



Impact of the Tumor Microenvironment for CAR-T cell Therapy Efficacy

Jérôme Galon

SITC
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Disclosures

Co-founder and chairman of the scientific advisory board:

- *HalioDx*

Collaborative Research Agreement (grants) :

- *Perkin-Elmer, IObiotech, MedImmune, Janssen, Imcheck Therapeutics*

Participation to Scientific Advisory Boards:

- *BMS, MedImmune, Astra Zeneca, Novartis, Definiens, Merck Serono, IObiotech, ImmunID, Nanostring, Illumina, Northwest Biotherapeutics, Actelion, Amgen, Merck MSD*

Consultant :

- *BMS, Roche, GSK, Compugen, Mologen, Gilead, Sanofi*

A Novel Paradigm for Cancer

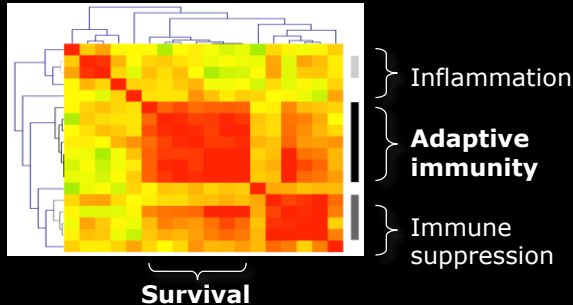
Science

AAAS

Type, Density, and Location of Immune Cells Within Human Colorectal Tumors Predict Clinical Outcome

Jérôme Galon,^{1*†} Anne Costes,¹ Fatima Sanchez-Cabo,² Amos Kirilovsky,¹ Bernhard Mlecnik,² Christine Lagorce-Pagès,³ Marie Tosolini,¹ Matthieu Camus,¹ Anne Berger,⁴ Philippe Wind,⁴ Franck Zinzindohoué,⁵ Patrick Bruneval,⁶ Paul-Henri Cugnenc,⁵ Zlatko Trajanoski,² Wolf-Herman Fridman,^{1,7} Franck Pagès^{1,7†}

29 SEPTEMBER 2006 VOL 313 SCIENCE www.sciencemag.org



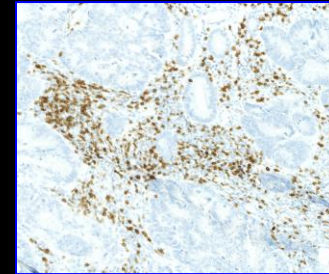
Optimized
Immunosign

Quality

The foundation a new
concept

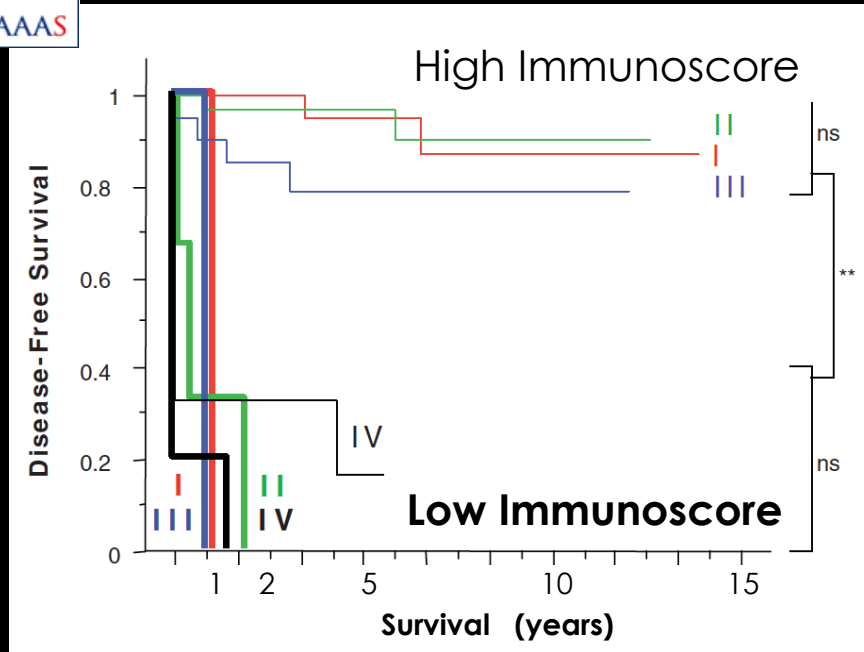


Immune contexture



Type/Density/Location

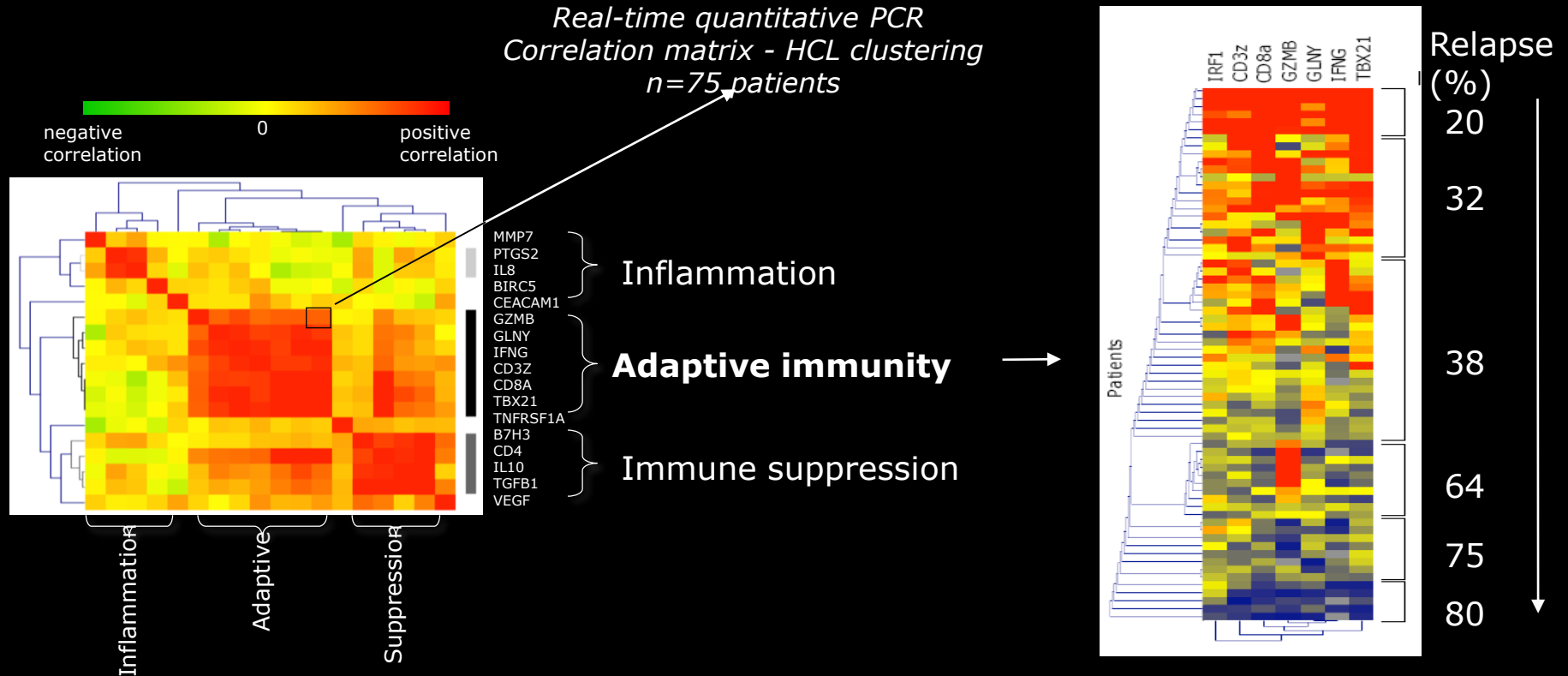
Immunoscore: a novel paradigm for cancer



- ✓ High Immunoscore
 - ✓ Inflamed tumors
 - ✓ Strong pre-existing adaptive immunity
-
- ✓ Low Immunoscore
 - ✓ Non-Inflamed tumors
 - ✓ Weak/absent pre-existing adaptive immunity

Coordinated adaptive immune reaction (Immunoscore) more than tumor invasion predicts clinical outcome

Analysis of immune function



Coordinated adaptive immune response correlated with relapse

A Novel Paradigm for Cancer

Multivariate Cox Analysis

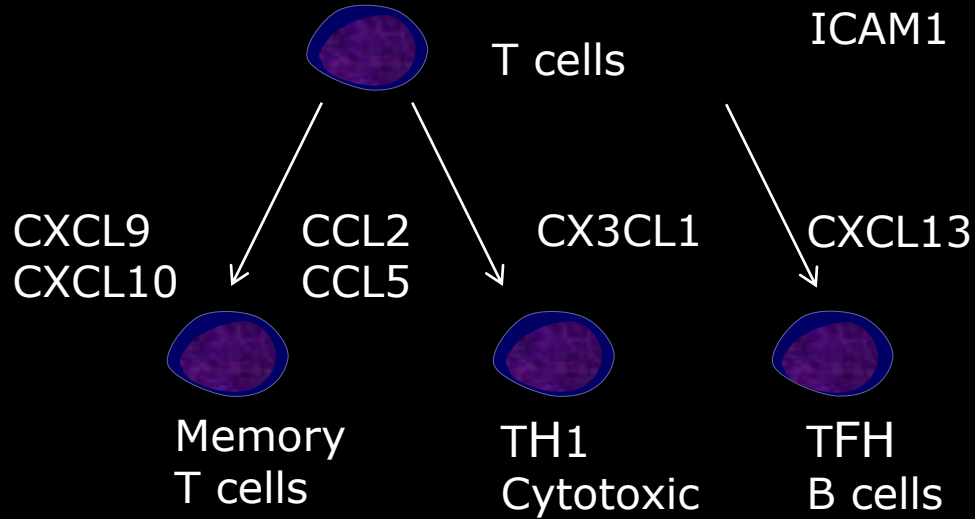
<i>Parameters</i>	<i>HR</i>	<i>P value</i>
• T-stage	1.2	0.25
• N-stage	1.4	0.15
• Differentiation	1.1	0.84
• Immunescore	1.9	0.00001

"Immune Contexture" :

Cells ->	✓ Type	}	-> Immunescore
Quantity ->	✓ Density		
Spatial ->	✓ Location		
Quality ->	✓Immune functional orientation		-> Immunosign

Mechanisms associated with T cells infiltration

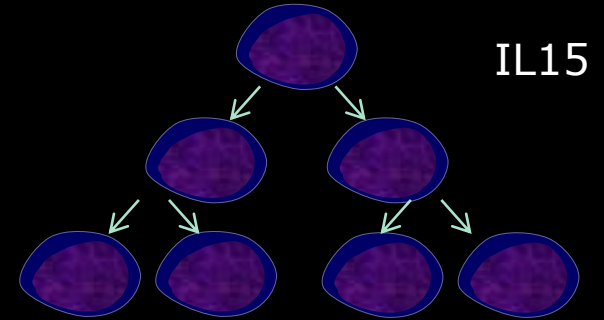
Attraction



Adhesion

MADCAM1
VCAM1
ICAM1

Local lymphocyte proliferation



Mlecnik et al. *Gastroenterology* 2010

Mlecnik et al. *Science Transl Med* 2014

Bindea et al. *Immunity* 2013

The continuum of cancer immunosurveillance



Immunity
Review

Cell
PRESS

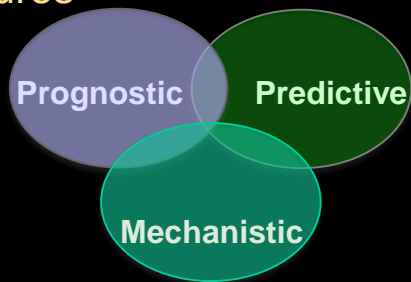
The Continuum of Cancer Immunosurveillance: Prognostic, Predictive, and Mechanistic Signatures

Jérôme Galon,^{1,2,3,*} Helen K. Angell,^{1,2,3} Davide Bedognetti,⁴ and Francesco M. Marincola^{4,5,*}



