

# Immunotherapy for the Treatment of Head and Neck Cancer

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# Disclosures

**Consulting Fees:** Regeneron, Sanofi Genzyme, BMS, Maverick, Merck, Kura, Bio-Rads, Prelude, Bicara

**Speaker's Bureau:** none

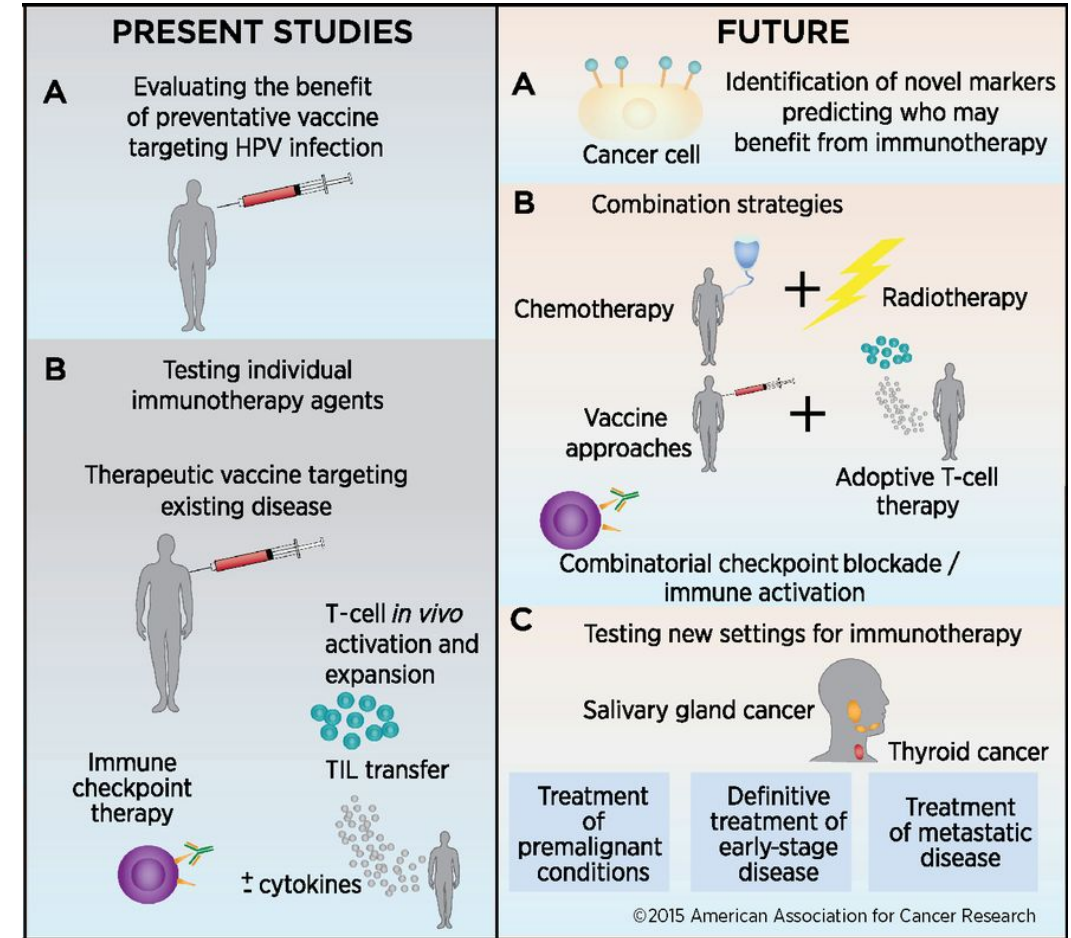
**Contracted Research:** BMS, Exicure, GSK, NantKWest, Kite, Regeneron, Sanofi Genzyme, Kartos, Elevar, ASCO/Conquer Cancer Foundation, V Foundation, Gateway for Cancer Research

**Major Shareholder:** none

I will be discussing off-label or unapproved uses of drugs or medications

# Immunotherapy for the Treatment of Head and Neck Cancers

- Immuno-Oncology (I-O) developments in the treatment of head and neck cancers
  - Expression of immunologic and molecular markers to guide treatment
  - Novel immune checkpoint inhibitor combinations
  - IO agents in the definitive and (neo)adjuvant setting
  - Therapeutic vaccination targeting virally mediated cancers
  - Immune effector cell therapies

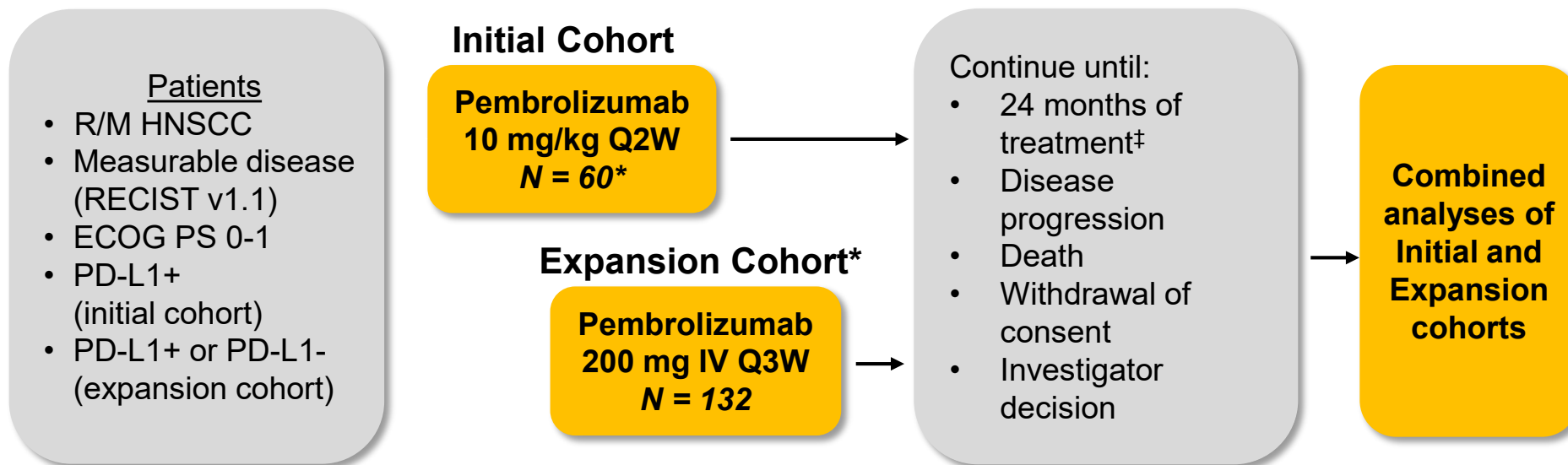


# Approved checkpoint inhibitors in Head and Neck Cancers

Drug	Approved	Indication	Dose
Pembrolizumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	200 mg Q3W or 600 mg Q6W
Nivolumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	240 mg Q2W or 480 mg Q4W
Cemiplimab-rwlc	2018	Metastatic cSCC, not candidate for curative therapies (any site)	350 mg Q3W
Pembrolizumab + platinum + fluorouracil	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – all patients	200 mg Q3W
Pembrolizumab	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – PD-L1 CPS ≥ 1	200 mg Q3W
Pembrolizumab	2020	Recurrent/metastatic cSCC, not curable by surgery or radiation	200 mg Q3W

# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts<sup>†</sup> B, B2



**Response assessment:** Every 8 weeks until disease progression

**Primary end points:** ORR (RECIST v1.1, central imaging vendor review), safety

**Secondary end points:** ORR (investigator), PFS, OS, duration of response (DOR), ORR in HPV+ patients<sup>§</sup>

<sup>†</sup>Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

<sup>‡</sup>Treatment beyond progression was allowed.

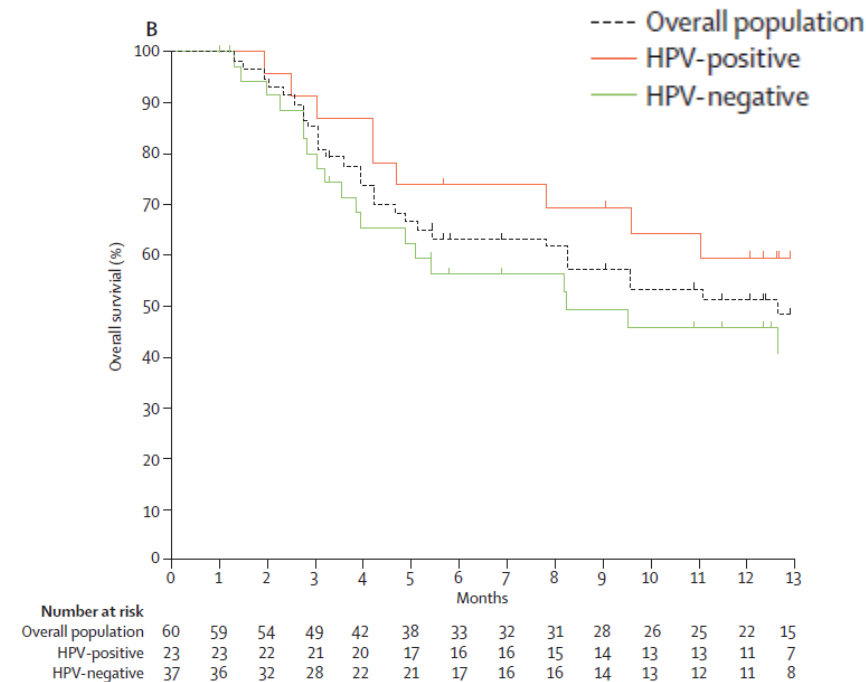
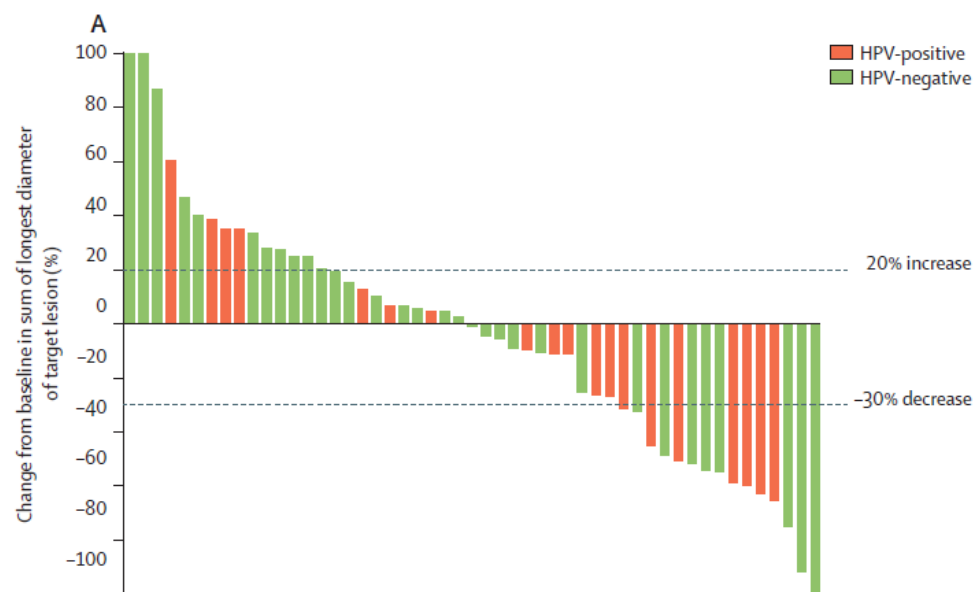
<sup>§</sup> Initial cohort only.

