



Immunotherapy for the Treatment of Head and Neck Cancers

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Society for Immunotherapy of Cancer

Disclosures

I serve as an investigator for trials funded by –

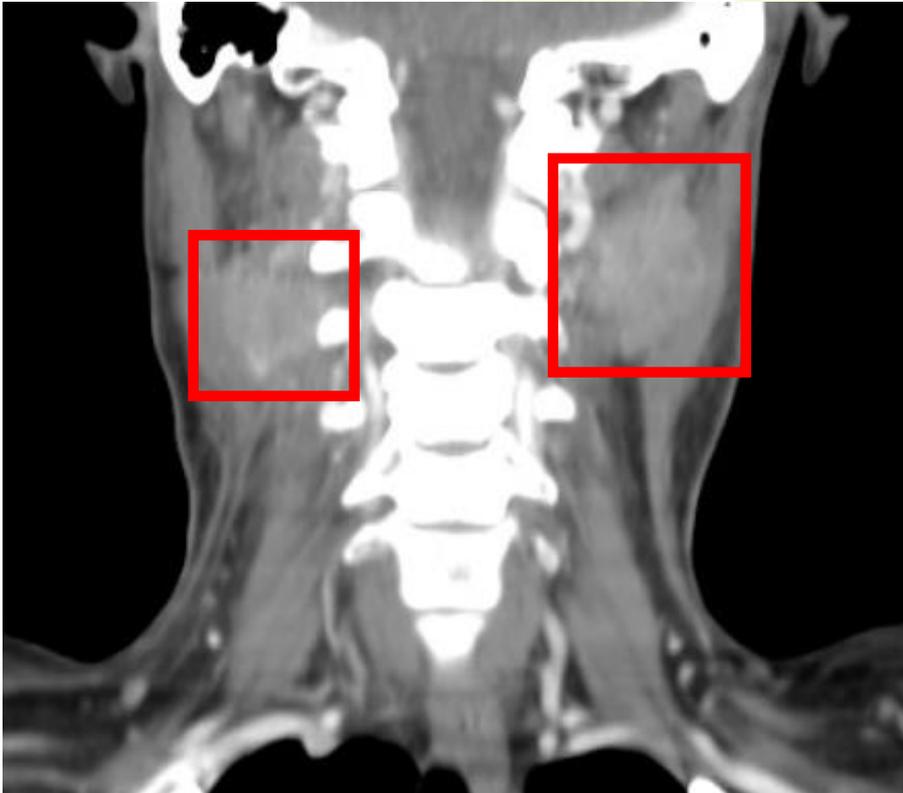
- Acerta
- AstraZeneca
- Bristol-Myers-Squibb
- Celgene
- Celldex
- Medimmune
- Merck
- Novartis
- OncoSec
- Plexxicon
- Tessa

I serve as an advisor for –

- Nektar
- OncoSec



Case 1



51 year old woman with NPC

18 months prior in China

- Neoadjuvant carbo/5FU
- 30 Fractions ChemoXRT

6 mos after treatment

- p/w FDG avid LAD

Lost to f/u for 12 months

Presentation to UCSF

- 2.4 cm R neck mass
- 3.6 cm L neck mass adj ICA
- Biopsy with recurrent NPC



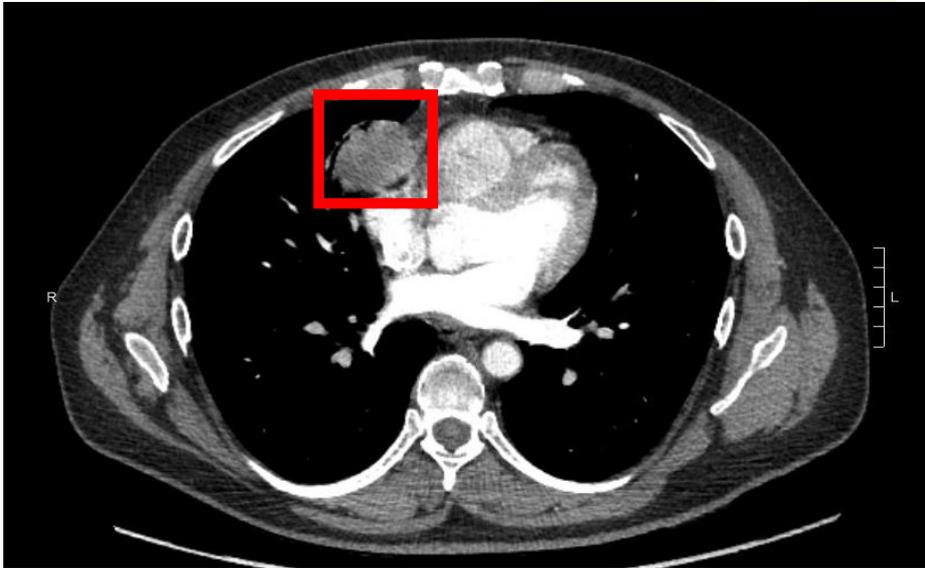
Case 2 – Treatment – Please Vote!

- A. Chemoradiation
- B. Induction chemotherapy then radiation
- C. Surgery
- D. Chemotherapy
- E. Pembrolizumab or nivolumab
- F. Ipilimumab and nivolumab





Case 2



51 year old man with p16+ OPC

8 months prior in Canada

- Definitive ChemoXRT
- Distant metastases within 6 mos

Presentation to UCSF

- Mediastinal LAD
- Bilateral lung nodules



Case 2 – Treatment – Please Vote!

- A. Chemoradiation
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Head and Neck Cancers

Nasopharynx

NPC (EBV)

Oropharynx

SCC (HPV)

SCC (non-HPV)