The overlap between prognostic, predictive and mechanistic immune signatures

NON-Immune signatures



IMMUNE signatures

Prognostic

Predictive

Immune
contexture

Mechanistic

Immunoscore
Th1
Cytotoxicity
Chemokines
Cytokines
Adhesion



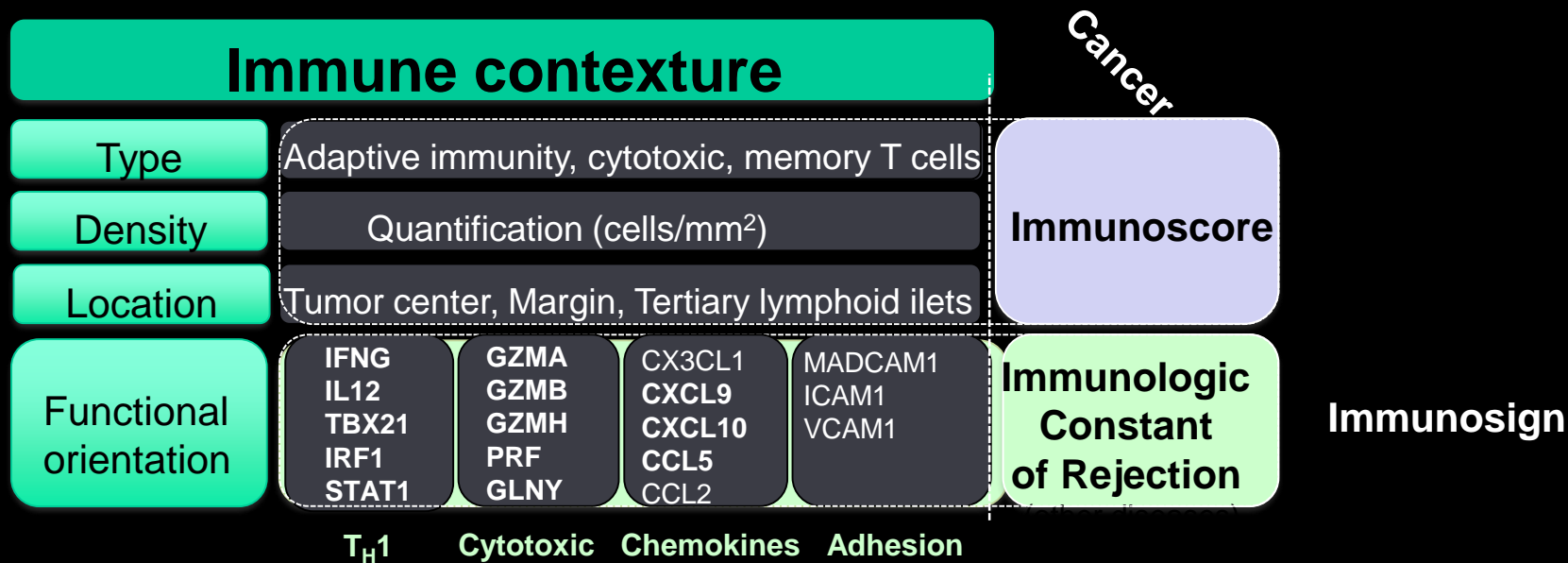
The overlap between prognostic, predictive and mechanistic immune signatures

IMMUNE signatures

		Immune contexture					
		Chemokines			Effector molecules		
		STAT-1 IRF-1/IFN- γ -SG Pathway	CXCR3/ CXCL9-11 Pathway	CCR5/ CCL3-5 Pathway	Granzyme Perforin Granulisin/ TIA-1/CASPs Pathway	Adhesion Molecules	References
Prognostic							
Breast		+	+		+	+	Ascierto et al., 2012
	+	+		+			Curtis et al., 2012
Ovarian		+		+	+		Leffers et al., 2010
	+	+				+	Zhang et al., 2003
Melanoma		+	+	+			Messina et al., 2012
	+	+					Mann et al., 2013
Colorectal	+	+	+		+	+	Mlecnik et al., 2010
	+				+		Galon et al., 2006
	+				+		Pagès et al., 2005
	+		+		+		Tosolini et al., 2011
		+					Jiang et al., 2010
Lung			+				Moran et al., 2002
Hepatocellular	+	+	+			+	Chew et al., 2012
	+		+		+	+	Chew et al., 2010
Predictive							
Breast (Chemo)		+					Denkert et al., 2010
	+	+					Desmedt et al., 2008
	+	+					Teschendorff et al., 2007
	+	+					Ignatiadis et al., 2012
Melanoma (IL-2/ vaccine/ adoptive therapy/anti- CTLA-4)	+						Wang et al., 2002
	+		+			+	Weiss et al., 2011
	+	+					Gajewski et al., 2010
		+	+				Bedognetti et al., 2012
	+	+	+	+	+		Ji et al., 2012
	+	+	+	+	+		Ulloa-Montoya et al., 2013
Lung	+	+	+	+	+		Ulloa-Montoya et al., 2013
Mechanistic							
Melanoma (IL-2/ vaccine/anti- CTLA-4)		+	+		+	+	Panelli et al., 2002
	+						Wang et al., 2002
	+		+			+	Weiss et al., 2011
	+				+		Aarntzen et al., 2012
Basal Cell (Imiquimod)	+	+	+		+		Ji et al., 2012
	+	+	+				Panelli et al., 2007

Galon J et al.

Immunity 2013



CD19-CAR-T immunotherapy: ZUMA-1 Trial



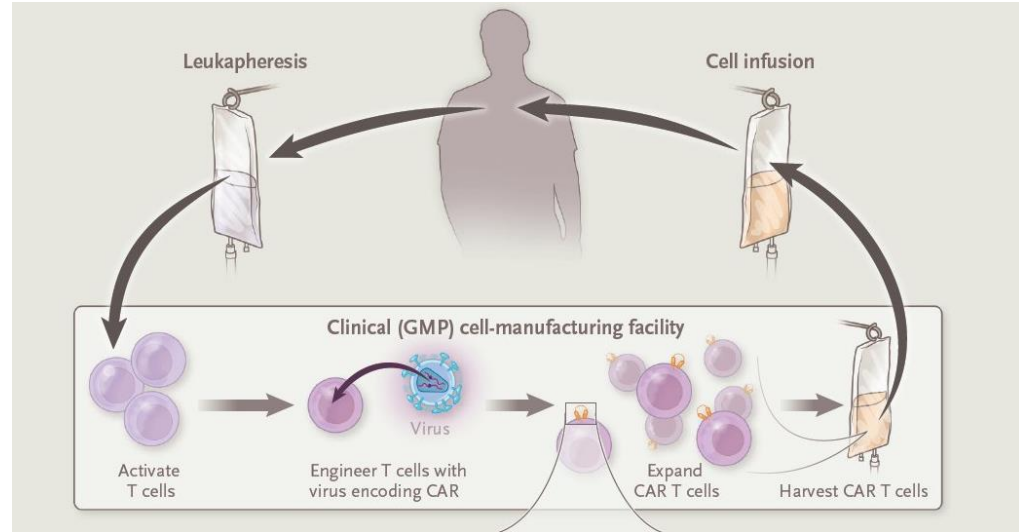
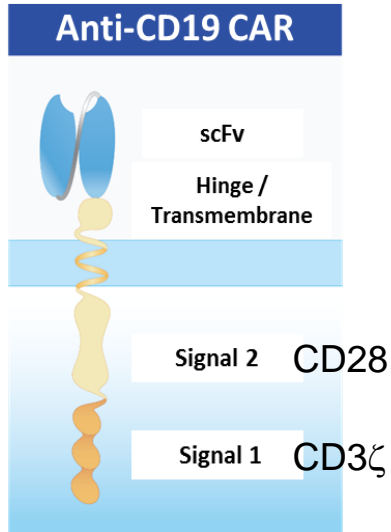
The NEW ENGLAND
JOURNAL of MEDICINE

ORIGINAL ARTICLE

Axicabtagene Ciloleucel CAR T-Cell Therapy in Refractory Large B-Cell Lymphoma

S.S. Neelapu, F.L. Locke, N.L. Bartlett, L.J. Lekakis, D.B. Miklos, C.A. Jacobson, I. Braunschweig, O.O. Oluwole, T. Siddiqi, Y. Lin, J.M. Timmerman, P.J. Stiff, J.W. Friedberg, I.W. Flinn, A. Goy, B.T. Hill, M.R. Smith, A. Deol, U. Farooq, P. McSweeney, J. Munoz, I. Avivi, J.E. Castro, J.R. Westin, J.C. Chavez, A. Ghobadi, K.V. Komanduri, R. Levy, E.D. Jacobsen, T.E. Witzig, P. Reagan, A. Bot, J. Rossi, L. Navale, Y. Jiang, J. Aycock, M. Elias, D. Chang, J. Wieszorek, and W.Y. Go

CAR-T Design and Product Manufacturing



Adapted from Tran et al, NEJM 2017

The CAR-T was approved by the US FDA and European Commission for the treatment of adult patients with relapsed/refractory large B cell lymphoma after ≥ 2 lines of systemic therapy

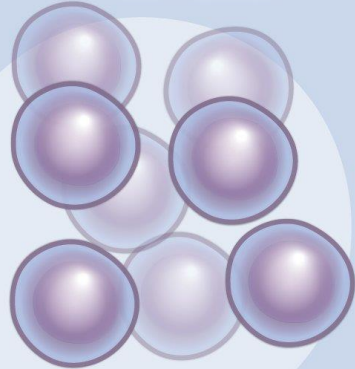
ZUMA-1 Trial: Clinical Outcomes

CAR T-Cell Therapy for Refractory Large B-Cell Lymphoma

MULTICENTER, PHASE 2 CLINICAL TRIAL

**CAR T-cell
Therapy**

N=101



**82% Objective
response**

54% Complete response

**(20% Objective response
in historical controls)**

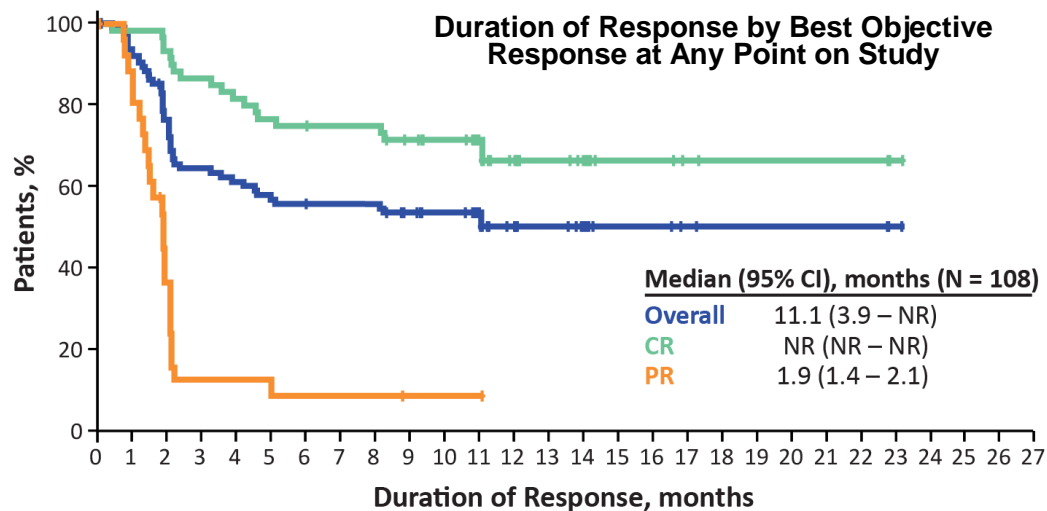
**52% Overall survival
at 18 months**

96 Patients
Had grade ≥ 3
adverse events:

13 Patients
Had cytokine
release syndrome
(including 2 deaths)

28 Patients
Had neurologic
events

ZUMA-1 Trial: Long-Term Follow Up



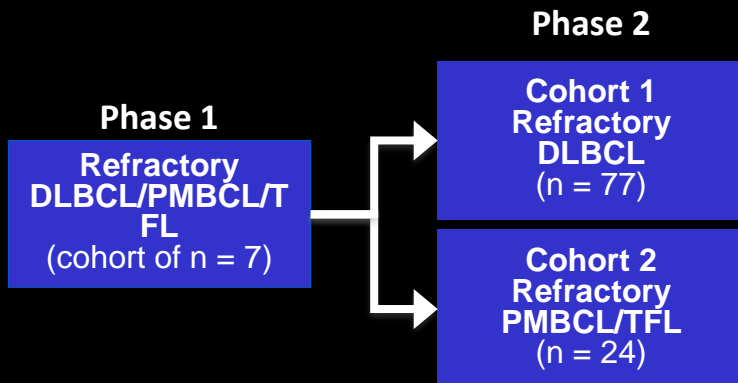
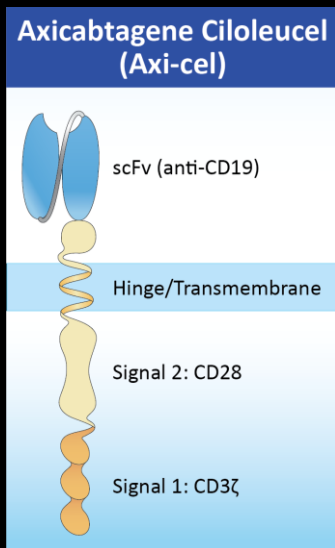
Patients at Risk