\*PD-L1 positive patients (1% tumor cells or stroma)

# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts† B, B2

- ORR = 18%
  - CR = 2%
  - PR = 16%
- mOS = 13.0 months
- mPFS = 2.0 months



mOS = NR vs. 8 months

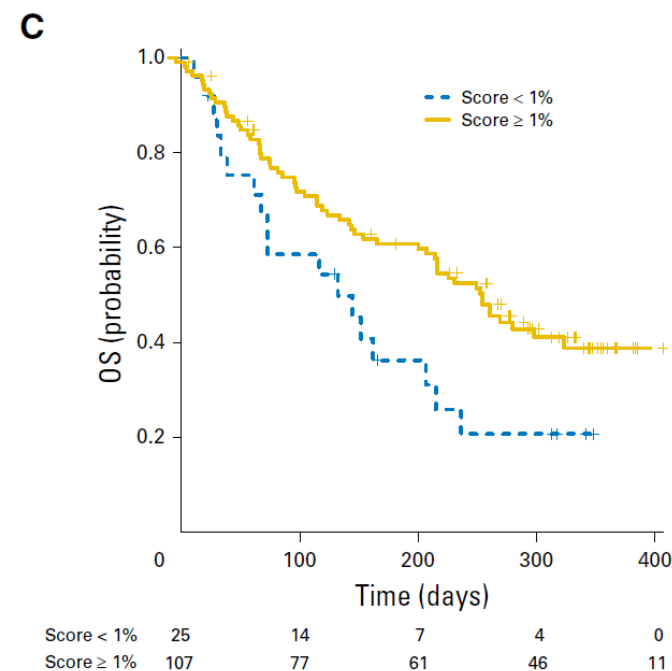
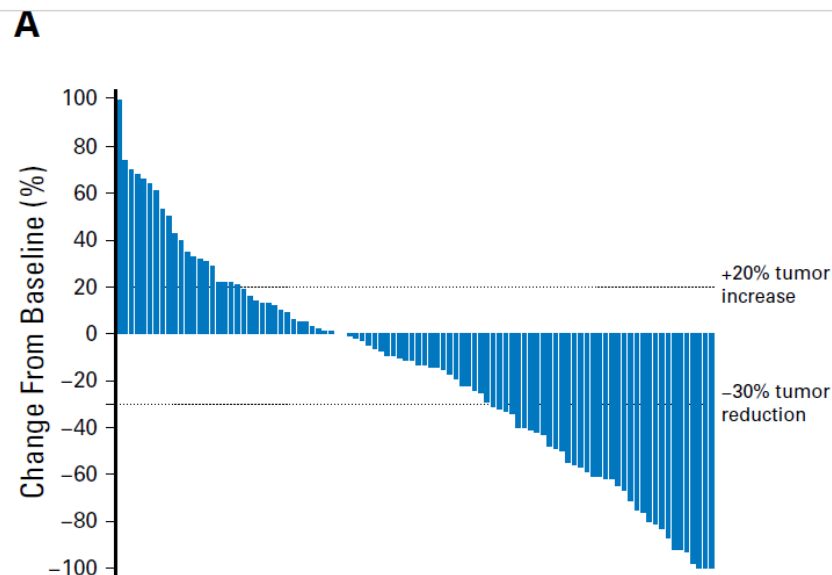


# KEYNOTE-012: Pembrolizumab in R/M HNSCC

Nonrandomized, Phase 1b Trial, Cohorts† B, B2

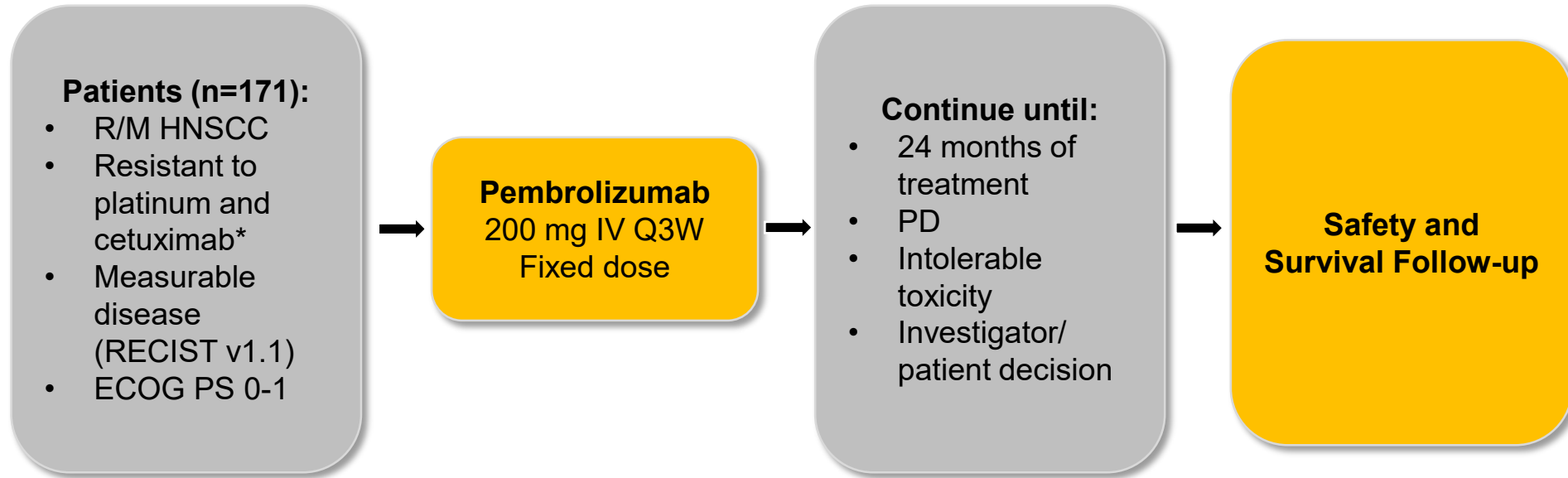
- ORR = 18%\*
  - CR = 3%
  - PR = 15%
- mOS = 8.0 months
- mPFS = 2.0 months

\*ORR 32% among HPV+



mOS = 303 vs. 151 days

# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm



**Response assessment:** Imaging every 6 to 9 weeks (central radiology review)

**Primary end points:** ORR (RECIST v1.1) by Response Evaluation Criteria in Solid Tumors and safety

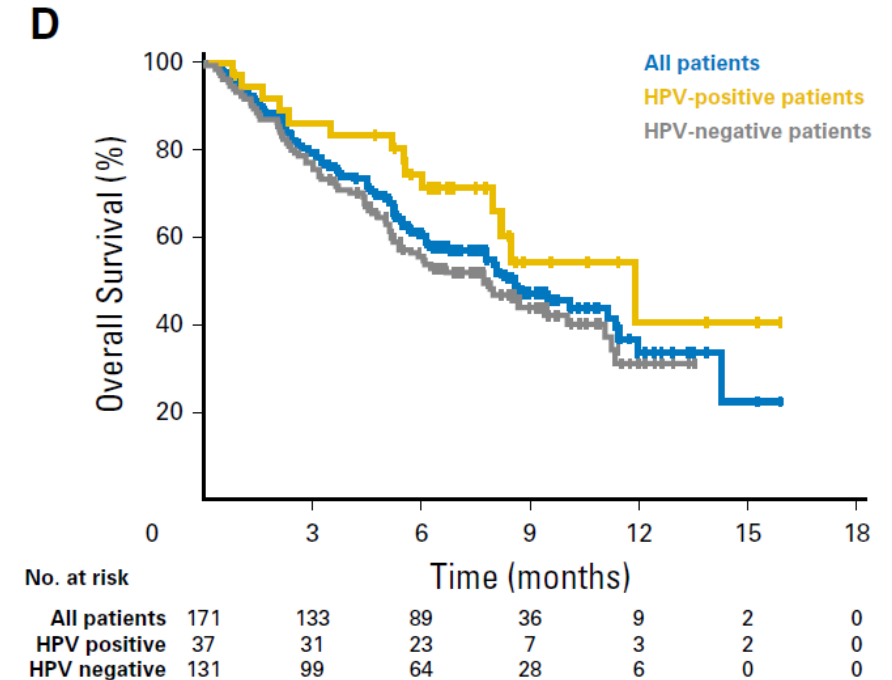
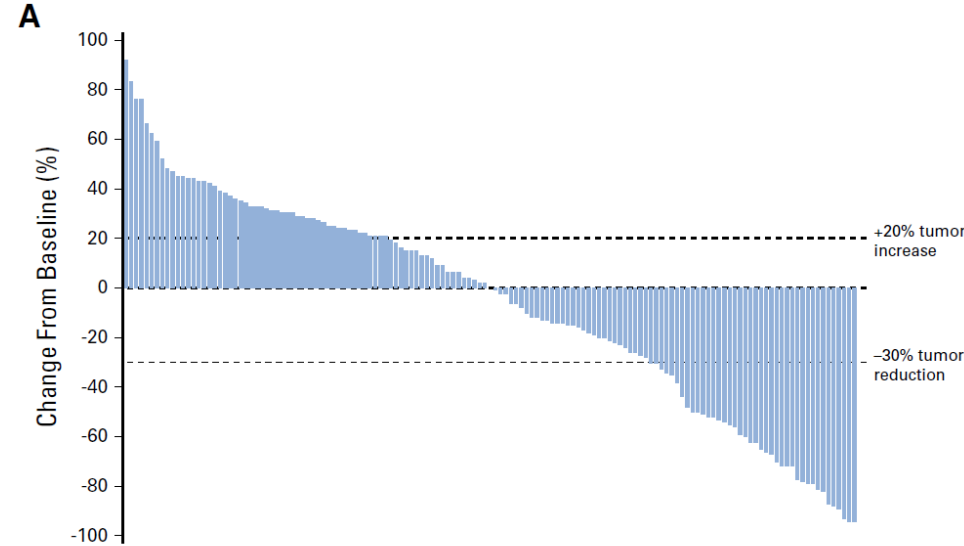
**Secondary end points:** ORR (RECIST v1.1) in all dosed patients, ORR for HPV+, PD-L1+, DOR, PFS, OS

\*75% of patients had  $\geq 2$  prior lines of therapy for metastatic disease



# KEYNOTE-055: Pembrolizumab in R/M HNSCC after Progression on Platinum/Cetuximab Phase II Trial, Single Arm

- ORR = 16%
  - CR = 1%
  - PR = 15%
- mOS = 8.0 months
- mPFS = 2.1 months



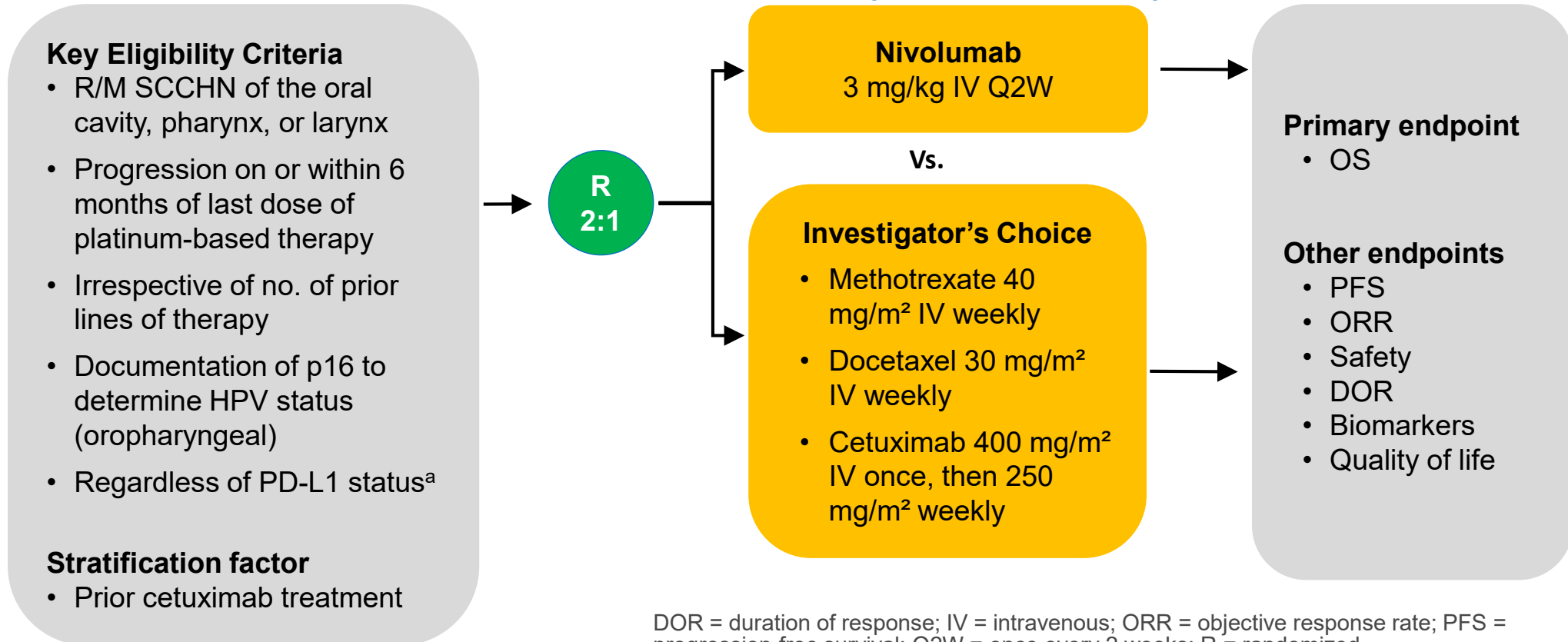
ORR = 18 vs. 12% for PD-L1 CPS 1+

27 vs. 13% for PD-L1 CPS 50+

6m OS = 72 vs. 55%

# CheckMate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy

## Phase III Randomized, Safety and Efficacy Trial



DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized.