Oral cavity

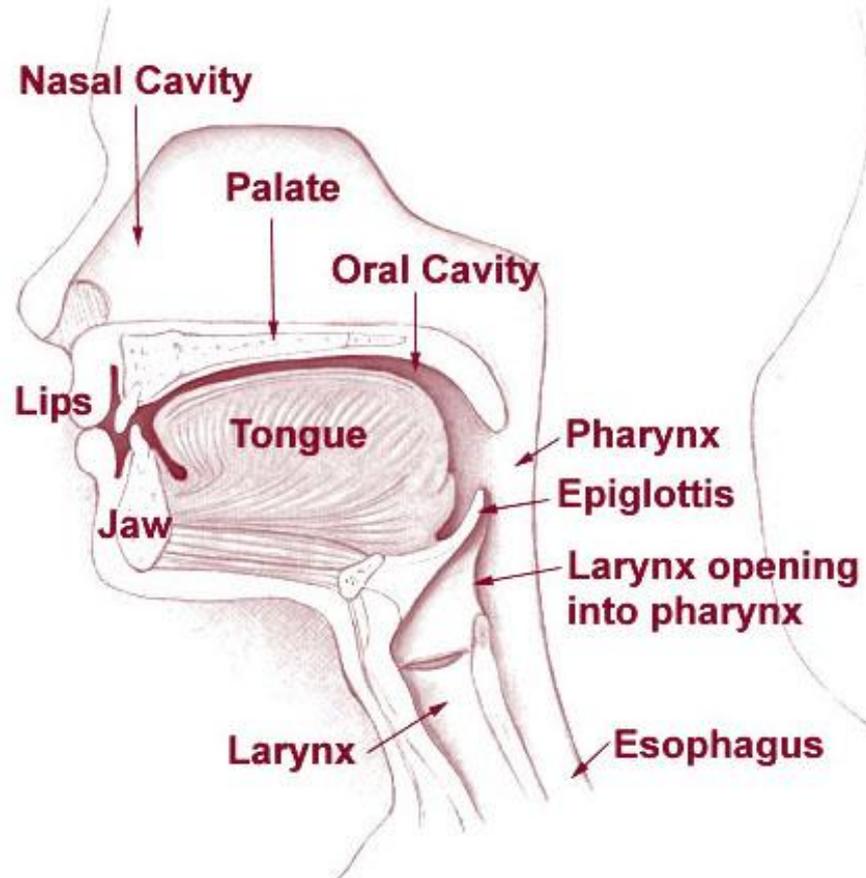
SCC

Hypopharynx

SCC

Larynx

SCC



Sinus

- Squamous cell
- Esthesioneuroblastoma
- SNUC
- SNEC
- Melanoma

Salivary

- Adenocarcinoma
- Mucoepidermoid
- Adenocystic
- Salivary duct

Skin

- Basal cell
- Squamous cell
- Melanoma
- Merkel cell

Thyroid

- Papillary
- Follicular
- Medullary
- Anaplastic
- Castle Carcinoma

HNSCC Incidence and HPV

Estimated New Cases*

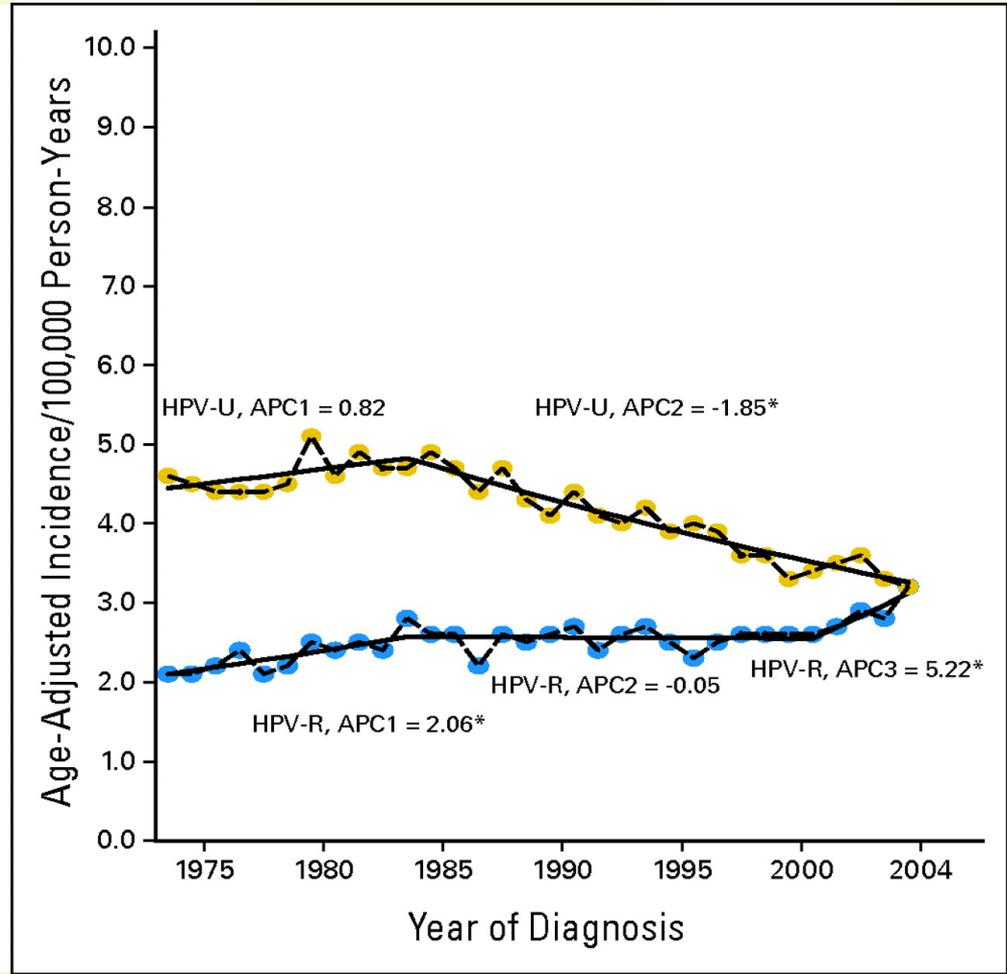
Male

Prostate	217,730 (28%)
Lung & bronchus	116,750 (15%)
Colon & rectum	72,090 (9%)
Urinary bladder	52,760 (7%)
Melanoma of the skin	38,870 (5%)
Non-Hodgkin lymphoma	35,380 (4%)
Kidney & renal pelvis	35,370 (4%)
Oral cavity & pharynx	25,420 (3%)
Leukemia	24,690 (3%)
Pancreas	21,370 (3%)
All sites	789,620 (100%)

Female

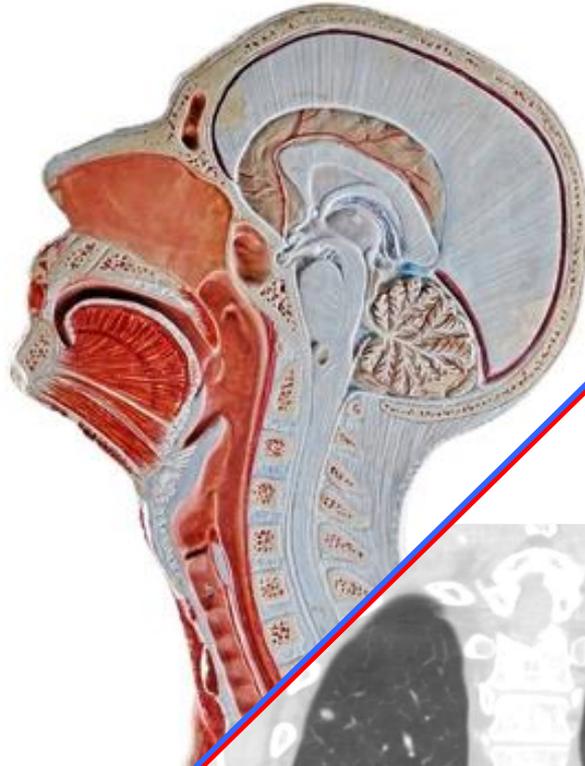
Breast	207,090 (28%)
Lung & bronchus	105,770 (14%)
Colon & rectum	70,480 (10%)
Uterine corpus	43,470 (6%)
Thyroid	33,930 (5%)
Non-Hodgkin lymphoma	30,160 (4%)
Melanoma of the skin	29,260 (4%)
Kidney & renal pelvis	22,870 (3%)
Ovary	21,880 (3%)
Pancreas	21,770 (3%)
All sites	739,940 (100%)

#8



Treating Head and Neck Cancer

Primary Treatment



Locoregional
Distant Metastases
or No Further Surgery / Radiation

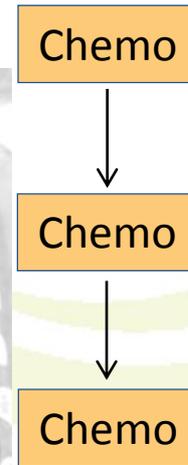
Treatment for Locoregional Recurrence

Surgery
If Feasible

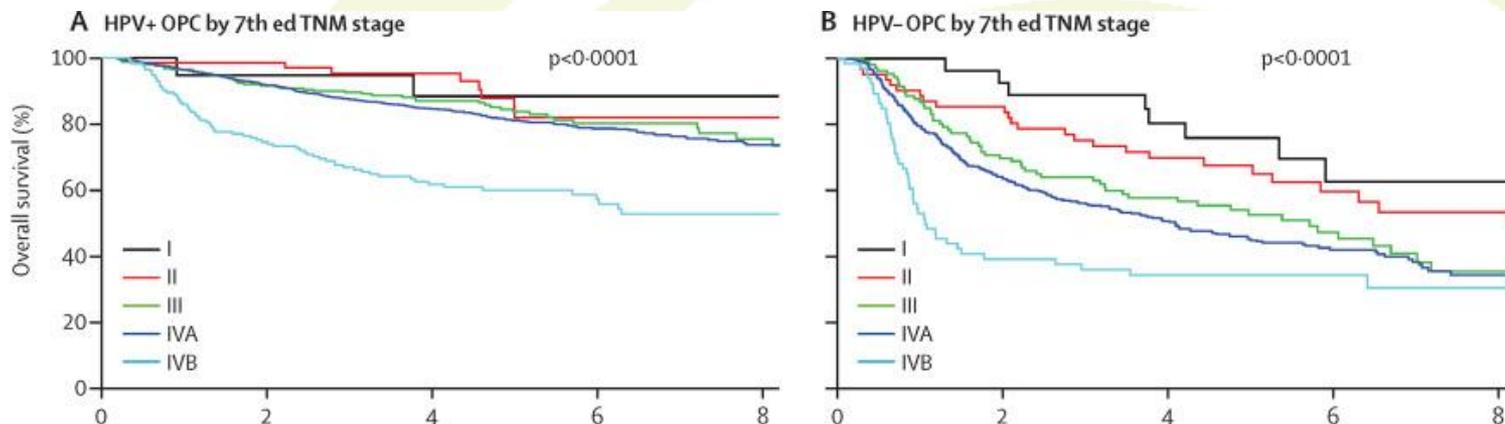
Radiation or Chemoradiation

If Maximum Exposure Not Exceeded and Long Disease Free Interval

Poorer Outcomes
Increased Morbidity



Locoregional OPC Prognosis and HPV



Number at risk		0	2	4	6	8	0	2	4	6	8
Stage I	19	18	14	9	4	27	25	18	9	5	
Stage II	71	65	44	16	7	62	52	35	20	17	
Stage III	253	226	156	75	38	107	74	49	24	12	
Stage IVA	1392	1250	819	332	135	434	268	171	76	20	
Stage IVB	172	119	73	40	20	66	25	18	11	3	

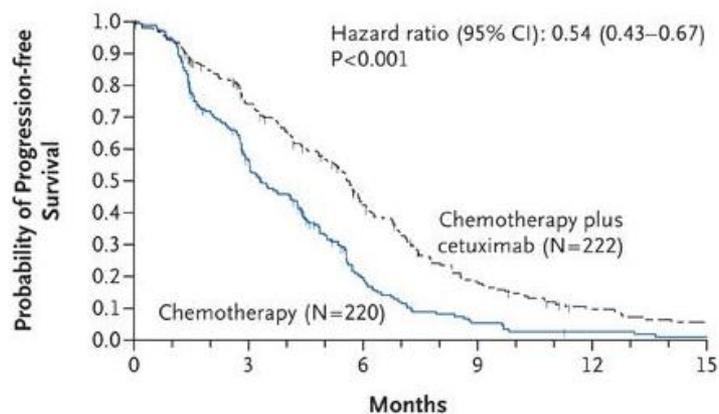
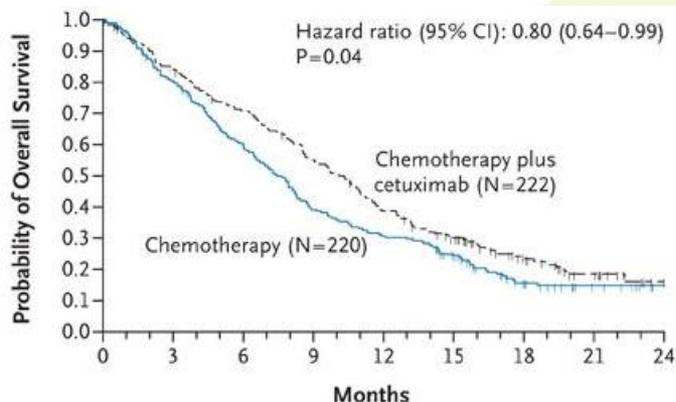
Lancet Oncol. 2016;17(4):440.

