



Immunotherapy for the Treatment of Head and Neck Cancers

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University of California San Francisco



Disclosures

I serve as an investigator for trials funded by –

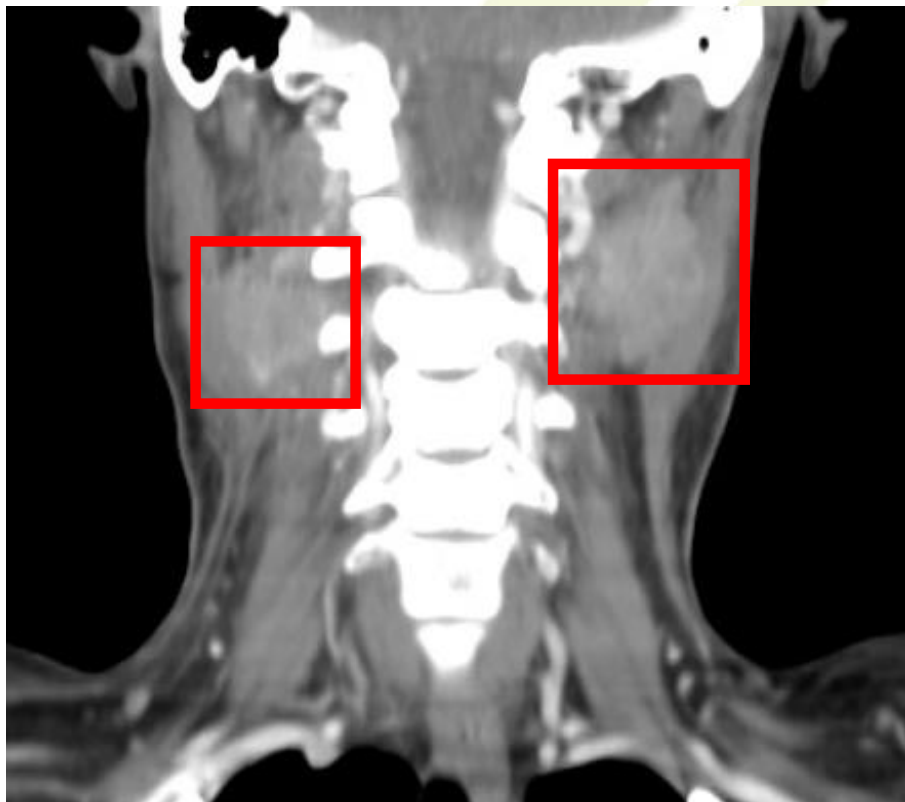
- Acerta
- AstraZeneca
- Bristol-Myers-Squibb
- Celgene
- Celldex
- Medimmune
- Merck
- Novartis
- OncoSec
- Plexxicon
- Tessa

I serve as an advisor for –

- Nektar
- OncoSec



Case 1



51 year old woman with NPC

18 months prior in China

- Neoadjuvant carbo/5FU
- 30 Fractions ChemoXRT

6 mos after treatment

- p/w FDG avid LAD

Lost to f/u for 12 months

Presentation to UCSF

- 2.4 cm R neck mass
- 3.6 cm L neck mass adj ICA
- Biopsy with recurrent NPC



Case 2 – Treatment – Please Vote!

- A. Chemoradiation
- B. Induction chemotherapy then radiation
- C. Surgery
- D. Chemotherapy
- E. Pembrolizumab or nivolumab
- F. Ipilimumab and nivolumab



Case 2

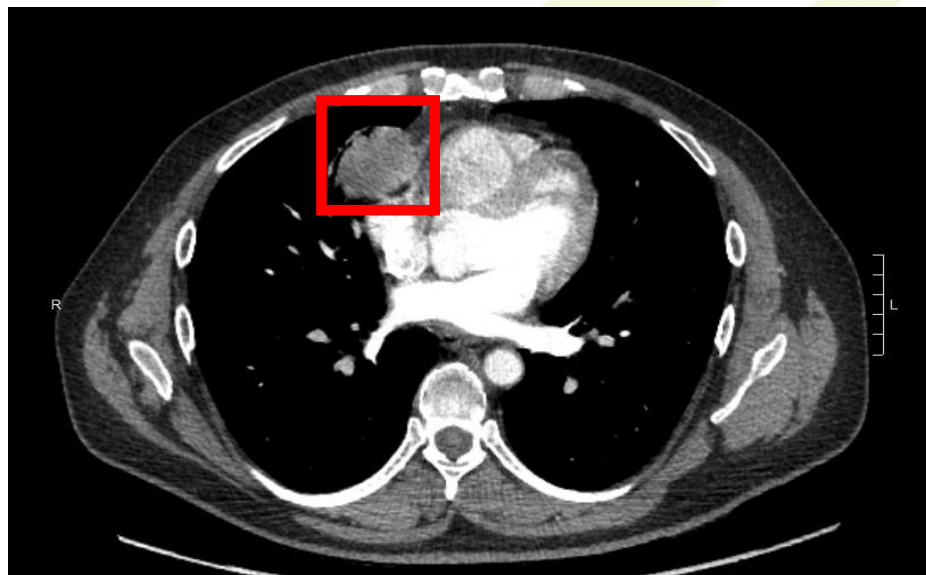
51 year old man with p16+ OPC

8 months prior in Canada

- Definitive ChemoXRT
- Distant metastases within 6 mos

Presentation to UCSF

- Mediastinal LAD
- Bilateral lung nodules



Case 2 – Treatment – Please Vote!

- A. Chemoradiation
- B. Induction chemotherapy then radiation
- C. Surgery
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Head and Neck Cancers

Nasopharynx
NPC (EBV)

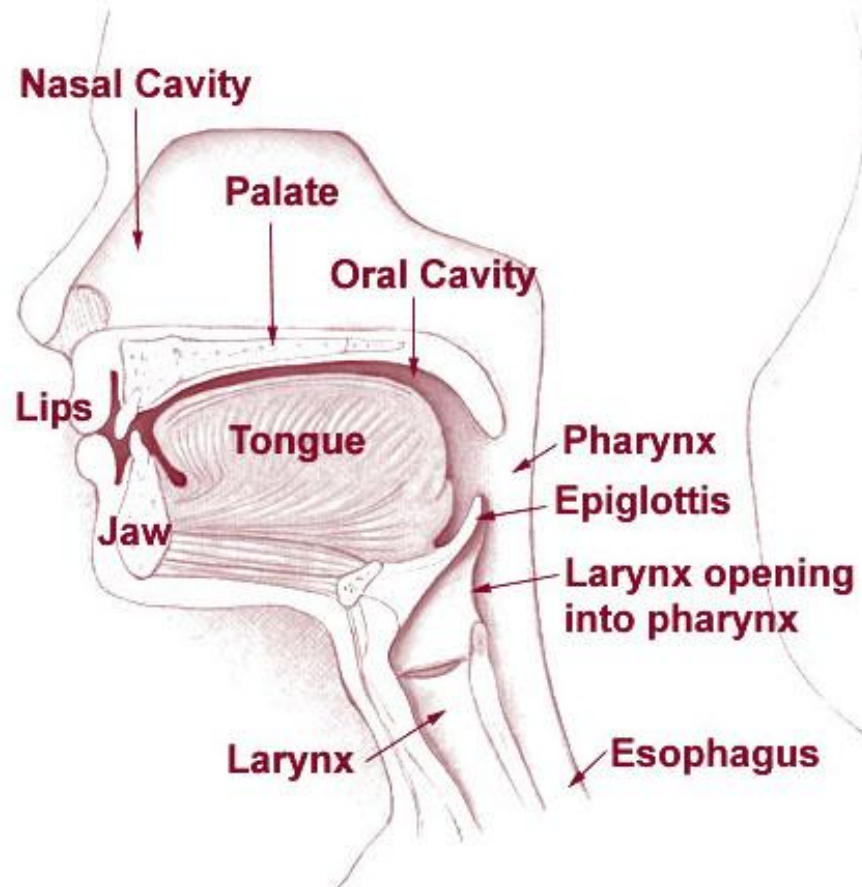
Oropharynx
SCC (HPV)

SCC (non-HPV)