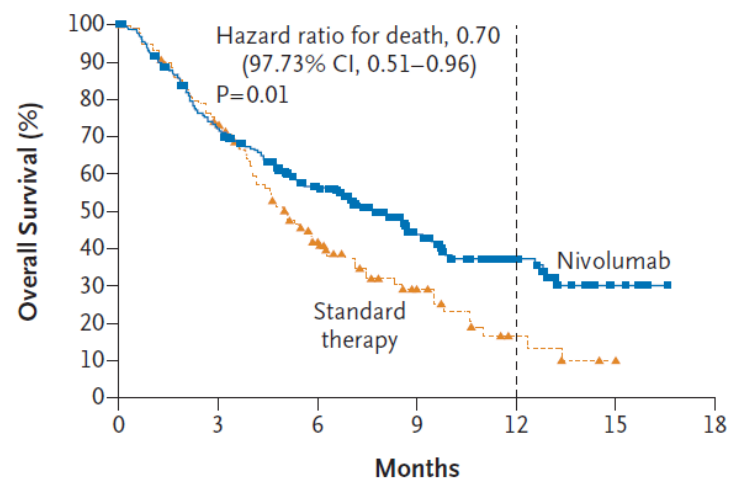
<sup>a</sup>Tissue required for testing

# Checkmate 141: Nivolumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy

- mOS = 7.5 vs. 5.1 months
- 1y mOS: 36 vs. 16%
- mPFS = 2.3 vs. 2.0 months
- ORR = 13%

A Overall Survival

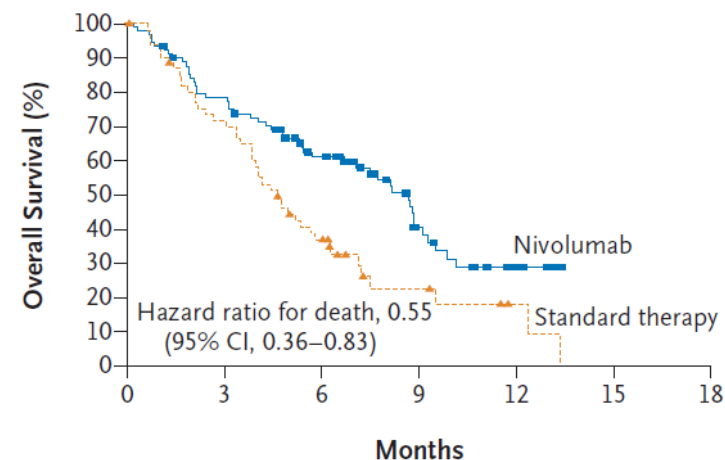
	No. of Patients	No. of Deaths	1-Yr Overall Survival Rate % (95% CI)	Median Overall Survival mo (95% CI)
Nivolumab	240	133	36.0 (28.5–43.4)	7.5 (5.5–9.1)
Standard Therapy	121	85	16.6 (8.6–26.8)	5.1 (4.0–6.0)



No. at Risk	0	3	6	9	12	15	18
Nivolumab	240	167	109	52	24	7	0
Standard therapy	121	87	42	17	5	1	0

A Overall Survival among Patients with Baseline PD-L1 ≥1%

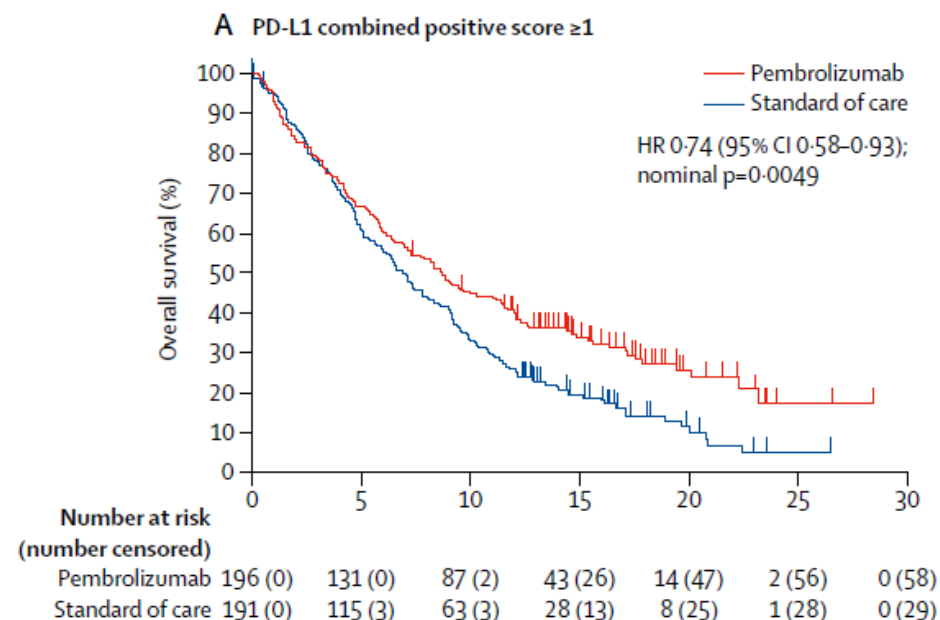
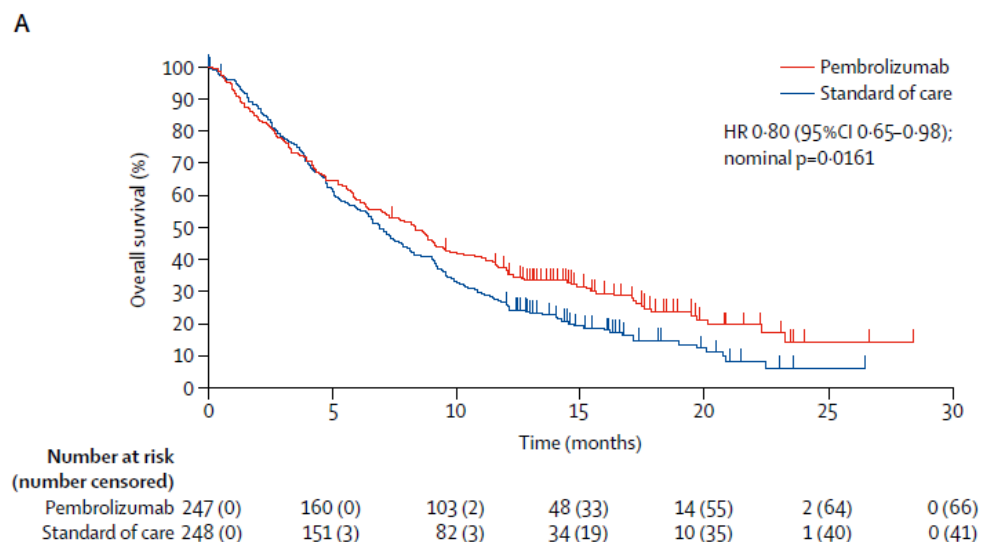
	No. of Patients	No. of Deaths	Median Overall Survival mo (95% CI)
Nivolumab	88	49	8.7 (5.7–9.1)
Standard Therapy	61	45	4.6 (3.8–5.8)



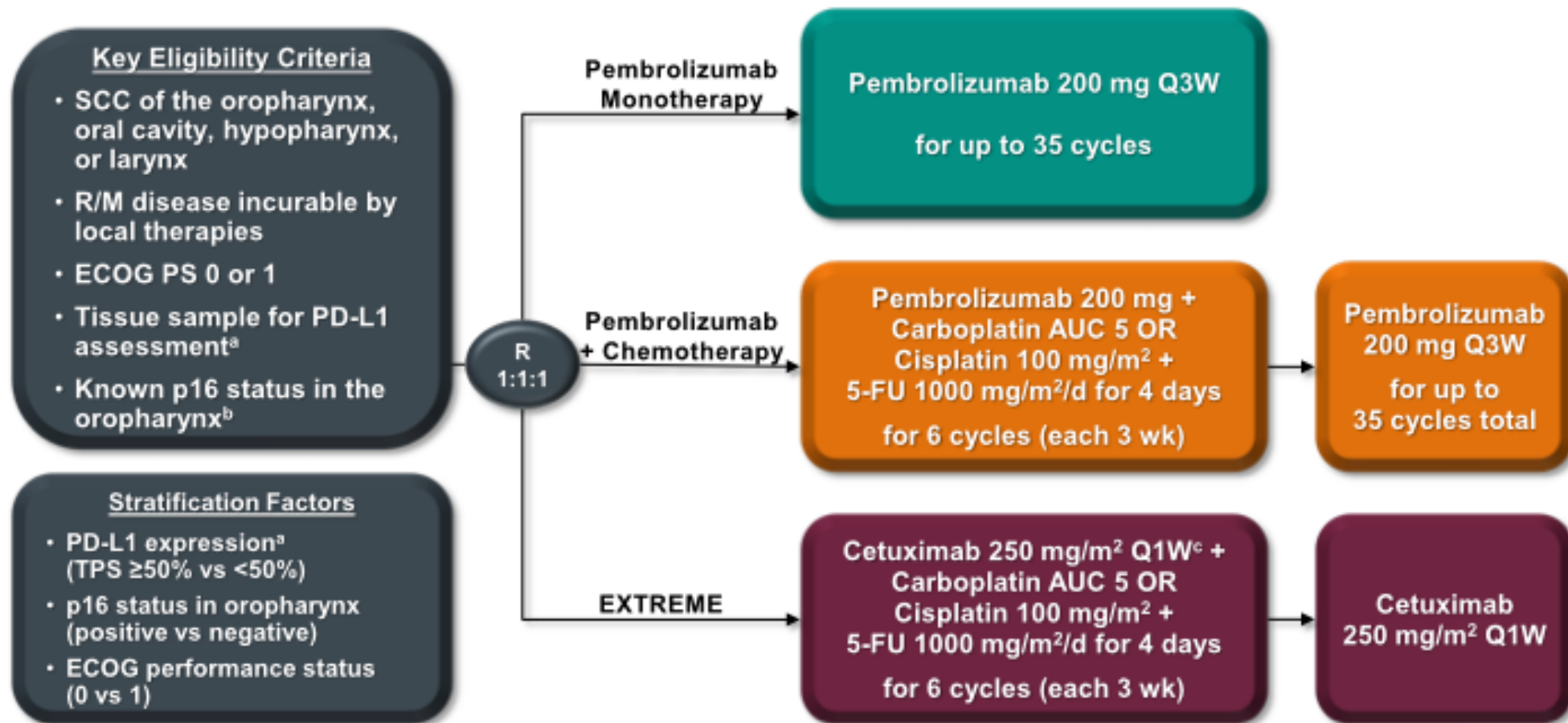
No. at Risk	0	3	6	9	12	15	18
Nivolumab	88	67	44	18	6	2	0
Standard therapy	61	42	20	6	2	0	0

# KEYNOTE-040: Pembrolizumab vs Investigator's Choice in R/M HNSCC after Platinum Therapy Phase III Randomized, Safety and Efficacy Trial

- mOS = 8.4 vs. 6.9 months
- 1y mOS: 37 vs. 26.5%
- mPFS = 2.1 vs. 2.3 months
- ORR = 14.6%

