

## Immunotherapy for the Treatment of Head and Neck Cancer

Rom Leidner, MD

Earle A. Chiles Research Instute

Franz Clinic, Providence Cancer Center









#### Disclosures

Bristol-Myers Squibb: clinical trial and research funding

Astra-Zeneca/MedImmune: institutional research funding

Merck: Consultant

Regeneron: Consultant

I will be discussing non-FDA approved indications during my presentation.







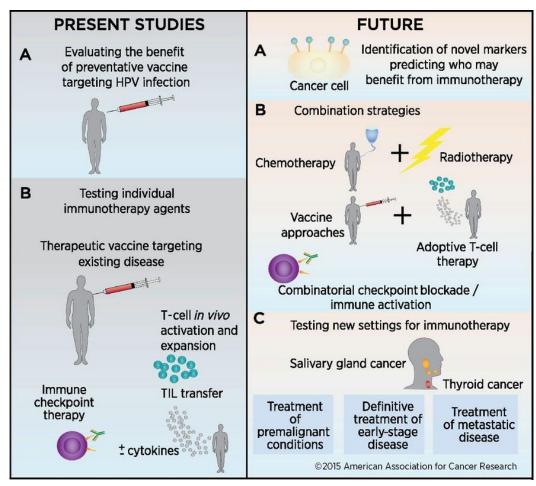


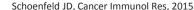




## Immunotherapy for the Treatment of Head and Neck Cancers

- Immuno-Oncology (I-O) developments in treatment of head and neck cancers
  - Expression of immunologic markers to guide treatment
  - Preventive vaccination against virally mediated cancers
  - PD-1 checkpoint inhibitors for the treatment of metastatic disease







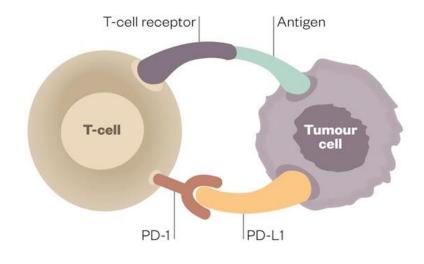




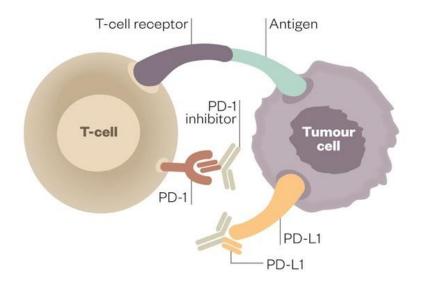


## Immunotherapy for the Treatment of Head and Neck Cancers

#### Immune Checkpoint Inhibitors (ICI)



PD-1 acts as "off-switch" for T cells, allowing cancer cells to evade immune attack



Antibodies against PD-1 and PD-L1 boost the immune response against cancer cells









## FDA-approved Checkpoint Inhibitors for Use in Head and Neck Cancers

- Pembrolizumab 200 mg IV Q3W(anti-PD-1)
  - KEYNOTE 012/055: Patients with recurrent or metastatic (R/M) squamous cell carcinoma of the head and neck (HNSCC) with disease progression on or after platinum-containing chemotherapy
  - Accelerated Approval by FDA August 5, 2016
- Nivolumab 240 mg IV Q2W or 480 mg IV Q4W (anti-PD-1)
  - CheckMate 141: Patients with R/M HNSCC with disease progression on or after a platinumbased therapy
  - Breakthrough Therapy Designation by FDA April, 2016
  - Approval November 10, 2016







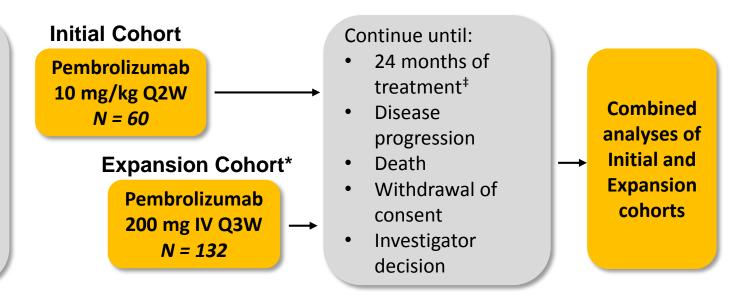


# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2

#### **Patients**

- R/M HNSCC
- Measurable disease (RECIST v1.1)
- ECOG PS 0-1
- PD-L1+ (initial cohort)
- PD-L1+ or PD-L1-(expansion cohort)



**Response assessment:** Every 8 weeks until disease progression

**Primary end points:** ORR (RECIST v1.1, central imaging vendor review), safety

Secondary end points: ORR (investigator), PFS, OS, duration of response (DOR), ORR in HPV+ patients§







<sup>&</sup>lt;sup>†</sup>Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

<sup>&</sup>lt;sup>‡</sup>Treatment beyond progression was allowed.

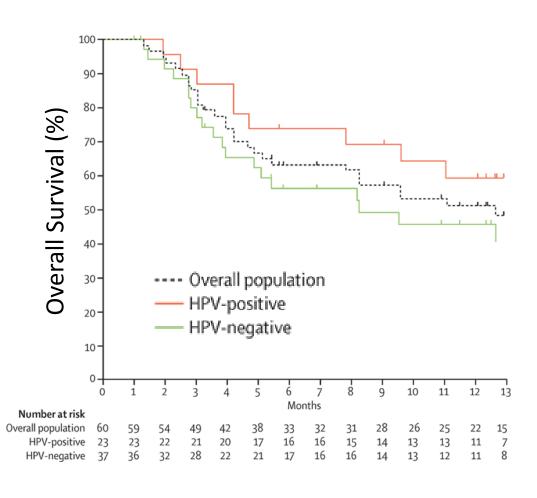
<sup>§</sup> Initial cohort only.