Overall	89	82	67	56	53	49	48	47	47	42	38	31	19	16	12	6	6	4	3	3	3	3	3	1	0
CR	63	61	58	53	50	47	46	45	45	41	37	30	19	16	12	6	6	4	3	3	3	3	3	1	0
PR	26	21	9	3	3	2	2	2	2	1	1	1	0												

CR, complete response; PR, partial response; NR, not reached; CI, confidence interval.

CAR-T cell therapy

CAR Design and Schematic Representation of ZUMA-1 Trial



Of 101 patients in Phase 2 ZUMA-1 with refractory large B cell lymphoma treated with axi-cel :

ORR, 83%
CR, 58%

Ongoing responses: 39% including 37% CR

CRS and NE were mostly reversible (n = 108 from Phases 1 & 2):

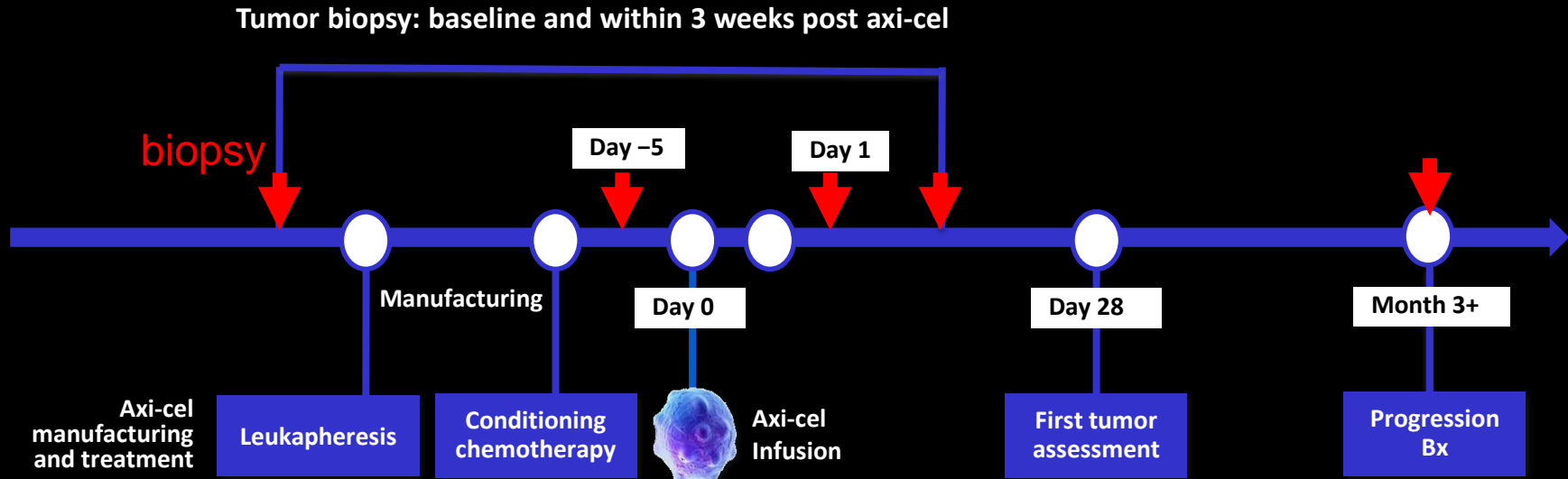
Grade ≥ 3 CRS, 11%
Grade ≥ 3 NE, 32%

4 Grade 5 AEs (2 axi-cel related and 2 unrelated)

Axi-Cel Maintained Ongoing Responses at Median Follow-Up of 27.1 Months

AE, adverse event; axi-cel, axicabtagene ciloleucel; CR, complete response; CAR, chimeric antigen receptor; CRS, cytokine release syndrome; DLBCL, diffuse large B cell lymphoma; NE, neurologic event; NHL, non-Hodgkin lymphoma; ORR, objective response rate; PMBCL, primary mediastinal B cell lymphoma; TFL, transformed follicular lymphoma.

Tumor microenvironment analysis: Zuma 1 - Protocol and Timing of Paired Biopsies



KITE ZUMA-1 clinical trial Translational Biomarkers analysis

- *What are the changes in TME Post-CAR-T?*
- *Which patients are responding to CAR-T?*
- *What are the mechanisms of relapse?*
- *Can we predict toxicities?*

TME: Tumor MicroEnvironment

KITE ZUMA-1 clinical trial Translational Biomarkers analysis

- *TME Tx related signature*
- *Predictive TME signature (baseline and early post Tx)*
- *Biomarkers at relapse*
- *Toxicity signature*

KITE ZUMA-1 clinical trial Translational Biomarkers analysis

- ***TME Tx related signature***
- *Predictive TME signature (baseline and early post Tx)*
- *Mechanisms of relapse*
- *Toxicity signature*

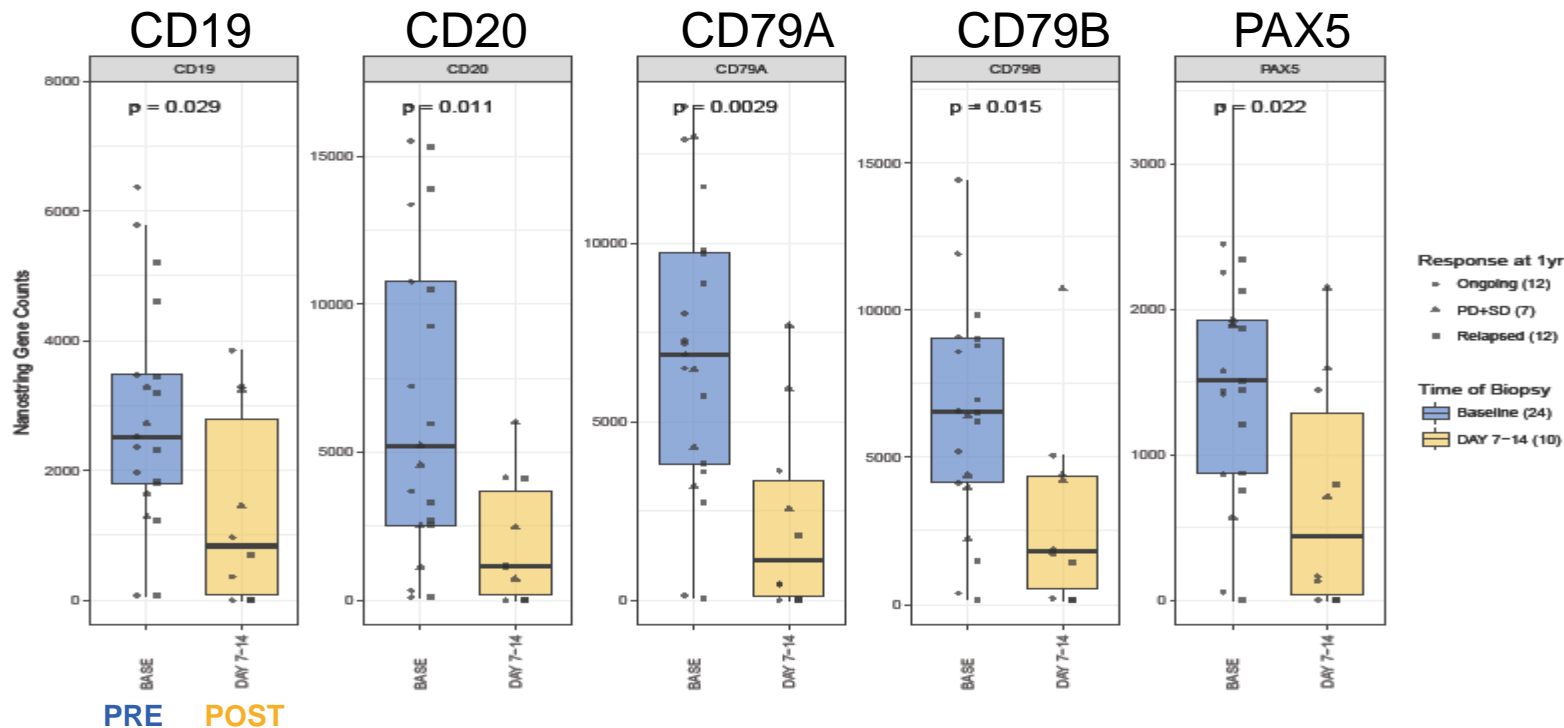
Prespecified Gene Sets Analyzed

Immunosign 21		Expanded 43 Immune Gene Panel				PanCancer Immune Profiling Panel (763 genes)	
CD3G	STAT4	CTLA4	GZMH	CD8A	PDCD1	Adaptive immune response	
CD3E	CD3D	CD3G	IRF1	CX3CL1	TNFRSF9	B cells	T cells
GZMK	GZMM	CD3E	GZMA	CXCL10	TSLP	eg, BLK, CD19, CR2, MS4A1, TNFRSF17	eg, CD2, CD2E, CD3G, CD6
PRF1	CD8A	REN	GZMB	TNFRSF18	CCL2		
ICOS	CXCL10	GZMK	CXCL11	CD69	CD247		
STAT1	IL15	CCL5	STAT4	CD274	GNLY		
CCR2	CCL2	ITGAE	LAG3	IL15	LTK	Innate immune response	
IRF1	TBX21	PRF1	CD3D	PF4	TBX21	Cytotoxic cells	Dendritic cells
GZMA	CXCR3	ICOS	CXCL9	IFNG	VEGFA	eg, CD8, BLC2	eg, CCL12, CCL17
GZMB	CD69	STAT1	GZMM	CXCL13	CXCR3	Macrophages	Granulocytes
CXCL11		CCR2	IL17A	PROM1		eg, APOE, CCL7	eg, CMA1, CSF3R