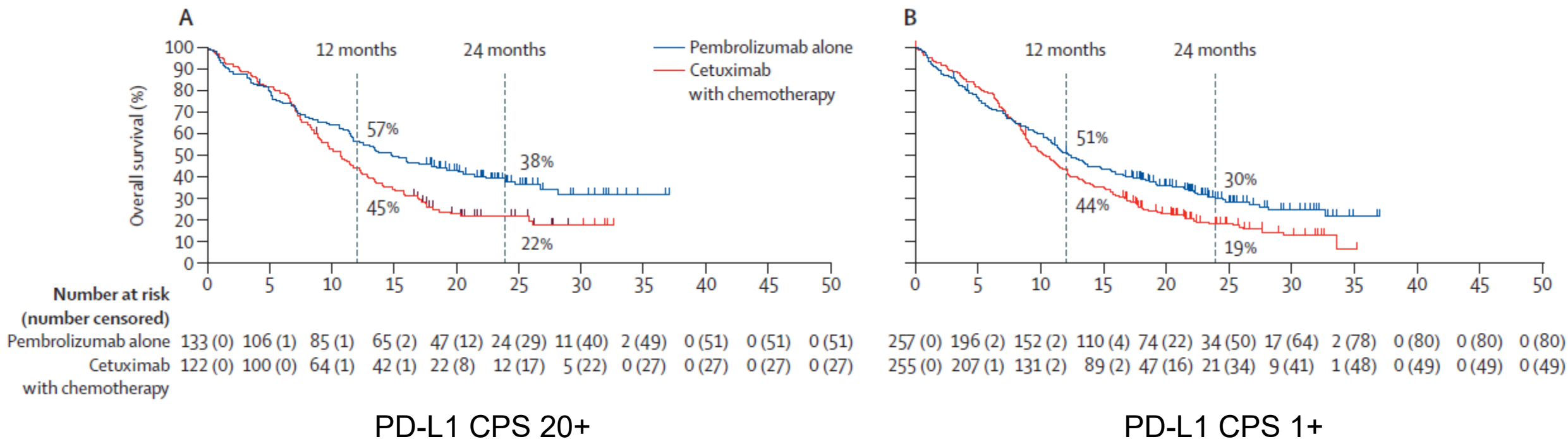


# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC



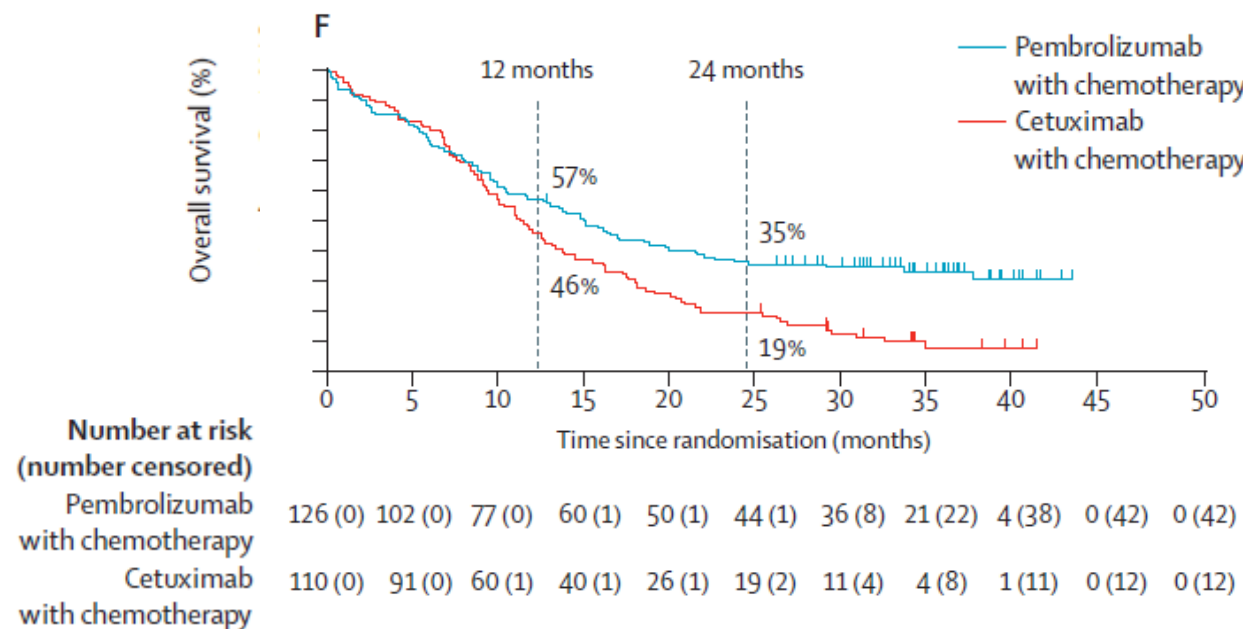
<sup>a</sup>Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. <sup>b</sup>Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. <sup>c</sup>Following a loading dose of 400 mg/m<sup>2</sup>.

# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC

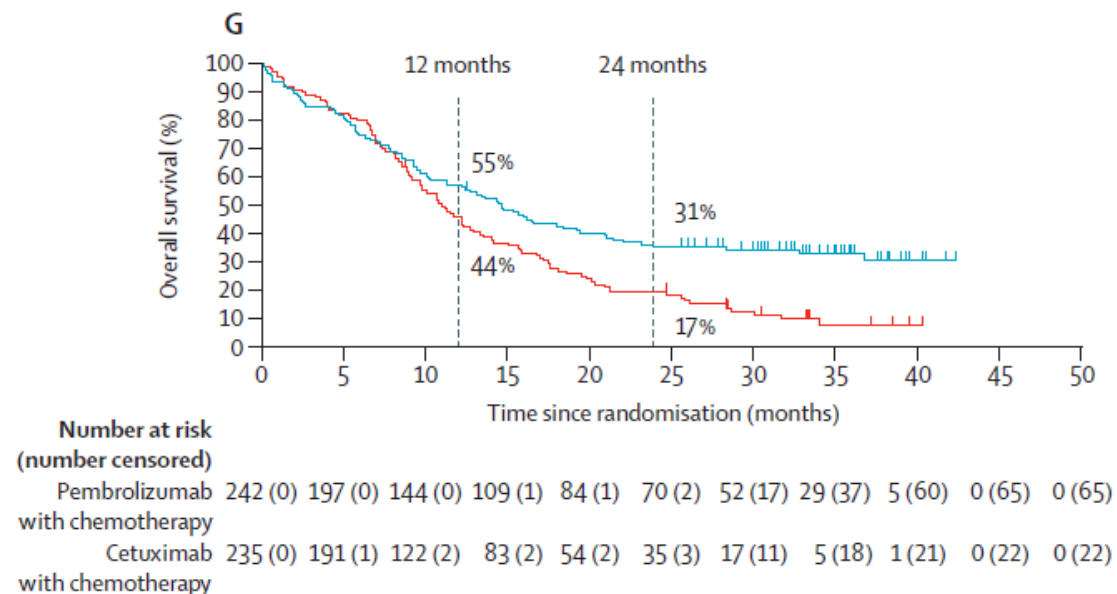




# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC



PD-L1 CPS 20+



PD-L1 CPS 1+

# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC

## Summary of Overall Survival

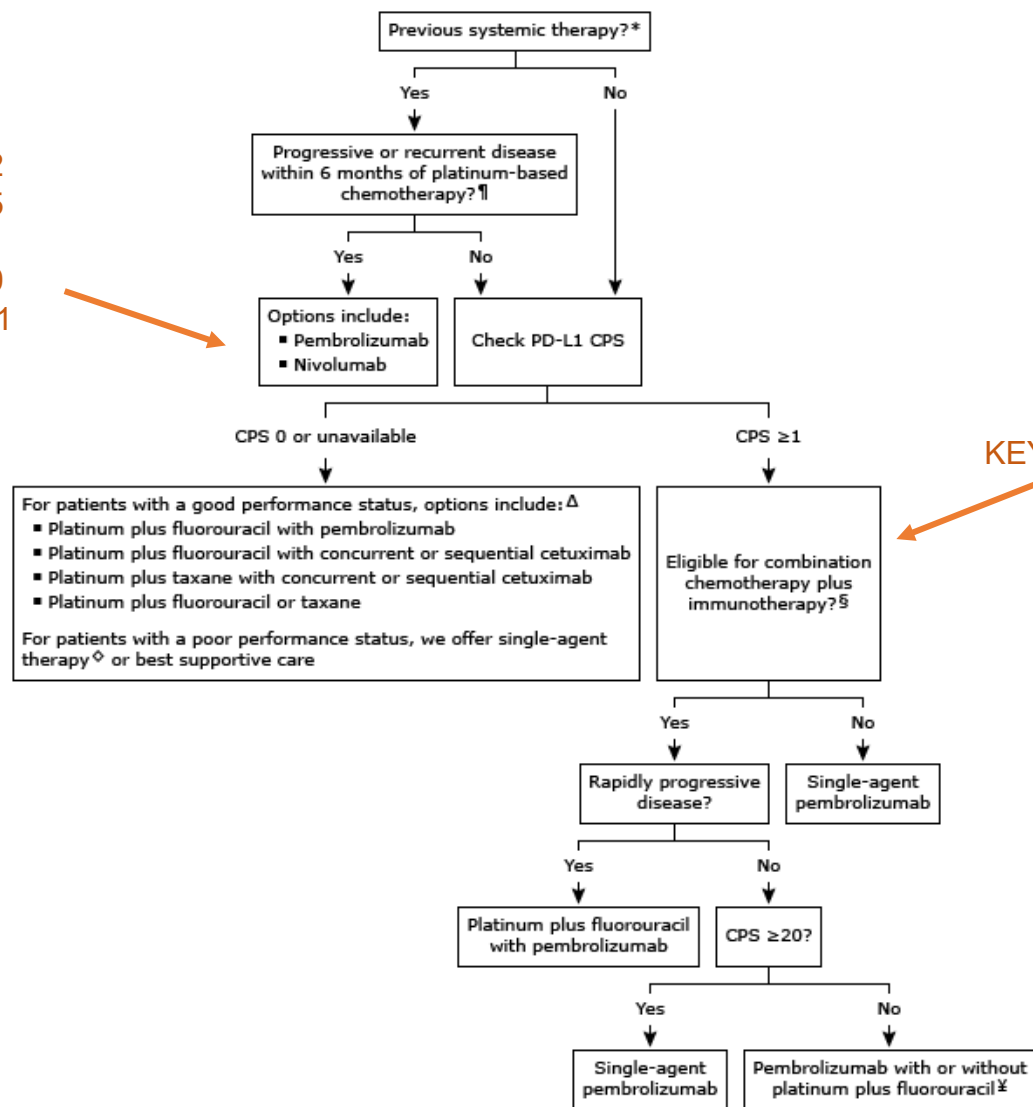
Population	IA2 <sup>1</sup> HR (95% CI)	FA HR (95% CI)	
Pembrolizumab monotherapy vs EXTREME			
PD-L1 CPS ≥20	0.61 (0.45–0.83); <i>P</i> = 0.0007 <sup>a</sup>	0.58 (0.44–0.78) <sup>c</sup>	Approved for CPS 1+
PD-L1 CPS ≥1	0.78 (0.64–0.96); <i>P</i> = 0.0086 <sup>a</sup>	0.74 (0.61–0.90) <sup>c</sup>	
Total	0.85 (0.71–1.03) <sup>b</sup>	0.83 (0.70–0.99); <i>P</i> = 0.0199 <sup>d</sup>	
Pembrolizumab + chemotherapy vs EXTREME			Approved for all
PD-L1 CPS ≥20	—	0.60 (0.45–0.82); <i>P</i> = 0.0004 <sup>a</sup>	
PD-L1 CPS ≥1	—	0.65 (0.53–0.80); <i>P</i> < 0.0001 <sup>a</sup>	
Total	0.77 (0.63–0.93); <i>P</i> = 0.0034 <sup>a,b</sup>	0.72 (0.60–0.87) <sup>c</sup>	

<sup>a</sup>Superiority demonstrated. <sup>b</sup>Noninferiority demonstrated (boundary of 1.2). <sup>c</sup>No statistical testing performed. <sup>d</sup>Superiority not demonstrated.  
 1. Burtness B et al. *Ann Oncol* 2018;29(suppl 8):LBA8\_PR.