<sup>\*</sup>Median duration of disease not reached.



# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2



- ORR = 18%
  - CR = 4%
  - PR = 14%
- mOS = 8.0 months
- mPFS = 2.2 months

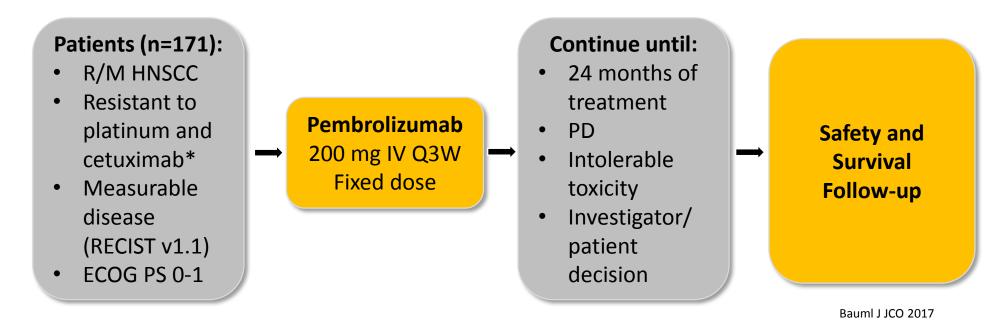








# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



**Response assessment:** Imaging every 6 to 9 weeks (central radiology review)

Primary end points: ORR (RECIST v1.1) by Response Evaluation Criteria in Solid Tumors and safety

Secondary end points: ORR (RECIST v1.1) in all dosed patients, ORR for HPV+, PD-L1+, DOR, PFS, OS

\*75% of patients had ≥ 2 prior lines of therapy for metastatic disease









# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm

Outcome	All Patients N=171	HPV Status		PD-L1 Status		
		Positive n=37	Negative n=131	≥1% n=140	<1% n=26	≥50% n=48
ORR, %	16	16	15	18	12	27
mPFS, mo	2.1					
6-mo PFS,%	23	25	21	24	20	31
6-mo OS, %	59	72	55	59	56	60

- Neither tumor PD-L1 expression or HPV status are sufficiently robust in guiding the use of pembrolizumab at this time.

Bauml J, et al, J Clin Oncol. 2017









# CheckMate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy Phase III Randomized, Safety and Efficacy Trial

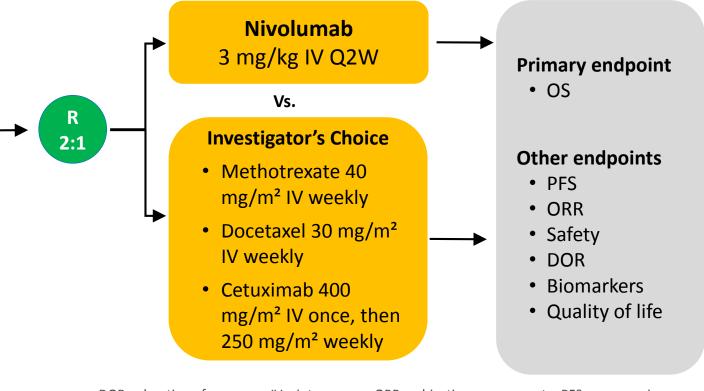
#### **Key Eligibility Criteria**

- R/M SCCHN of the oral cavity, pharynx, or larynx
- Progression on or within 6 months of last dose of platinum-based therapy
- Irrespective of no. of prior lines of therapy
- Documentation of p16 to determine HPV status (oropharyngeal)
- Regardless of PD-L1 status<sup>a</sup>

#### Stratification factor

Prior cetuximab treatment

<sup>a</sup>Tissue required for testing



DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized. Clinicaltrials.gov NCT02105636.

Ferris & Gillison, NEJM, 2016



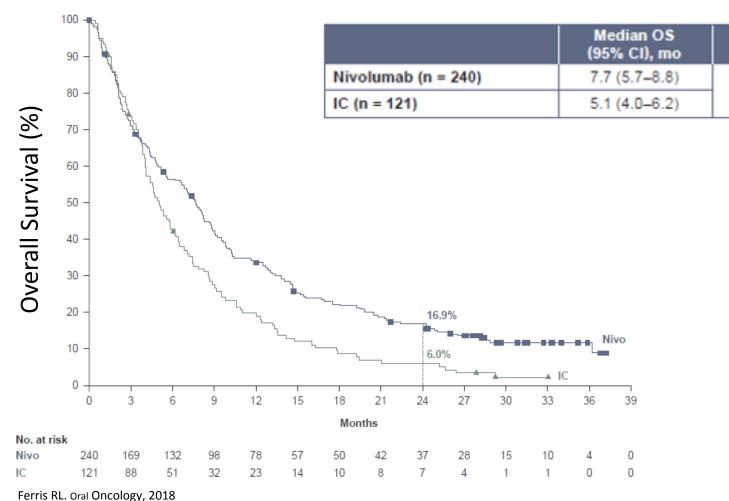






## Checkmate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy

Overall Survival: 2 year report







HR

(95% CI)

