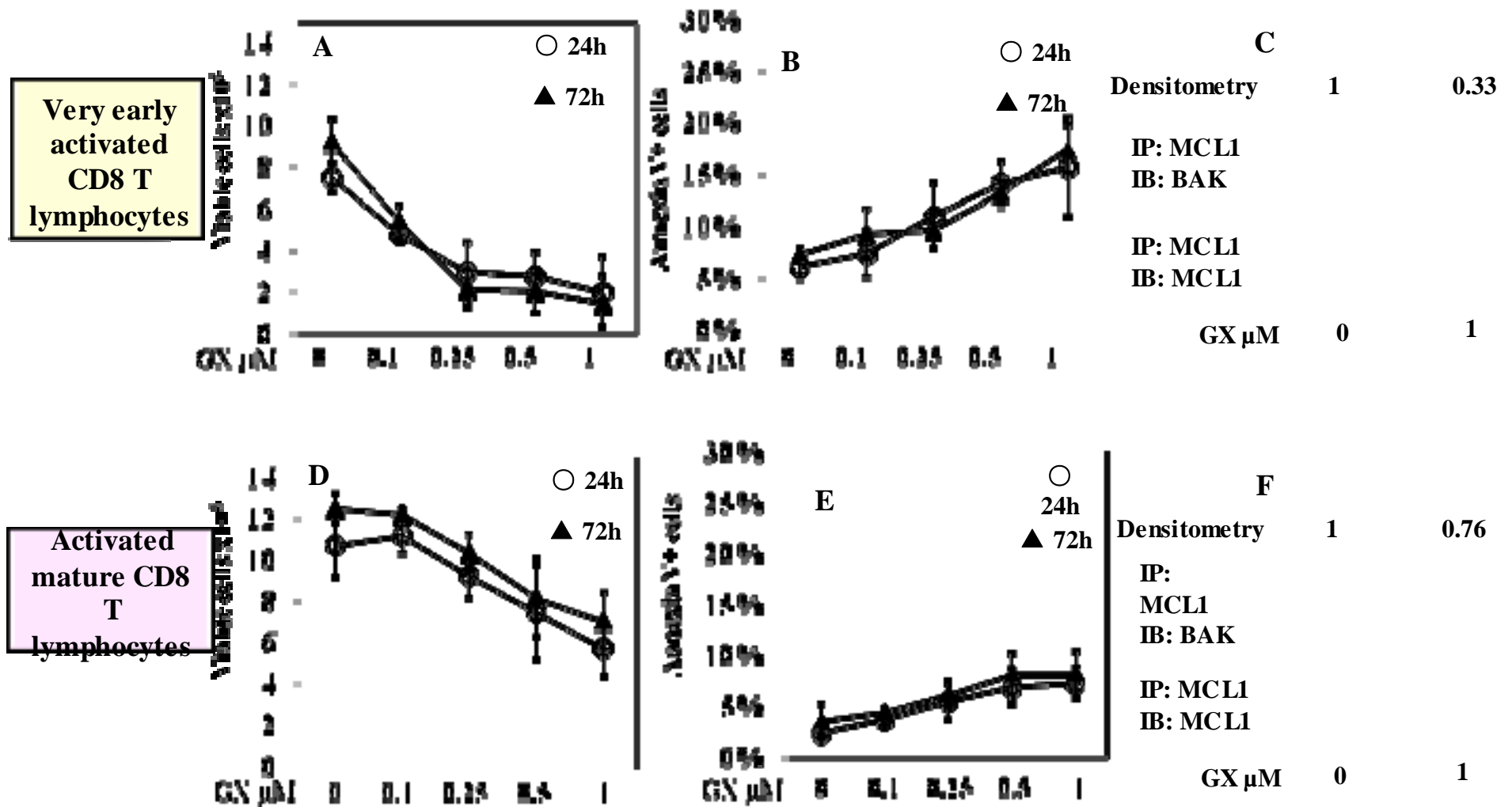
**Vaccine:** rV-PSA/TRICOM s.c. d 1  
rF-PSA/TRICOM s.c. d 15, 29, q 4 wks  
All vaccines given with GM-CSF 100 $\mu\text{g}$  s.c. x 4 d

**$^{153}\text{Sm}$ :** 1 mCi/kg d 8, may be repeated  
q 12 wks upon hematologic recovery.

**Effect of the *pan* Bcl-2 Inhibitor GX15-070  
on the Immune System:  
Preclinical Studies**



# Activated mature CD8 T lymphocytes are more resistant to GX15-070 than very early activated



# - NON *SELF*-CEA LUNG TUMOR MODEL (C57BL/6 MICE)

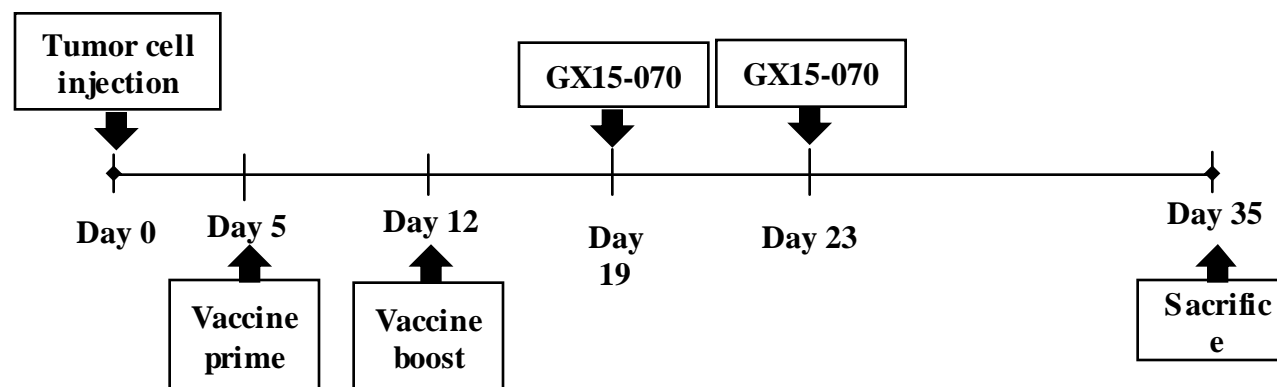
## - *SELF*-CEA LUNG TUMOR MODEL (CEA-TG MICE)

