

Immunotherapy for the Treatment of Breast Cancer

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Disclosures

- No relevant financial relationships to disclose
- I will be discussing non-FDA approved indications during my presentation.

Immunotherapy in breast cancer

- Standard-of-care treatment usually involves surgery, endocrine therapy and/or HER2 directed therapy by subtype, chemotherapy, and radiation
- Application of immunotherapy is still in early stages

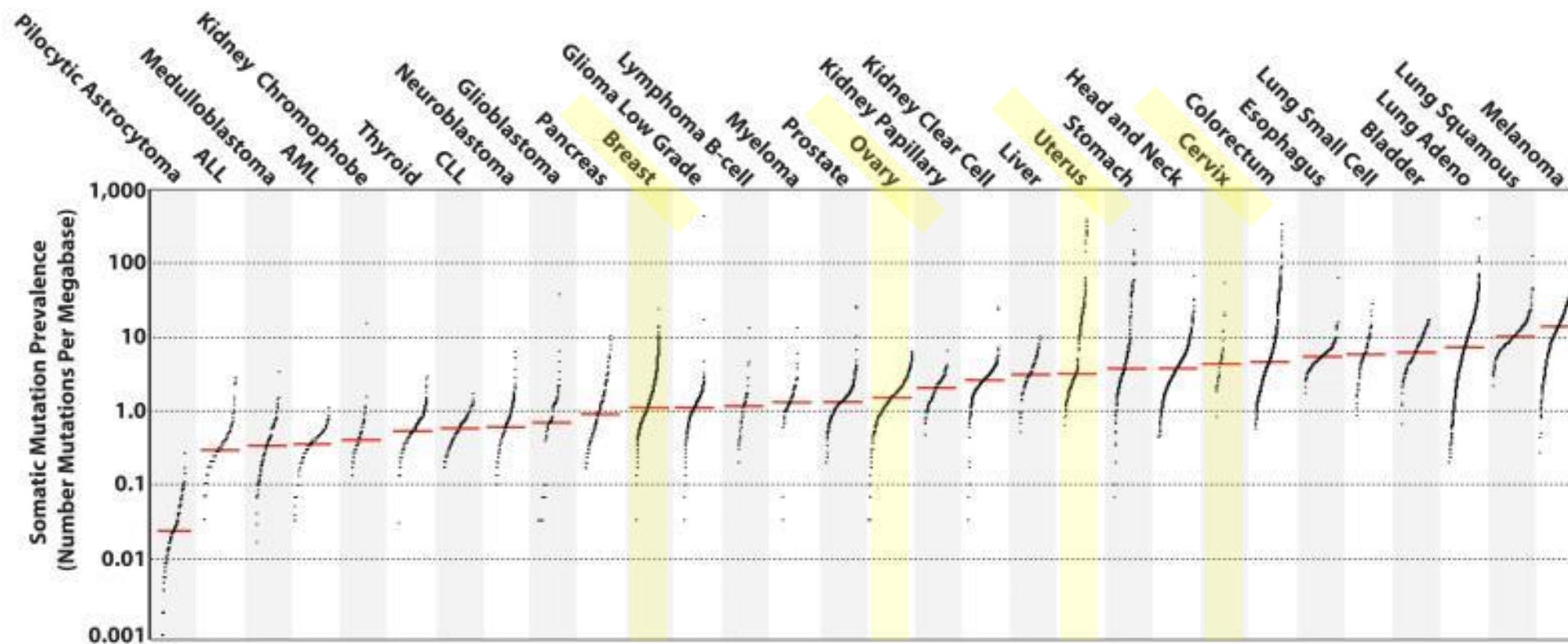
Estimated new cases

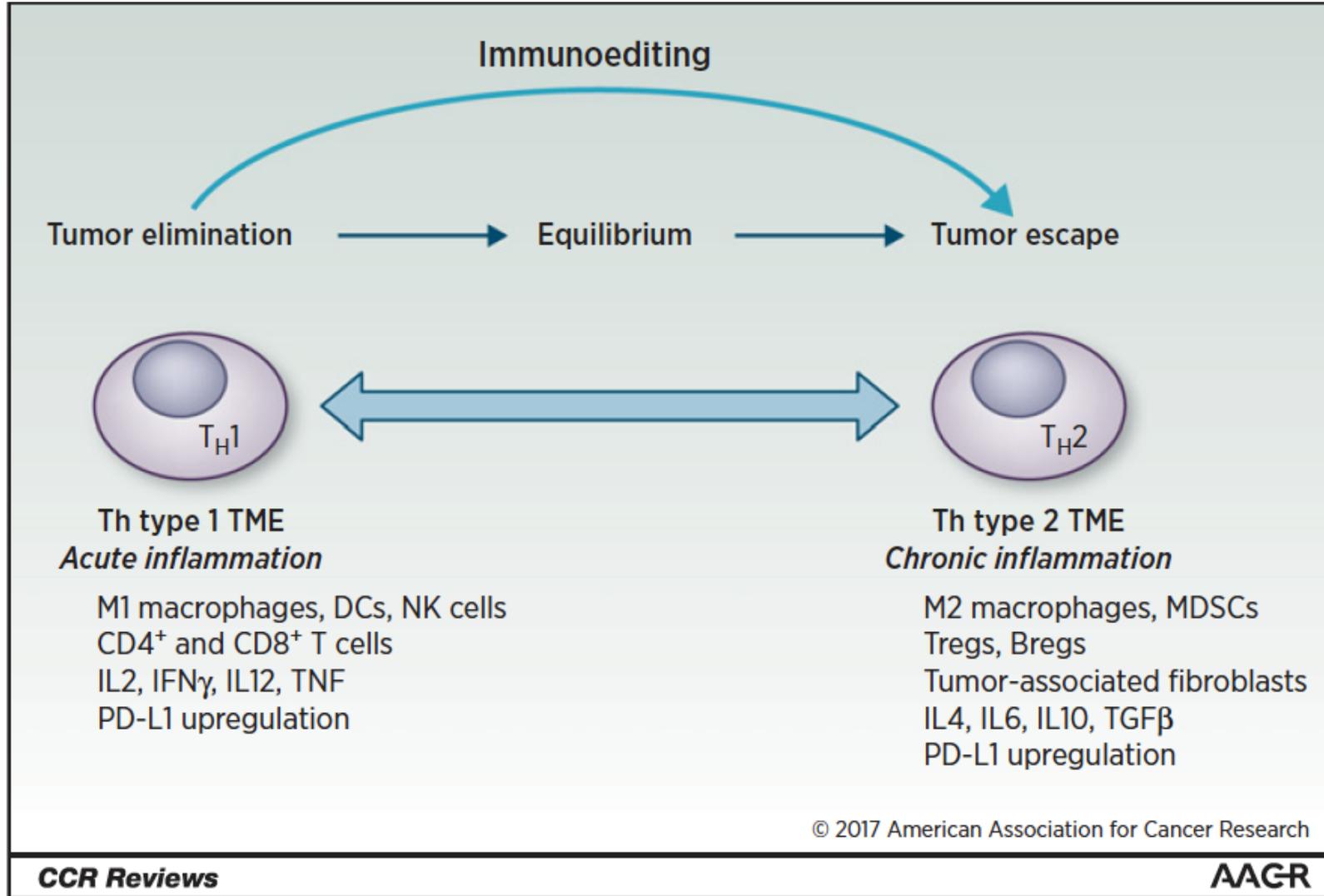
| | Female | |
|-----------------------|----------------|-----|
| Breast | 276,480 | 30% |
| Lung & bronchus | 112,520 | 12% |
| Colon & rectum | 69,650 | 8% |
| Uterine corpus | 65,620 | 7% |
| Thyroid | 40,170 | 4% |
| Melanoma of the skin | 40,160 | 4% |
| Non-Hodgkin lymphoma | 34,860 | 4% |
| Kidney & renal pelvis | 28,230 | 3% |
| Pancreas | 27,200 | 3% |
| Leukemia | 25,060 | 3% |
| All sites | 912,930 | |

Estimated deaths

| | Female | |
|--------------------------------|----------------|-----|
| Lung & bronchus | 63,220 | 22% |
| Breast | 42,170 | 15% |
| Colon & rectum | 24,570 | 9% |
| Pancreas | 22,410 | 8% |
| Ovary | 13,940 | 5% |
| Uterine corpus | 12,590 | 4% |
| Liver & intrahepatic bile duct | 10,140 | 4% |
| Leukemia | 9,680 | 3% |
| Non-Hodgkin lymphoma | 8,480 | 3% |
| Brain & other nervous system | 7,830 | 3% |
| All sites | 285,360 | |