Stage	HPV+	HPV-
I	88%	76%
II	82%	68%
II	84%	53%
IVA	81%	45%
IVB	60%	34%

5 year OS



Chemotherapy for Recurrent / Metastatic HNSCC



No. at Risk	0	3	6	9	12	15
Chemotherapy	220	103	29	8	3	1
Chemotherapy plus cetuximab	222	138	72	29	12	7

Platinum + 5FU + Cetuximab

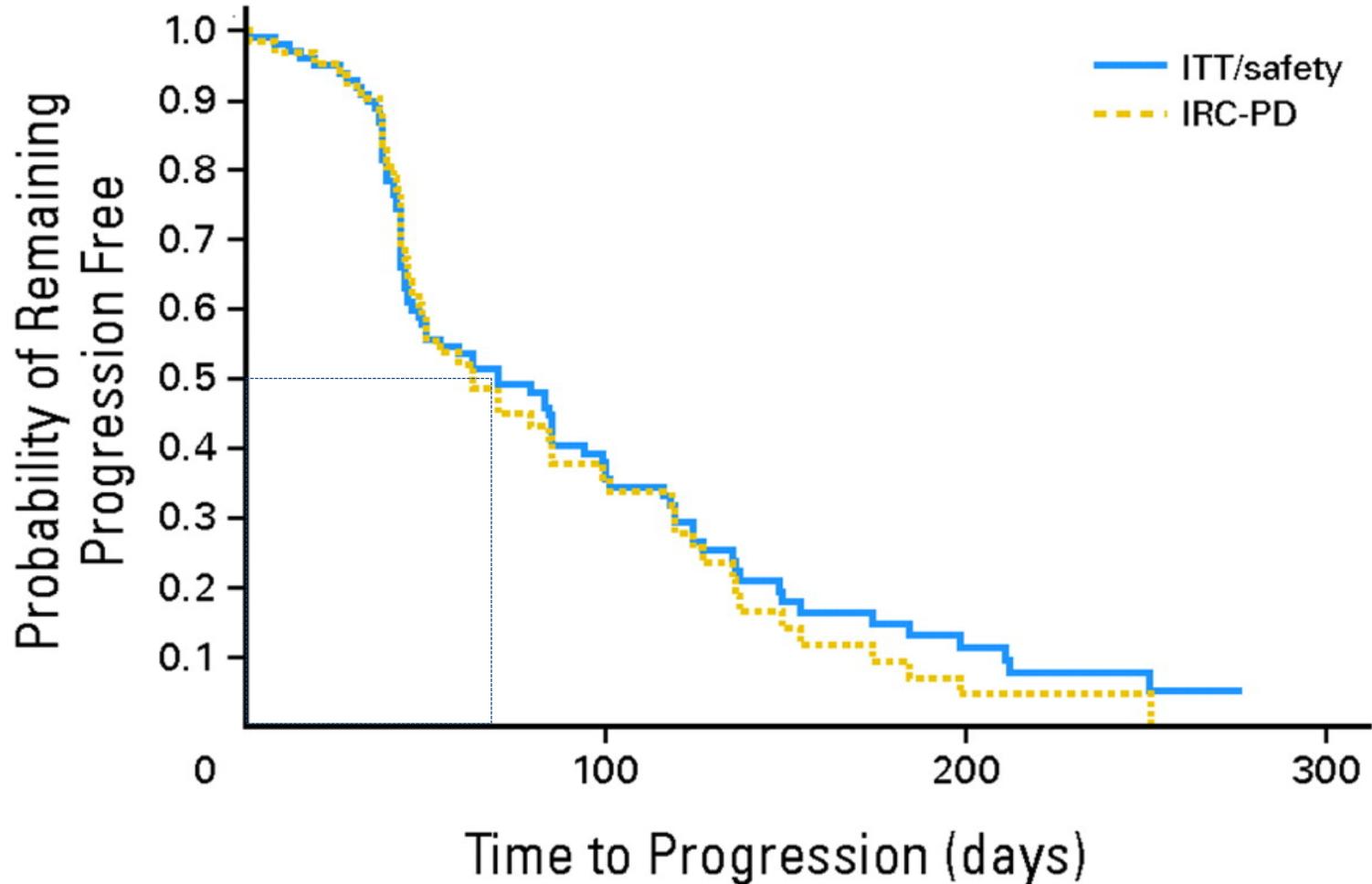
ORR 36%

Median PFS 5.6 months

Median OS 10.1 months

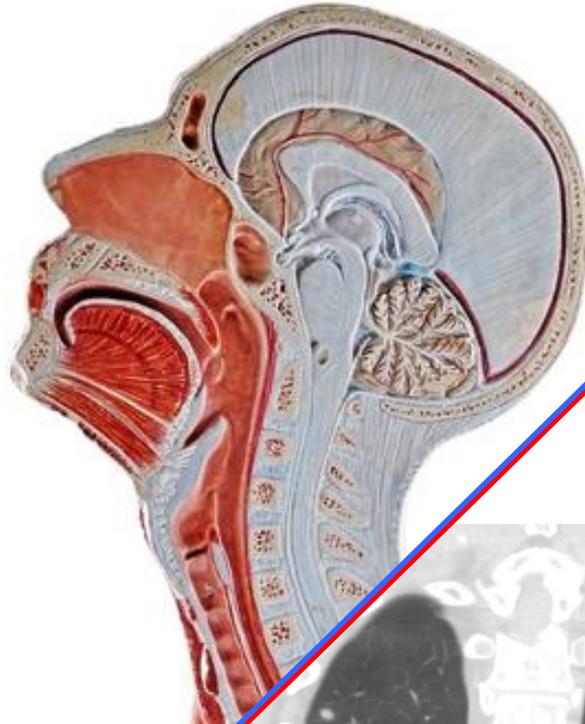
Event	Cetuximab plus Platinum-Fluorouracil (N=219)	
	Grade 3 or 4	Grade 4
Any event	179 (82)	67 (31)
Neutropenia	49 (22)	9 (4)
Anemia	29 (13)	2 (1)
Thrombocytopenia	24 (11)	0
Leukopenia	19 (9)	4 (2)
Skin reactions‡	20 (9)	0
Hypokalemia	16 (7)	2 (1)
Cardiac events§	16 (7)	11 (5)
Vomiting	12 (5)	0
Asthenia	11 (5)	1 (<1)
Anorexia	11 (5)	2 (1)
Hypomagnesemia	11 (5)	8 (4)
Febrile neutropenia	10 (5)	2 (1)
Dyspnea	9 (4)	2 (1)
Pneumonia	9 (4)	3 (1)
Hypocalcemia	9 (4)	5 (2)
Sepsis (including septic shock)	9 (4)	6 (3)
Tumor hemorrhage	3 (1)	2 (1)
Decreased performance status	2 (1)	1 (<1)
Respiratory failure	1 (<1)	0

Cetuximab: PFS ~ 2.5 months, ORR 12%



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Distant Metastases
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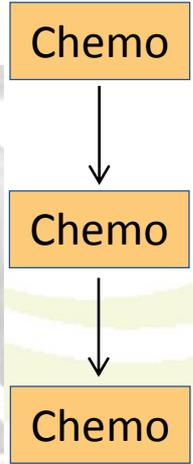
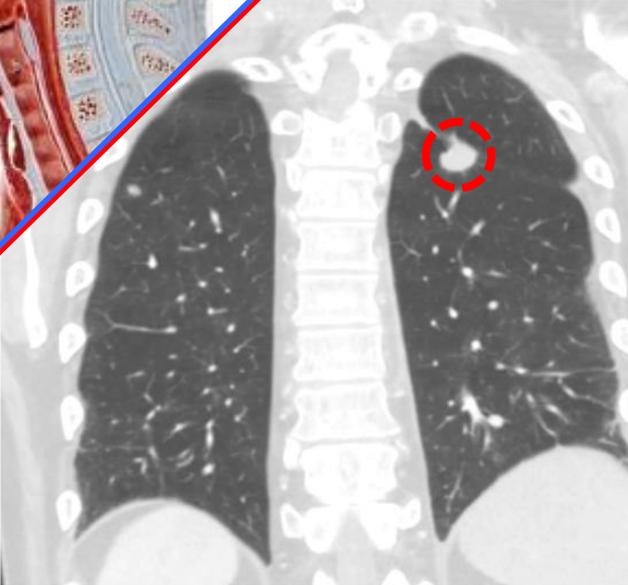
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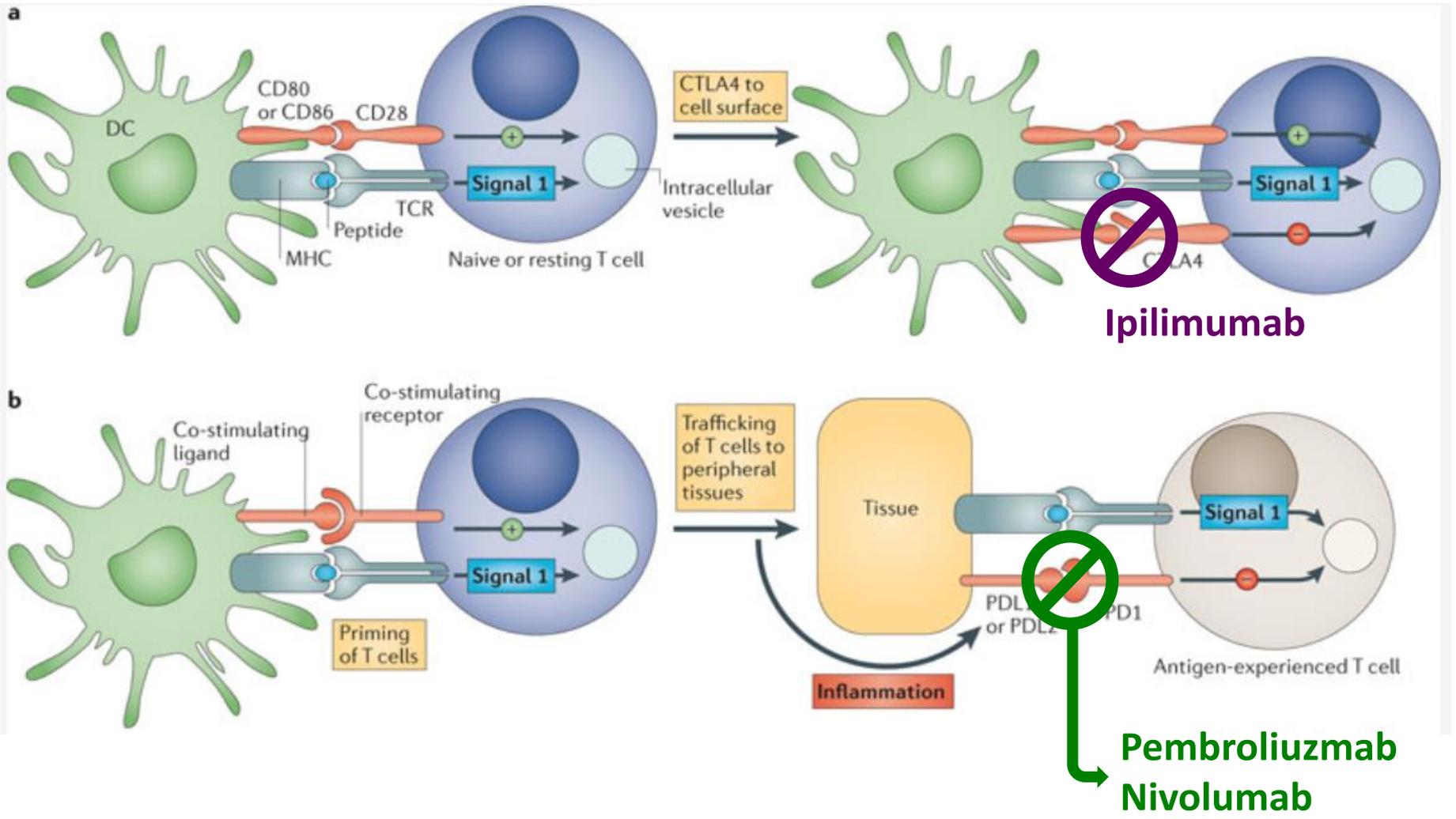
Poorer Outcomes
Increased Morbidity