### Experimental setup

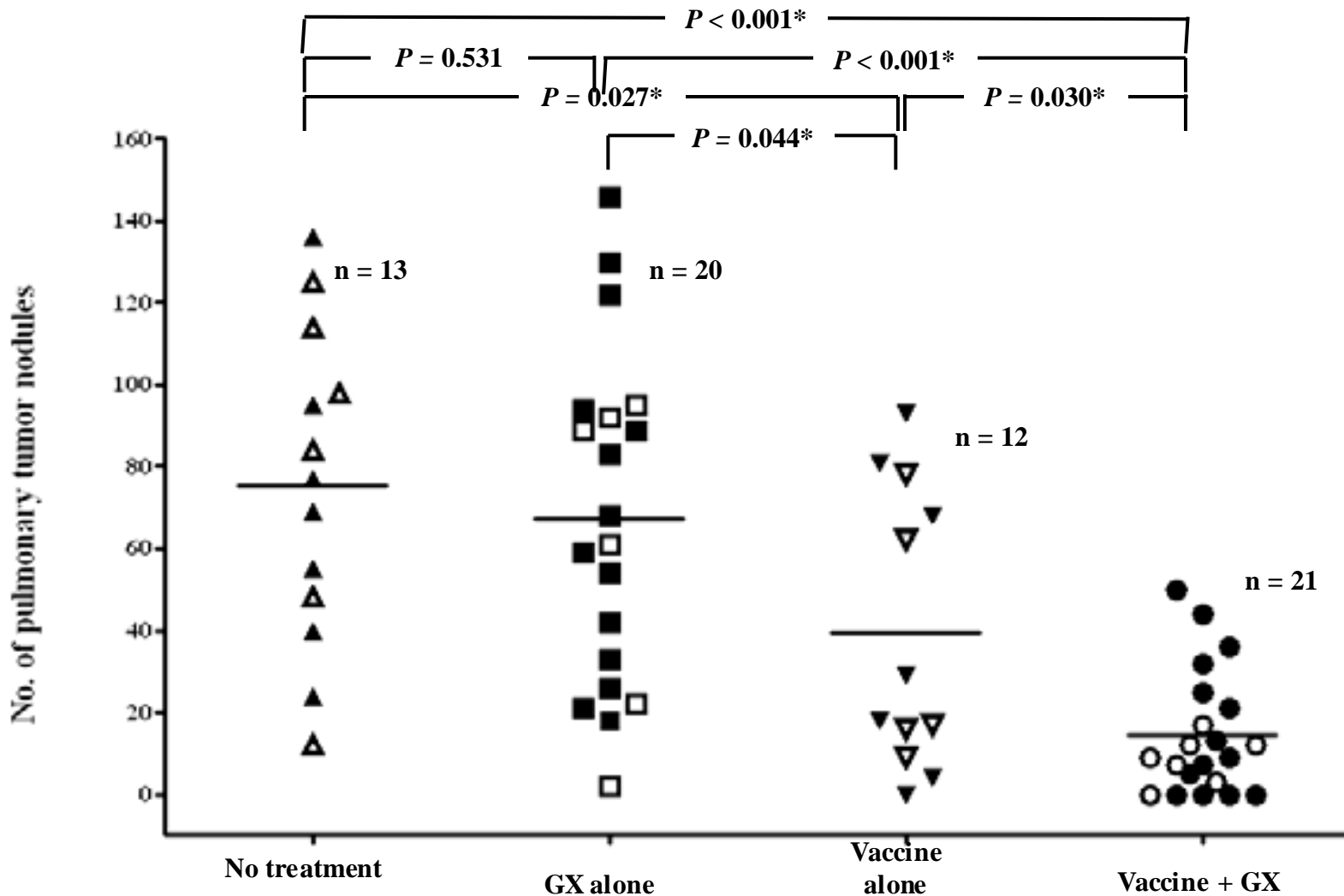
- Mice: C57BL/6 or CEA-Tg, female
- Tumor cells: LL2-CEA  $3 \times 10^5$  cells/mouse (i.v.)
- Type of tumor model: Pulmonary tumor nodules
- Vaccine prime: PFU  $1 \times 10^8$  rV CEA-TRICOM + PFU  $1 \times 10^7$  rF GM-CSF / mouse (s.c.)
- Vaccine boost: PFU  $1 \times 10^8$  rF CEA-TRICOM + PFU  $1 \times 10^7$  rF GM-CSF / mouse (s.c.)
- Inhibitor: GX15-070 0.5 or 2 mg/Kg/mouse (i.v.)
- Groups (6 mice/group):
  1. No treatment
  2. GX15-070 alone
  3. Vaccine alone
  4. Vaccine + GX15-070

### Assays

- IFN- $\gamma$  production from splenocyte bulk cultures
- Pulmonary tumor nodules count