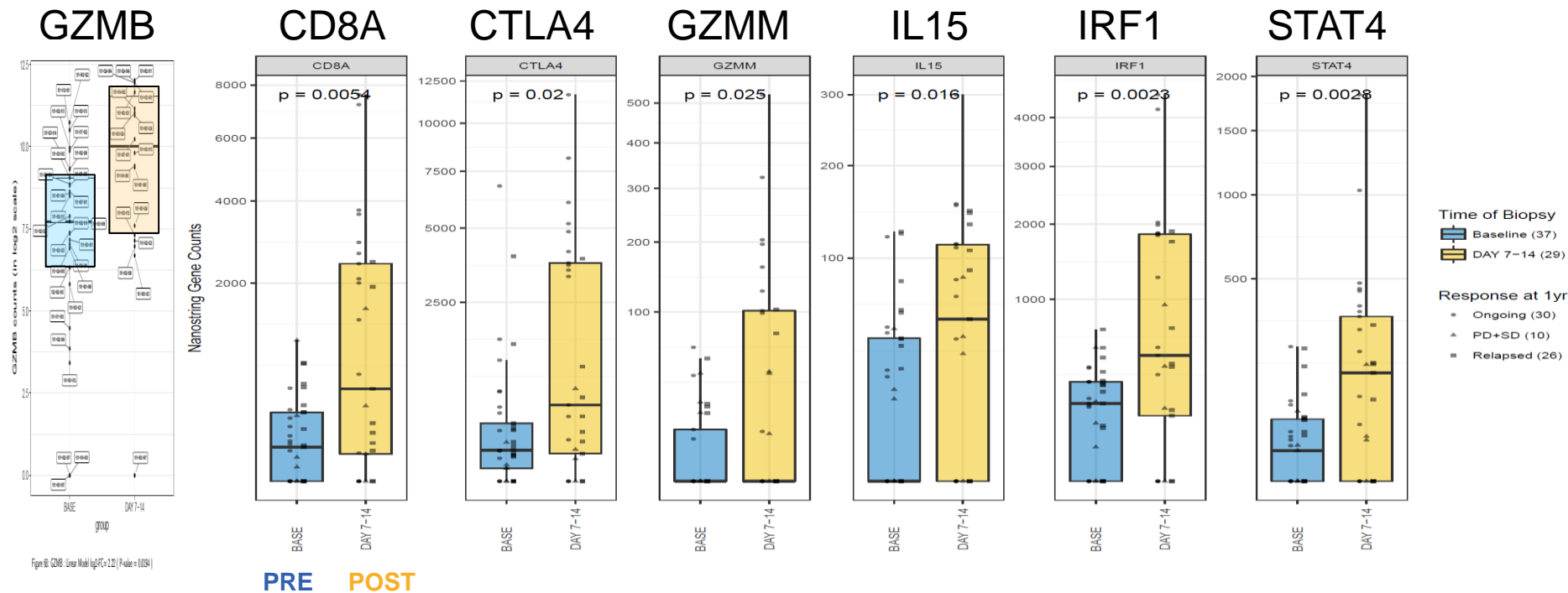
Changes in Tumor Micro-Environment (TME) immune genes after CAR-T (Yescarta)

Changes in TME PRE and POST CAR-T

Changes in Gene Expression in TME Post Axi-Cel: Decreased B Cell Lineage Gene Expression Post Treatment



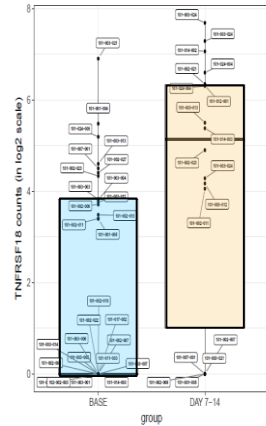
Changes in Gene Expression in TME Post Axi-Cel: Increase of Cytotoxic T Cells and Interferon-Program Related Genes (Immunosign) Post Treatment



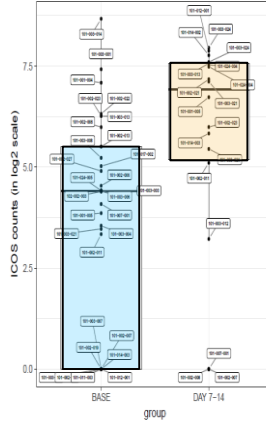
Changes in Gene Expression in TME Post Axi-Cel: Increase Post Treatment

Activating checkpoints

GITR



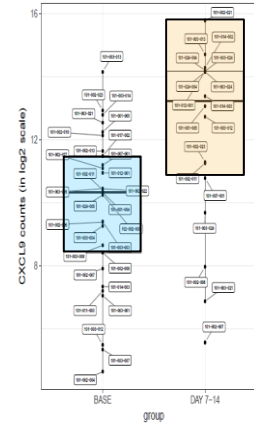
ICOS



PRE POST

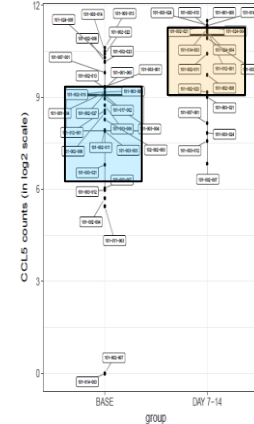
Chemokines

CXCL9
(MIG)



PRE POST

CCL5
(RANTES)



CCL2
(MCP-1)

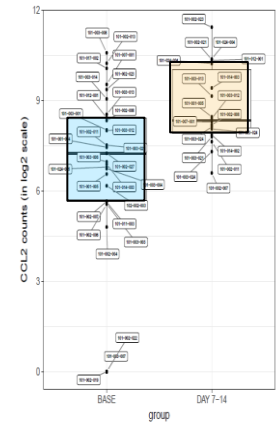
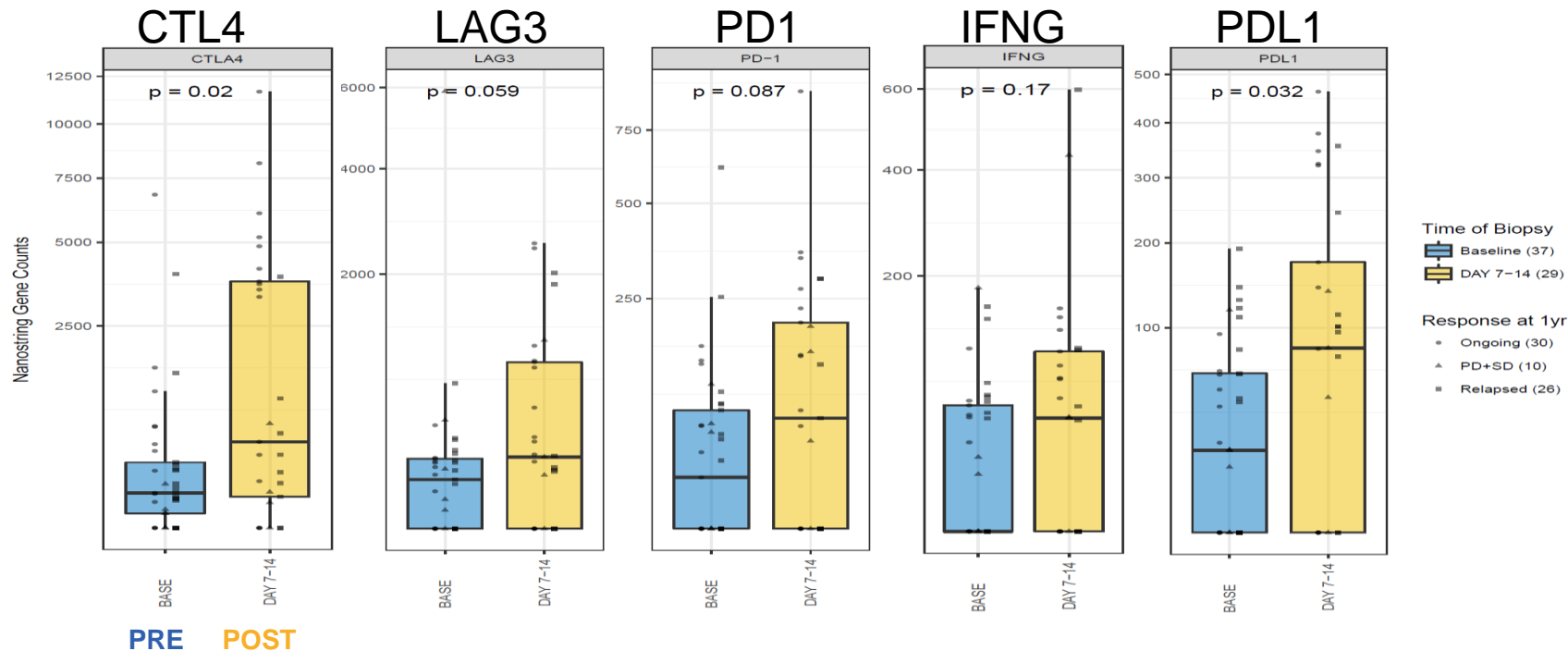
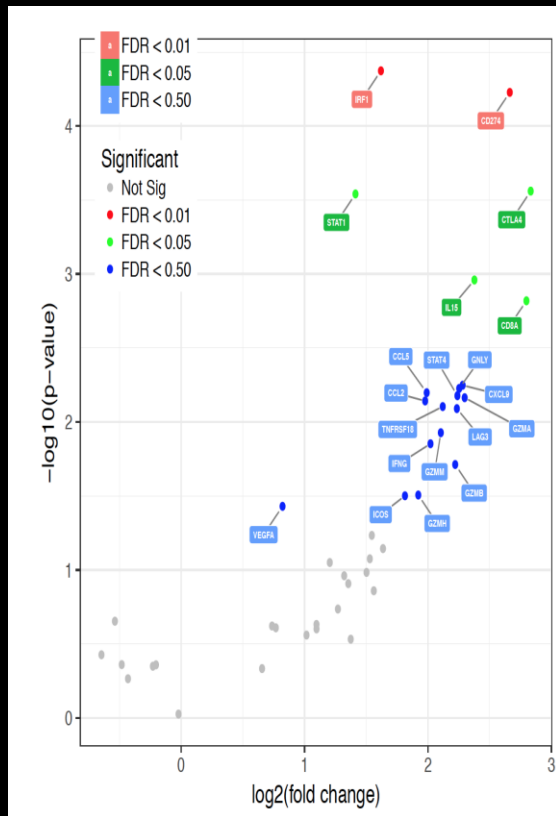


Figure 63: CCL2: Linear Model $\log_2FC = 1.98$ (P-value = 0.0072)

Changes in Gene Expression in TME Post Axi-Cel: IFNg-Program Related Checkpoints (Immunosign panel) Post Treatment



Treatment with Axi-Cel Results in Rapid and Dramatic Changes in the Tumor Immune Microenvironment



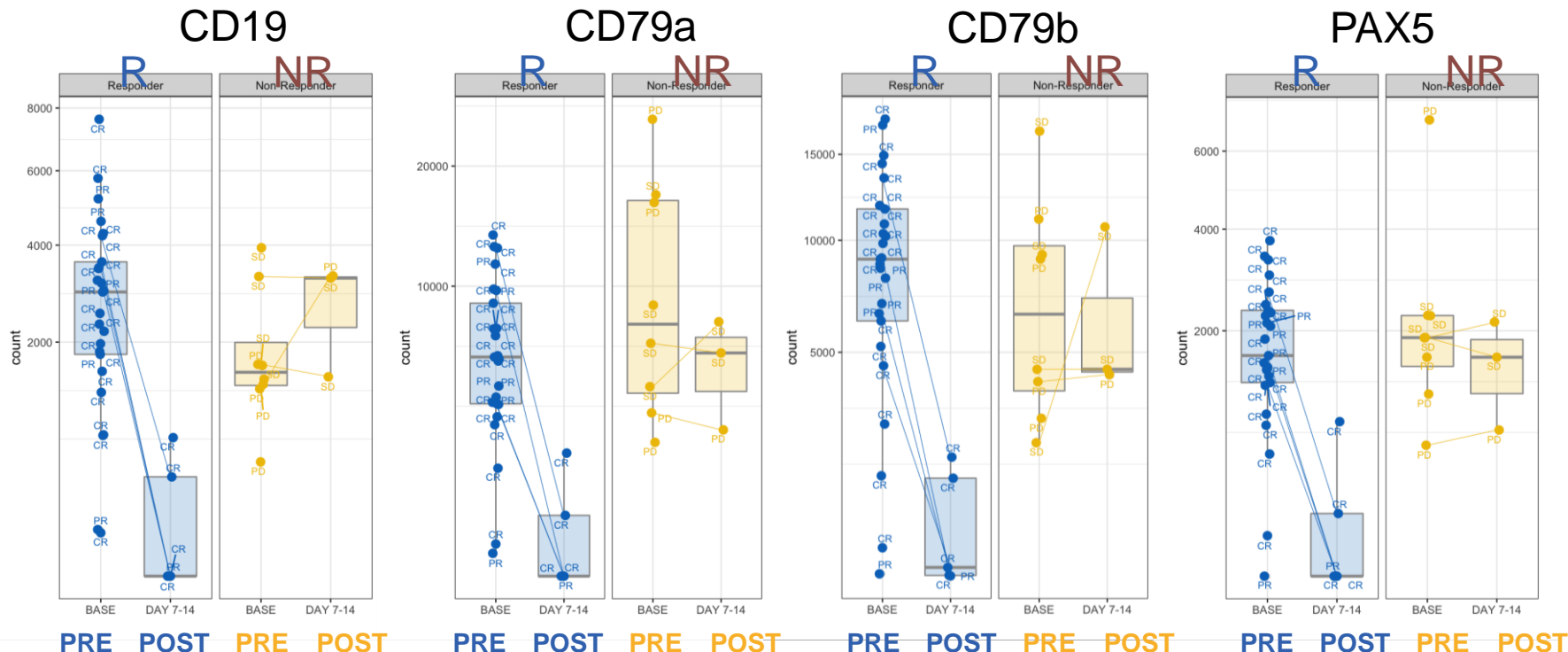
Top transcripts from a pre-specified 43 immune gene panel upregulated in tumor 7-21 days after treatment. IDO1 and other genes not in the 43 panel are pending.