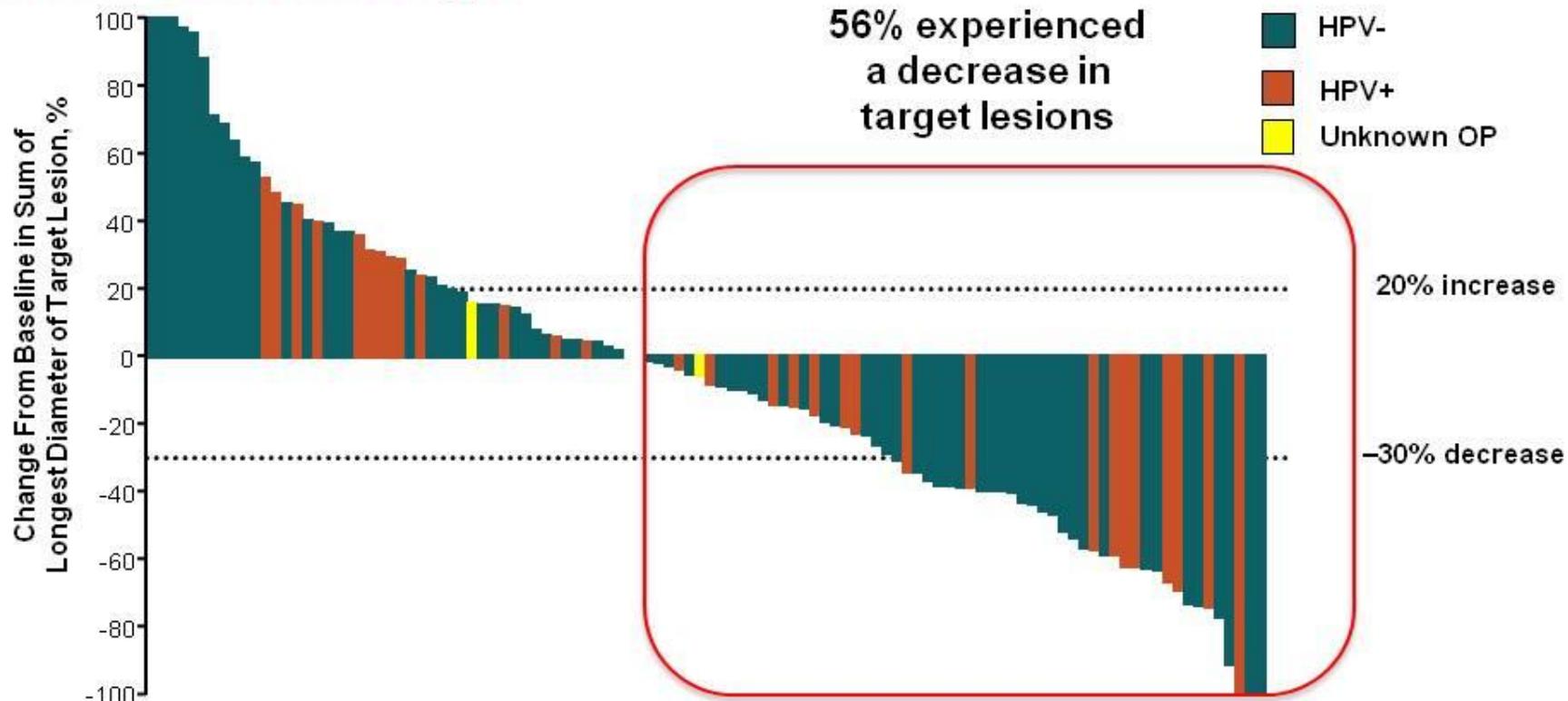
Median Survival = 10 months

82% Gr 3+ AEs

Immune Checkpoint Pathways



Tumor Shrinkage



Analysis includes patients with measurable disease at baseline who received ≥ 1 pembrolizumab dose and had ≥ 1 post-baseline tumor assessment (n = 106)

Unconfirmed and confirmed RECIST v 1.1 responses by site radiology review

*2 oropharynx cancer patient are HPV unknown. Cancers outside the oropharynx are considered HPV negative by convention

† Data cutoff date: March 23, 2015. OP = oropharyngeal primary

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PRESENTED AT:

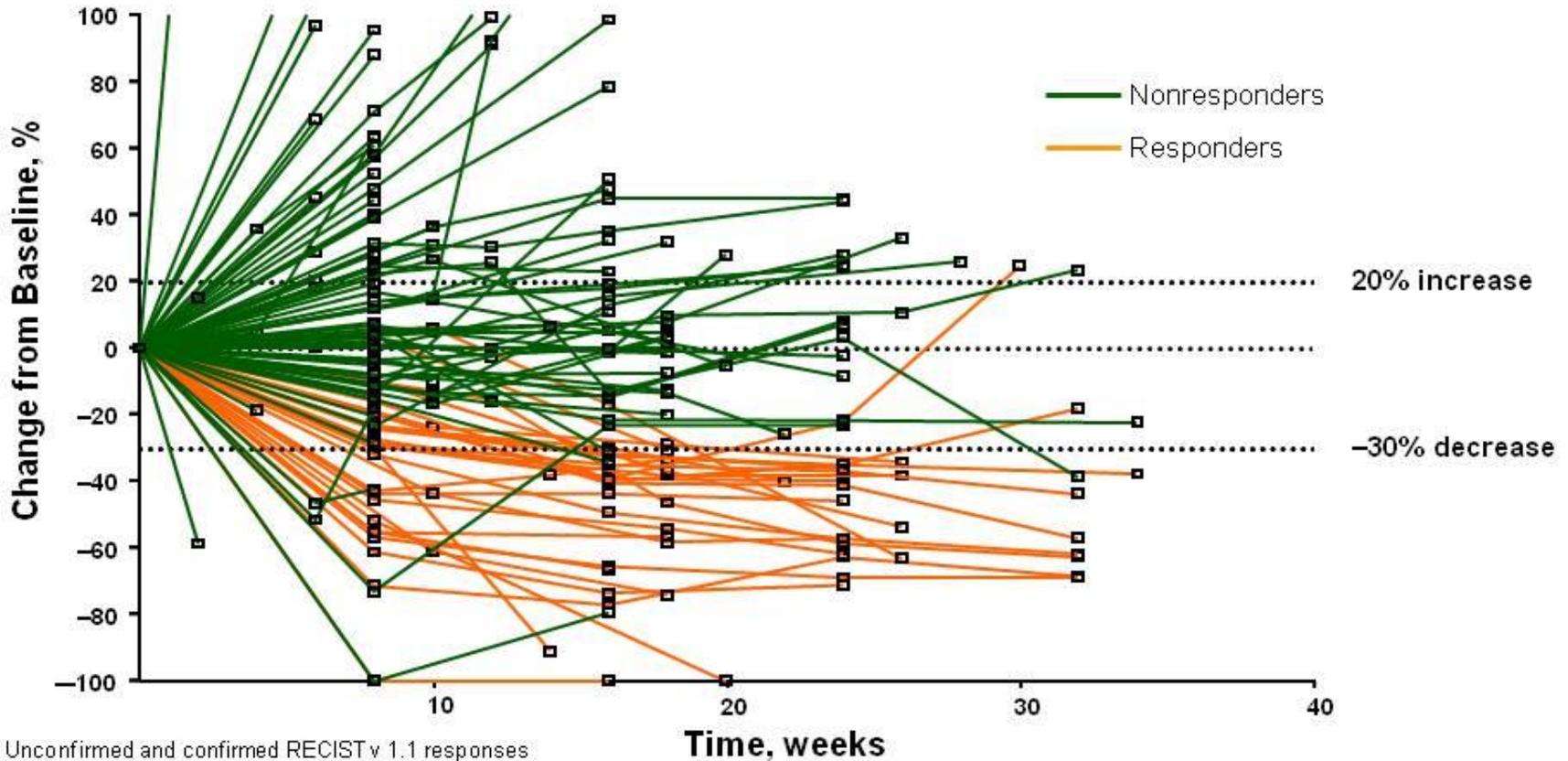
ASCO Annual '15 Meeting



ACCC
 Association of Community Cancer Centers

sitc
 Society for Immunotherapy of Cancer

Tumor Shrinkage Over Time



Unconfirmed and confirmed RECIST v 1.1 responses

12 Data cutoff date: March 23, 2015.

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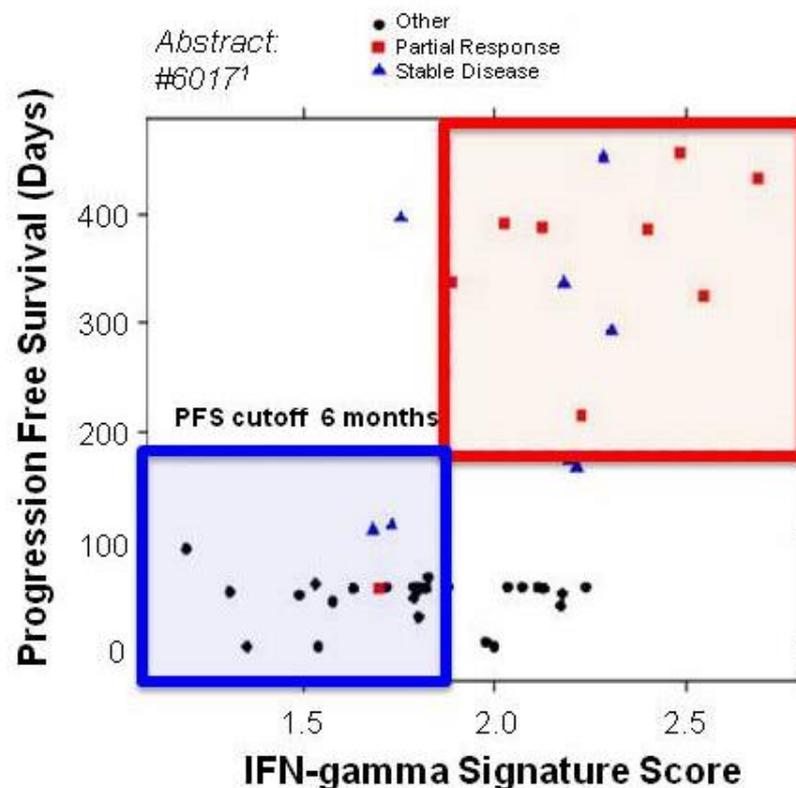
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Biomarkers

- Evaluation of PD-L1 expression by IHC in the current cohort (B2) is ongoing
- The optimal cutoff for PD-L1 expression as well as potential clinical usefulness of PD-L1 as a clinical diagnostic for HNC remain to be determined
- An *Interferon-gamma* expression signature (abstract #6017) showed promise:¹
 - 95% negative predictive value
 - 40% positive predictive value

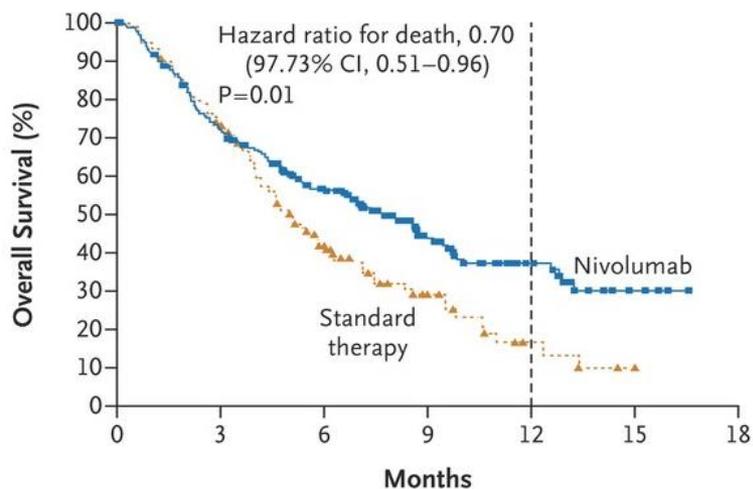
1. Seiwert TS, et al. ASCO 2015. Abstract#6017



Nivolumab Phase 3: OS and PFS

A Overall Survival

	No. of Patients	No. of Deaths	1-Yr Overall Survival Rate % (95% CI)	Median Overall Survival mo (95% CI)
Nivolumab	240	133	36.0 (28.5–43.4)	7.5 (5.5–9.1)
Standard Therapy	121	85	16.6 (8.6–26.8)	5.1 (4.0–6.0)

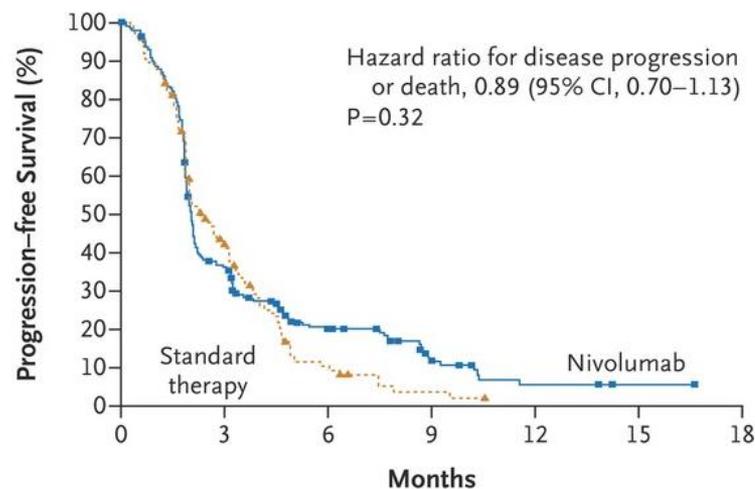


No. at Risk

	0	3	6	9	12	15	18
Nivolumab	240	167	109	52	24	7	0
Standard therapy	121	87	42	17	5	1	0

B Progression-free Survival

	No. of Patients	No. of Events	Median Progression-free Survival (95% CI) mo
Nivolumab	240	190	2.0 (1.9–2.1)
Standard Therapy	121	103	2.3 (1.9–3.1)