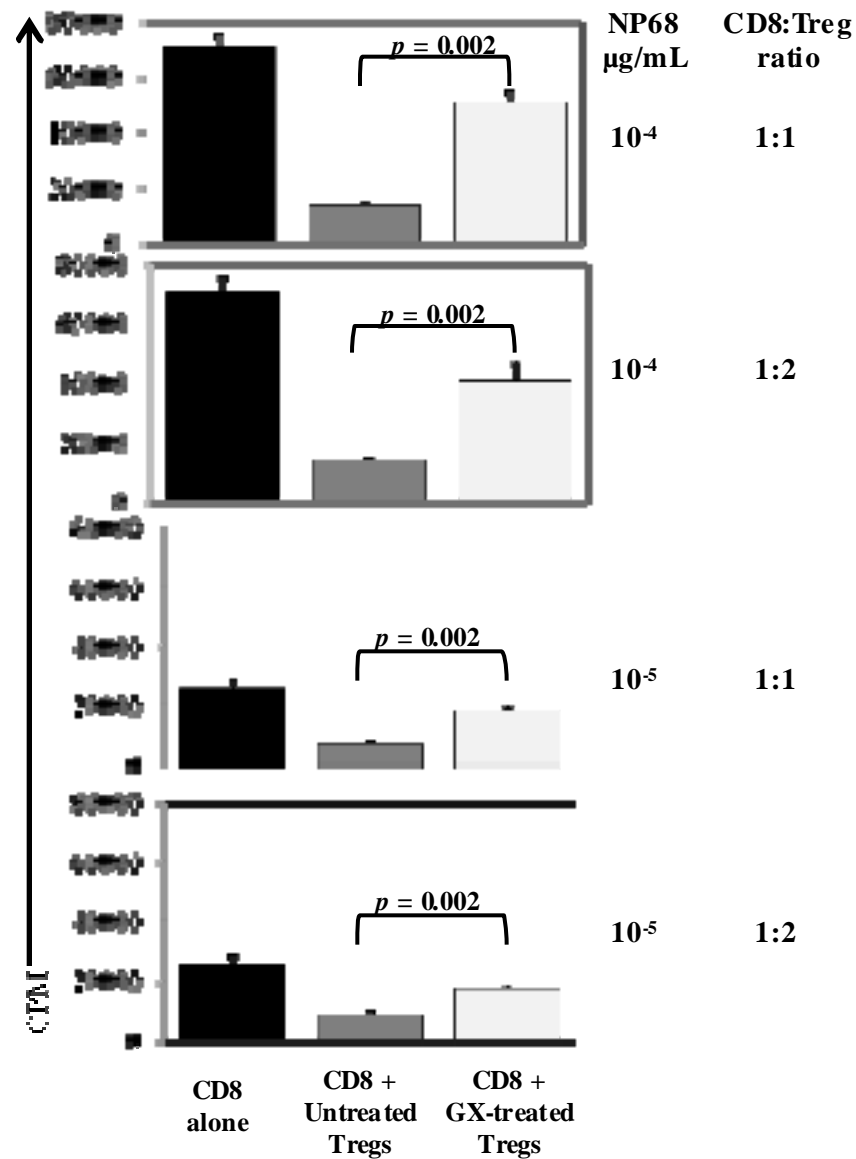
# Pulmonary tumor meta-analysis



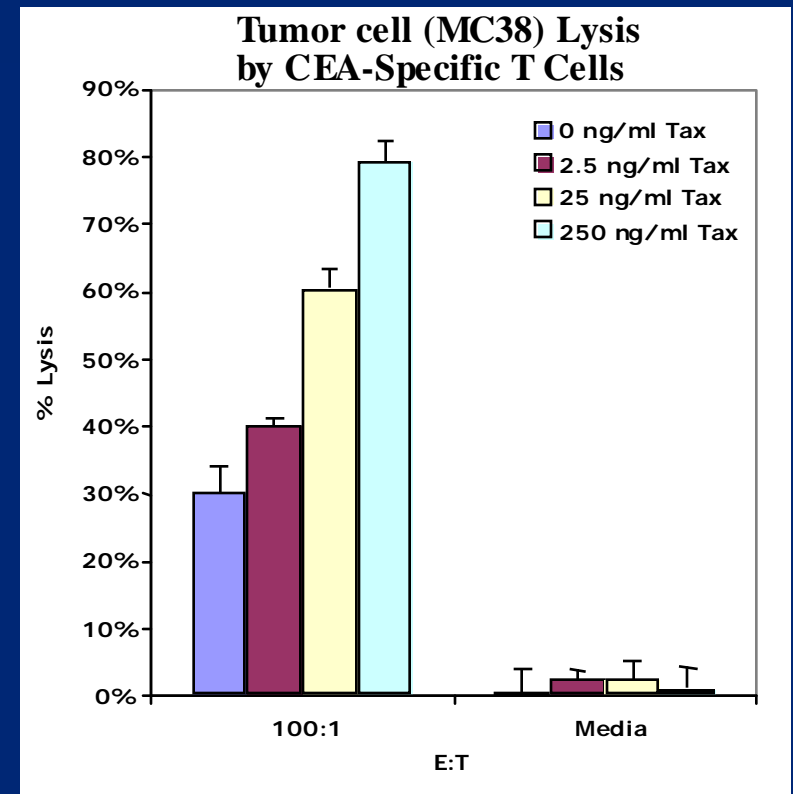
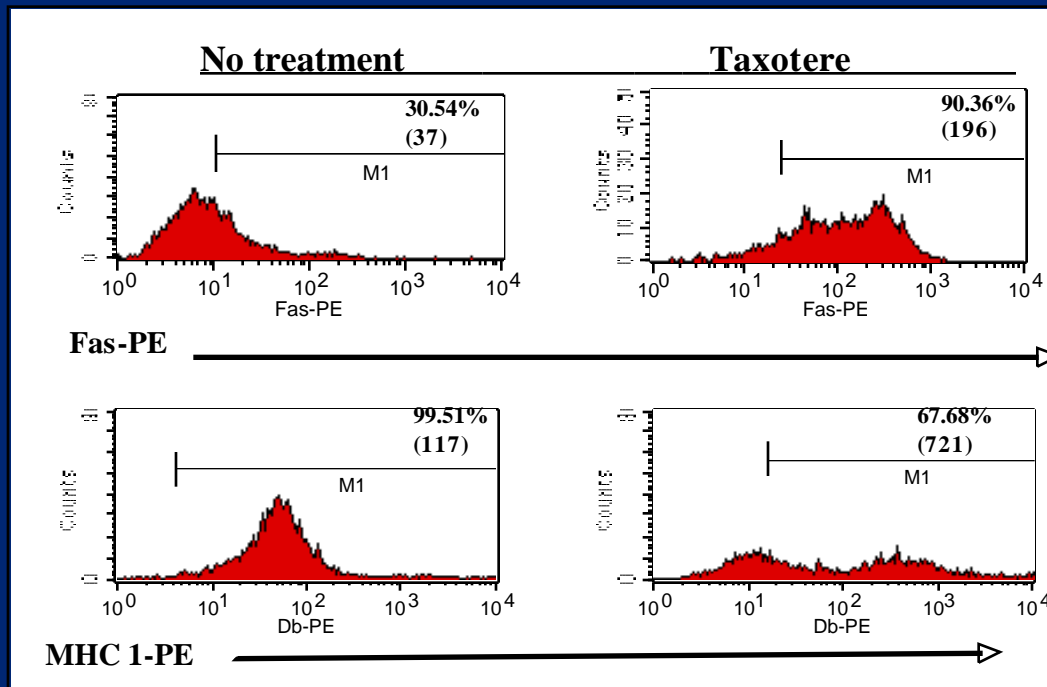
Closed symbols: CEA-Tg mice. Open symbols: C57BL/6 mice.