Checkpoints	IFN related	Effectors	Proliferative	Chemokines
PD-L1	IRF1			
CTLA4	STAT1			
		CD8A	IL15	
		GNLY		
		GZMA		
	STAT4			
LAG3				CXCL9
TNFRSF18				CCL2
				CCL5
	IFN γ	GZMM		
		GZMB		
		GZMH		
ICOS				

Changes in Tumor Micro-Environment (TME) immune genes after CAR-T (Yescarta)

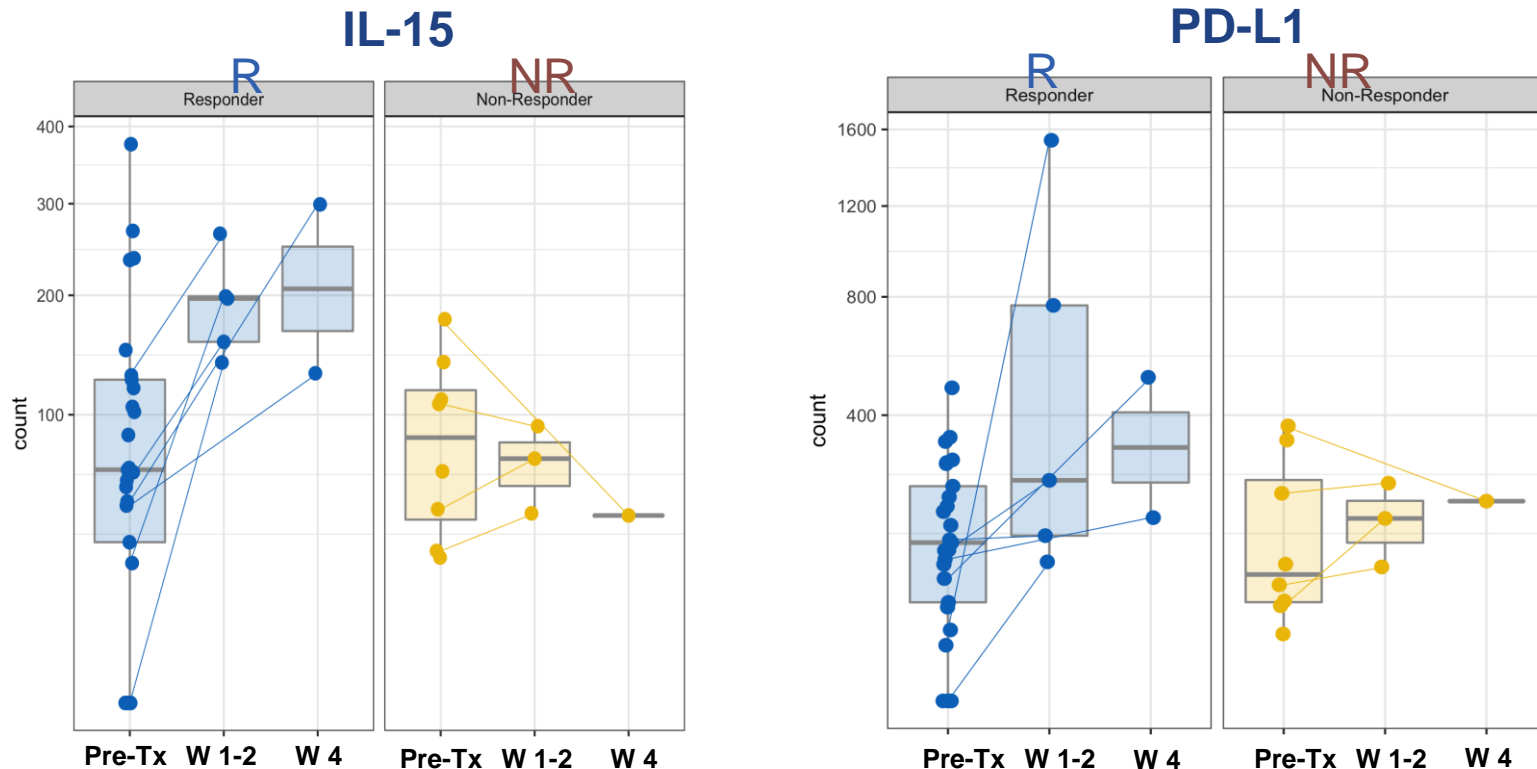
**Changes in TME POST CAR-T
in Responders (R) and Non-Responders (NR)**

Rapid Decline in Expression Levels of B Cell-Related Genes Post Axi-Cel in Responding Patients



Gene expression analysis of tumor biopsies pre and post-Tx was performed by nanostring.
Zuma-1 trial, 1 year follow up

Changes in IL-15 and PD-L1 Gene Expression in the Tumor Microenvironment in Responding vs Non-Responding Patients



Gene expression analysis of tumor biopsies pre and post-Tx was performed by nanostring.

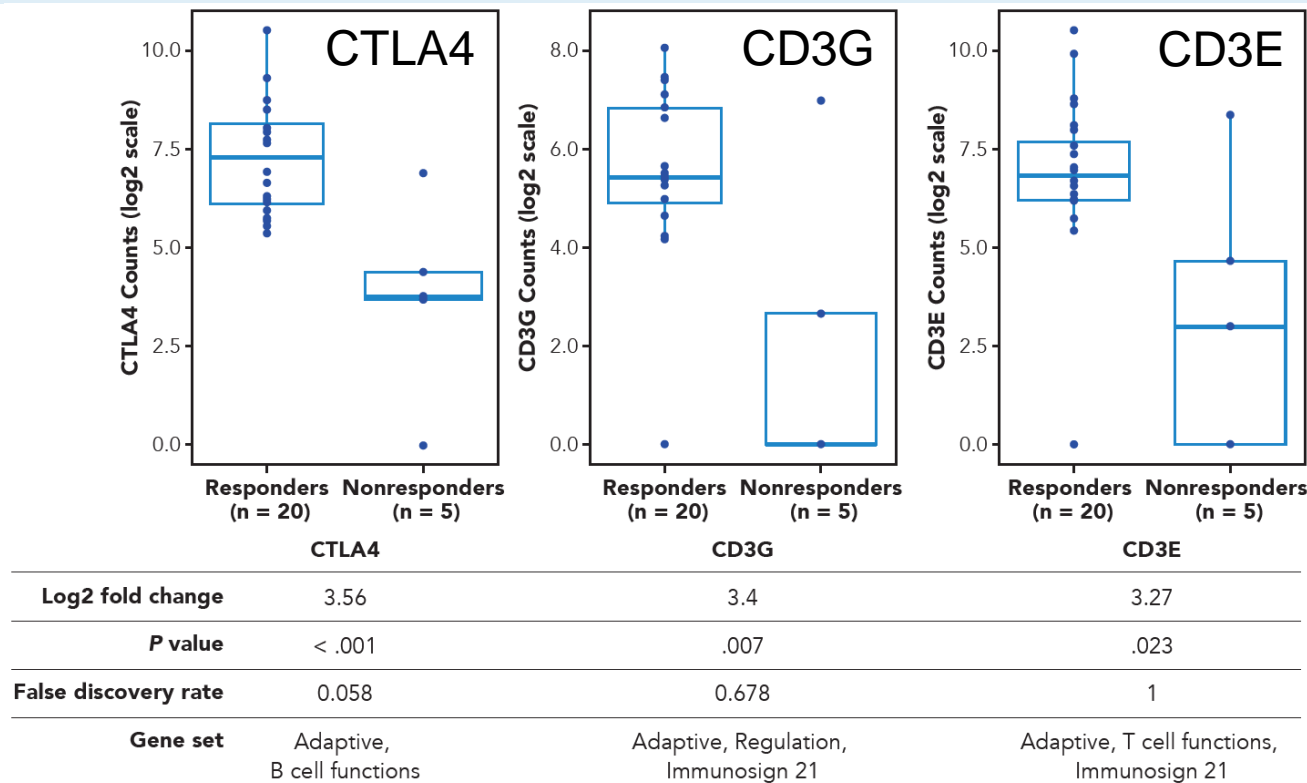
Responder: Best response was complete or partial response. Non-responder: best response was progression or stable disease.

Zuma-1 trial, 1 year follow up

KITE ZUMA-1 clinical trial Translational Biomarkers analysis

- *TME Tx related signature*
- ***Predictive TME signature (baseline and early post Tx)***
- *Mechanisms of relapse*
- *Toxicity signature*

Immune Genes Elevated in Tumor Biopsies Measured Before CAR T Cell Treatment From Responders From a Prespecified 43 Immune Gene Panel^a



^aThis analysis was performed on samples from 25 patients treated with axi-cel with a minimum follow-up of 9 months. One patient subsequently converted from a “nonresponder” to a “responder” at 12-month follow-up.

Pre-specified Immunosign21 custom signature separates OR from non-OR

Immunosign21
Hi
Lo

Pre-specified cutoff
Hi/Lo: (25% percentile)

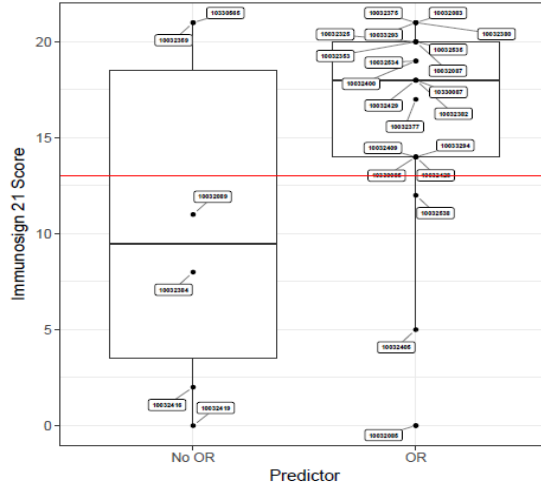


Figure 22: Immunosign 21 Scores. The red line is the low/high score cut-off

	Immunosign 21	
	High	Low
Objective.Response		
No OR	2	4
OR	18	3

Table 15: Contingency table for ImmunoSign 21 Level and Objective.Response. Associated Fisher test p.value is: 0.024

N=27

-> Validation on N=51 samples

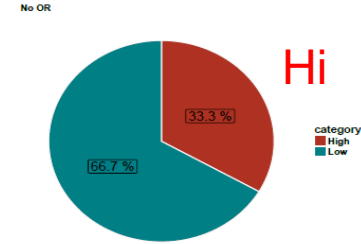
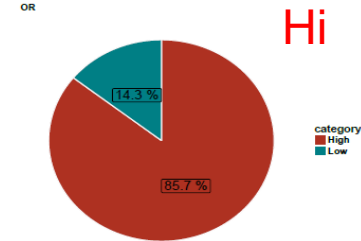