Oral cavity
SCC

Hypopharynx
SCC

Larynx
SCC



Sinus

Squamous cell
Esthesioneuroblastoma
SNUC
SNEC
Melanoma

Salivary

Adenocarcinoma
Mucoepidermoid
Adenocystic
Salivary duct

Skin

Basal cell
Squamous cell
Melanoma
Merkel cell

Thyroid

Papillary
Follicular
Medullary
Anaplastic
Castle Carcinoma

HNSCC Incidence and HPV

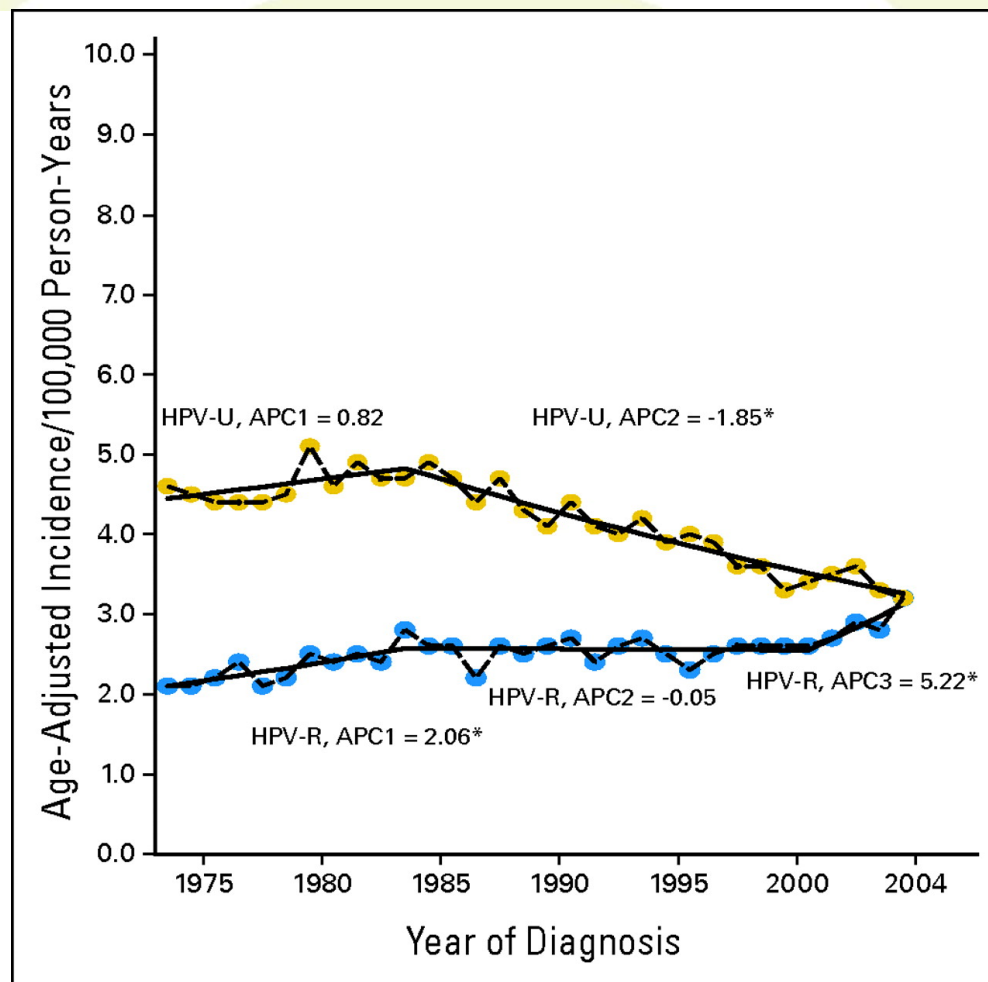
Estimated New Cases*

Male

Prostate
217,730 (28%)
Lung & bronchus
116,750 (15%)
Colon & rectum
72,090 (9%)
Urinary bladder
52,760 (7%)
Melanoma of the skin
38,870 (5%)
Non-Hodgkin lymphoma
35,380 (4%)
Kidney & renal pelvis
35,370 (4%)
**#8 Oral cavity & pharynx
25,420 (3%)**
Leukemia
24,690 (3%)
Pancreas
21,370 (3%)
All sites
789,620 (100%)

Female

Breast
207,090 (28%)
Lung & bronchus
105,770 (14%)
Colon & rectum
70,480 (10%)
Uterine corpus
43,470 (6%)
Thyroid
33,930 (5%)
Non-Hodgkin lymphoma
30,160 (4%)
Melanoma of the skin
29,260 (4%)
Kidney & renal pelvis
22,870 (3%)
Ovary
21,880 (3%)
Pancreas
21,770 (3%)
All sites
739,940 (100%)



Treating Head and Neck Cancer

Primary Treatment

Radiation or
Chemoradiation

Residual Disease →

Surgery

← Risk Features

Treatment for Locoregional Recurrence

Surgery

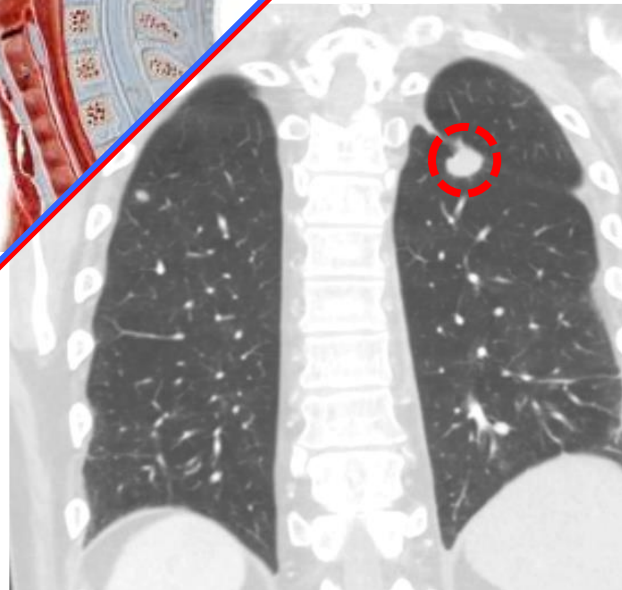
If Feasible

Radiation or
Chemoradiation

If Maximum Exposure Not
Exceeded and Long
Disease Free Interval

Poorer Outcomes
Increased Morbidity

Locoregional
Distant Metastases
or No Further Surgery / Radiation

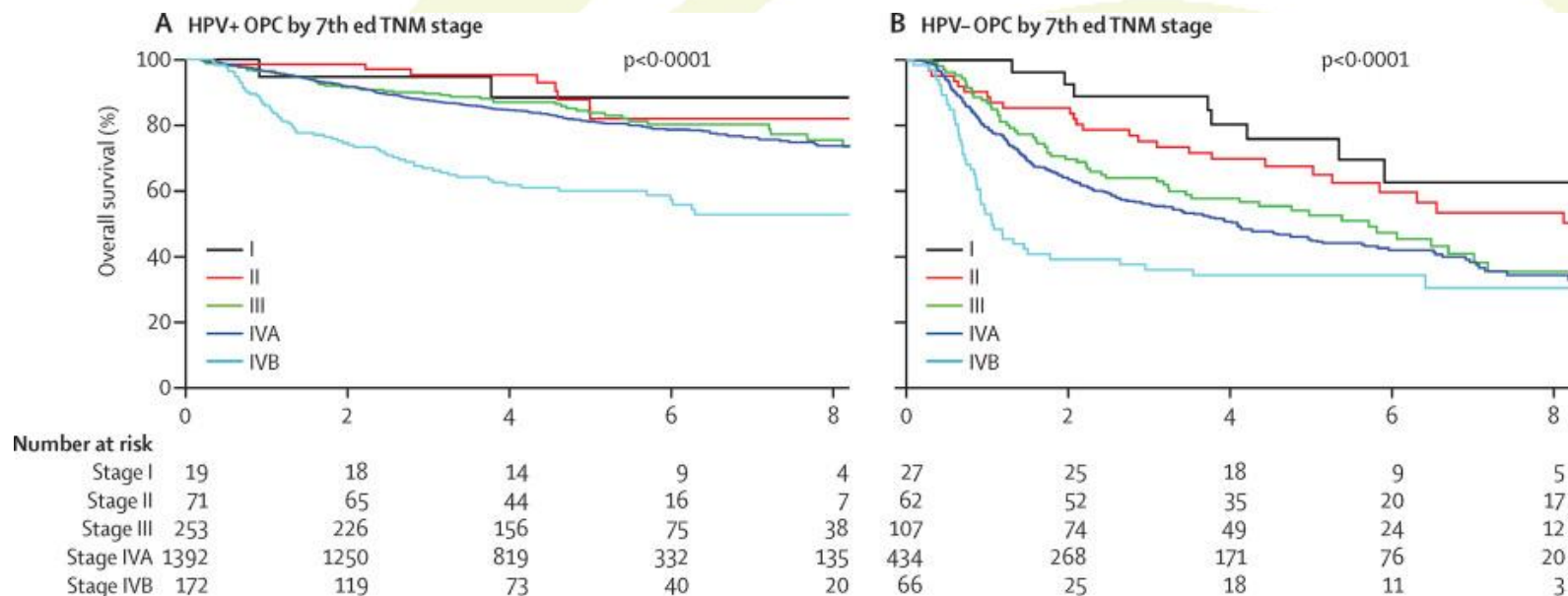


Chemo

Chemo

Chemo

Locoregional OPC Prognosis and HPV



Lancet Oncol. 2016;17(4):440.