No. at Risk

	0	3	6	9	12	15	18
Nivolumab	240	79	32	12	4	1	0
Standard therapy	121	43	9	2	0	0	0



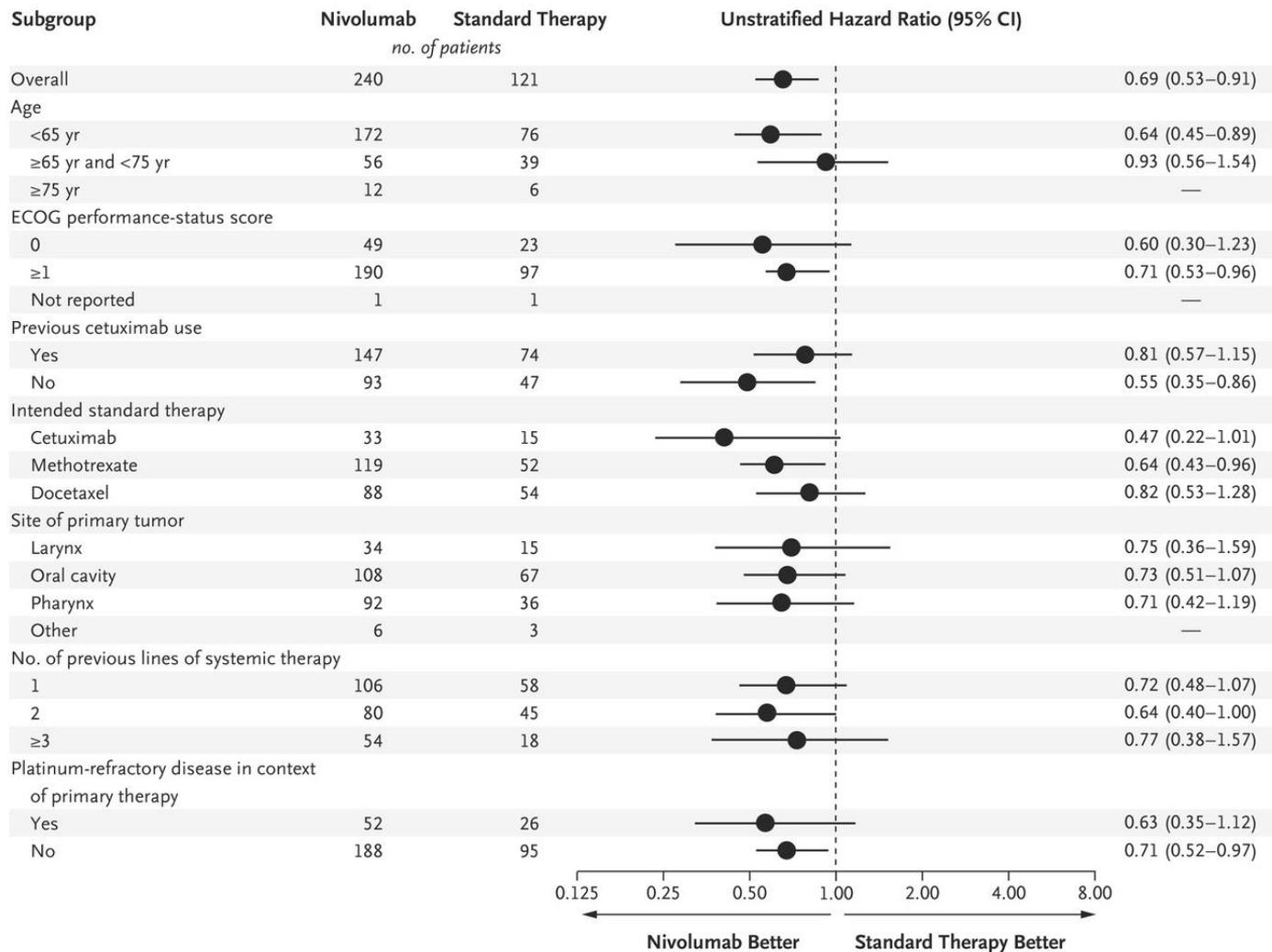
PD-L1 Expression and HPV

Variable	Nivolumab (N=240)		Standard Therapy (N=121)		Hazard Ratio for Death (95% CI)
	Patients	Median Survival	Patients	Median Survival	
	<i>no.</i> (%)	<i>mo</i>	<i>no.</i> (%)	<i>mo</i>	
All patients	240 (100.0)	7.5	121 (100.0)	5.1	0.69 (0.53–0.91)
PD-L1 expression level					
≥1%	88 (36.7)	8.7	61 (50.4)	4.6	0.55 (0.36–0.83)
≥5%	54 (22.5)	8.8	43 (35.5)	4.6	0.50 (0.30–0.83)
≥10%	43 (17.9)	8.7	34 (28.1)	5.2	0.56 (0.31–1.01)
<1%	73 (30.4)	5.7	38 (31.4)	5.8	0.89 (0.54–1.45)
<5%	107 (44.6)	7.0	56 (46.3)	5.1	0.81 (0.55–1.21)
<10%	118 (49.2)	7.2	65 (53.7)	4.6	0.73 (0.50–1.06)
Not quantifiable	79 (32.9)	7.8	22 (18.2)	5.8	0.79 (0.44–1.44)
p16 status					
Positive	63 (26.2)	9.1	29 (24.0)	4.4	0.56 (0.32–0.99)
Negative	50 (20.8)	7.5	36 (29.8)	5.8	0.73 (0.42–1.25)
Combined subgroup					
PD-L1 ≥1% and p16-positive	23 (9.6)	8.8	14 (11.6)	3.9	0.50 (0.21–1.19)
PD-L1 ≥1% and p16-negative	17 (7.1)	8.8	16 (13.2)	5.6	0.44 (0.18–1.10)
PD-L1 <1% and p16-positive	24 (10.0)	10.0	10 (8.3)	6.4	0.55 (0.22–1.39)
PD-L1 <1% and p16-negative	14 (5.8)	7.1	12 (9.9)	7.4	0.82 (0.31–2.19)

Ferris RL et al. N Engl J Med 2016;375:1856-1867

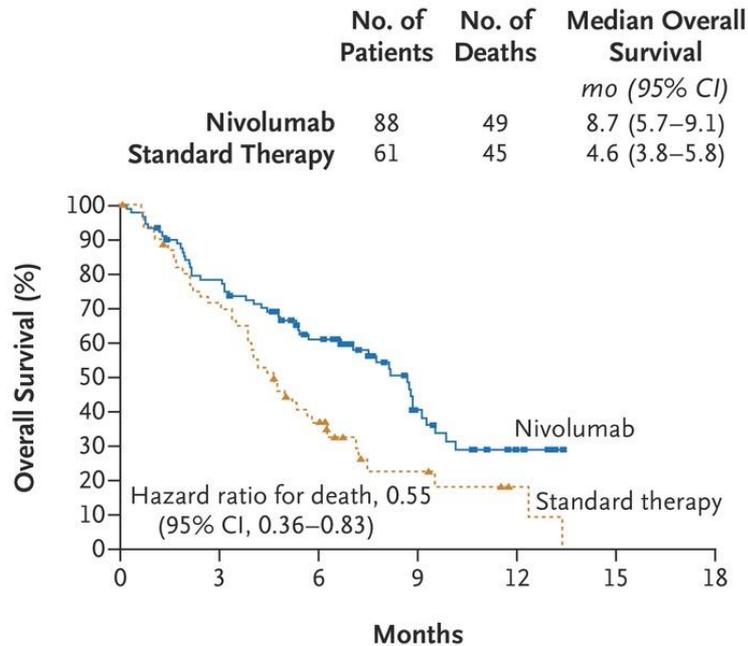


Nivolumab Phase 3: Subgroups



PD-L1 Staining and Response

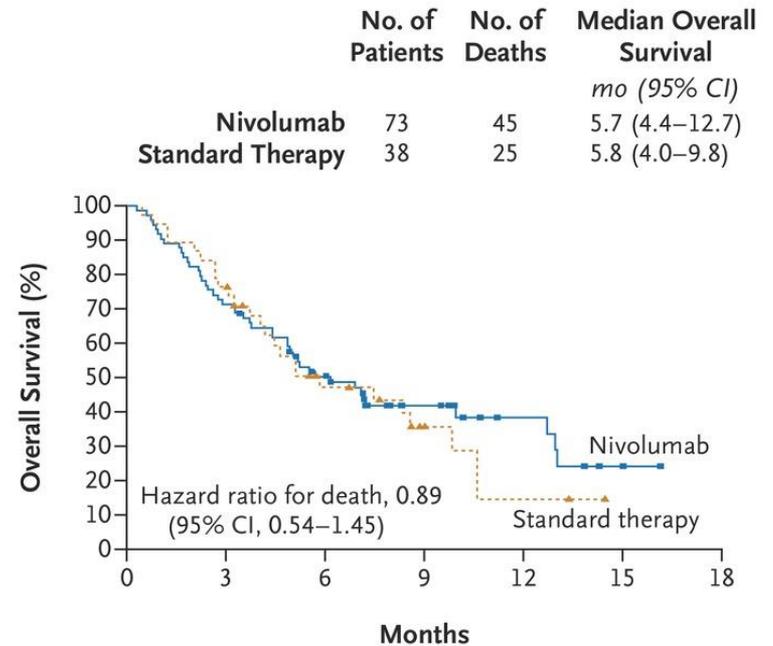
A Overall Survival among Patients with Baseline PD-L1 $\geq 1\%$



No. at Risk

	0	3	6	9	12	15	18
Nivolumab	88	67	44	18	6	0	0
Standard therapy	61	42	20	6	2	0	0

B Overall Survival among Patients with Baseline PD-L1 $< 1\%$



No. at Risk

	0	3	6	9	12	15	18
Nivolumab	73	52	33	17	8	3	0
Standard therapy	38	29	14	6	2	0	0

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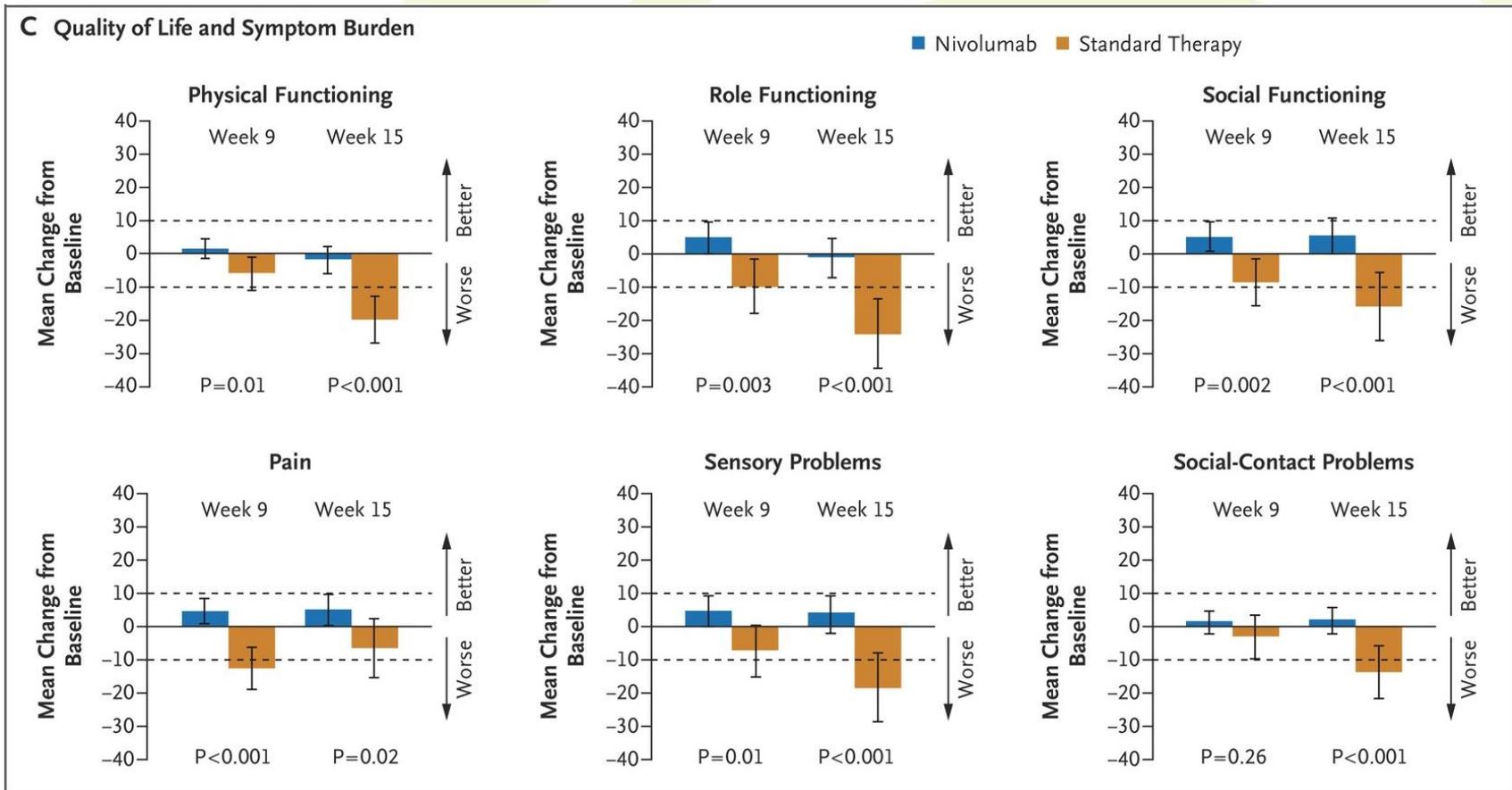