\* = Statistical significance from two-tailed Mann-Whitney test, 95% confidence interval.

# GX15-070 inhibits Treg function

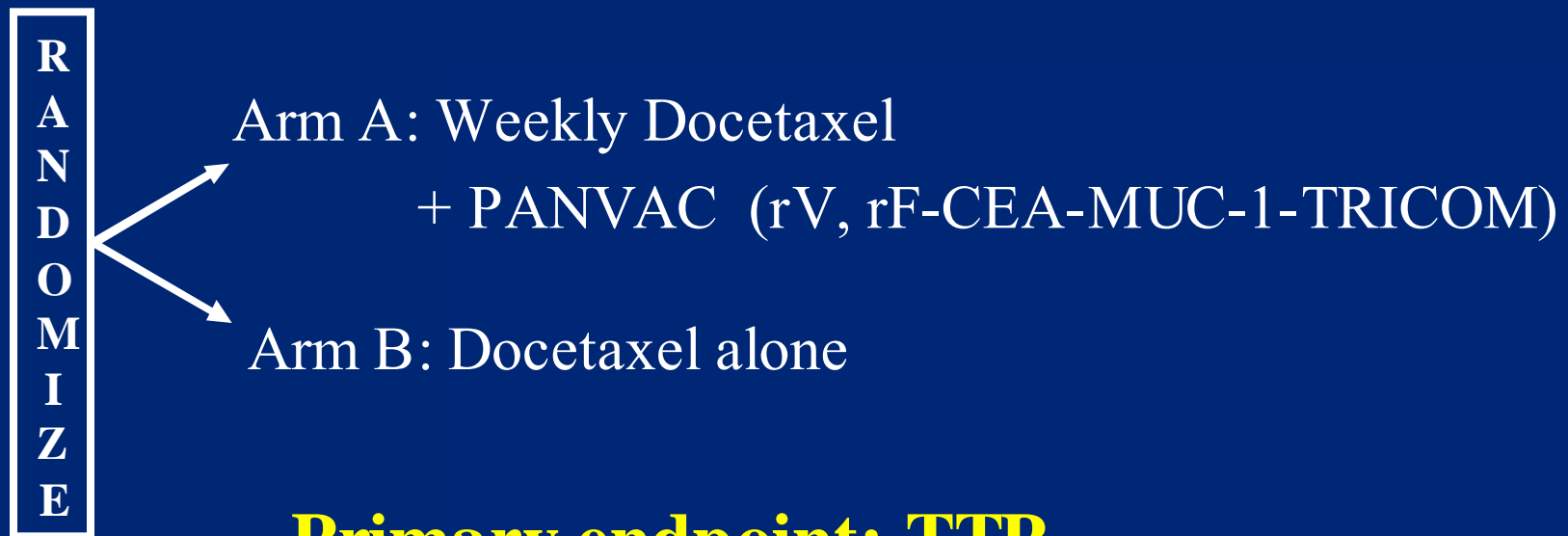


# Ability of Docetaxel to Alter Tumor-Cell Phenotype: Enhanced Sensitivity to Antigen-Specific T-Cell Lysis



# Docetaxel +/- PANVAC

**Patient Population:** Metastatic Breast Cancer (Docetaxel Naïve) n=48



**Primary endpoint: TTP**

**Preliminary Data: 14 patients enrolled**

	<u>Arm A</u>	<u>Arm B</u>
Median TTP	10.5 months	2 months
# on >6 months	5/6 (1 too early)	1/7