Stage	HPV+	HPV-
I	88%	76%
II	82%	68%
II	84%	53%
IVA	81%	45%
IVB	60%	34%

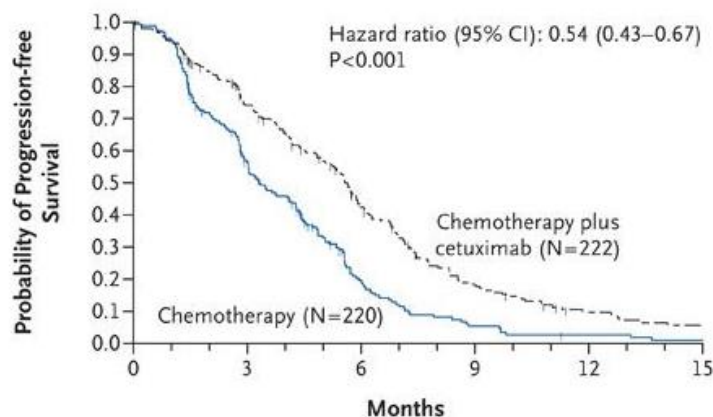
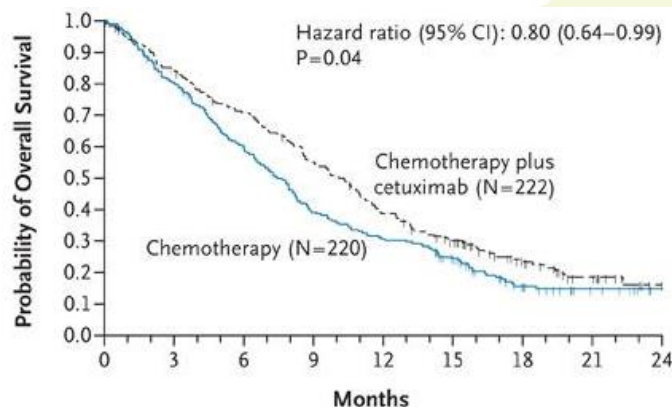
5 year OS

> 50%

< 50%



Chemotherapy for Recurrent / Metastatic HNSCC



No. at Risk						
Chemotherapy	220	103	29	8	3	1
Chemotherapy plus cetuximab	222	138	72	29	12	7

Platinum + 5FU + Cetuximab

ORR 36%

Median PFS 5.6 months

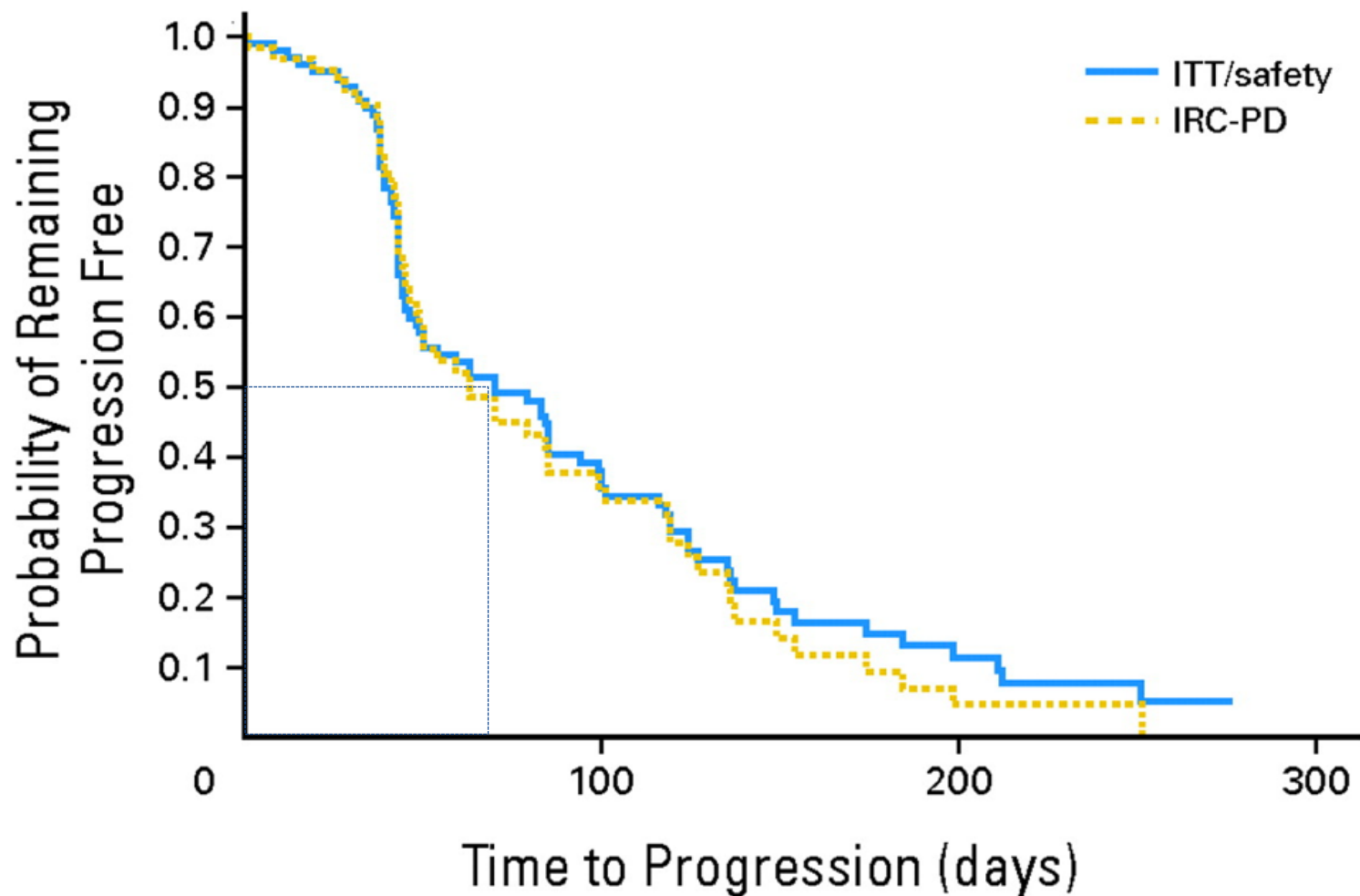
Median OS 10.1 months

Event

Cetuximab plus Platinum–Fluorouracil (N=219)

	Grade 3 or 4	Grade 4
Any event	179 (82)	67 (31)
Neutropenia	49 (22)	9 (4)
Anemia	29 (13)	2 (1)
Thrombocytopenia	24 (11)	0
Leukopenia	19 (9)	4 (2)
Skin reactions‡	20 (9)	0
Hypokalemia	16 (7)	2 (1)
Cardiac events§	16 (7)	11 (5)
Vomiting	12 (5)	0
Asthenia	11 (5)	1 (<1)
Anorexia	11 (5)	2 (1)
Hypomagnesemia	11 (5)	8 (4)
Febrile neutropenia	10 (5)	2 (1)
Dyspnea	9 (4)	2 (1)
Pneumonia	9 (4)	3 (1)
Hypocalcemia	9 (4)	5 (2)
Sepsis (including septic shock)	9 (4)	6 (3)
Tumor hemorrhage	3 (1)	2 (1)
Decreased performance status	2 (1)	1 (<1)
Respiratory failure	1 (<1)	0

Cetuximab: PFS ~ 2.5 months, ORR 12%



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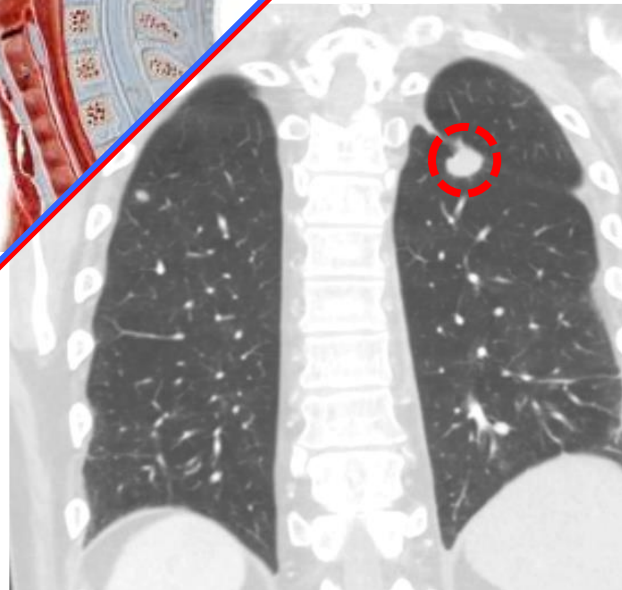
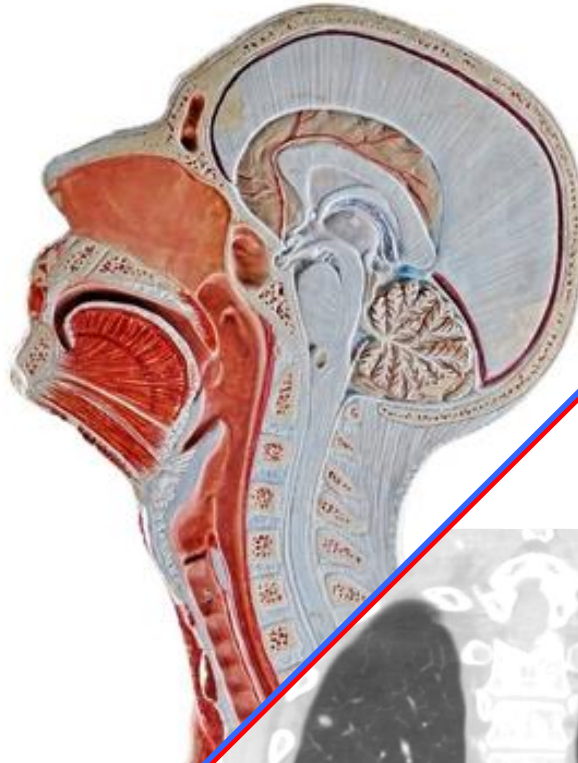
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Chemo