0.68

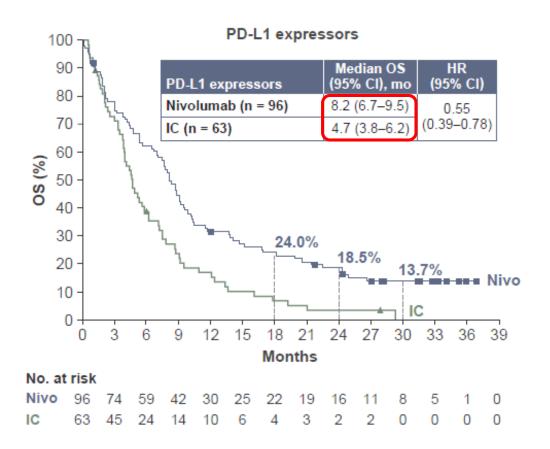
(0.54 - 0.86)

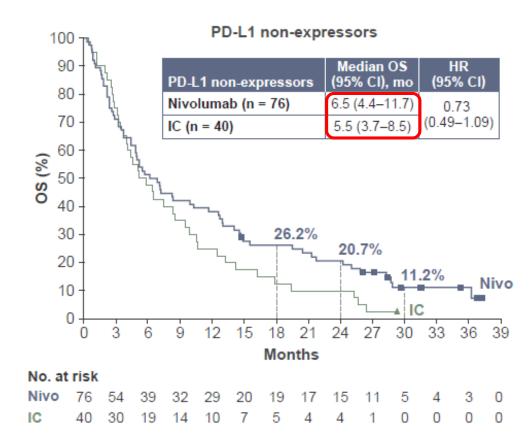




## **Evaluating Biomarkers in HNSCC**

#### **CheckMate 141: 2 year update**





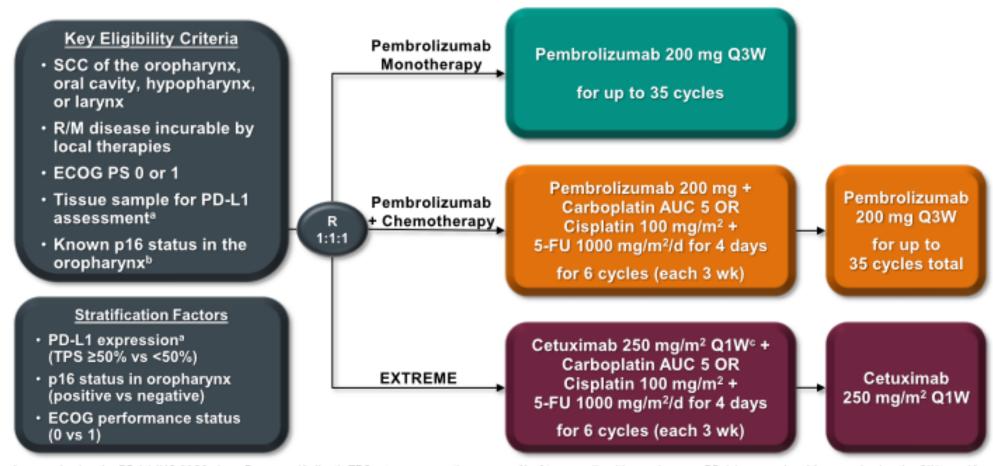








# In Development: KEYNOTE-048 Pembrolizumab +/- Chemotherapy in Newly diagnosed R/M HNSCC



"Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. "Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. "Following a loading dose of 400 mg/m<sup>2</sup>.