# **Prostate Cancer Vaccine Program**

# Prostate Cancer and Vaccine Therapy

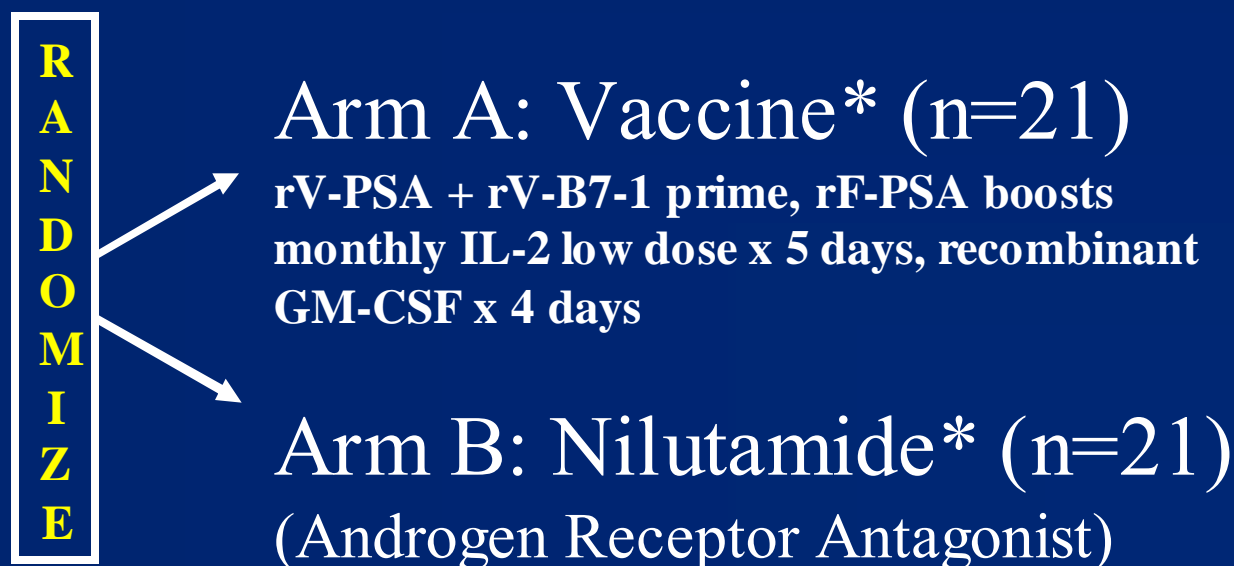
---

- Long interval from primary diagnosis to metastatic disease
- Serum PSA (doubling time/velocity) as a surrogate for therapeutic benefit or disease recurrence
- Nomogram (Halabi) at metastatic disease
  - can predict more indolent vs more aggressive disease



# Vaccine/Androgen Receptor Antagonist Therapy

Patient Population: Androgen Independent Prostate Cancer  
with Rising PSA and No Radiographic Evidence  
of Disease ( $D = 0.5$ )



\*If patient progressed by PSA but still NED radiographically, they could add in the therapy of the other arm

## Time to Treatment Failure

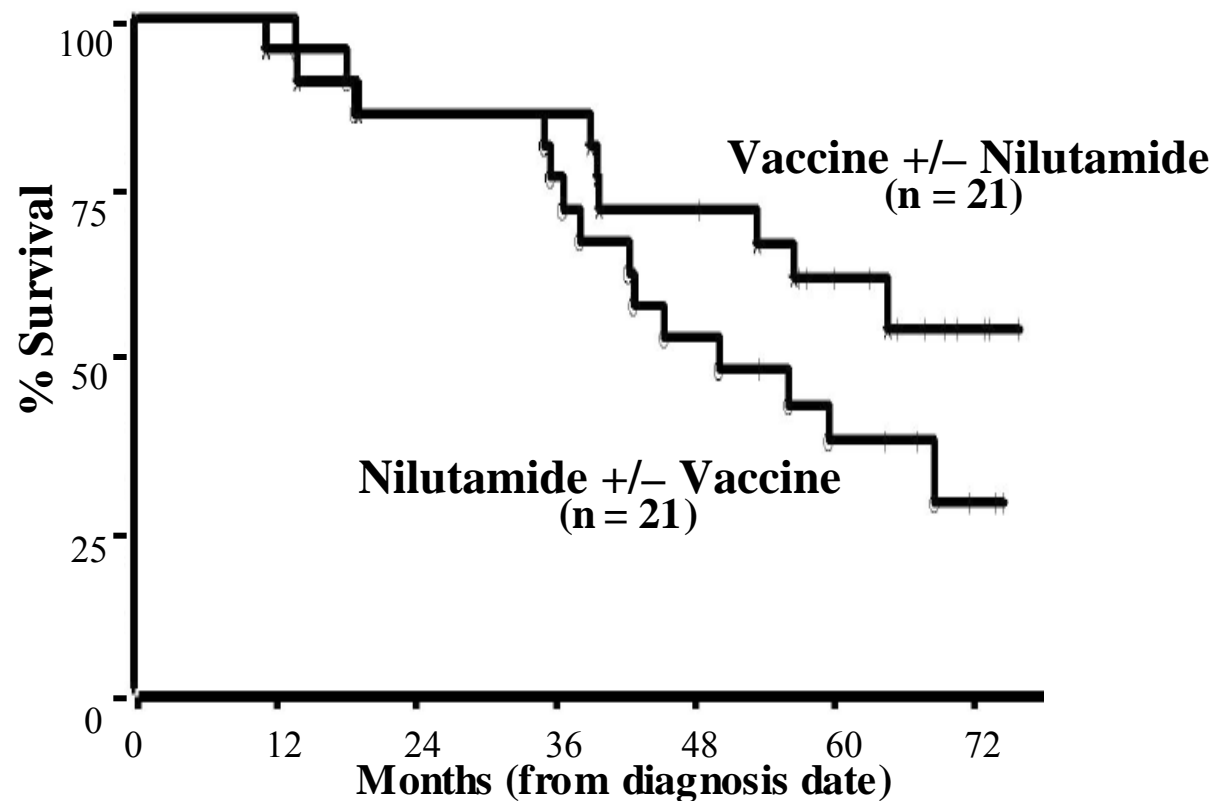
<u>Regimen</u>	<u>n</u>	<u>Median time to treatment failure</u>
Vaccine	21	9.9 months
Nilutamide	21	7.6 months