Side Effects

Event	Nivolumab (N=236)		Standard Therapy (N=111)	
	Any Grade	Grade 3 or 4	Any Grade	Grade 3 or 4
	<i>number of patients (percent)</i>			
Any event	139 (58.9)*	31 (13.1)	86 (77.5)†	39 (35.1)
Fatigue	33 (14.0)	5 (2.1)	19 (17.1)	3 (2.7)
Nausea	20 (8.5)	0	23 (20.7)	1 (0.9)
Rash	18 (7.6)	0	5 (4.5)	1 (0.9)
Decreased appetite	17 (7.2)	0	8 (7.2)	0
Pruritus	17 (7.2)	0	0	0
Diarrhea	16 (6.8)	0	15 (13.5)	2 (1.8)
Anemia	12 (5.1)	3 (1.3)	18 (16.2)	5 (4.5)
Asthenia	10 (4.2)	1 (0.4)	16 (14.4)	2 (1.8)
Vomiting	8 (3.4)	0	8 (7.2)	0
Dry skin	7 (3.0)	0	10 (9.0)	0
Stomatitis	5 (2.1)	1 (0.4)	10 (9.0)	3 (2.7)
Weight loss	4 (1.7)	0	6 (5.4)	0
Mucosal inflammation	3 (1.3)	0	14 (12.6)	2 (1.8)
Peripheral neuropathy	1 (0.4)	0	7 (6.3)	0
Alopecia	0	0	14 (12.6)	3 (2.7)
Neutropenia	0	0	9 (8.1)	8 (7.2)

Ferris RL et al. N Engl J Med 2016;375:1856-1867



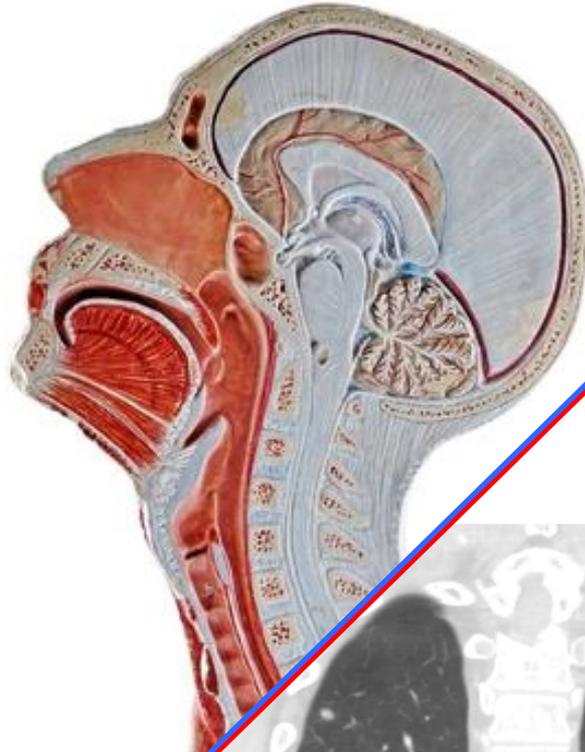


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Treating Head and Neck Cancer

Primary Treatment



Locoregional
Distant Metastases
or No Further Surgery / Radiation

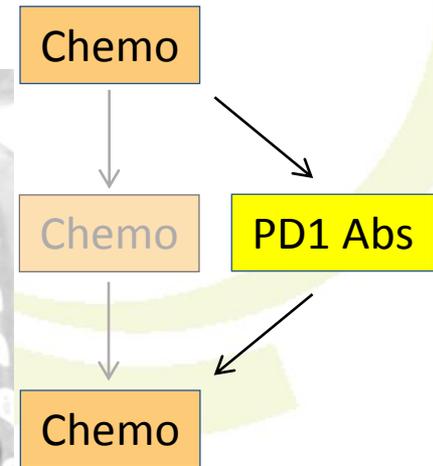
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Surgery
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Poorer Outcomes
Increased Morbidity





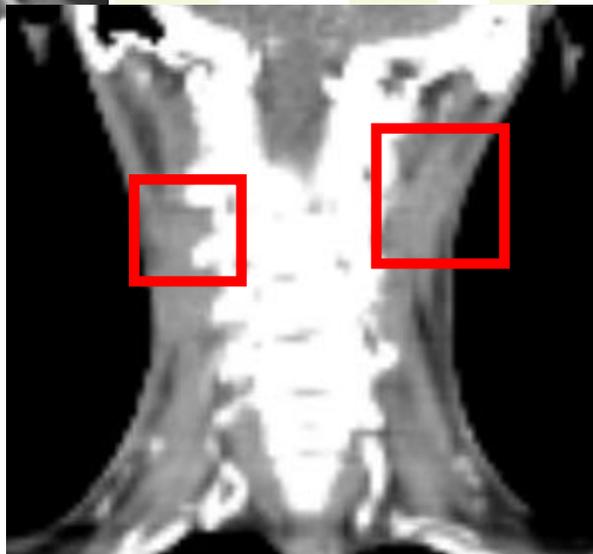
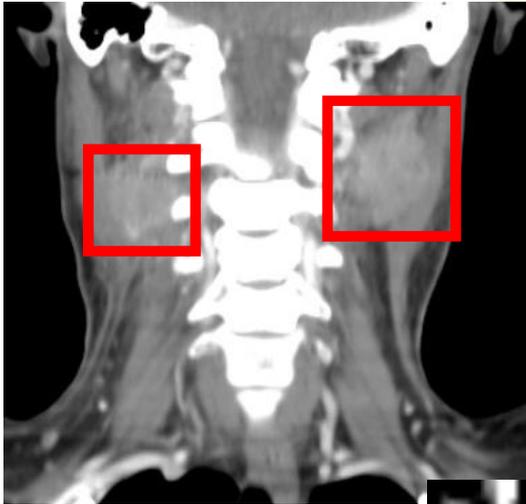
Case 1

51 year old woman with NPC

CR to induction chemotherapy

Consolidated with chemoradiation

NED at 4 years



- A. Chemoradiation
- B. Induction chemotherapy then radiation
- C. Surgery
- D. Chemotherapy
- E. Pembrolizumab or nivolumab
- F. Ipilimumab and nivolumab

PD-1 Antibodies are NOT approved (yet) for NPC





Case 2

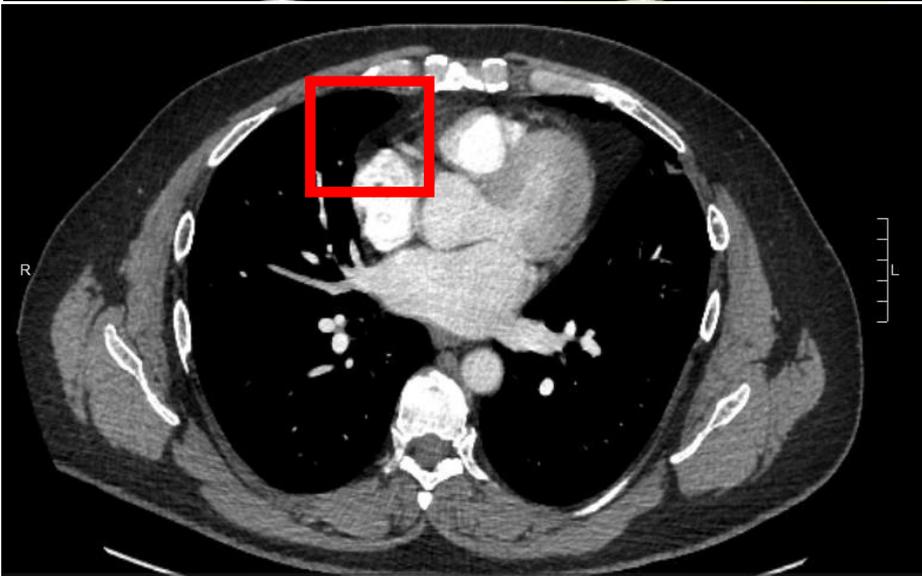
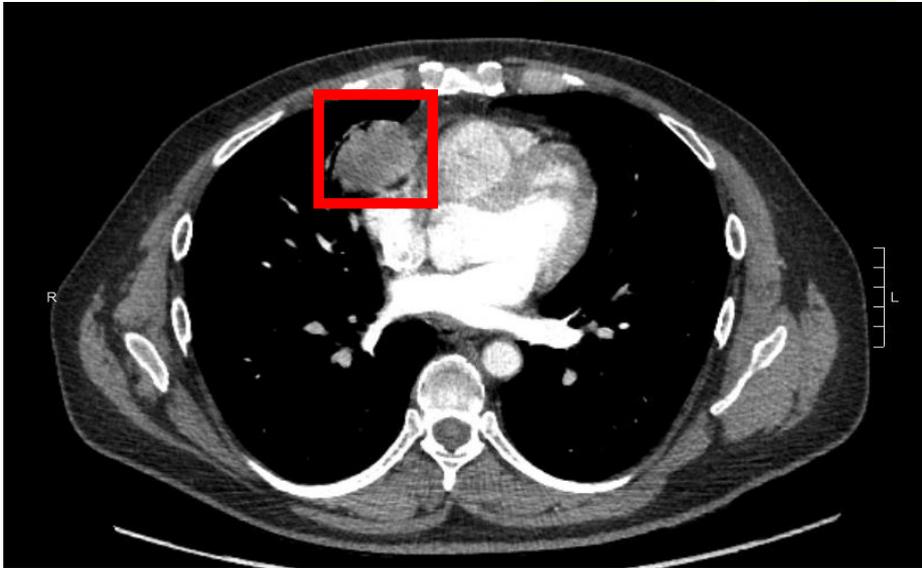
51 year old man with p16+ OPC