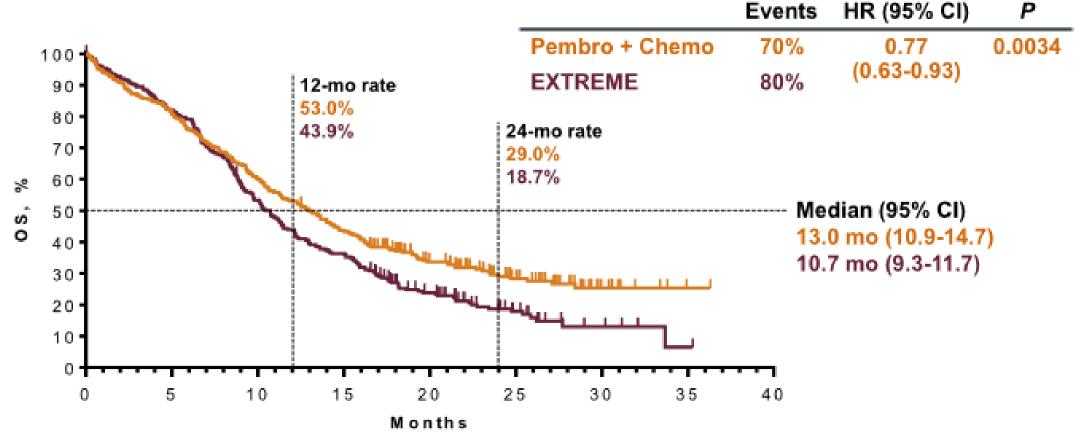






# In Development: KEYNOTE-048 Pembrolizumab +/- Chemotherapy in Newly diagnosed R/M HNSCC

#### **All Patients**







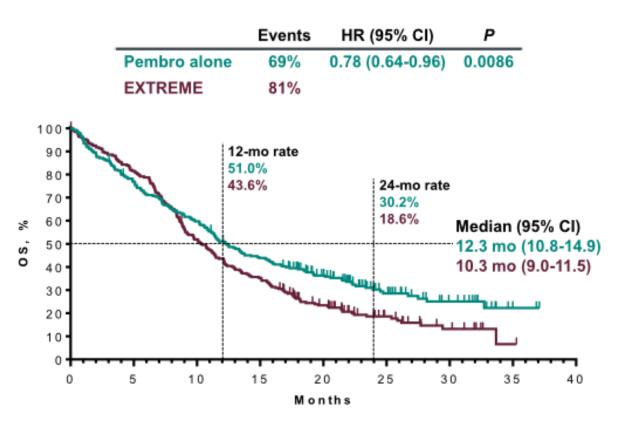


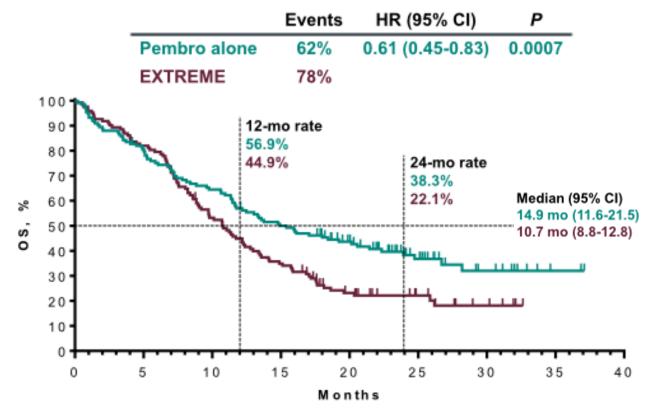


# In Development: KEYNOTE-048 Pembrolizumab +/- Chemotherapy in Newly diagnosed R/M HNSCC

#### **PD-L1 CPS** ≥ **1**%

**PD-L1 CPS ≥ 20%** 













### **Evaluating Biomarkers in HNSCC**

- Current FDA approvals of pembrolizumab and nivolumab are NOT contingent upon tumor PD-L1 status
  - KEYNOTE 012/055: Response rates not significantly different on the basis of tumor PD-L1 staining
  - KEYNOTE 040: Phase III pembrolizumab vs. investigator's choice chemotherapy
    - Did not meet survival endpoints in total population but improved outcomes in patients with PD-L1 expressing tumor
  - CheckMate 141: Most benefit was seen in PD-L1-positive tumors









**KEYNOTE-012** and CheckMate 141

#### **KEYNOTE 012**

**Table 2.** Treatment-Related Adverse Events by Grade Severity (all-patients-astreated population: N = 132)

treated population; N = 132)				
Treatment-Related Adverse Event	Grade 1 or 2 (≥ 10% of patients), No. (%)	Grade 3 (any occurrence), No. (%)	Grade 4 (any occurrence), No. (%)	
Patients with ≥ 1 event	70 (53)	8 (6)	4 (3)	
Hypothyroidism	14 (11)	0	0	
Immune thrombocytopenic purpura	0	0	1 (1)	
Abdominal pain	1 (1)	1 (1)	0	
Colitis	0	1 (1)	0	
Dysphagia	1 (1)	1 (1)	0	
Nausea	6 (5)	1 (1)	0	
Stomatitis	1 (1)	1 (1)	0	
Facial edema	0	1 (1)	0	
Fatigue	28 (21)	0	0	
Localized edema	0	1 (1)	0	
Infection	0	1 (1)	0	
Decreased appetite	9 (7)	2 (2)	0	
Dehydration	0	1 (1)	0	
Diabetic ketoacidosis	0	0	1 (1)	
Hyperglycemia	1 (1)	0	1 (1)	
Type I diabetes mellitus	0	1 (1)	0	
Laryngeal edema	0	0	1 (1)	
Pneumonitis	2 (2)	2 (2)	0	
Respiratory distress	0	1 (1)	0	
Facial swelling	3 (2)	1 (1)	1 (1)	

#### CheckMate 141

Event	Nivolumab (N=236)		
	Any Grade	Grade 3 or 4	
Any event	139 (58.9)*	31 (13.1)	
Fatigue	33 (14.0)	5 (2.1)	
Nausea	20 (8.5)	0	
Rash	18 (7.6)	0	
Decreased appetite	17 (7.2)	0	
Pruritus	17 (7.2)	0	
Diarrhea	16 (6.8)	0	
Anemia	12 (5.1)	3 (1.3)	
Asthenia	10 (4.2)	1 (0.4)	
Vomiting	8 (3.4)	0	
Dry skin	7 (3.0)	0	
Stomatitis	5 (2.1)	1 (0.4)	
Weight loss	4 (1.7)	0	
Mucosal inflammation	3 (1.3)	0	
Peripheral neuropathy	1 (0.4)	0	
Alopecia	0	0	
Neutropenia	0	0	