## Time to Treatment Failure After Cross-Over

<u>Regimen</u>	<u>n</u>	<u>Median time to treatment failure</u>
Vaccine → Vaccine + nilutamide	12	13.9 months (after cross-over)* 25.9 months (from initiation of therapy)
Nilutamide → Nilutamide + vaccine	8	5.2 months (after cross-over) 15.5 months (from initiation of therapy)

\* Median time to cross over was 12.0 months

# Overall Survival: Randomized Trial in Patients with Nonmetastatic HRPc Receiving Vaccine (rV-PSA/B7.1, rF-PSA) vs. Androgen Receptor Antagonist (Nilutamide) with Crossover at Progression



At progression, patients continued initial therapy and crossed over to also receive other therapy.

## Five-Year Overall Survival:

**38%: Nilutamide first**

**59%: Vaccine first**

Madan, Gulley, Schlom et al.  
Clin. Cancer Res. 14:4526-4531, 2008

# Randomized Multicenter Placebo-controlled Vaccine Therapy Trial in Castrate-resistant Metastatic Prostate Cancer Patients

---

## Patients (n = 125)

- Metastatic prostate cancer (CT or bone scan +)
- Gleason score  $\leq 7$ ; no visceral disease
- Chemotherapy naïve

**Vaccine:** rV, rF-PSA-TRICOM (PROSTVAC) + GM-CSF

**Control arm:** empty vector

**Randomization:** 2:1 (double blind)

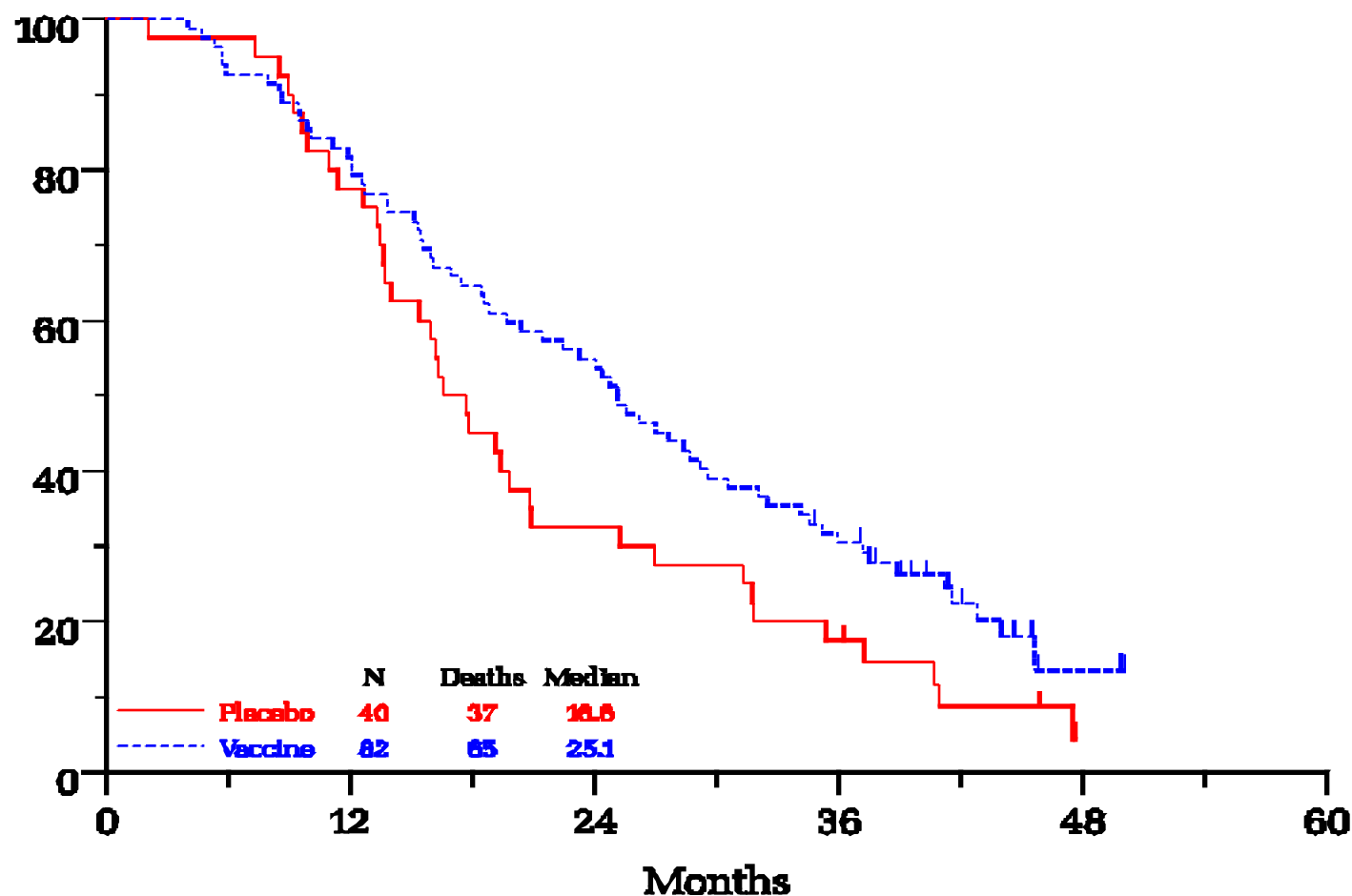
**P.I.:** P. Kantoff, Dana-Farber Cancer Center