Figure 23: Immunosign 21 Scores. Repartition of scores for No OR samples



Chi2 Pvalue, P=0.023

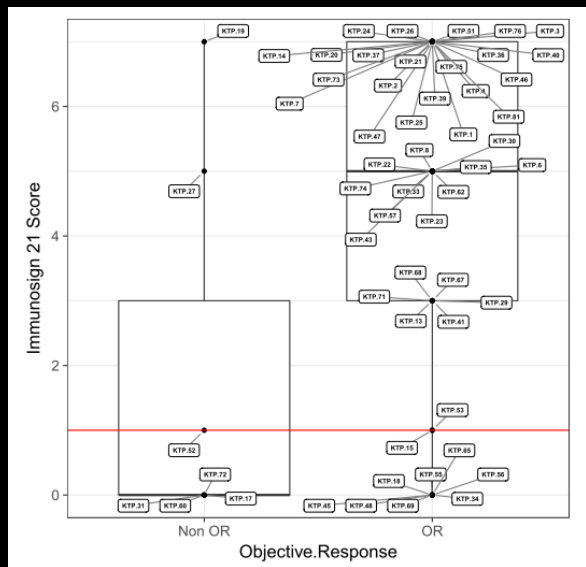
Figure 24: Immunosign 21 Scores. Repartition of scores for OR samples

No OR
67% Lo

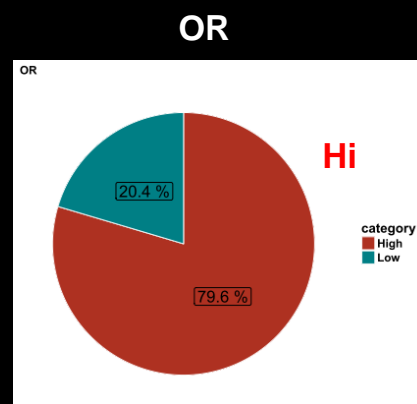
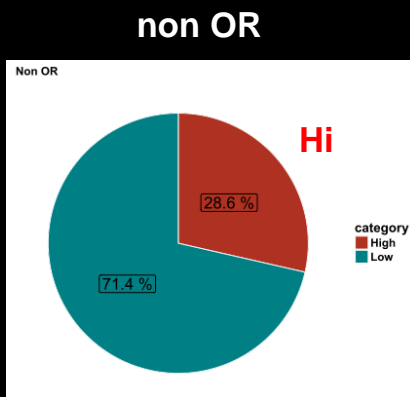
OR
86% Hi

Pre-specified Immunosign® 21 separates OR from non-OR

OR vs No OR (Objective.Response) in pre-treatment Population



Fisher test P=0.012

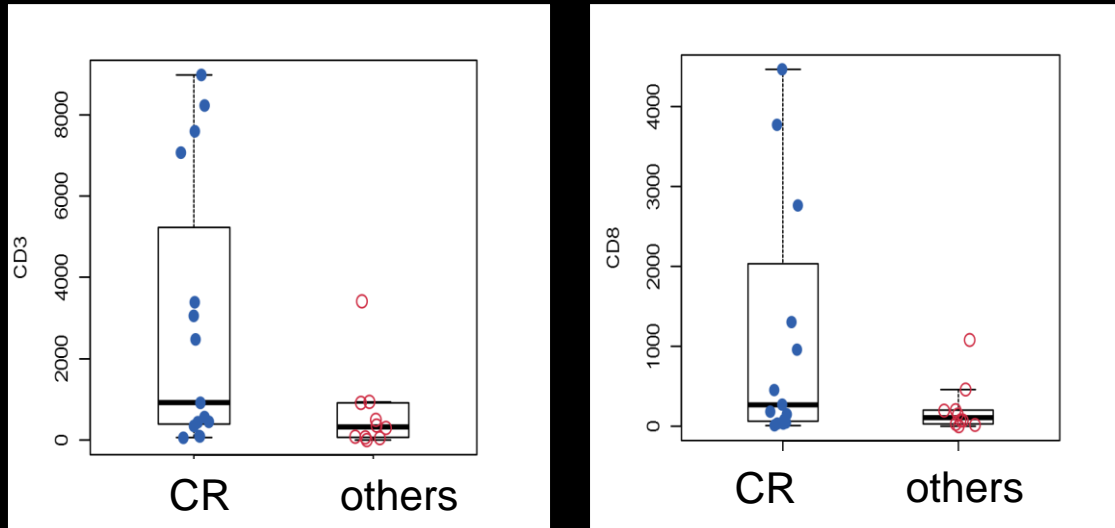


N=64

Immunosign® 21 pre-CAR-T infusion predicts Objective Response

Pre-treatment T-cell densities

Association Between Intratumoral Densities of CD3+ and CD8+ T Cells and Clinical Outcome

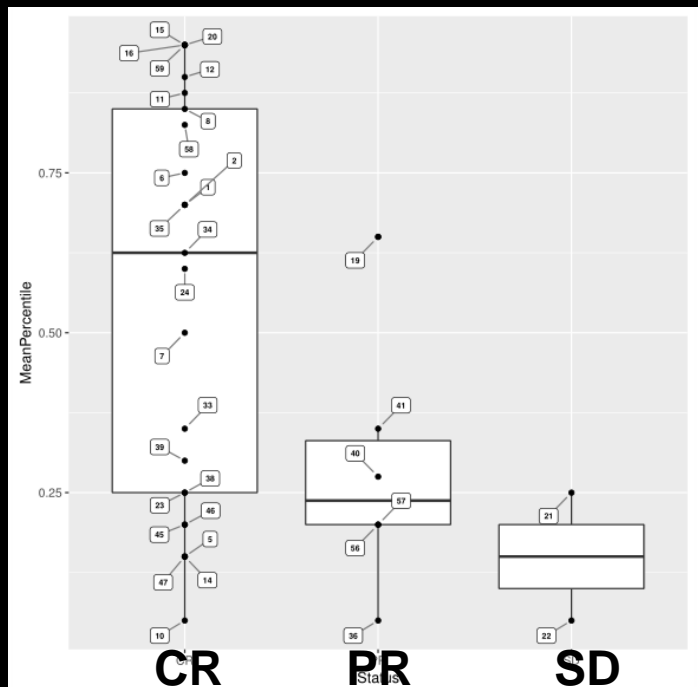


Pretreatment intratumoral densities of CD3+ and CD8+ T cells trends positively with achievement of CR ($P = .025$ and $.049$, respectively)

CR, complete response.

Immunoscore analysis

Mean Percentile Immunoscore®



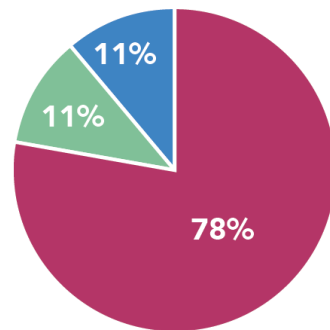
Significant Increase of Biopsy Immunoscore® on patient with CR

Immunoscore®

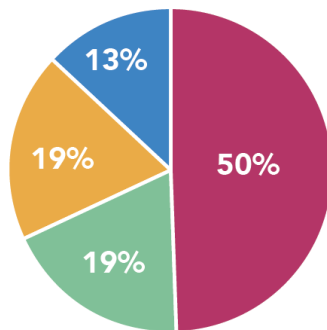
	High	Low
CR	14	11
PR	1	5
SD	0	2

Exact fisher test
p-value: 0.028

High Immunoscore®
(n = 9)

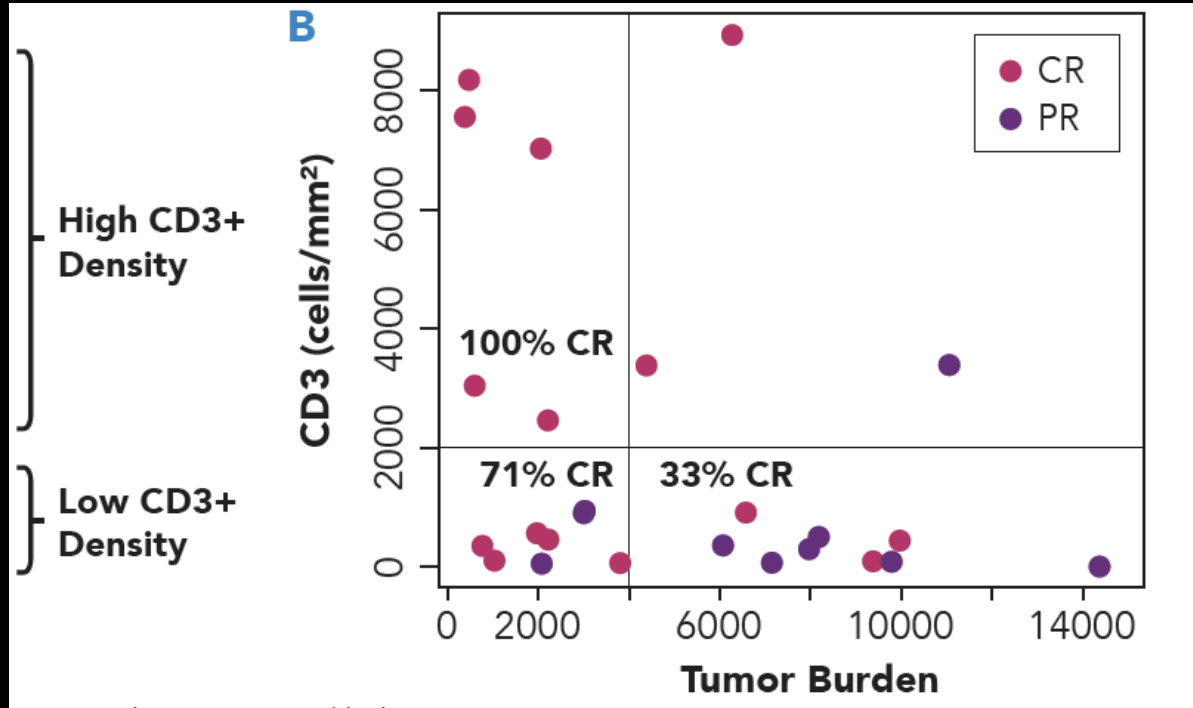


Low Immunoscore®
(n = 16)



CR PR SD PD

T cell densities & Tumor burden analysis



CR after CAR-T treatment correlates with “Hot” TME pretreatment. However, a subset of patients with “Cold” TME pretreatment and low intratumoral T cell density, achieved CR. Data suggest that CAR T cells may overcome a detrimental TME defined by low density of intratumoral CD3+ T cells pretreatment

KITE ZUMA-1 clinical trial Translational Biomarkers analysis

- *TME Tx related signature*
- *Predictive TME signature (baseline and early post Tx)*
- ***Mechanisms of relapse***
- *Toxicity signature*

Change in TME upon progression: mechanisms of relapse

Enhancement of expression of select genes upon progression

5.3.2.6 PRAME

PRAME

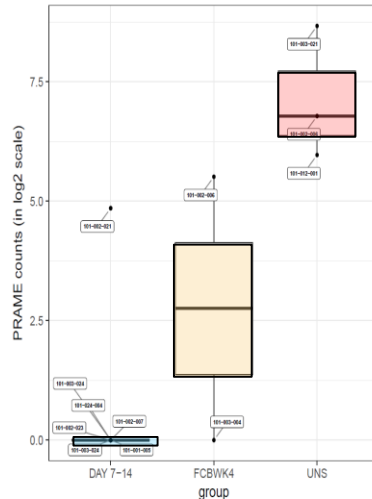


Figure 160: PRAME : Linear Model $\log_2\text{FC} = \text{NA}$ (Anova P-value = 0.00514) in post-treatment+OR (Treatment Status Objective.Response) population.