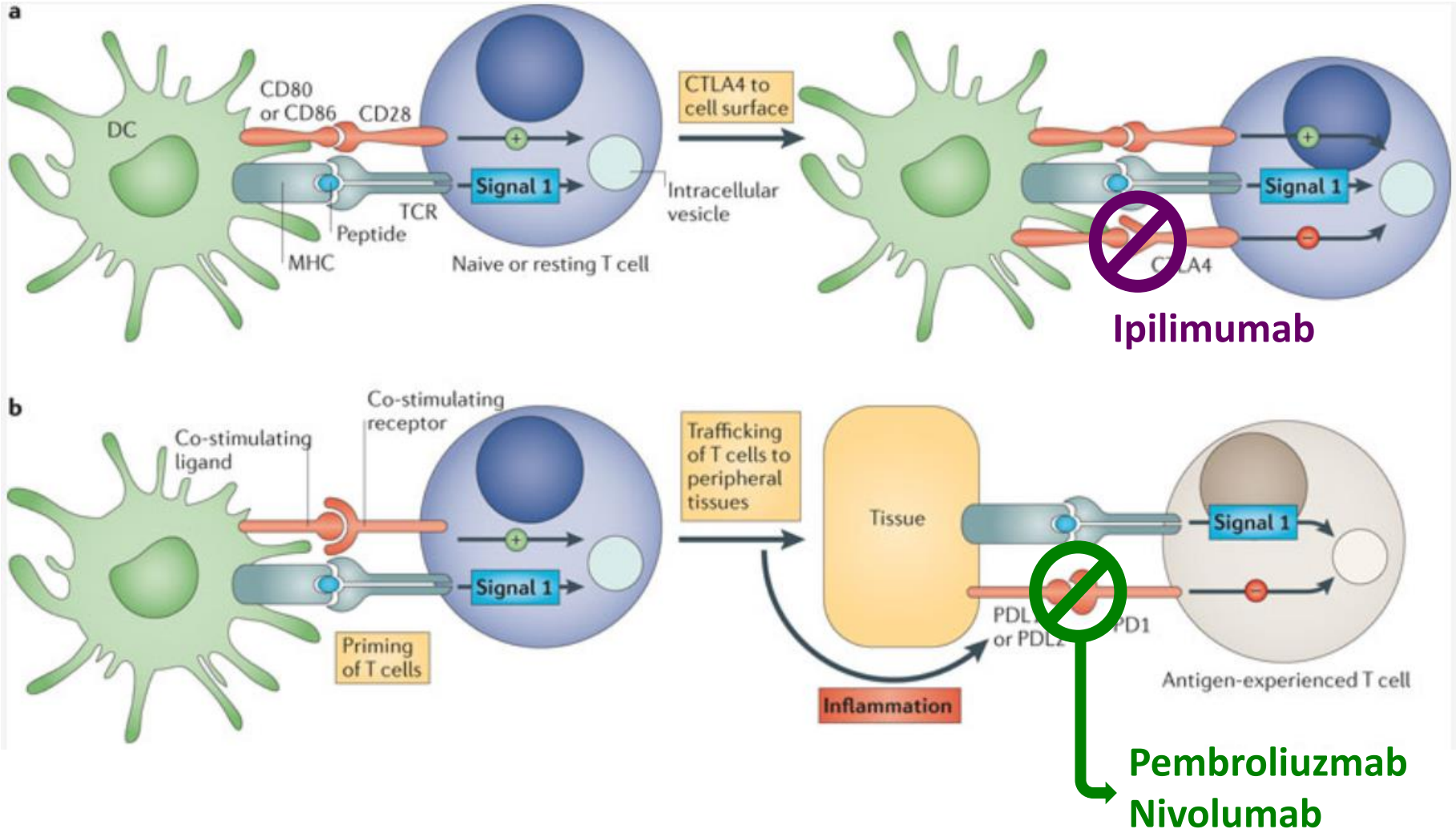
Chemo

Chemo

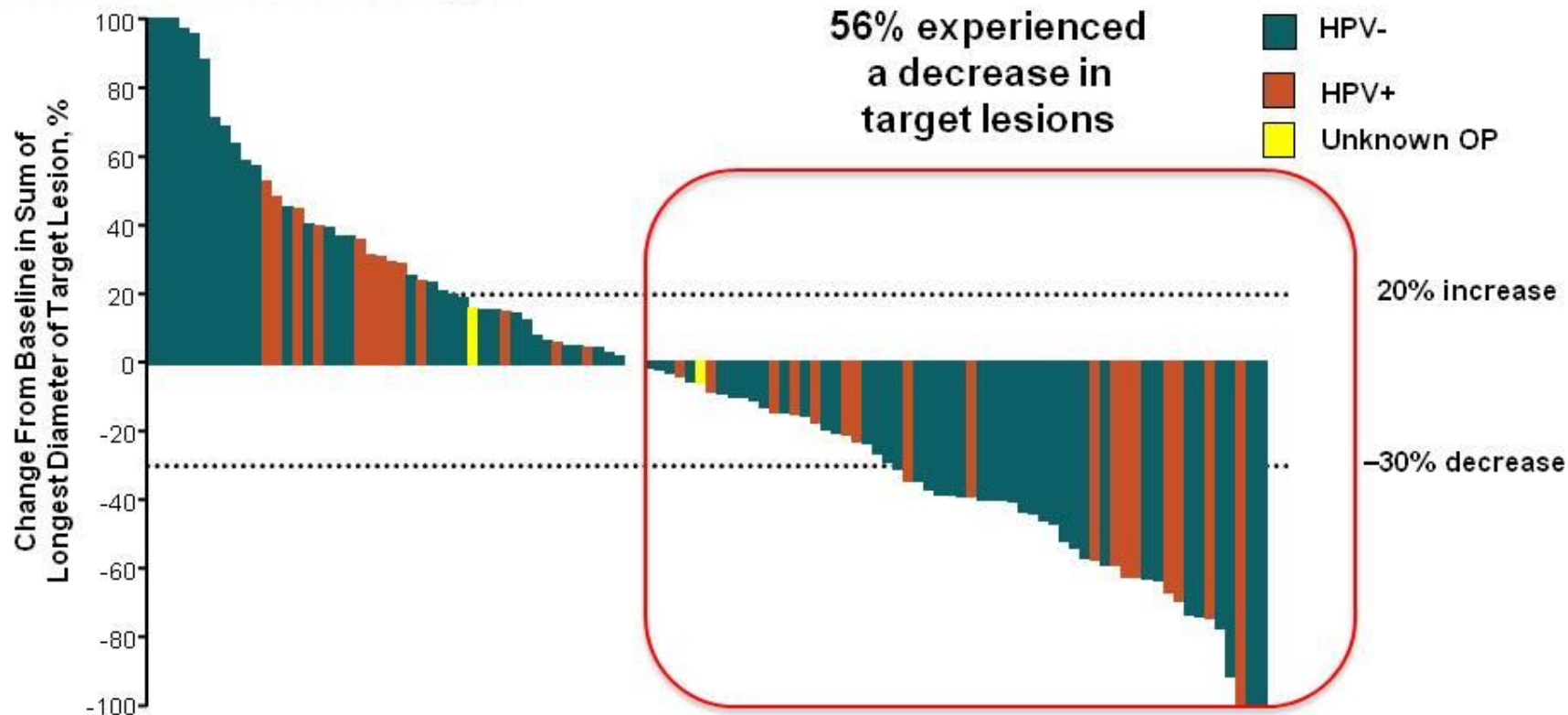
Median Survival
= 10 months

82% Gr 3+ AEs

Immune Checkpoint Pathways



Tumor Shrinkage



Analysis includes patients with measurable disease at baseline who received ≥ 1 pembrolizumab dose and had ≥ 1 post-baseline tumor assessment (n = 106)

Unconfirmed and confirmed RECIST v 1.1 responses by site radiology review

*2 oropharynx cancer patient are HPV unknown. Cancers outside the oropharynx are considered HPV negative by convention

Data cutoff date: March 23, 2015. OP = oropharyngeal primary

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PRESENTED AT:

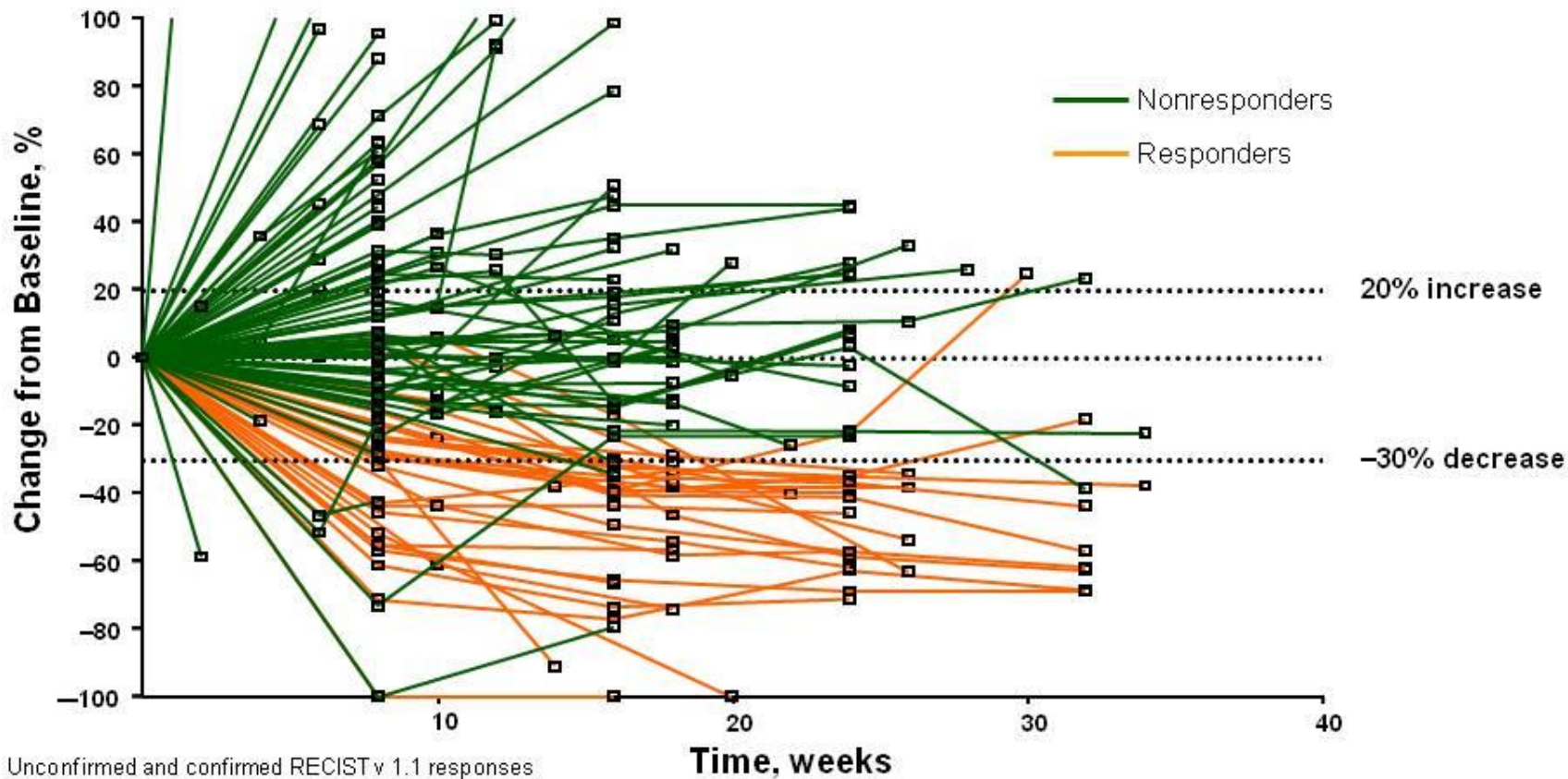
ASCO Annual '15 Meeting



ACCC
Association of Community Cancer Centers

sitc
Society for Immunotherapy of Cancer

Tumor Shrinkage Over Time



Unconfirmed and confirmed RECIST v 1.1 responses

12 Data cutoff date: March 23, 2015.

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PRESENTED AT:

ASCO Annual '15 Meeting



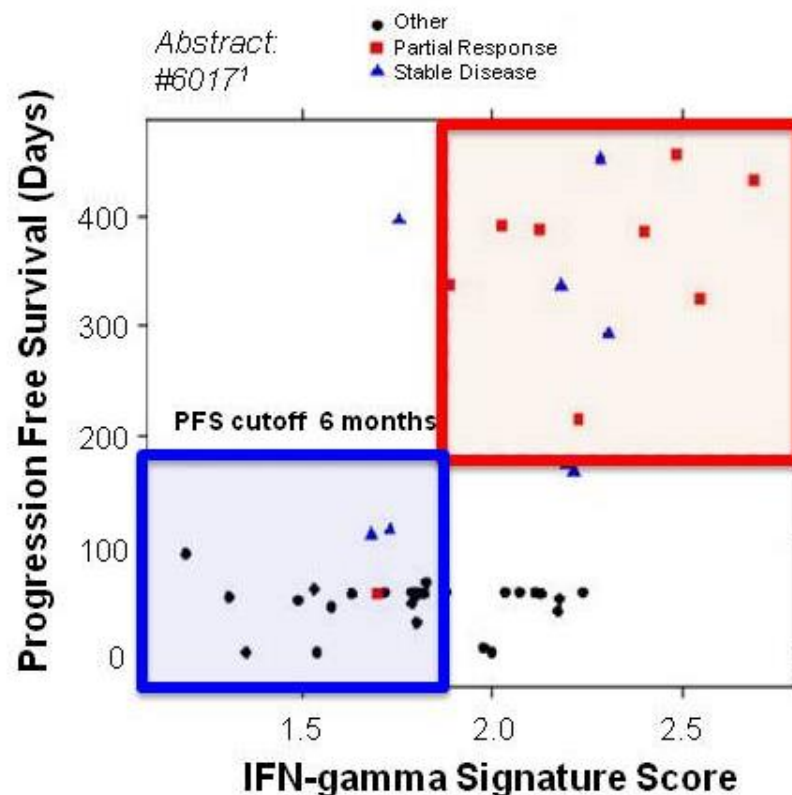
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Society for Immunotherapy of Cancer

Presented at the 2015 ASCO Annual Meeting

Biomarkers

- Evaluation of PD-L1 expression by IHC in the current cohort (B2) is ongoing
- The optimal cutoff for PD-L1 expression as well as potential clinical usefulness of PD-L1 as a clinical diagnostic for HNC remain to be determined
- An *Interferon-gamma* expression signature (abstract #6017) showed promise:¹
 - 95% negative predictive value
 - 40% positive predictive value

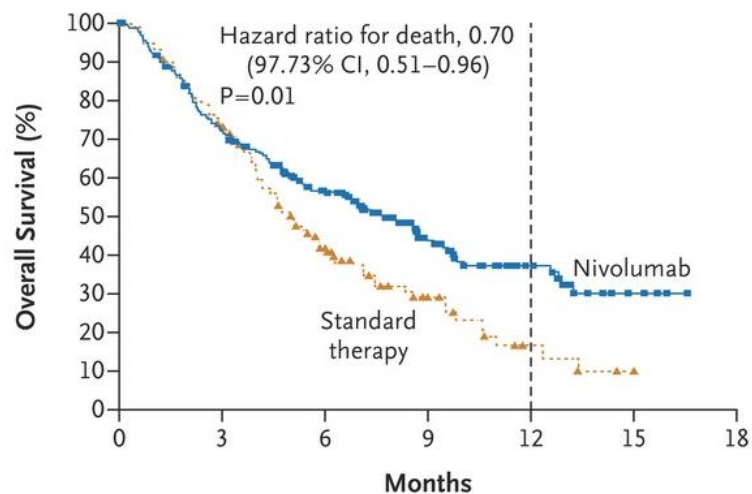


1. Seiwert TS, et al. ASCO 2015. Abstract#6017

Nivolumab Phase 3: OS and PFS

A Overall Survival

	No. of Patients	No. of Deaths	1-Yr Overall Survival Rate % (95% CI)	Median Overall Survival mo (95% CI)
Nivolumab	240	133	36.0 (28.5–43.4)	7.5 (5.5–9.1)
Standard Therapy	121	85	16.6 (8.6–26.8)	5.1 (4.0–6.0)

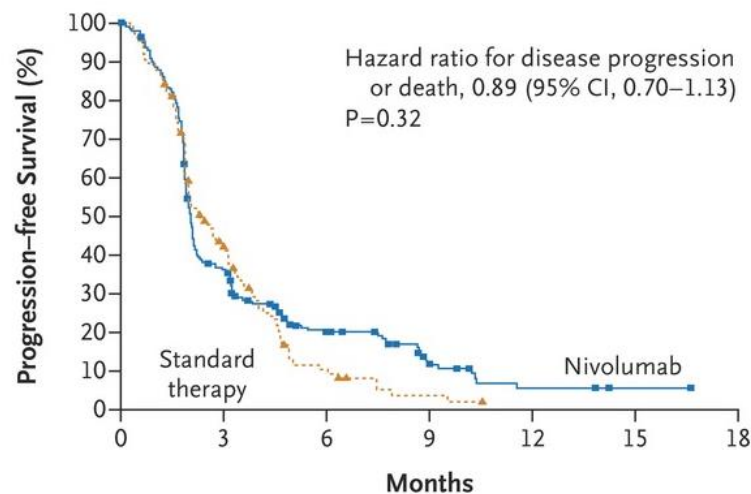


No. at Risk

Nivolumab	240	167	109	52	24	7	0
Standard therapy	121	87	42	17	5	1	0

B Progression-free Survival

	No. of Patients	No. of Events	Median Progression-free Survival (95% CI) mo
Nivolumab	240	190	2.0 (1.9–2.1)
Standard Therapy	121	103	2.3 (1.9–3.1)