# Immunotherapy for R/M HNSCC

KEYNOTE-012  
KEYNOTE-055

KEYNOTE-040  
Checkmate-141



KEYNOTE-048

# Cemiplimab in advanced/metastatic cutaneous squamous-cell carcinoma

## Key Eligibility Criteria

- Advanced cutaneous squamous-cell carcinoma (any site)
- Locally advanced disease not eligible for surgery, or metastatic
- ECOG 0-1
- ≥1 assessable lesion



**Cemiplimab**  
3 mg/kg IV Q2W



## Primary endpoint

- Response rate

## Other endpoints

- Duration of response
- PFS
- OS
- Side effects
- Durable disease control

# Cemiplimab in advanced/metastatic cutaneous squamous-cell carcinoma

ORR = 47-50%

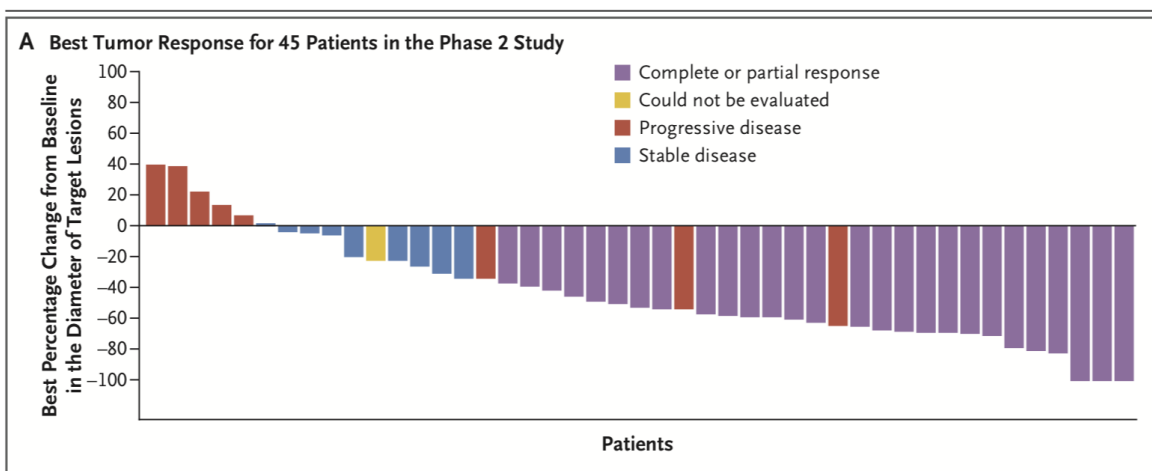
CR = 7%, PR = 13-24%

mOS not reached (1y OS: 81%)

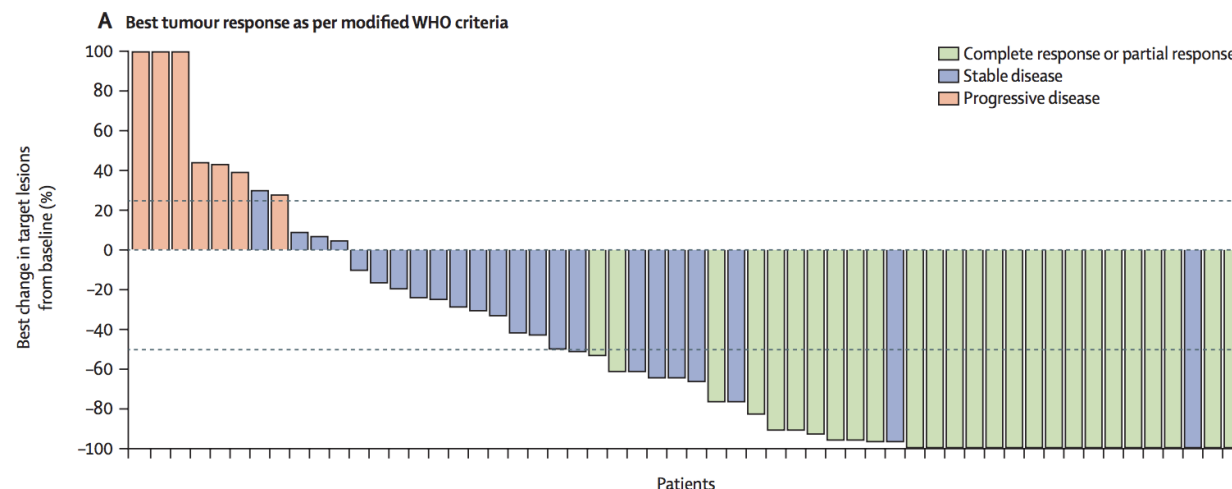
ORR = 34%

CR = 13%, PR = 24%

mOS not reached

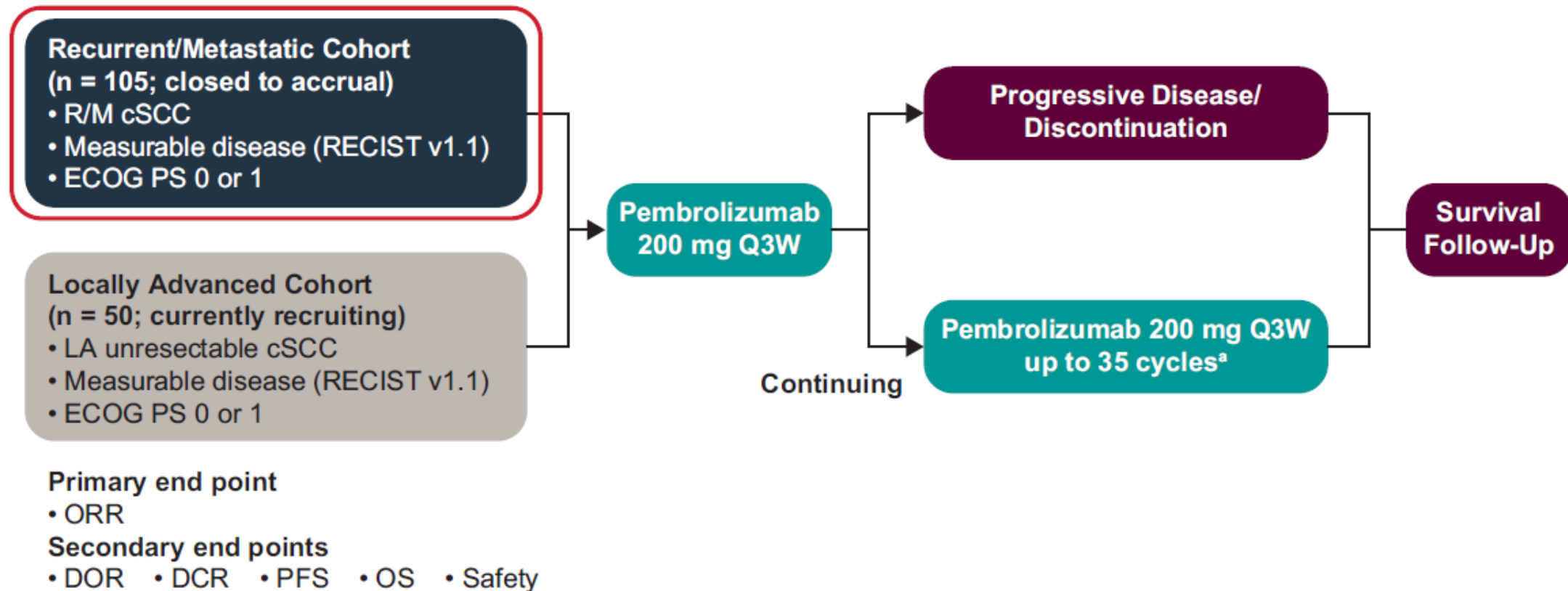


Metastatic>locally advanced



Locally advanced>metastatic

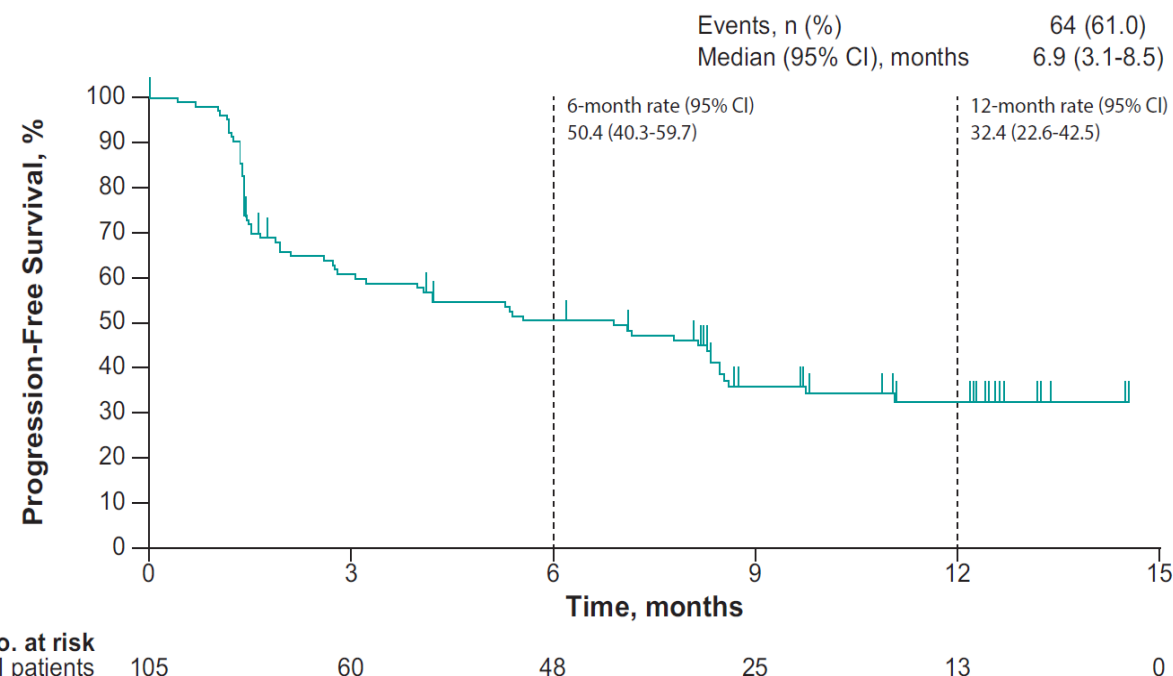
# KEYNOTE-629: Pembrolizumab in advanced/metastatic cSCC





# KEYNOTE-629: Pembrolizumab in advanced/metastatic cSCC

	Total n = 105	First Line n = 14	Second Line and Beyond n = 91
<b>Objective response rate, % (95% CI)<sup>a</sup></b>	34.3 (25-44)	50.0 (23-77)	31.9 (23-43)
<b>Disease control rate, % (95% CI)<sup>b</sup></b>	52.4 (42-62)	64.3 (35-87)	50.5 (40-61)
<b>Best overall response, n (%)</b>			
Complete response	4 (3.8)	2 (14.3)	2 (2.2)
Partial response	32 (30.5)	5 (35.7)	27 (29.7)
Stable disease	31 (29.5)	3 (21.4)	28 (30.8)
Stable disease ≥12 weeks	19 (18.1)	2 (14.3)	17 (18.7)
Progressive disease	28 (26.7)	4 (28.6)	24 (26.4)
Not evaluable <sup>c</sup>	2 (1.9)	0	2 (2.2)
Not assessed <sup>d</sup>	8 (7.6)	0	8 (8.8)