**Analyses:** W. Godfrey, BNIT  
B. Blumenstein, statistician

## Survival Full Analysis Set

**P = 0.006 (stratified logrank)**

**Hazard Ratio = 0.801 (0.396 to 0.912)**



Kantoff PW, Schuetz TJ, Blumenstein BA, Glode LM, Billhartz DL, Gulley JL, Schlom J, Laus R, Godfrey WR. Overall survival (OS) analysis of a phase II randomized controlled trial (RCT) of a poxviral-based PSA targeted immunotherapy in metastatic castration-resistant prostate cancer (mCRPC). 2009 ASCO Annual Meeting, Orlando, FL, May 29-June 2, 2009.

# Randomized Multicenter Placebo-controlled Vaccine Therapy Trial in Castrate-resistant Metastatic Prostate Cancer Patients

---

## Observations:

- A. Time to Progression: no difference in arms
- B. Median survival at 4 years
  - Placebo: 16.6 months
  - Vaccine: 25.1 months ( $p=0.006$ )
- C. 40% reduction in death rate in vaccine arm

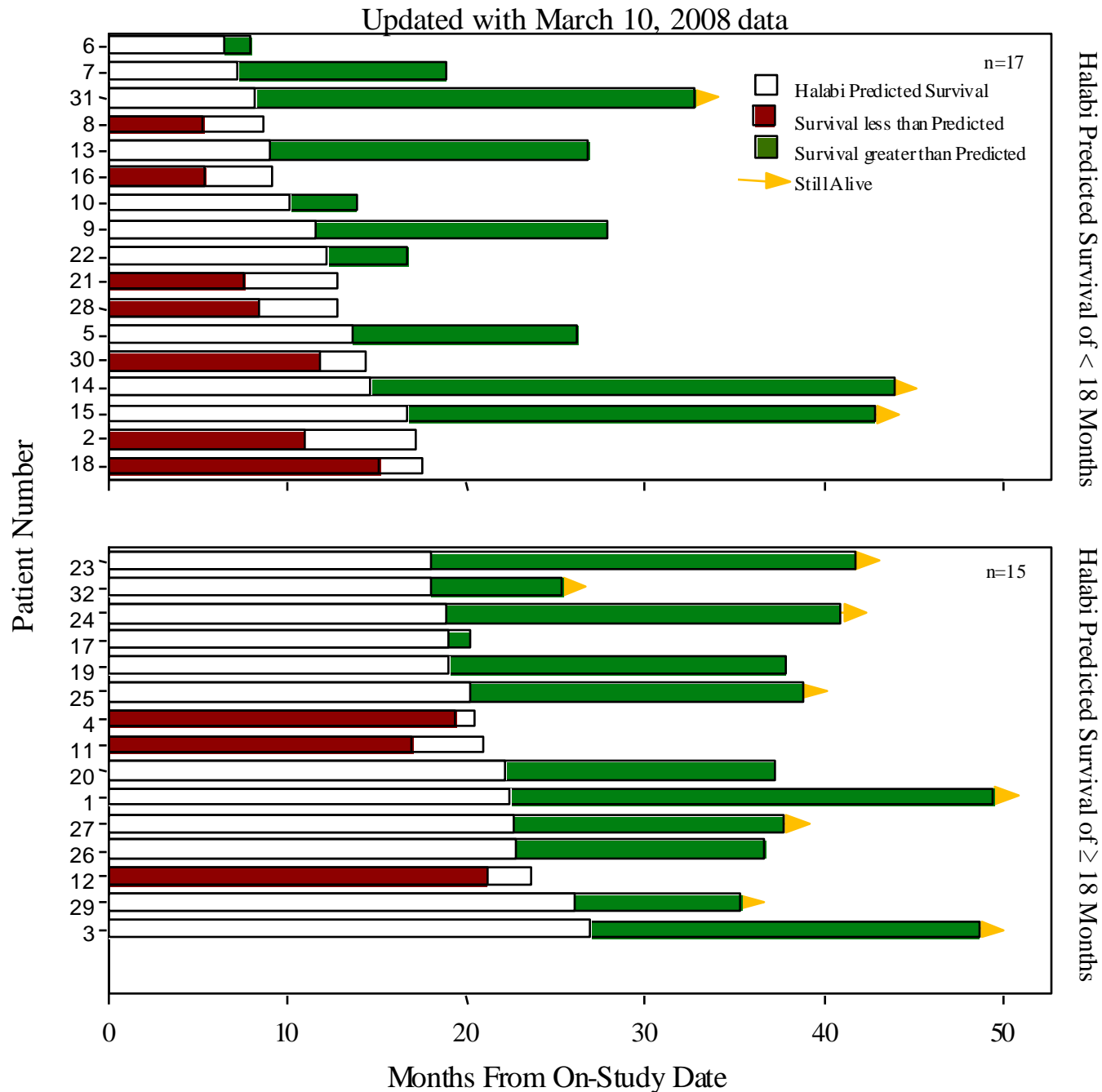
Phase III Trial Planned

# Overall survival analysis of a Phase II study of PSA-TRICOM in the treatment of metastatic, castrate-resistant prostate cancer