No. at Risk

Nivolumab	240	79	32	12	4	1	0
Standard therapy	121	43	9	2	0	0	0

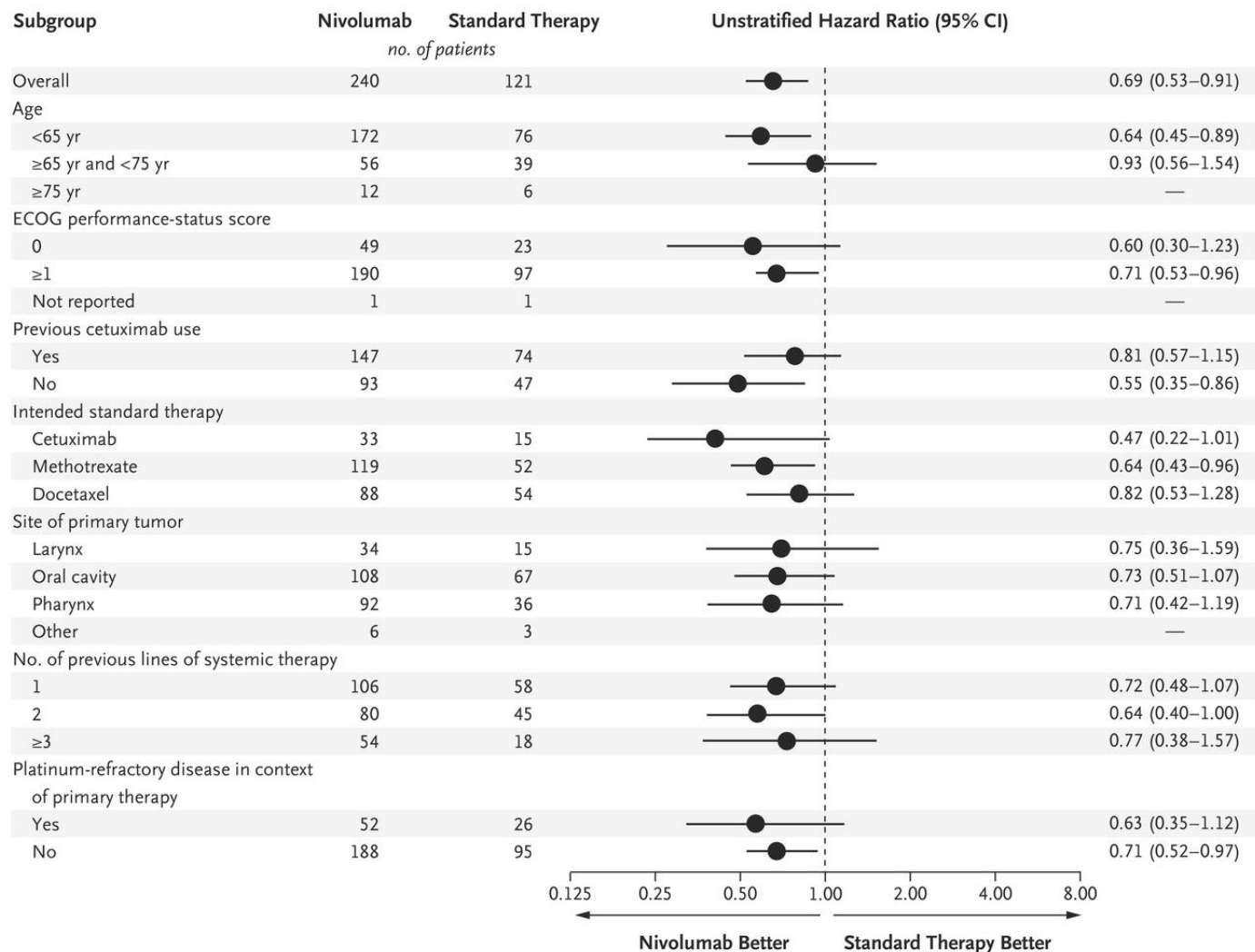
PD-L1 Expression and HPV

Variable	Nivolumab (N=240)		Standard Therapy (N=121)		Hazard Ratio for Death (95% CI)
	Patients	Median Survival	Patients	Median Survival	
	no. (%)	mo	no. (%)	mo	
All patients	240 (100.0)	7.5	121 (100.0)	5.1	0.69 (0.53–0.91)
PD-L1 expression level					
≥1%	88 (36.7)	8.7	61 (50.4)	4.6	0.55 (0.36–0.83)
≥5%	54 (22.5)	8.8	43 (35.5)	4.6	0.50 (0.30–0.83)
≥10%	43 (17.9)	8.7	34 (28.1)	5.2	0.56 (0.31–1.01)
<1%	73 (30.4)	5.7	38 (31.4)	5.8	0.89 (0.54–1.45)
<5%	107 (44.6)	7.0	56 (46.3)	5.1	0.81 (0.55–1.21)
<10%	118 (49.2)	7.2	65 (53.7)	4.6	0.73 (0.50–1.06)
Not quantifiable	79 (32.9)	7.8	22 (18.2)	5.8	0.79 (0.44–1.44)
p16 status					
Positive	63 (26.2)	9.1	29 (24.0)	4.4	0.56 (0.32–0.99)
Negative	50 (20.8)	7.5	36 (29.8)	5.8	0.73 (0.42–1.25)
Combined subgroup					
PD-L1 ≥1% and p16-positive	23 (9.6)	8.8	14 (11.6)	3.9	0.50 (0.21–1.19)
PD-L1 ≥1% and p16-negative	17 (7.1)	8.8	16 (13.2)	5.6	0.44 (0.18–1.10)
PD-L1 <1% and p16-positive	24 (10.0)	10.0	10 (8.3)	6.4	0.55 (0.22–1.39)
PD-L1 <1% and p16-negative	14 (5.8)	7.1	12 (9.9)	7.4	0.82 (0.31–2.19)

Ferris RL et al. N Engl J Med 2016;375:1856-1867

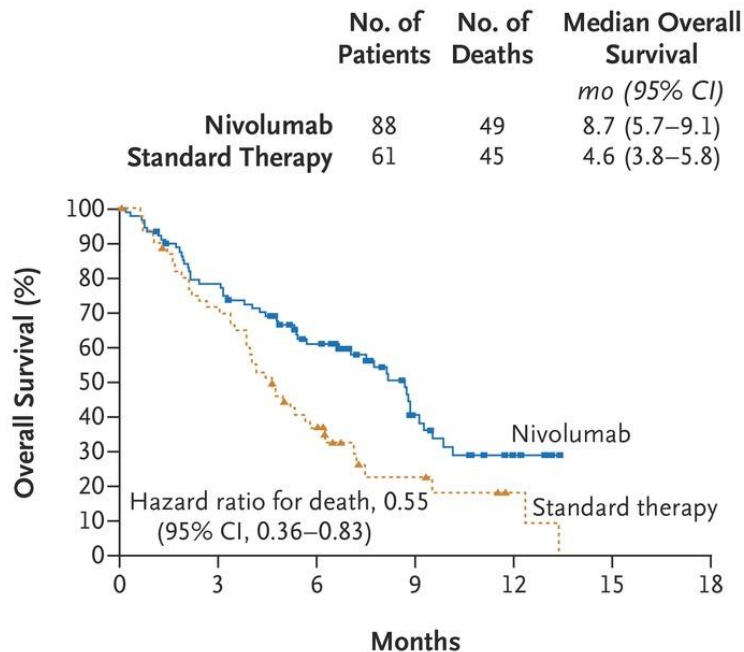


Nivolumab Phase 3: Subgroups



PD-L1 Staining and Response

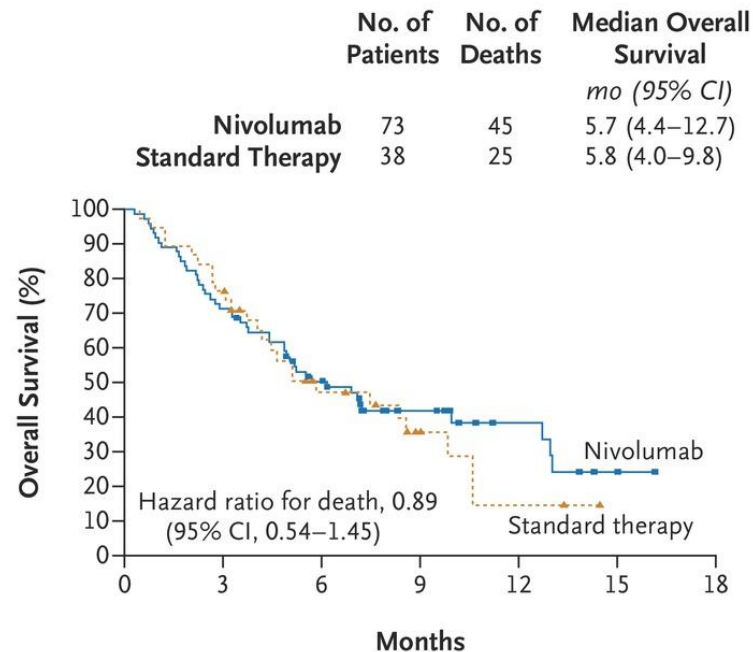
A Overall Survival among Patients with Baseline PD-L1 $\geq 1\%$