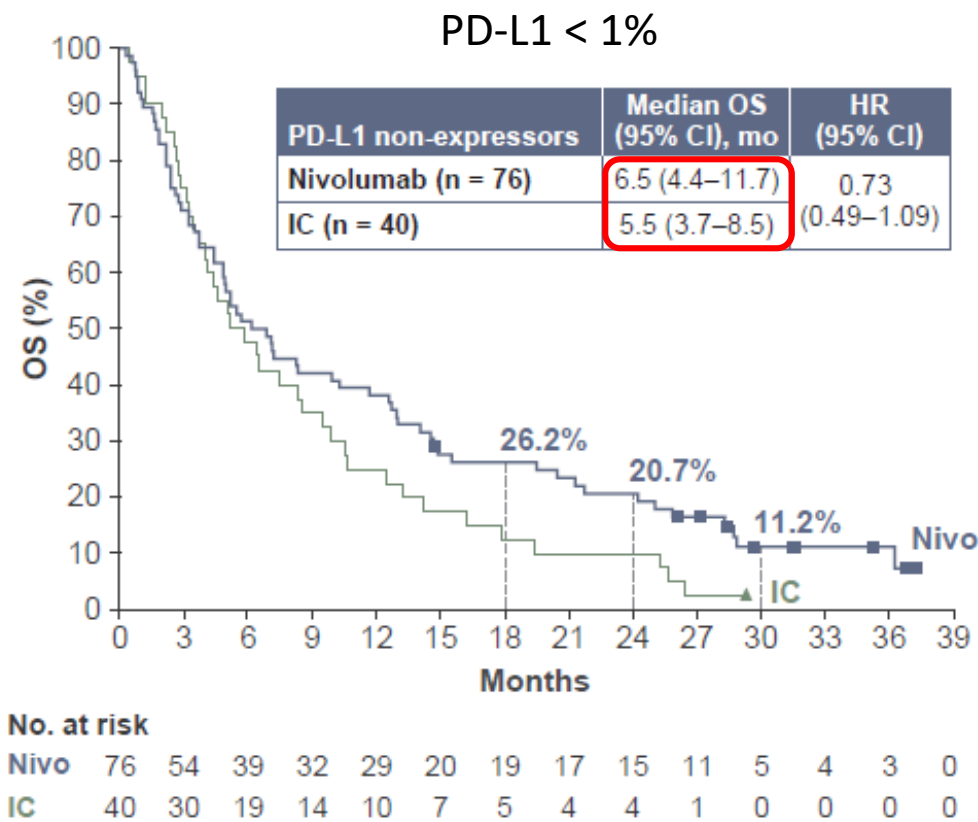
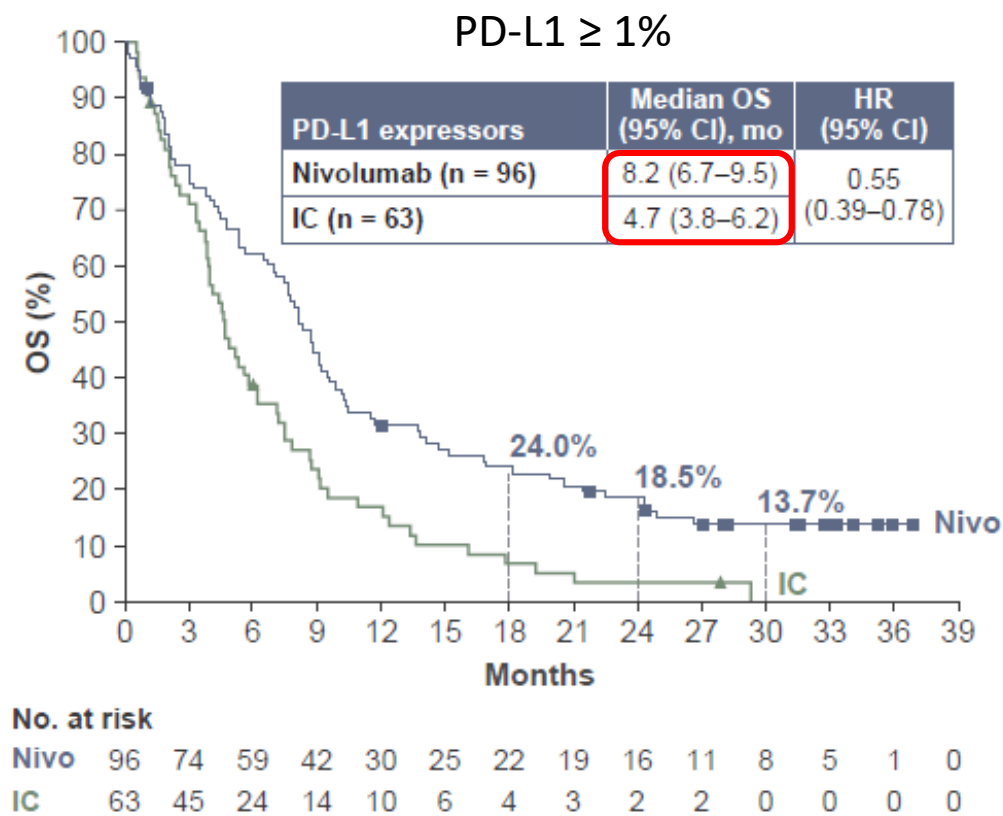
Similar results to cemiplimab trials

# Evaluating Biomarkers in HNSCC

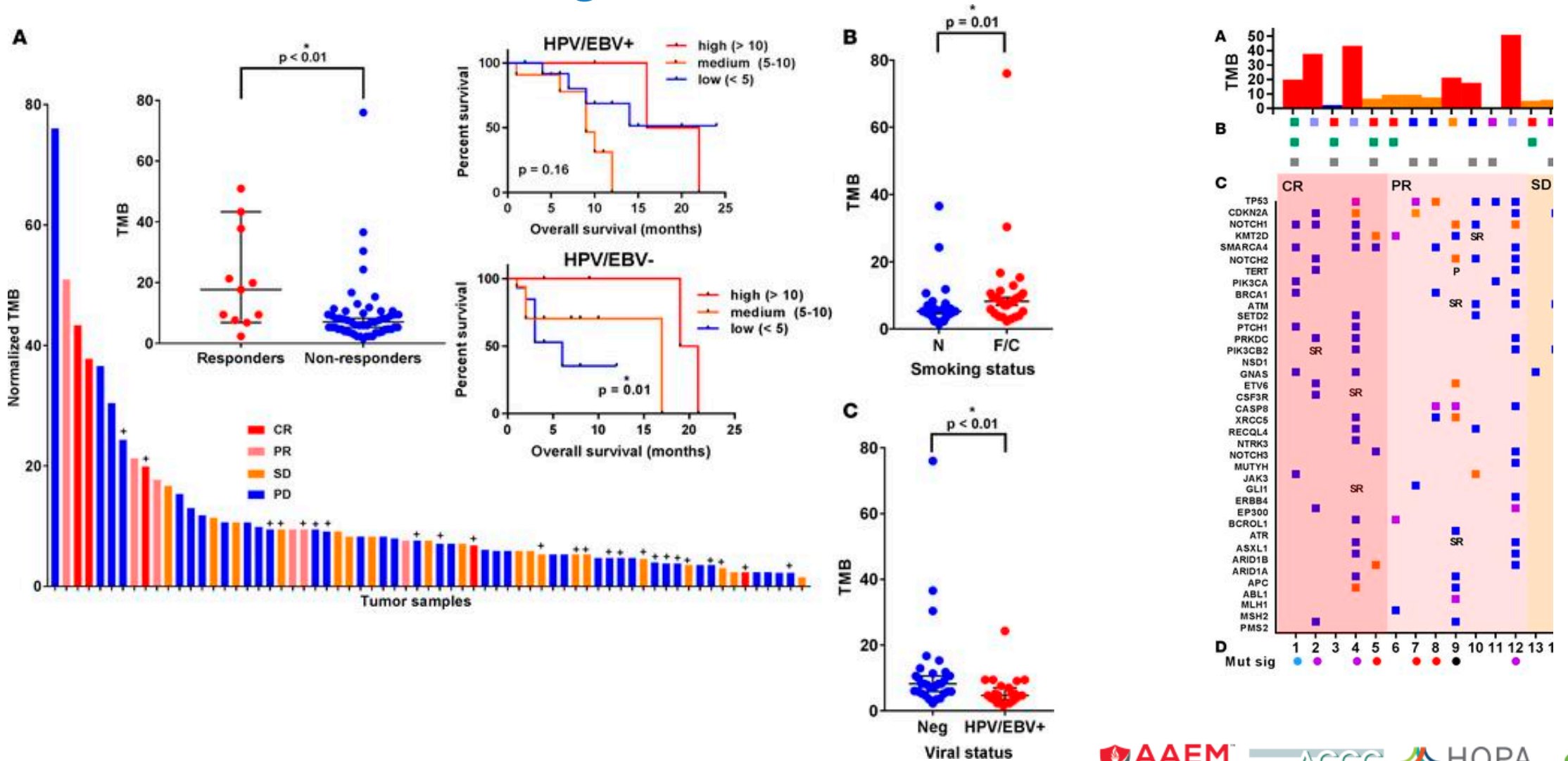
- **Only** indication that relies on PD-L1 expression: pembrolizumab monotherapy in 1<sup>st</sup> line HNSCC – CPS  $\geq$  1 (KEYNOTE-048)
- All other approvals not dependent on PD-L1 expression
  - KEYNOTE-012/055: Response rates not significantly different on the basis of tumor PD-L1 staining
  - Checkmate-141: Most benefit seen in PD-L1 positive tumors
  - KEYNOTE-040: improved outcomes in PD-L1-expressors