5.3.2.14 CCL22

CCL22

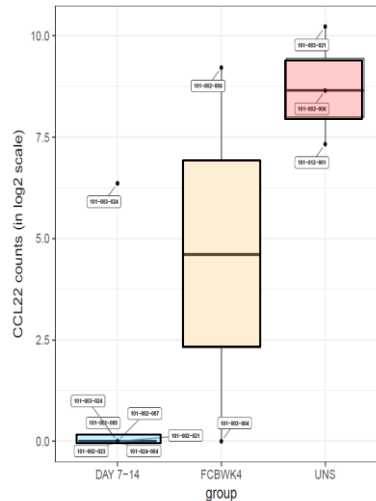


Figure 168: CCL22 : Linear Model $\log_2\text{FC} = \text{NA}$ (Anova P-value = 0.0131) in post-treatment+OR (Treatment Status Objective.Response) population.

5.3.2.20 AICDA

AICDA

(The protein is involved in somatic hypermutation, gene conversion, and class-switch recombination of immunoglobulin genes)

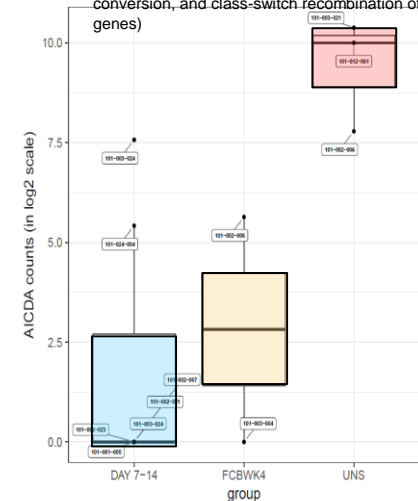


Figure 174: AICDA : Linear Model $\log_2\text{FC} = \text{NA}$ (Anova P-value = 0.0168) in post-treatment+OR (Treatment Status Objective.Response) population.

POST

**Biopsy
Wk14**

**Biopsy
After Relapse
(Progressor)**

POST

**Biopsy
Wk14**

**Biopsy
After Relapse
(Progressor)**

POST

**Biopsy
Wk14**

**Biopsy
After Relapse
(Progressor)**

Diminution of CAR and immune related genes upon progression

CAR-T

5.3.2.50 CD28_CD3z

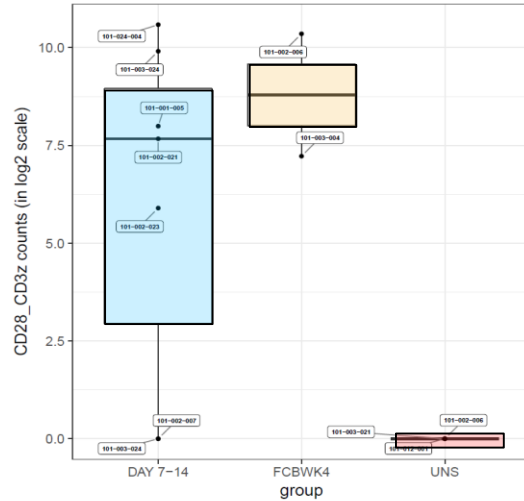


Figure 204: CD28_CD3z : Linear Model log2-FC= NA (Anova P-value = 0.0527) in post-treatment+OR (Treatment.Status.Objective.Response) population.

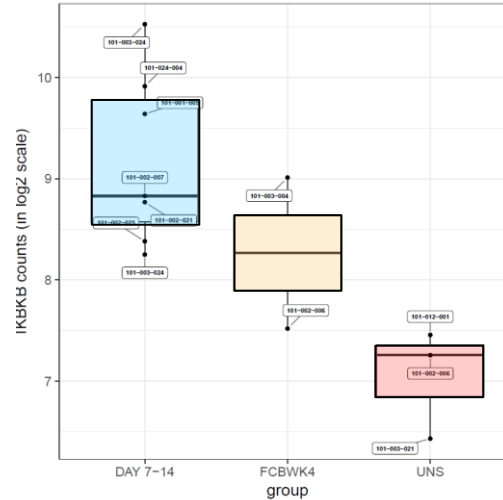
POST

Biopsy
Wk14

Biopsy
After Relapse
(Progressor)

IKKb (Inh NFKb)

IKKb



IKKb : Linear Model log2-FC= NA (Anova P-value = 0.0134) in post-treatment+OR (Treatment.Status.Objective.Response) population.

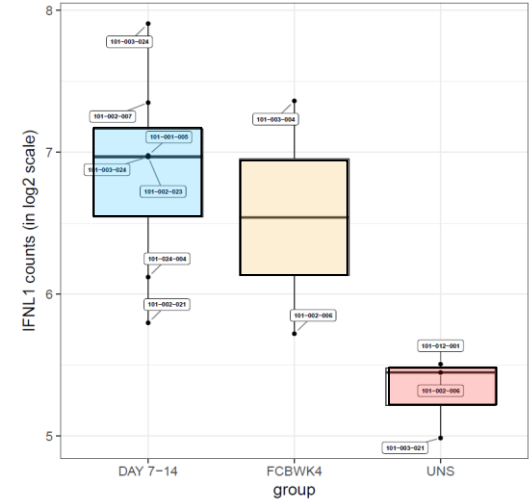
POST

Biopsy
Wk14

Biopsy
After Relapse
(Progressor)

IFNL1, IL29 (binds IL28RA, IL10RB)

5.3.2.39 IFNL1



IFNL1 : Linear Model log2-FC= NA (Anova P-value = 0.0338) in post-treatment+OR (Treatment.Status.Objective.Response) population.

POST

Biopsy
Wk14

Biopsy
After Relapse
(Progressor)

Diminution of CAR and immune related genes upon progression

5.3.2.40 SAA1

SAA1

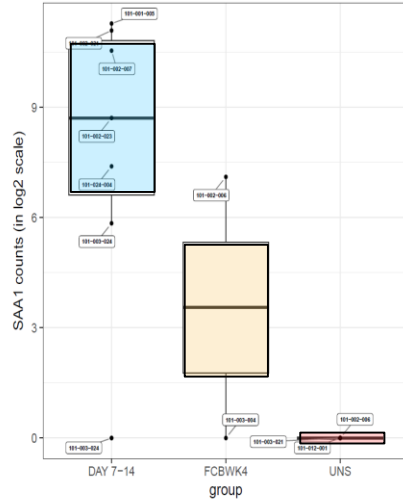


Figure 194: SAA1 : Linear Model log2-FC= NA (Anova P-value = 0.0344) in post-treatment+OR (Treatment.Status Objective Response) population.

POST

**Biopsy
Wk14**

**Biopsy
After Relapse
(Progressor)**

5.3.2.43 IL15

IL15

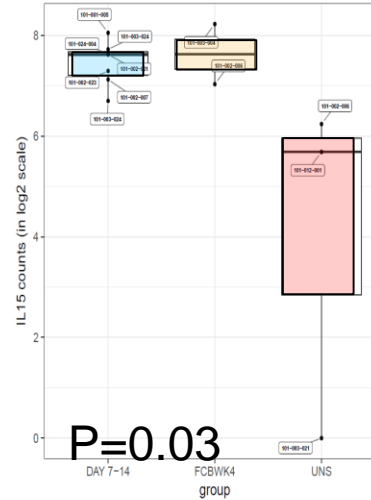


Figure 197: IL15 : Linear Model log2-FC= NA (Anova P-value = 0.0369) in post-treatment+OR (Treatment.Status Objective Response) population.

POST

**Biopsy
Wk14**

**Biopsy
After Relapse
(Progressor)**

Immunosign 21

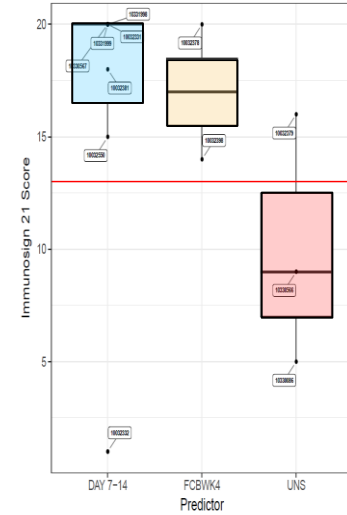


Figure 206: Immunosign 21 Scores. The red line is the low/high score cut-off

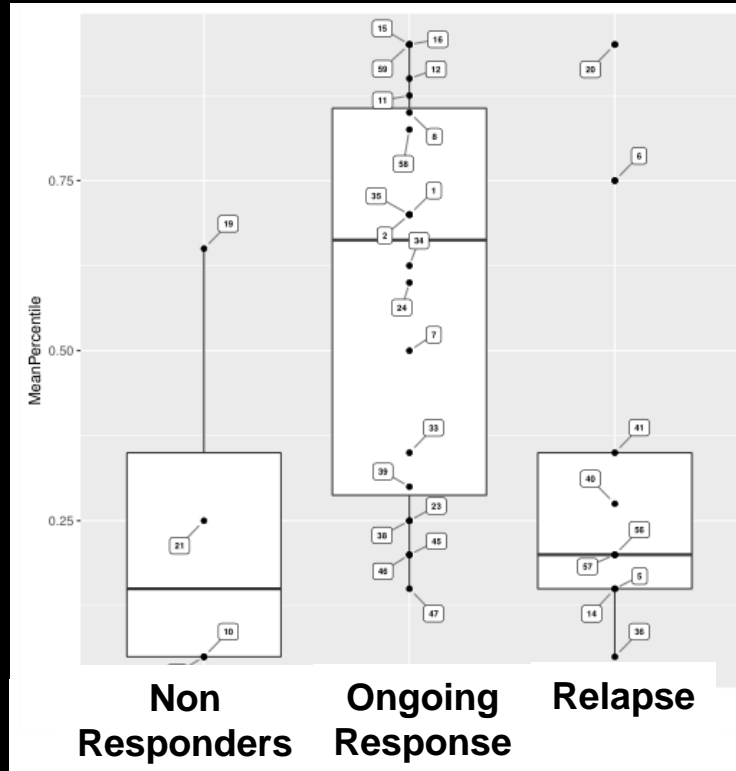
POST

**Biopsy
Wk14**

**Biopsy
After Relapse
(Progressor)**

Decrease of IL15 and of Immunosign21 upon progression (to be confirmed on larger dataset)

Immunoscore in Ongoing Response



***Significant Increase of Biopsy
Immunoscore® on Ongoing
Response***

Exact fisher test p-value: 0.030

Immunoscore®	High	Low
Non-responder	1	3
Ongoing	12	8
Relapsed	2	7

***Immunoscore® may be
decreased in relapse samples***

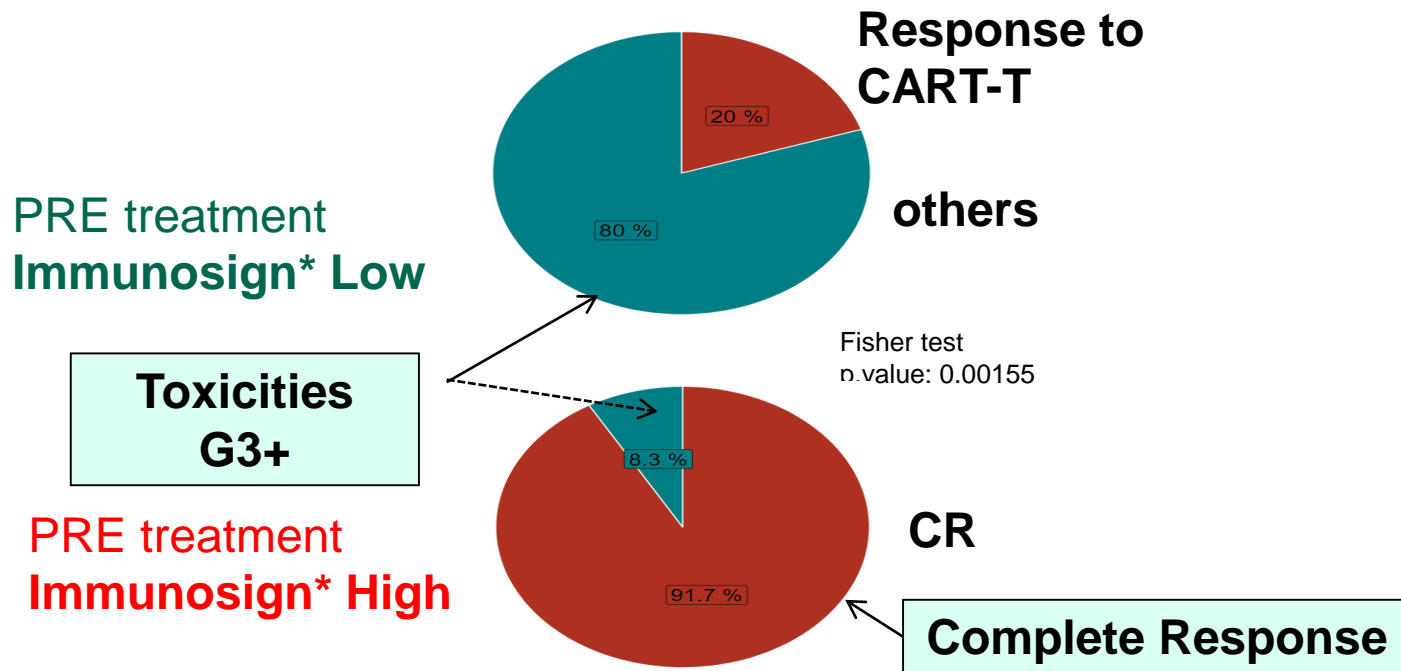
**DO NOT
POST**

KITE ZUMA-1 clinical trial Translational Biomarkers analysis

- *TME Tx related signature*
- *Predictive TME signature (baseline and early post Tx)*
- *Mechanisms of relapse*
- **Toxicity signature**