No. at Risk

Nivolumab	88	67	44	18	6	0
Standard therapy	61	42	20	6	2	0

B Overall Survival among Patients with Baseline PD-L1 <1%



No. at Risk

Nivolumab	73	52	33	17	8	3	0
Standard therapy	38	29	14	6	2	0	0

Ferris RL et al. N Engl J Med 2016;375:1856-1867



Side Effects

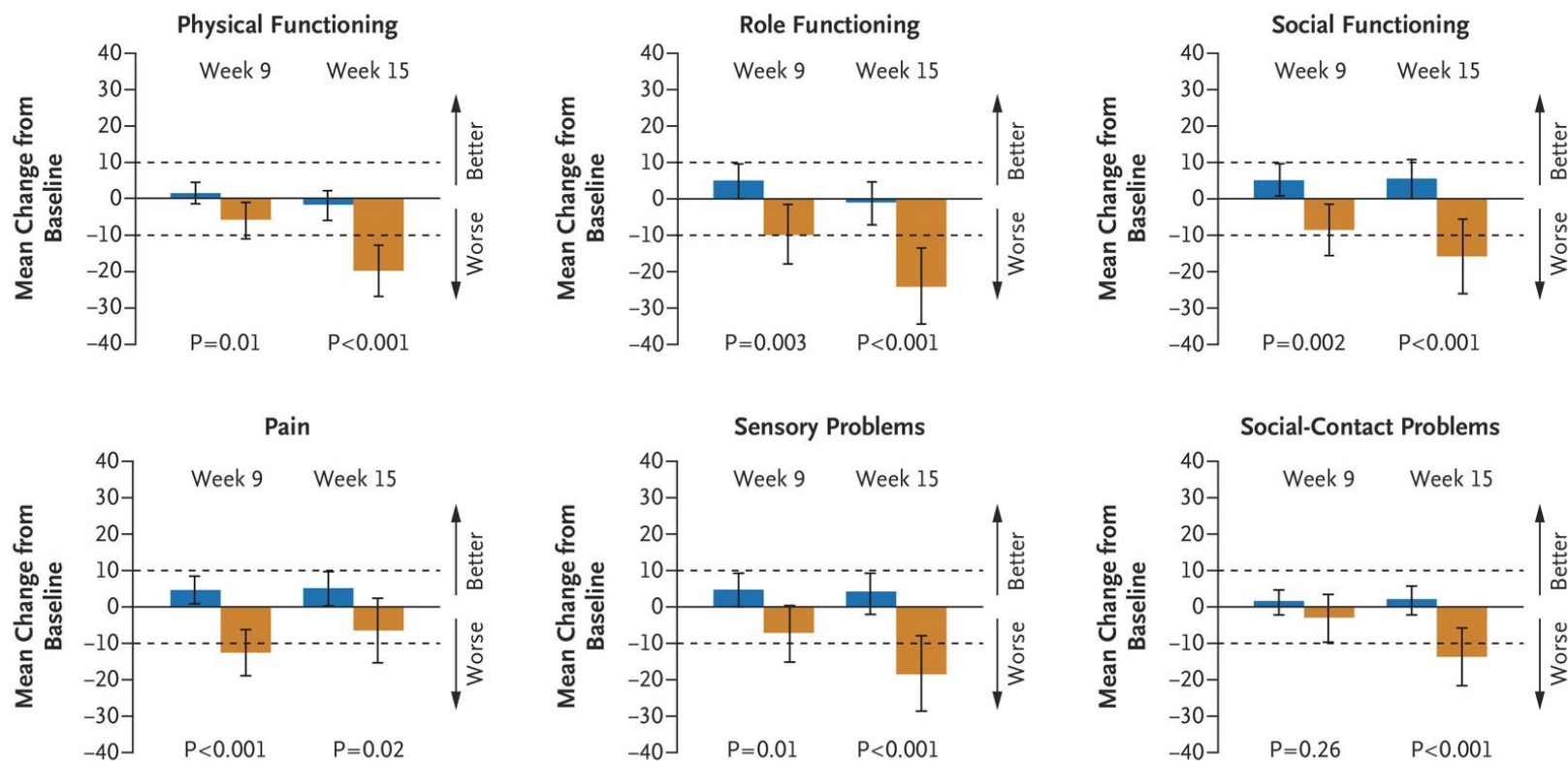
Event	Nivolumab (N=236)		Standard Therapy (N=111)	
	Any Grade	Grade 3 or 4	Any Grade	Grade 3 or 4
	<i>number of patients (percent)</i>			
Any event	139 (58.9)*	31 (13.1)	86 (77.5)†	39 (35.1)
Fatigue	33 (14.0)	5 (2.1)	19 (17.1)	3 (2.7)
Nausea	20 (8.5)	0	23 (20.7)	1 (0.9)
Rash	18 (7.6)	0	5 (4.5)	1 (0.9)
Decreased appetite	17 (7.2)	0	8 (7.2)	0
Pruritus	17 (7.2)	0	0	0
Diarrhea	16 (6.8)	0	15 (13.5)	2 (1.8)
Anemia	12 (5.1)	3 (1.3)	18 (16.2)	5 (4.5)
Asthenia	10 (4.2)	1 (0.4)	16 (14.4)	2 (1.8)
Vomiting	8 (3.4)	0	8 (7.2)	0
Dry skin	7 (3.0)	0	10 (9.0)	0
Stomatitis	5 (2.1)	1 (0.4)	10 (9.0)	3 (2.7)
Weight loss	4 (1.7)	0	6 (5.4)	0
Mucosal inflammation	3 (1.3)	0	14 (12.6)	2 (1.8)
Peripheral neuropathy	1 (0.4)	0	7 (6.3)	0
Alopecia	0	0	14 (12.6)	3 (2.7)
Neutropenia	0	0	9 (8.1)	8 (7.2)

Ferris RL et al. N Engl J Med 2016;375:1856-1867



C Quality of Life and Symptom Burden

■ Nivolumab ■ Standard Therapy



Ferris RL et al. N Engl J Med 2016;375:1856-1867



Treating Head and Neck Cancer

Primary Treatment

Radiation or
Chemoradiation

Residual Disease

Surgery

Risk Features

Treatment for Locoregional Recurrence

Surgery

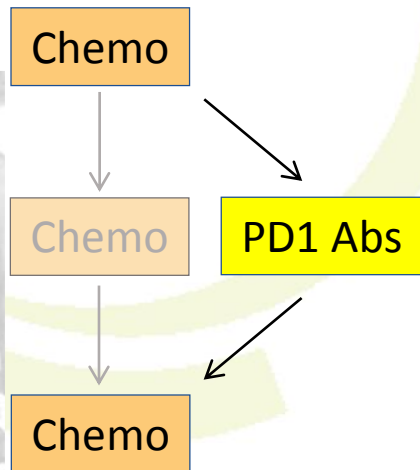
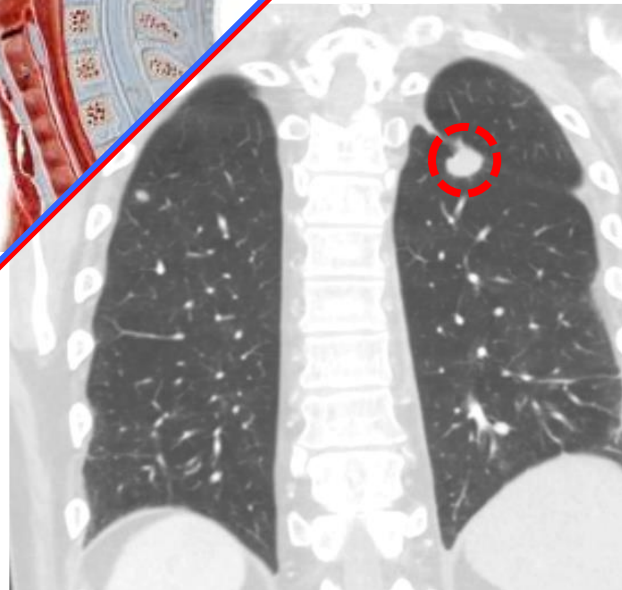
If Feasible

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Poorer Outcomes
Increased Morbidity

Locoregional
Distant Metastases
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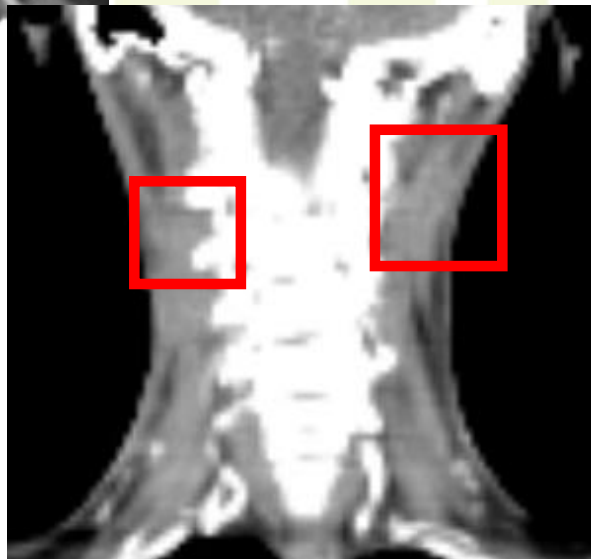
Case 1

51 year old woman with NPC

CR to induction chemotherapy

Consolidated with chemoradiation

NED at 4 years



- A. Chemoradiation
- B. Induction chemotherapy then radiation**
- C. Surgery
- D. Chemotherapy
- E. Pembrolizumab or nivolumab
- F. Ipilimumab and nivolumab

PD-1 Antibodies are NOT approved (yet) for NPC





Case 2

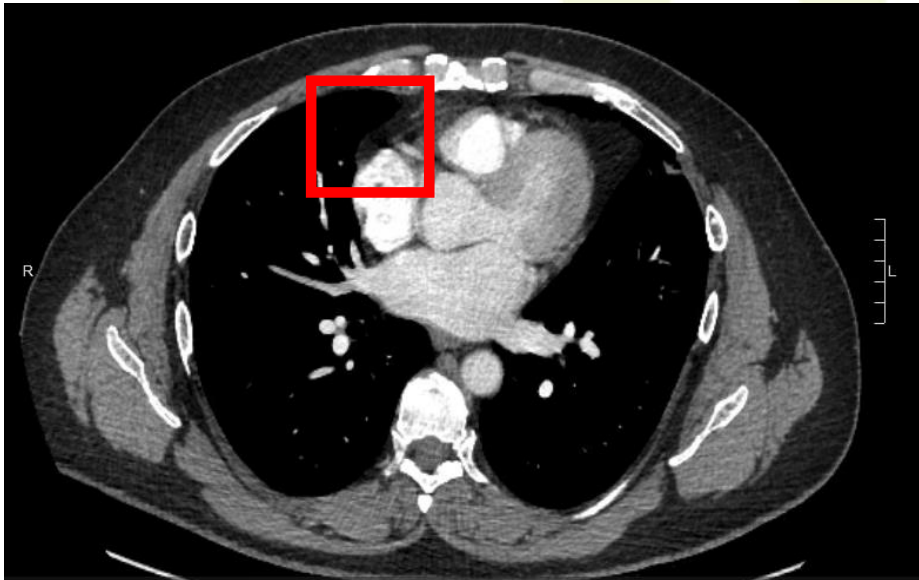
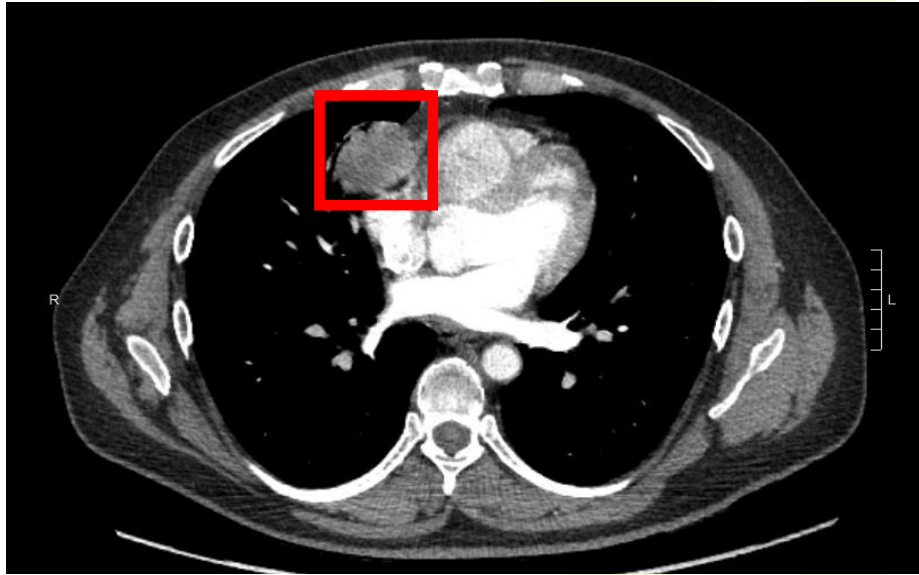
51 year old man with p16+ OPC