# Evaluating Biomarkers in HNSCC

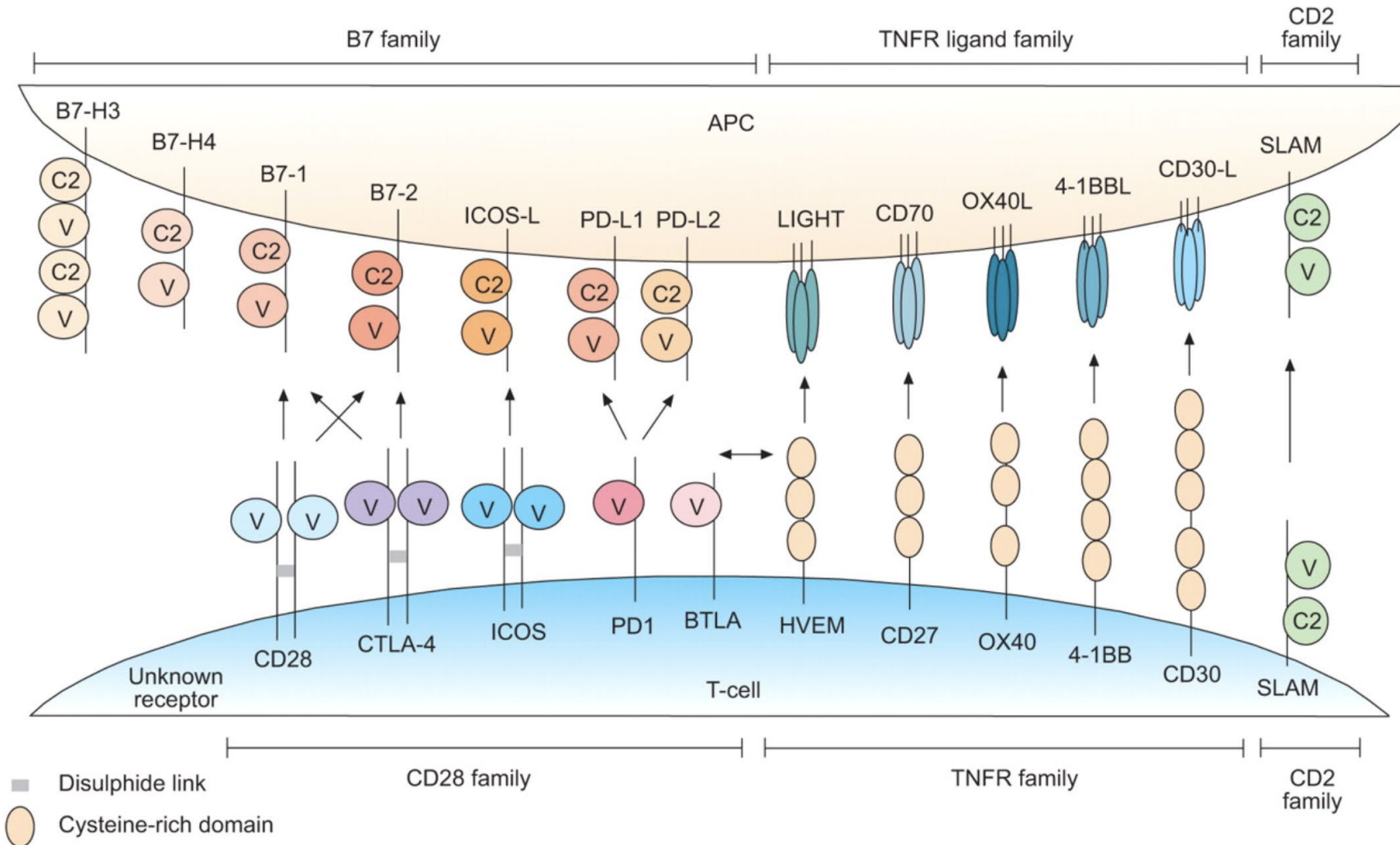
## CheckMate-141: 2 year update



# Evaluating Biomarkers in HNSCC



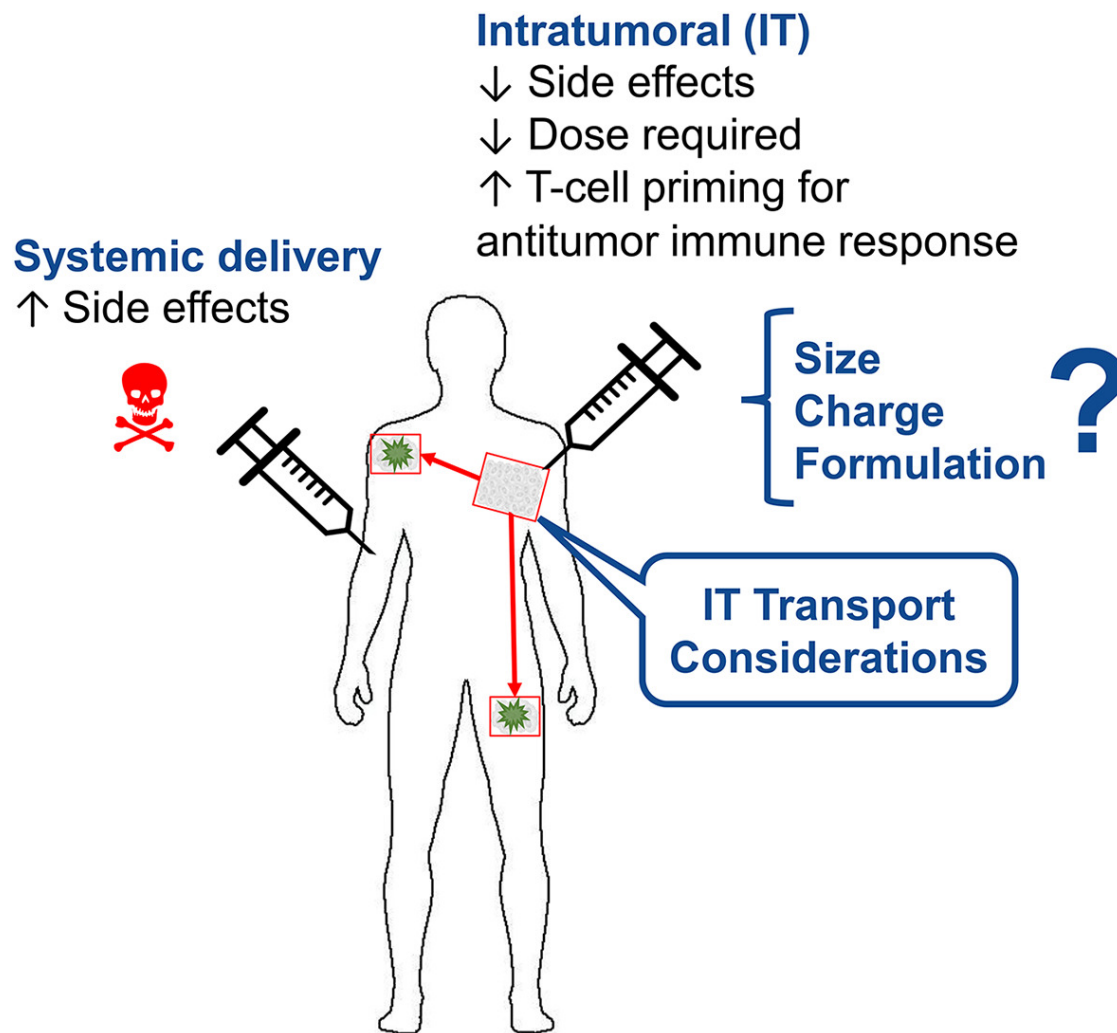
# On the horizon...



Combinations with newer immune checkpoint inhibitors



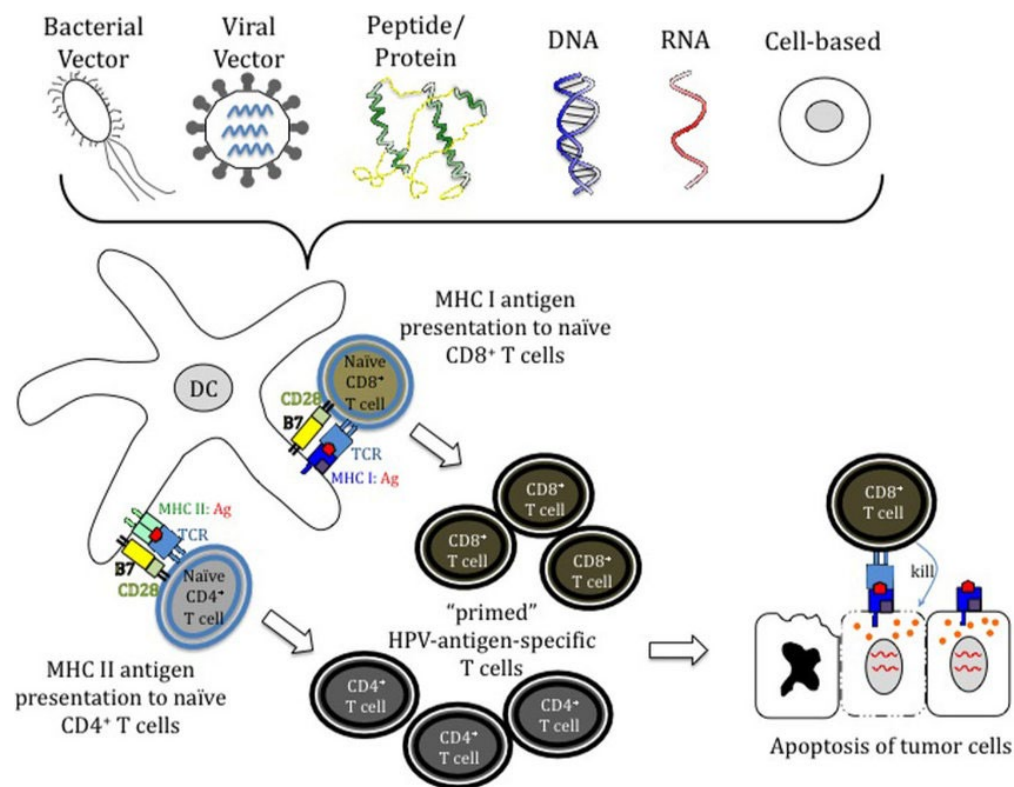
# On the horizon...



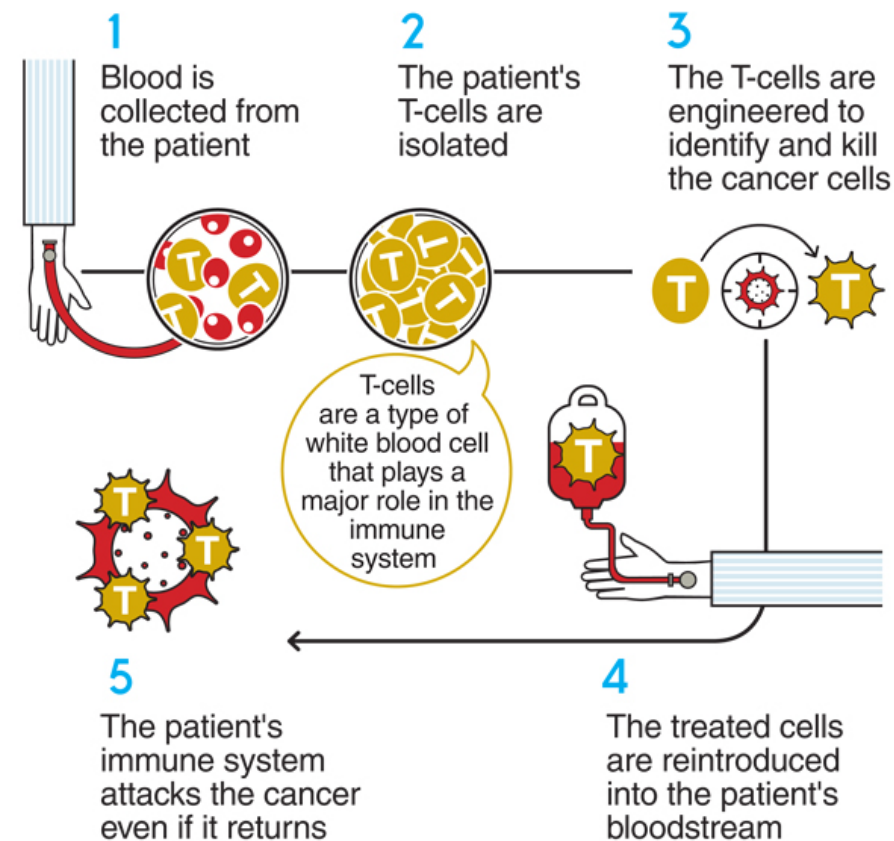
Intratumoral injectables  
to stimulate a local  
cytokine response



# On the horizon...



Therapeutic vaccines or cell-based therapies aimed at HPV in particular



# Conclusions

- Cytotoxic chemotherapy achieves limited survival with unfavorable side effects.
- Checkpoint inhibitors that target the PD-1 axis, nivolumab and pembrolizumab, are approved in platinum-refractory/exposed and recurrent/metastatic HNSCC.
- Nivolumab and pembrolizumab are in general better tolerated than cytotoxic chemotherapy.
- Ongoing areas of research include: combinations of immunotherapy with radiation and/or other drugs, development of predictive biomarkers and approaches to overcoming resistance.

Cohen et al. *Journal for Immunotherapy of Cancer* (2019) 7:184  
<https://doi.org/10.1186/s40425-019-0662-5>

Journal for Immunotherapy  
of Cancer

**POSITION ARTICLE AND GUIDELINES**

**Open Access**

## The Society for Immunotherapy of Cancer consensus statement on immunotherapy for the treatment of squamous cell carcinoma of the head and neck (HNSCC)



Ezra E. W. Cohen<sup>1</sup>, R. Bryan Bell<sup>2</sup>, Carlo B. Bifulco<sup>2</sup>, Barbara Burtneß<sup>3</sup>, Maura L. Gillison<sup>4</sup>, Kevin J. Harrington<sup>5</sup>, Quynh-Thu Le<sup>6</sup>, Nancy Y. Lee<sup>7</sup>, Rom Leidner<sup>2</sup>, Rebecca L. Lewis<sup>8</sup>, Lisa Licitra<sup>9</sup>, Hisham Mehanna<sup>10</sup>, Loren K. Mell<sup>1</sup>, Adam Raben<sup>11</sup>, Andrew G. Sikora<sup>12</sup>, Ravindra Uppaluri<sup>13</sup>, Fernanda Whitworth<sup>14</sup>, Dan P. Zandberg<sup>8</sup> and Robert L. Ferris<sup>8\*</sup>

# Case Studies

# Case Study 1

55M (former smoker) diagnosed in December 2017 with locoregionally advanced, HPV+ SCC arising from the right tonsil

Staging: cT3N2M0 (**stage II**, AJCC 2017 8<sup>th</sup> ed)

He received definitive concurrent chemoradiation with bolus cisplatin (35/35 fractions to 70 Gy involving the oropharynx and bilateral necks, 3-cycles cisplatin 100 mg/m<sup>2</sup>)

Completed all therapy March 2018

# Case Study 1

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Staging: cT3N2M0 (**stage II**, AJCC 2017 8<sup>th</sup> ed)

He received definitive concurrent chemoradiation with bolus cisplatin

Completed all therapy March 2018

Clinical evidence of chest wall soft tissue nodule with biopsy-proven HPV+ **metastatic recurrence in August 2019**

NPL shows local recurrence in the right larynx and scans clarify mediastinal adenopathy



# Case Study 1

55M (former smoker) with R/M HPV+ SCC arising from the right tonsil.  
Completed cisplatin-RT in March 2018, with local and distant recurrence in August 2019

NPL shows local recurrence in the right larynx with no stridor but evolving dysphagia and dry cough

**Therapeutic options?**

# Case Study 1

55M (former smoker) with R/M HPV+ SCC arising from the right tonsil.  
Completed cisplatin-RT in March 2018, with local and distant recurrence in August 2019

NPL shows local recurrence in the right larynx with no stridor but evolving dysphagia and dry cough

## Therapeutic options:

- Clinical trial protocol?
- First-line chemoimmunotherapy (platinum + 5-FU + pembrolizumab) or pembrolizumab alone (CPS PD-L1 testing)?
- Platinum-based chemotherapy with cetuximab?