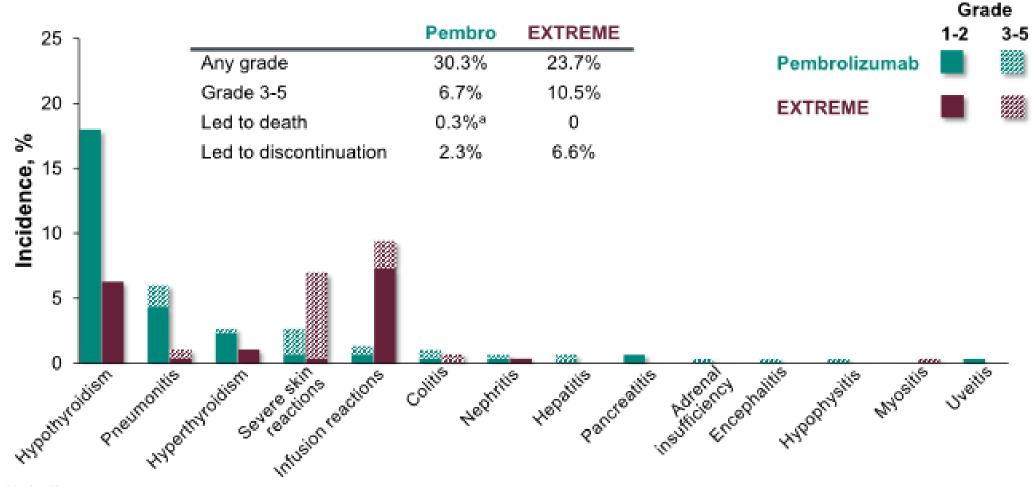








KEYNOTE-048 – Pembrolizumab monotherapy



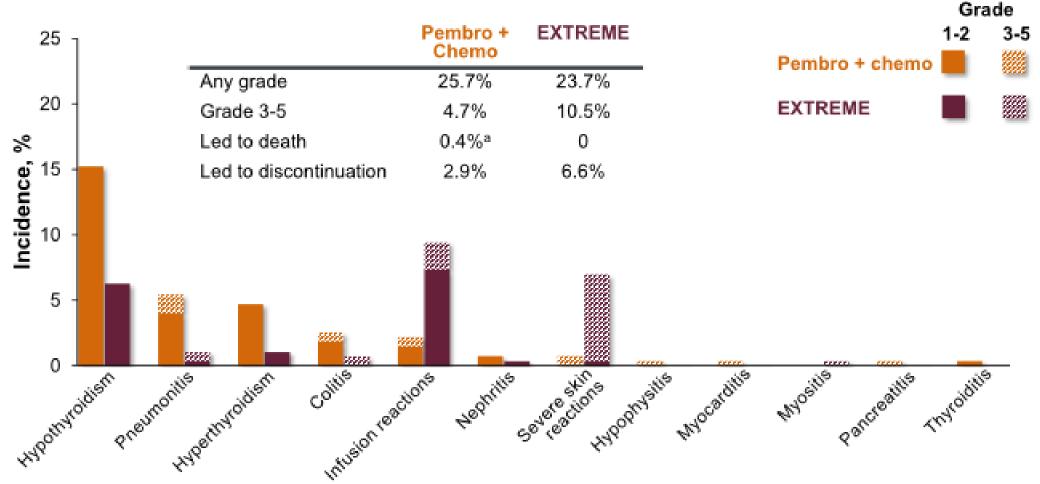








KEYNOTE-048 – Pembrolizumab + Chemotherapy









**Table 2** General guidance for corticosteroid management of immune-related adverse events

Grade of immune-related AE (CTCAE/equivalent)	Corticosteroid management	Additional notes
1	Corticosteroids not usually indicated	Continue immunotherapy
2	<ul> <li>If indicated, start oral prednisone 0.5-1 mg/kg/day if patient can take oral medication.</li> <li>If IV required, start methylprednisolone 0.5-1 mg/kg/day IV</li> <li>If no improvement in 2–3 days, increase corticosteroid dose to 2 mg/kg/day</li> <li>Once improved to ≤grade 1 AE, start 4–6 week steroid taper</li> </ul>	<ul> <li>Hold immunotherapy during corticosteroid use</li> <li>Continue immunotherapy once resolved to ≤grade</li> <li>1 and off corticosteroids</li> <li>Start proton pump inhibitor for GI prophylaxis</li> </ul>
3	<ul> <li>Start prednisone 1-2 mg/kg/day (or equivalent dose of methylprednisolone)</li> <li>If no improvement in 2–3 days, add additional/alternative immune suppressant</li> <li>Once improved to ≤ grade 1, start 4–6-week steroid taper</li> <li>Provide supportive treatment as needed</li> </ul>	<ul> <li>Hold immunotherapy; if symptoms do not improve in 4–6 weeks, discontinue immunotherapy</li> <li>Consider intravenous corticosteroids</li> <li>Start proton pump inhibitor for GI prophylaxis</li> <li>Add PCP prophylaxis if more than 3 weeks of immunosuppression expected (&gt;30 mg prednisone or equivalent/day)</li> </ul>
4	<ul> <li>Start prednisone 1-2 mg/kg/day (or equivalent dose of methylprednisolone)</li> <li>If no improvement in 2–3 days, add additional/alternative immune suppressant, e.g., infliximab</li> <li>Provide supportive care as needed</li> </ul>	<ul> <li>Discontinue immunotherapy</li> <li>Continue intravenous corticosteroids</li> <li>Start proton pump inhibitor for GI prophylaxis</li> <li>Add PCP prophylaxis if more than 3 weeks of immunosuppression expected (&gt;30 mg prednisone or equivalent/day)</li> </ul>
		or equivalent/day)  Puzanov Journal for ImmunoTherany of Cance