Considered platinum-refractory due to PD within 6 months of chemoXRT

Received PD-1 Ab

Patient is in complete response at 1 year

No significant treatment-associated side effects



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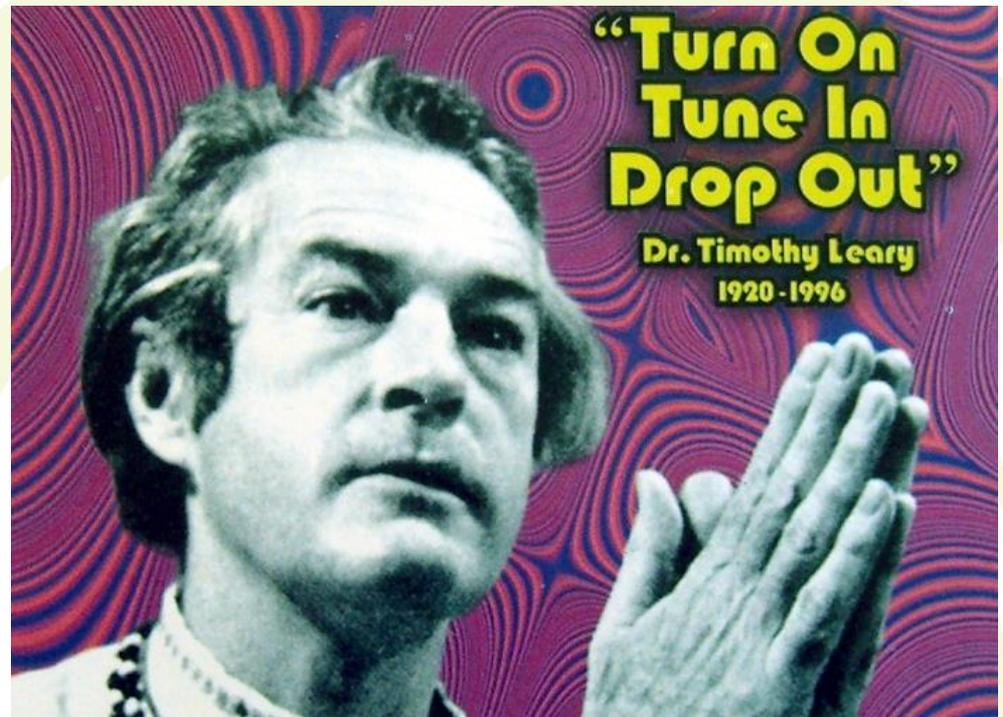


Combination	Targets
Durvalumab + tremelimumab	PDL1 + CTLA4
Nivolumab + / - ipilimumab	PD1 + CTLA4
Pembrolizumab + SD-101	PD1 + TLR
Pembrolizumab + ACP-196	PD1 + BTK
Pembrolizumab + PLX3397	PD1 + CSF1
Nivolumab + FPA008	PD1 + CSF1
Nivolumab + epacadostat	PD1 + IDO
Durvalumab + epacadostat	PDL1 + IDO
Nivolumab + lirilumab	PL1 + KIR1
Nivolumab + varilumab	PD1 + CD27

PD-1 Abs alone

- Favorable side effects
- Low response rate
- Durable

Steps to an effective anti-tumor immune response



Learyism	“Tune in”	“Turn on”	“Drop out”
Description	<ul style="list-style-type: none"> • Bring immune cells into tumor • Make chemicals to activate immune response 	Activate immune cells in tumor	Get rid of “regulatory” cells that get in the way of immune response
Examples of agents	<ol style="list-style-type: none"> 1. CTLA4 Ab 2. pIL12-EP 3. TVEC 4. TLR agonists 	<ol style="list-style-type: none"> 1. PD-1 Abs 	<ol style="list-style-type: none"> 1. IDO inhibitors 2. CSF-1 inhibitor

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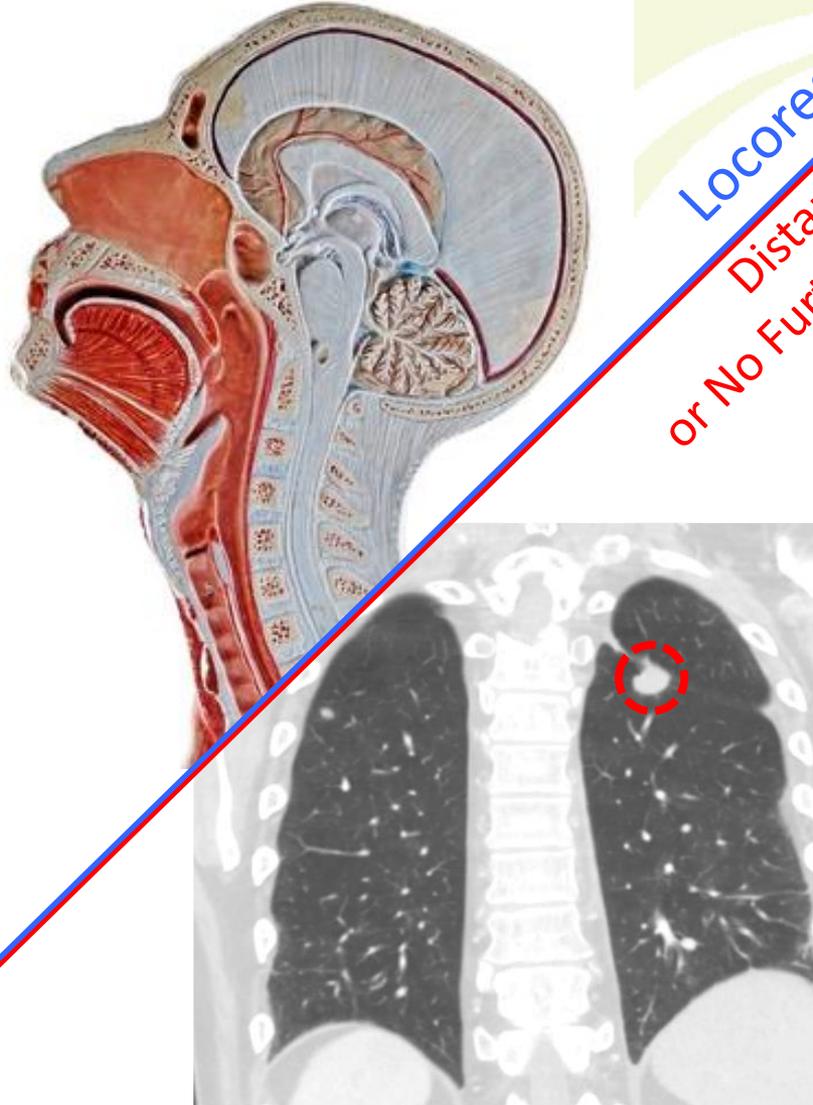
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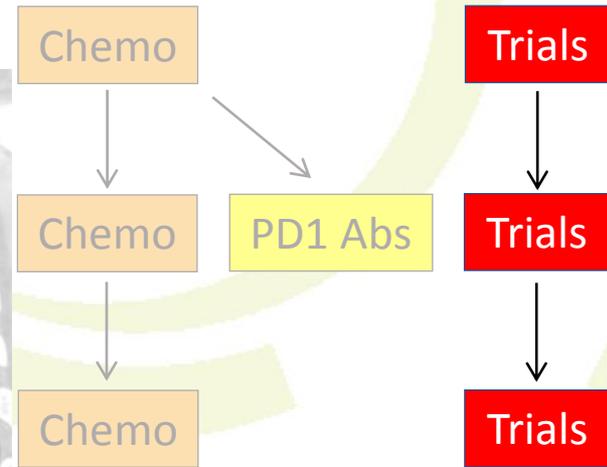
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Conclusions for Head and Neck Cancer

1. Chemotherapy offers short survival with many side effects
2. PD-1 antibodies nivolumab and pembrolizumab are approved in *second line recurrent / metastatic HNSCC*:
 - Oral cavity
 - Oropharynx
 - Larynx
 - Hypopharynx
3. Most patients have fewer side effects on PD-1 Abs than on chemotherapy
4. Clinical trials are underway to improve immunotherapy response rates