Conclusion / Interpretation:

KITE ZUMA-1 clinical trial Translational Biomarkers



Conclusion / Interpretation:

KITE ZUMA-1 clinical trial Translational Biomarkers

CAR-T : A Mechanistic Model of Efficacy and Toxicities

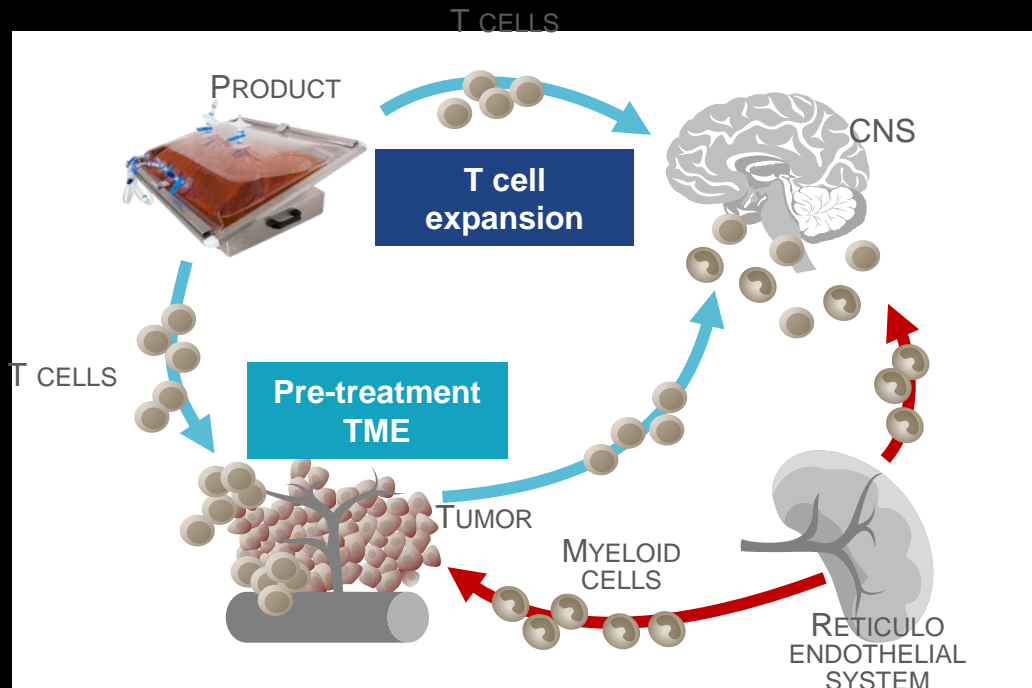
T cell “fitness”
(juvenile, proliferative,
polyfunctional)

Dose of CD8 T cells

Pre-treatment tumor
burden

Pre-treatment pre-
existing immunity / tumor
microenvironment

Target antigen “loss”



Efficacy

Toxicities

Both

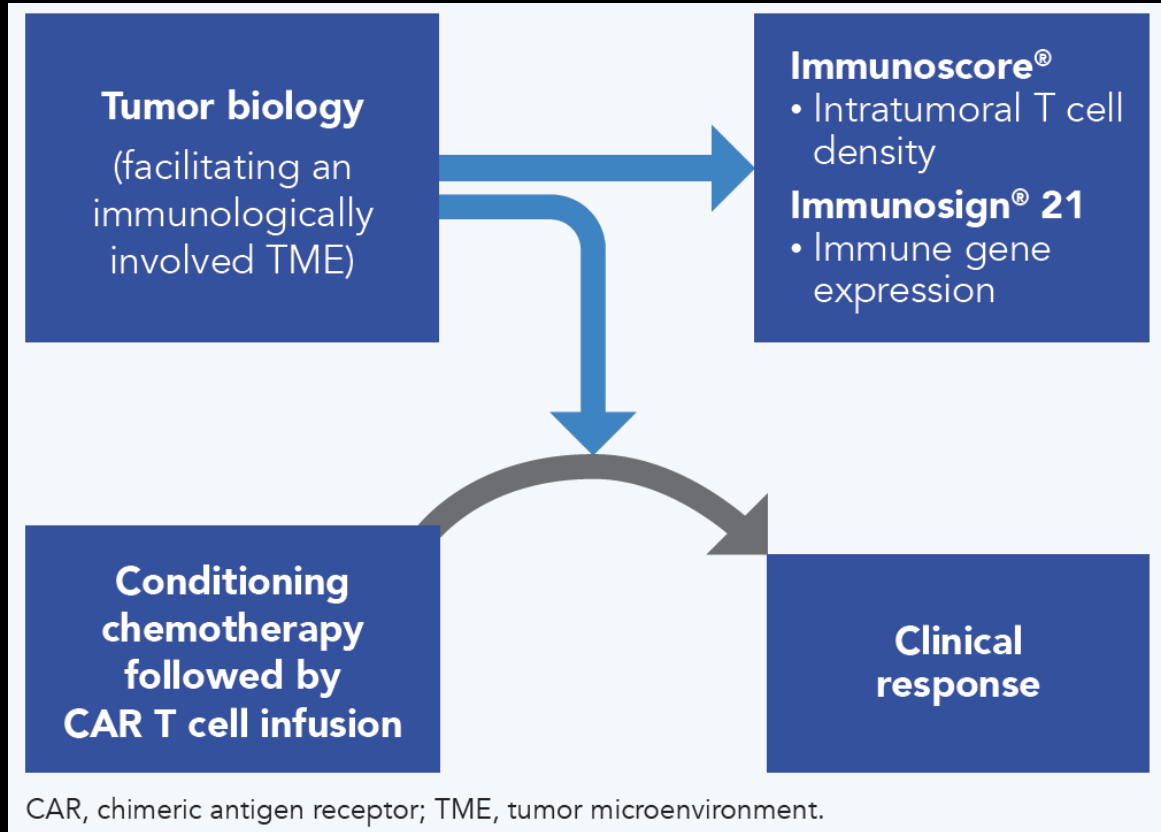
*Based on: Kochenderfer, JCO 2017; Neelapu, NEJM 2017; Locke, AACR 2017; Galon, ASCO 2017; Rossi, SITC 2017; Locke, ASH 2017; Rossi, Blood 2012Z, ASH 2018, AACR2018, AACR 2019. TME – Tumor microenvironment .

DO NOT
POST

CONCLUSIONS

- ✓ Pre-existing T cell-involved features of the TME (High Immunoscore, High Immunosign) may be associated with a response to CAR-T
- ✓ Factors intrinsic to tumor biology may influence CAR T cell efficacy through the immune microenvironment (Pre-treatment TME enriched in T cell and innate immune-related genes)
- ✓ CAR-T could overcome an unfavorable TME (low Immunoscore) in a subset of patients
- ✓ CAR T cell treatment is associated with rapid and profound changes in the TME
 - Increase of immune checkpoints, IFN-related genes and chemokines
 - Elevation of IL-15 and PD-L1 gene expression in CR and PR
- ✓ These results support anti-CD19 CAR T cell treatment optimizations designed to overcome an immune-detrimental TME

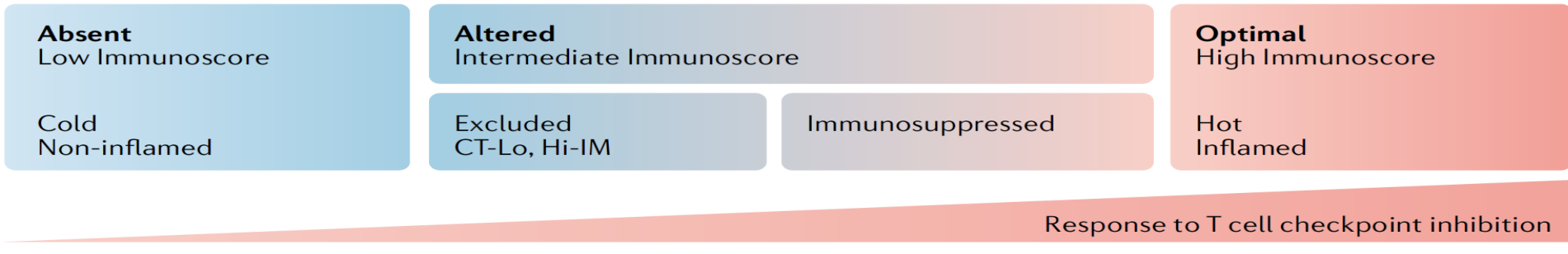
Model Linking Tumor Biology Features With TME and Response to CAR T Cell Therapy



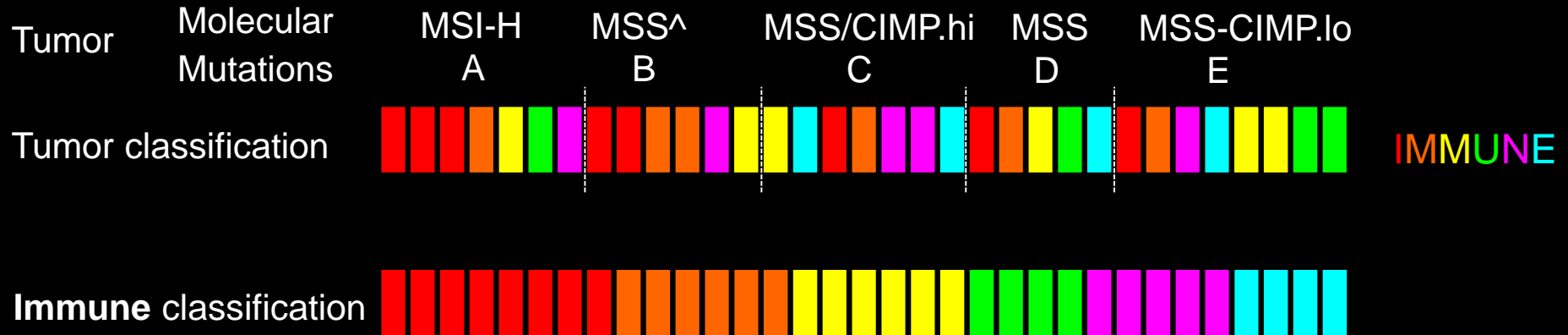
Galon J. & Bruni D.
***Nature Reviews Drug Discovery* 2019**

Approaches to treat immune hot, altered and cold tumours with combination immunotherapies

Jérôme Galon * and Daniela Bruni 2019



Stratification of cancer based on the immune status



-> Importance of having standardized immune Assays

Galon lab.

INSERM, CRC, Paris, France

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Moffit CC, USA

Frederick Locke

Stanford, USA

David Miklos

Patients, families, investigators