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Completed cisplatin-RT in March 2018, with local and distant recurrence in August 2019

NPL shows local recurrence in the right larynx with no stridor but evolving dysphagia and dry cough

## Therapeutic options:

- Clinical trial protocol?
- **First-line chemoimmunotherapy (platinum + 5-FU + pembrolizumab) or pembrolizumab alone (CPS PD-L1 testing)?**
- Platinum-based chemotherapy with cetuximab?

## Case Study 2

55M (never smoker) initially diagnosed with HPV+ SCC of the left base of tongue with ipsilateral level II/III cervical adenopathy in October 2016

Staging: cT4N1M0 (**stage III**, AJCC 2017 8<sup>th</sup> ed)

Treatment: definitive concurrent chemoradiation with weekly cisplatin ending February 2017

## Case Study 2

55M (never smoker) initially diagnosed with HPV+ SCC of the left base of tongue with ipsilateral level II/III cervical adenopathy in October 2016

Staging: cT4N1M0 (stage III, AJCC 2017 8<sup>th</sup> ed)

Treatment: definitive concurrent chemoradiation with weekly cisplatin ending February 2017

Restaging: PET-CT in June 2017 shows local residual disease and new lung metastases

## Case Study 2

55M (never smoker) with platinum-refractory, locoregionally persistent and now metastatic HPV+ SCC of the left base of tongue with pulmonary involvement

Restaging: PET-CT in June 2017 shows local residual disease and new lung metastases

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55M (never smoker) with platinum-refractory, locoregionally persistent and now metastatic HPV+ SCC of the left base of tongue with pulmonary involvement

Restaging: PET-CT in June 2017 shows local residual disease and new lung metastases

Started nivolumab (3 mg/kg IV D1, 15) q28d **in July 2017**

Interval scan: in September 2017 his lung lesions had resolved and his local disease showed regression (partial response)



## Case Study 2

55M (never smoker) with platinum-refractory, locoregionally persistent and now metastatic HPV+ SCC of the left base of tongue with pulmonary involvement

Started nivolumab (3 mg/kg IV D1, 15) q28d in July 2017

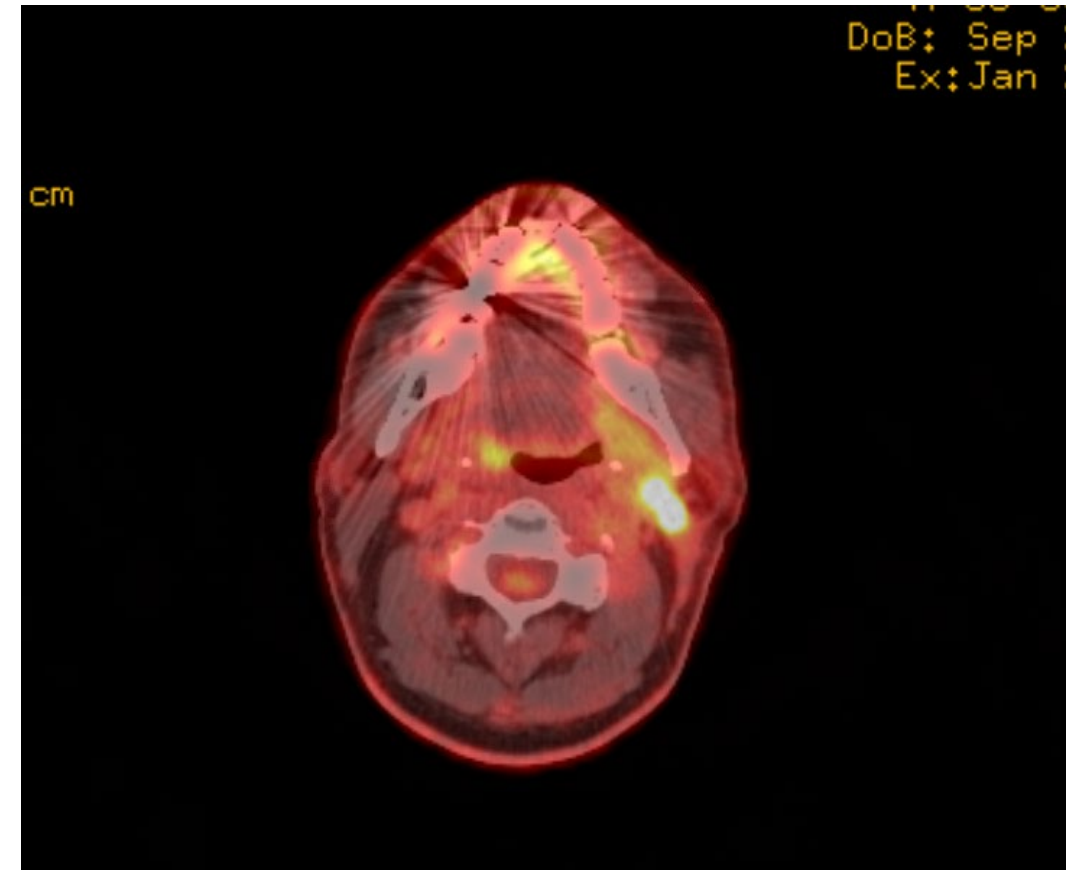
Interval scan: in September 2017 his lung lesions had resolved and his local disease showed regression (partial response)

In **January 2018** he has new left neck pain and a PET-CT is obtained

## Case Study 2

What would be your best next step?

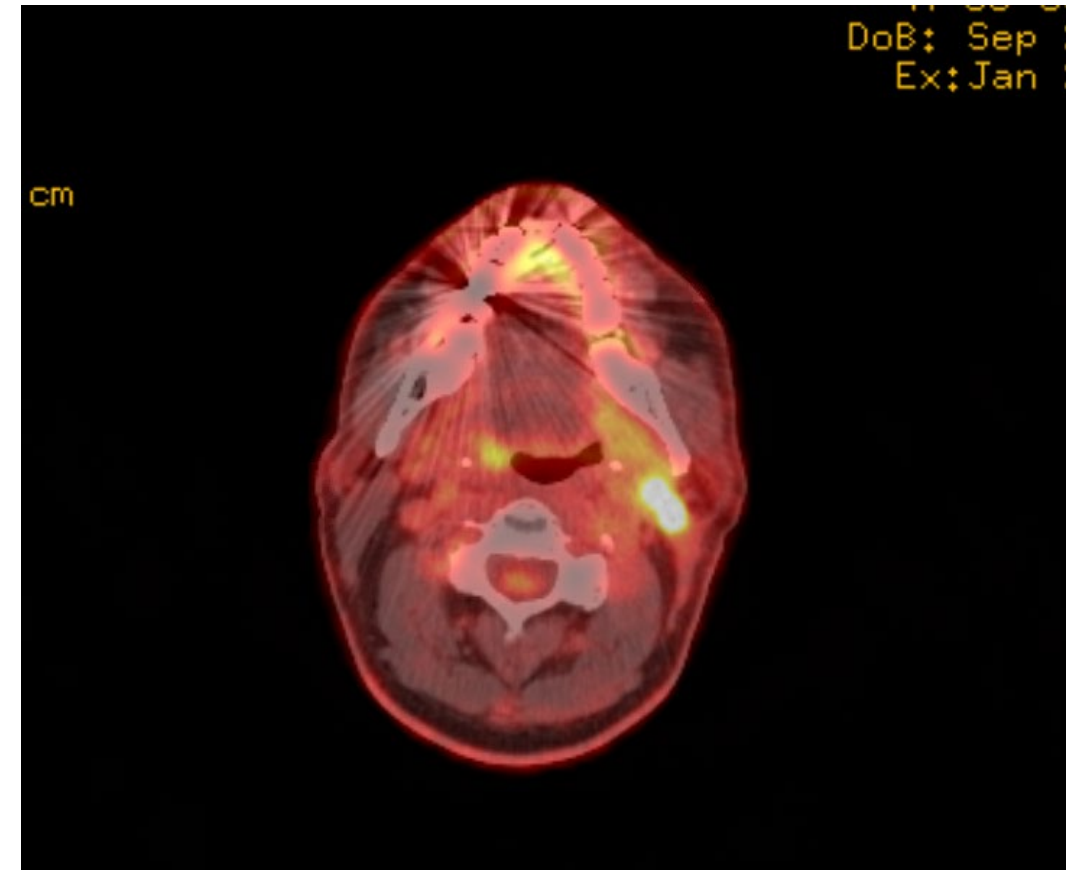
- A. US-guided left neck biopsy
- B. Discontinue PD-1 blockade and start second line chemotherapy or clinical trials
- C. Consider palliative radiation



# Case Study 2

What would be your best next step?

- A. US-guided left neck biopsy
- B. Discontinue PD-1 blockade and start second line chemotherapy or clinical trials
- C. Consider palliative radiation**
  - Localized disease with slow progression
  - Clear clinical benefit from PD-1i at distant site
  - Would continue PD-1 blockade during or after SBRT or IMRT



# Case Study 3

83M (never smoker) initially diagnosed with SCC of the left ventrolateral oral tongue

Staging: cT3N0M0 (stage III, AJCC 2017 8<sup>th</sup> ed)

Treatment: he declined surgery and radiation but had oral pain symptoms and elective for palliative therapies; started on pembrolizumab in **January 2019**

# Case Study 3

83M (never smoker) initially diagnosed with SCC of the left ventrolateral oral tongue

Staging: cT3N0M0 (stage III, AJCC 2017 8<sup>th</sup> ed)

Treatment: he declined surgery and radiation but had oral pain symptoms and elective for palliative therapies; started on pembrolizumab in **January 2019**

Develops a clinical response after one dose but then has two episodes of PD-1 induced colitis requiring steroid tapers

Pembrolizumab discontinued in **May 2019**

# Case Study 3

83M (never smoker) initially diagnosed with SCC of the left ventrolateral oral tongue

Staging: cT3N0M0 (stage III, AJCC 2017 8<sup>th</sup> ed)

Treated with pembrolizumab in January to **May 2019**. Develops a clinical response after one dose but then has two episodes of PD-1 induced colitis requiring steroid tapers

Event: in **August 2019** calls with mucositis, oral pain with difficulty swallowing, skin rash...



## Case Study 3

83M (never smoker) initially diagnosed with SCC of the left ventrolateral oral tongue

Treated with pembrolizumab in January to **May 2019**. Develops a clinical response after one dose but then has two episodes of PD-1 induced colitis requiring steroid tapers

Event: in **August 2019** calls with mucositis, oral pain with difficulty swallowing, skin rash...



# Case Study 3

## Pembrolizumab or PD-1 induced SJS-like reaction or erythema multiforme

### Treatment:

- Urgent dermatologic consultation with biopsy  
negative for immunofluorescence studies (IgA, IgG, IgM, C3, fibrinogen)
- High-dose IV corticosteroids
- Topical immunosuppression to skin and lips
- Oral rinses for pain control; nutritional support
- Permanent PD-1 inhibitor discontinuation