Puzanov Journal for ImmunoTherapy of Cancer 2017





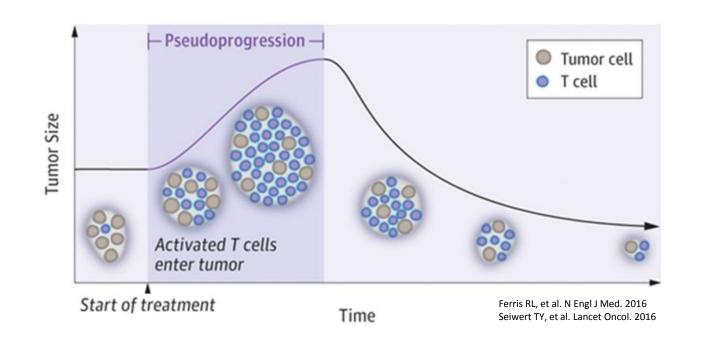




### Response to Immune Checkpoint Inhibitor Treatment with Brief Increase in Tumor Size

#### **Pseudoprogression**

- Early appearance of an increase in tumor burden, subsequently followed by tumor regression
- Initially recognized in the melanoma trials, with incidence up to 10%











## Response to Immune Checkpoint Inhibitor Treatment with Brief Increase in Tumor Size

#### **Case Report – KEYNOTE-012**



 Both KEYNOTE-012 and CheckMate 141 trials showed an exceedingly rare rate of pseudoprogression with pembrolizumab and nivolumab, respectively.

> Ferris RL, et al. N Engl J Med. 2016 Seiwert TY, et al. Lancet Oncol. 2016

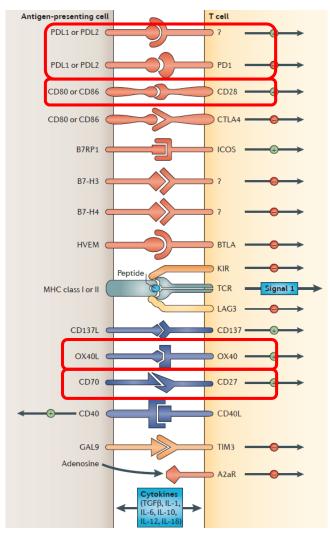








## Developmental Immunotherapies for HNSCC



- Durvalumab, atezolizumab, avelumab, CK-301 (anti-PD-L1)
- Cemiplimab (anti-PD-1)
- Ipilimumab, tremelimumab (anti-CTLA-4)
- Varlilumab (anti-CD27)
- PF-04518600, tavolimab (anti-OX40)









## Developmental Immunotherapies for HNSCC

#### **MASTERKEY 232/KEYNOTE-137**

- Talimogene laherparepvec (T-Vec)
  - Genetically engineered herpes virus
- T-Vec 10<sup>6</sup> PFU/mL <u>intratumoral injection</u> followed by 10<sup>8</sup> PFU/mL Q3W
- Pembrolizumab 200 mg IV Q3W
- Eligibility:
  - R/M HNSCC not suitable for curative therapy
  - Progressed after platinum treatment
  - At least 1 injectable cutaneous, subcutaneous, or nodal tumor ≥ 10 mm in longest diameter









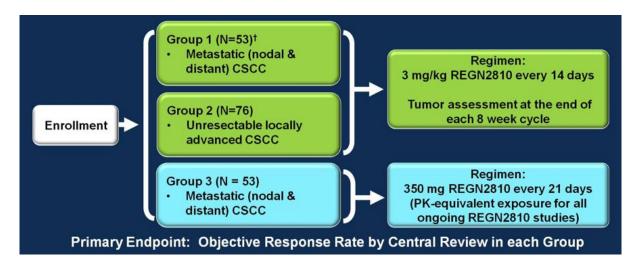
## Developmental Immunotherapies for HNSCC

Cemiplimab (REGN2810) for treatment of patients with cutaneous squamous cell carcinoma (cSCC)

#### FDA approved – 09/28/2018

- Patients with metastatic cSCC
- Patients with locally advanced cSCC who are not candidates for radiation or surgery

#### NCT02760498



- ORR 46% in 82 patients in study
- Responses durable, median DOR not reached









### Conclusions

- 1. Chemotherapy offers short survival with many side effects
- 2. PD-1 antibodies nivolumab and pembrolizumab are approved in *platinum-refractory* recurrent / metastatic HNSCC.
- 3. Most patients have fewer side effects on PD-1 Abs than on chemotherapy
- 4. Clinical trials are underway to improve immunotherapy response rates and testing immunotherapy in other settings

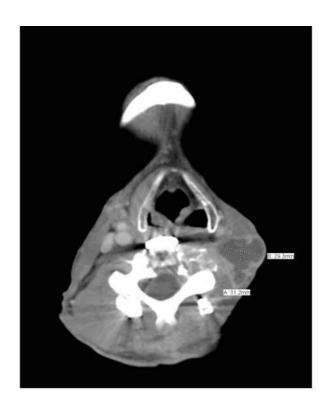


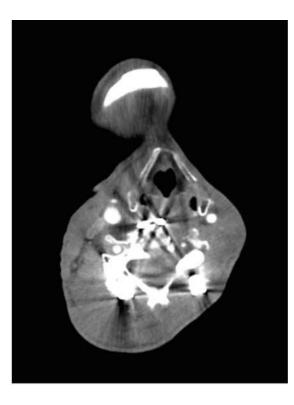






## Case Study 1a: success cSCC





Baseline

Week 16

Neck mass in cSCC patient at baseline (3.1 cm) *left*, and at Week 16 (1.6 cm) *right*.