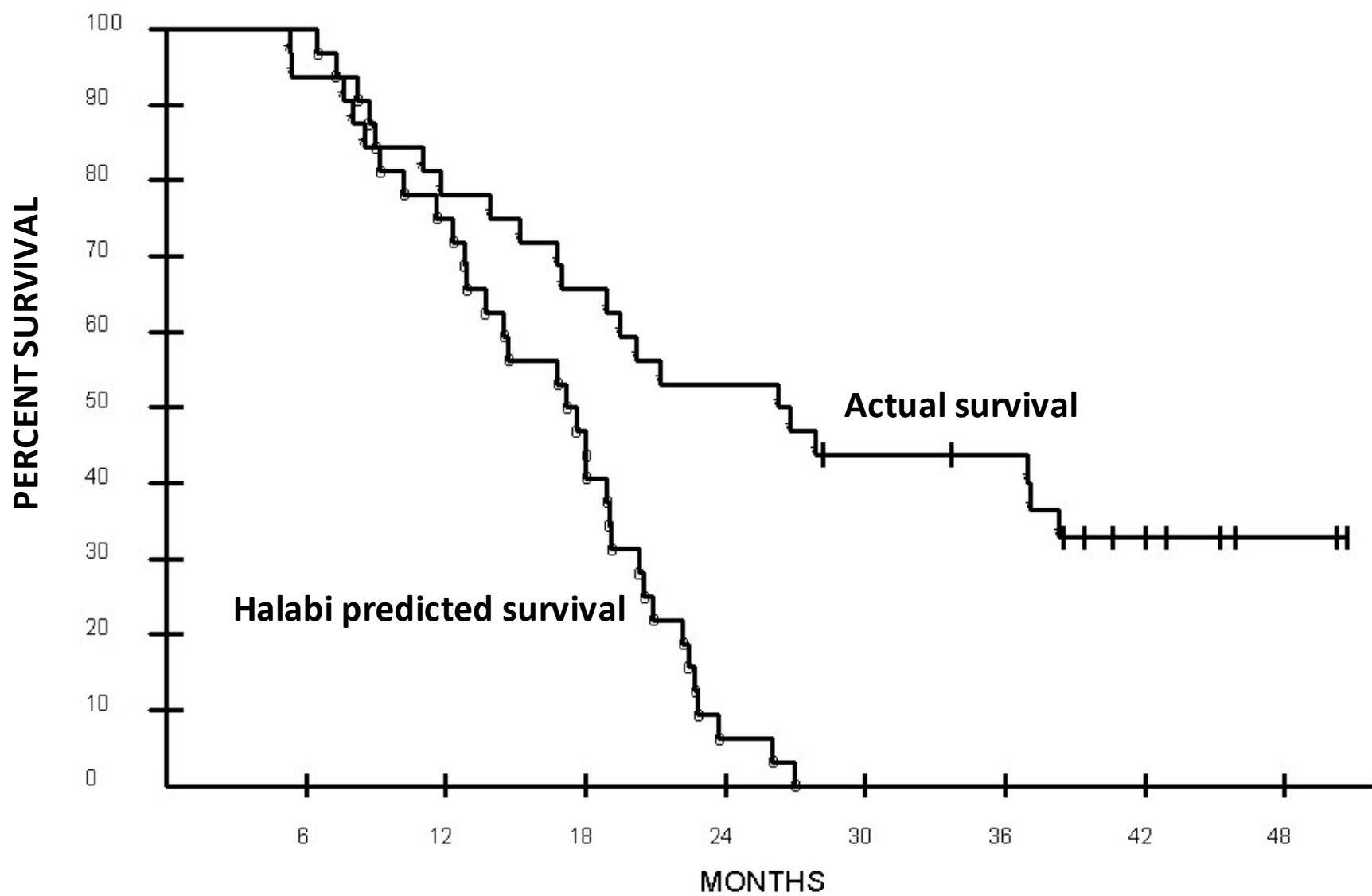
Ravi A. Madan<sup>1</sup>, James L. Gulley<sup>1</sup>, William L. Dahut<sup>2</sup>, Kwong Y.  
Tsang<sup>1</sup>, Seth M. Steinberg<sup>3</sup>, Jeffrey Schlom<sup>1</sup> and Philip M. Arlen<sup>1</sup>

<sup>1</sup>Laboratory of Tumor Immunology and Biology, <sup>2</sup>Medical Oncology Branch,  
and <sup>3</sup>Biostatistics and Data Management Section, Center for Cancer  
Research, National Cancer Institute, NIH, Bethesda, Maryland

# Predicted vs. Actual Survival of PSA-TRICOM Patients







Actual survival (\*) compared with the Halabi predicted survival (o).

# Phase II/III Trials: Metastatic Prostate Cancer

## Median Overall Survival

### Phase III

Mitoxantrone	16.4 mo	
Docetaxel (weekly)	17.3 mo	Δ 0.9 mo
Docetaxel (3 weekly)	18.9 mo	Δ 2.5 mo

### NCI Phase II

Docetaxel (HPS 16.5 mo)	15.5 mo	(Δ 1.0 mo)
-------------------------	---------	------------

### Randomized Phase II

Vector control	16.3 mo	
PSA-TRICOM (p = 0.006) (HR = 0.6)	24.4 mo	Δ 8.1 mo

### NCI Phase II

PSA-TRICOM (HPS 17.4 mo)	26.6 mo	Δ 9.2 mo
(ave HPS 12.3 mo)	14.6 mo	Δ 2.2 mo
(ave HPS 20.9 mo)	≥37.3 mo	Δ ≥16.4 mo

# Vaccine Combination Therapies

---

The vaccine induction of a dynamic host immune response can be boosted by

- concomitant or subsequent therapies

- (a) can alter the phenotype of tumor cells, rendering them more susceptible to T-cell killing
- (b) can lyse populations of tumor cells which, in turn, act as a booster for tumor-specific immune cells
- (c) can kill or inhibit regulatory T cells and thus boost the immune response