Considered platinum-refractory due to PD within 6 months of chemoXRT

Received PD-1 Ab

Patient is in complete response at 1 year

No significant treatment-associated side effects



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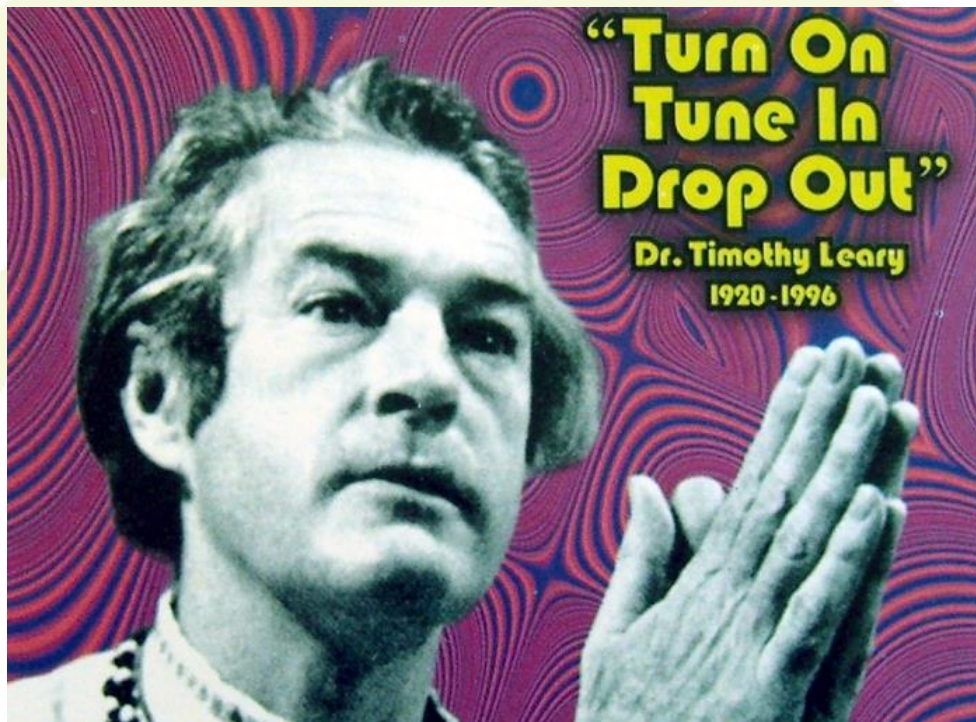


Combination	Targets
Durvalumab + tremelimumab	PDL1 + CTLA4
Nivolumab + / - ipilimumab	PD1 + CTLA4
Pembrolizumab + SD-101	PD1 + TLR
Pembrolizumab + ACP-196	PD1 + BTK
Pembrolizumab + PLX3397	PD1 + CSF1
Nivolumab + FPA008	PD1 + CSF1
Nivolumab + epacadostat	PD1 + IDO
Durvalumab + epacadostat	PDL1 + IDO
Nivolumab + lirilumab	PL1 + KIR1
Nivolumab + varilumab	PD1 + CD27

PD-1 Abs alone

- Favorable side effects
- Low response rate
- Durable

Steps to an effective anti-tumor immune response



Learyism	“Tune in”	“Turn on”	“Drop out”
Description	<ul style="list-style-type: none"> • Bring immune cells into tumor • Make chemicals to activate immune response 	Activate immune cells in tumor	Get rid of “regulatory” cells that get in the way of immune response
Examples of agents	<ol style="list-style-type: none"> 1. CTLA4 Ab 2. pIL12-EP 3. TVEC 4. TLR agonists 	<ol style="list-style-type: none"> 1. PD-1 Abs 	<ol style="list-style-type: none"> 1. IDO inhibitors 2. CSF-1 inhibitor

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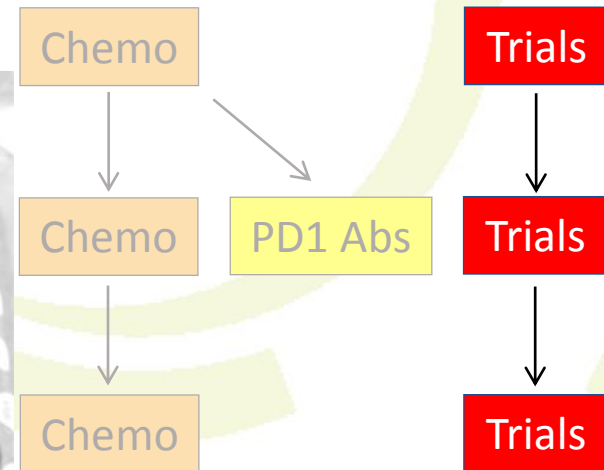
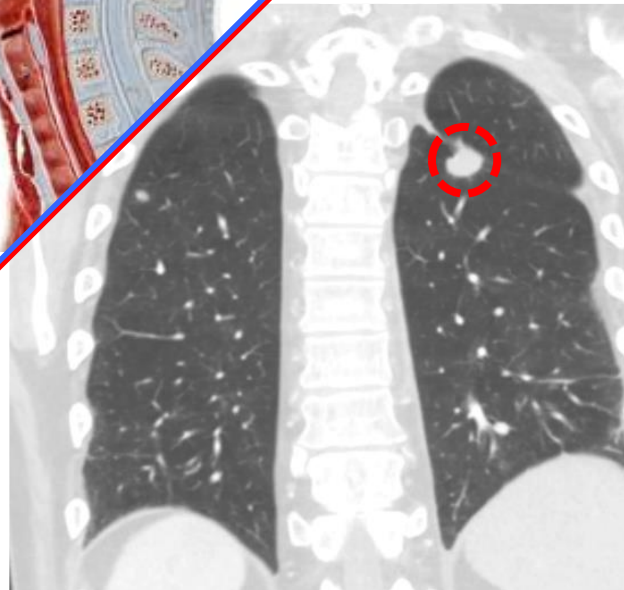
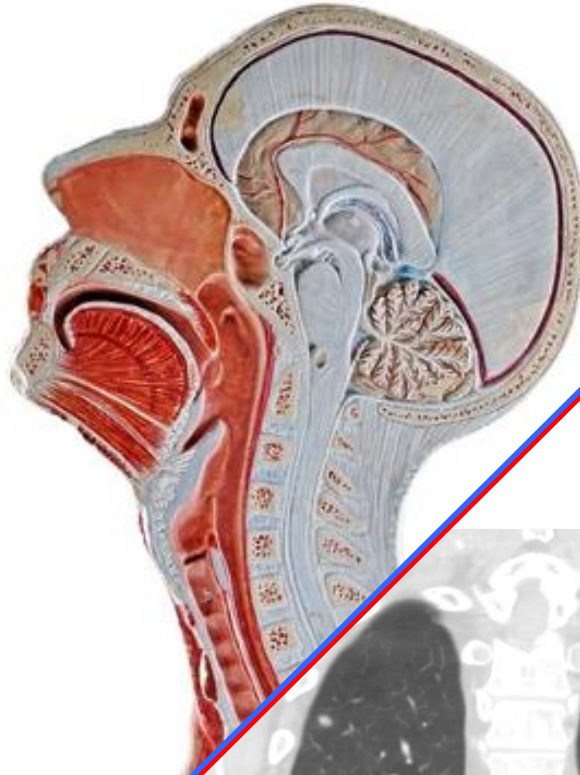
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Conclusions for Head and Neck Cancer

1. Chemotherapy offers short survival with many side effects
2. PD-1 antibodies nivolumab and pembrolizumab are approved in *second line recurrent / metastatic HNSCC*:
 - Oral cavity
 - Oropharynx
 - Larynx
 - Hypopharynx
3. Most patients have fewer side effects on PD-1 Abs than on chemotherapy
4. Clinical trials are underway to improve immunotherapy response rates