#### 13 year cSCC disease course

Falchook, Leidner et al. JITC, Nov 2016

- *MOHS* x10, starting 2002
- Adjuvant RT left cheek (2005)
   left mandible (2006)
   left neck w/ Cetuximab (2008)
   bilateral neck w/ Carbo (2010)
- *Systemic Therapy* Capecitabine (2008) Cisplatin + Docetaxel (2010)
- 2012 in-scar recurrence L neck s/p excision
- Feb 2015 urgent decompression s/p C4-C5 corpectomy
- March 2015 REGN2810 (cemiplimab, FIH)
   \*first dose cohort 1mg/kg q2w IV

CR after 1 year Tx = Feb 2016

Remains in CR, now 36 months off-treatment









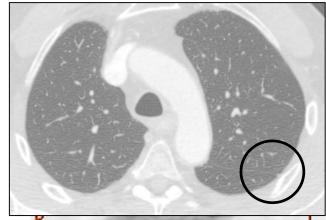
## Case Study 1b: success HNC

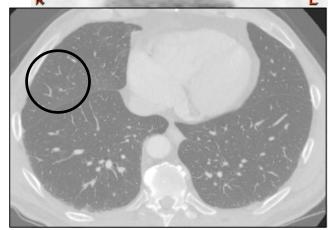
#### 3 months post ChemoRT





#### 7 Imputitat her parts stacked to low row row





#### **HPV+ OPC Stage IVA (T3 N2b M0)**

AJCC 8th clinical stage II

- 2013 Definitive ChemoRT (70 Gy + Cis 100 x2)
  - Zoster V3 ipsilateral left at 3 months post.
- Lung mets @ 3 months post-CRT
- April 2014 Phase I trial: anti-KIR/anti-PD-1

CR after 6 months Tx = Nov 2014

Remains in CR, now 50+ months off-treatment







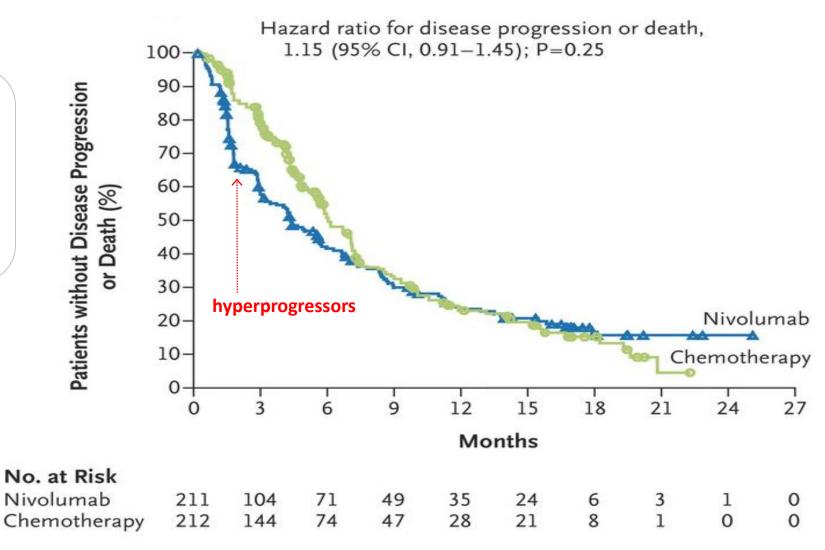


Checkmate 026:

1st line Lung

Nivo v. Platin-couplet

(NSCLC, any histology)

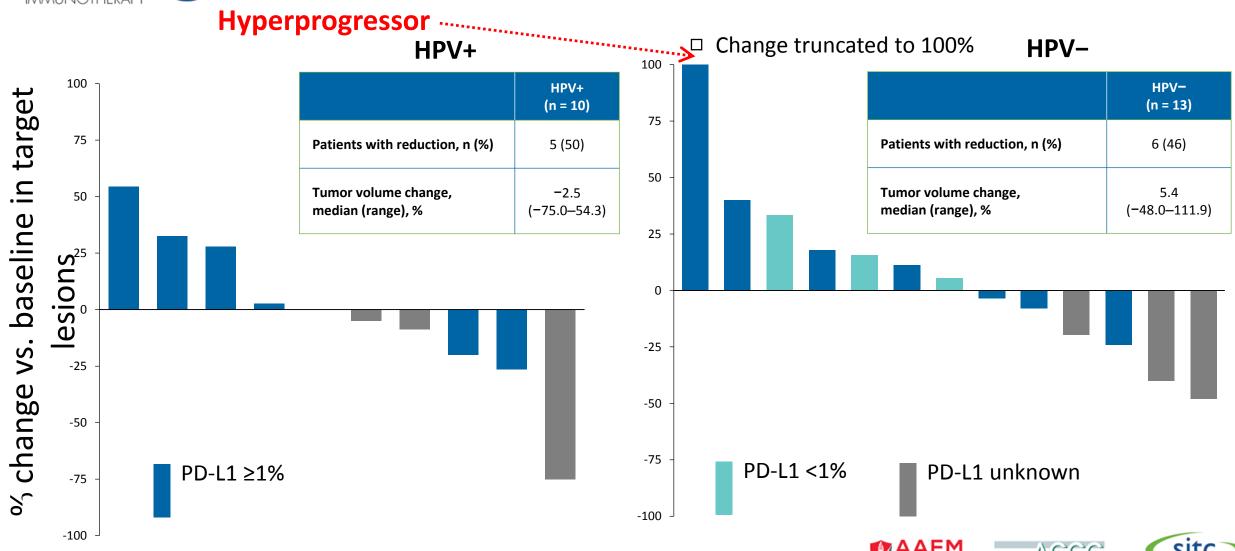










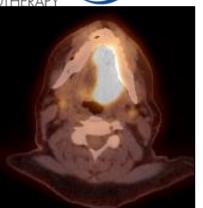


Ferris et al., Checkmate 358 (ESMO 2017 LBA46): % Δ after Nivo x2



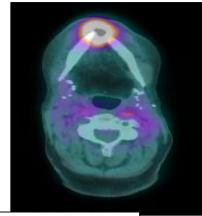




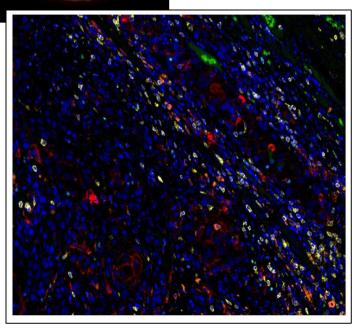


Oral SCCa, stage IVA (T4a N2c M0)
\*local Recurrence at 9 months →

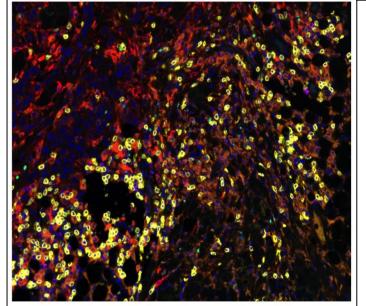
PD-L1 CD8 CD3 FoxP3 CD163 DAPI







Adjuvant
ChemoRT
←9 months→



How to treat now?









← 6 weeks →



PD-1 re-challenge = hyperprogression









Week 0

Week 4





Carbo/5FU/Cetux x6, CR = Nov

2017

\*stable CR, now 14 months off

CHEK2 c.470T>C:p.lle157Thr (germline)

TP53 c.782+1G>T

Unknown Clinical Significance: EGFR c.1295A>G:p.Gln432Arg ERBB3 c.170A>G:p.Glu57Gly GNAQ c.907\_919del: p.Gln303fs LRP1B c.10974T>G:p.Cys3658Trp

Primary cell line

80-90e<sup>6</sup> TIL in cyro

All 6 clones recognize autologous tumor but not allo tumor (523 -728 pg/ml IFNγ)

Kato et al., Clin Cancer Res. 2017 Aug 1







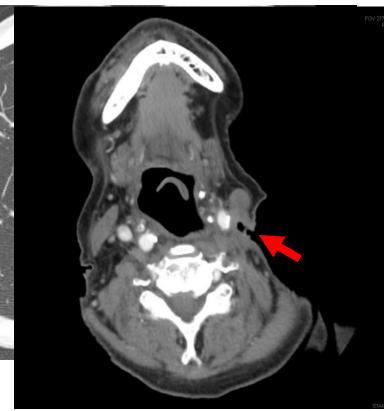


## Case study 2b: success(?) HNC

#### B&sskine & P kmm

6 months: 0 mm





#### Larynx Stage IVC (T4 N2c M1)

- Jan 2014 urgent tracheostomy, PEG
  - TPF induction x2 cycles
  - Consolidative Quad Shot GTV x3 (44.4 Gy)
- July 2014 Phase I trial: anti-KIR/anti-PD-1
  - CR after 6 months Tx = Jan 2015
  - 21 months withdrew d/t UGIB (Apr 2016)
- Sep 2016 recurred in oral cavity & lungs
  - Nivo rechallenge, salvage RT, both failed.
  - Died in hospice 3.5 years after M1 Dx (July 2017)

Do differently in future?









### Thank You













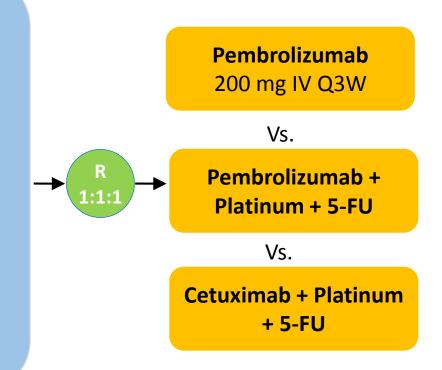


# Developmental Immunotherapies for HNSCC

KEYNOTE – 048 (NCT02358031)

#### **Key Eligibility Criteria**

- R/M SCCHN of the oropharynx, oral cavity, hypopharynx, or larynx considered incurable by local therapies
- No prior systemic therapy in the R/M setting
- ECOG 0-1
- Results from HPV testing (oropharyngeal)
- Tissue for PD-L1 biomarker analysis



#### **Primary endpoint**

- PFS
- OS

#### Other endpoints

- PFS at 6 months
- ORR
- Biomarkers
- Quality of life