Immunotherapy in breast and gynecologic cancers





Emens L. DOI: 10.1158/1078-0432.CCR-16-3001

#LearnACI

Outline

- Breast cancer
 - Approvals
 - In the pipeline
 - Biomarkers and immunotherapy responsiveness

Outline

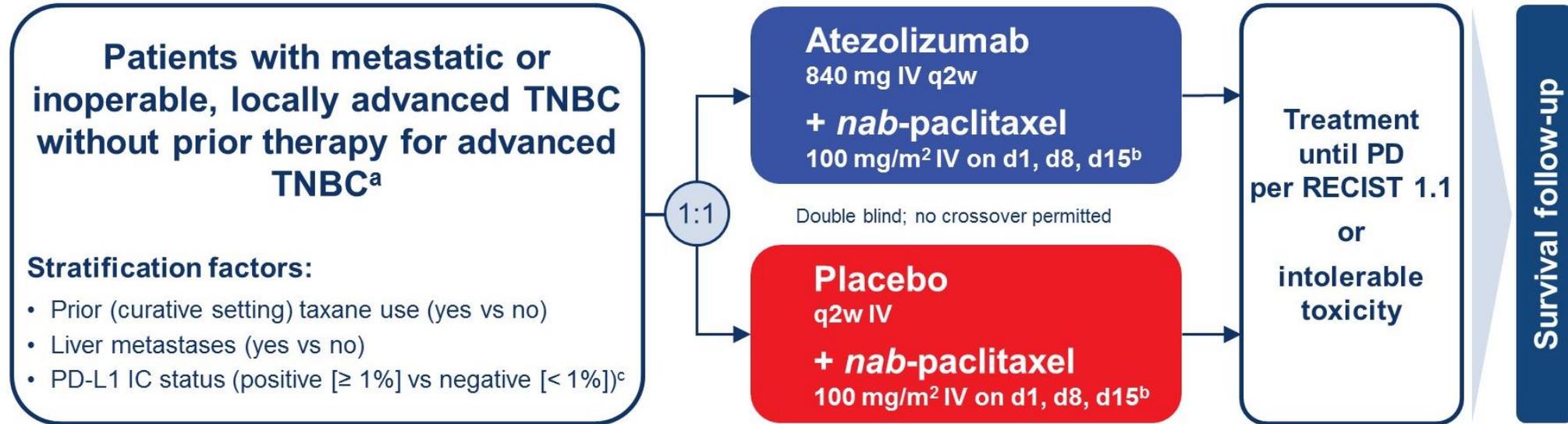
- Breast cancer
 - Approvals
 - In the pipeline
 - Biomarkers and immunotherapy responsiveness

Current approvals in breast cancer

| Checkpoint inhibitor | Approved | Indication | Dose |
|--|----------|--|---|
| Pembrolizumab | 2017 | MSI-H/dMMR advanced cancer with progression on previous treatment | 200 mg Q3W or 400 mg Q6W |
| Atezolizumab + nab-paclitaxel or paclitaxel protein-bound | 2019 | Advanced/Metastatic TNBC with PD-L1 $\geq 1\%$ immune cells | 840 mg atezolizumab Q2W + 100 mg/m ² nab-paclitaxel on days 1, 8, 15 |
| Pembrolizumab | 2020 | TMB-high solid tumors with progression on prior treatment | 200 mg Q3W or 400 mg Q6W |
| Pembrolizumab + chemotherapy | 2020 | Locally recurrent/metastatic TNBC with PD-L1 CPS ≥ 10 | 200 mg Q3W or 400 mg Q6W |

| Antibody-drug conjugate | Approved | Indication | Dose |
|--|----------|---|----------------------------------|
| Ado-trastuzumab emtansine | 2019 | Adjuvant treatment of HER2-positive early breast cancer | 3.6 mg/kg Q3W |
| Fam-trastuzumab deruxtecan-nxki | 2019 | Unresectable/metastatic HER2-positive breast cancer after 2+ HER2 regimens | 5.4 mg/kg Q3W |
| Sacituzumab govitecan | 2020 | Metastatic TNBC after two previous therapies | 10mg/kg on D1&D8 of 21-day cycle |

IMpassion130

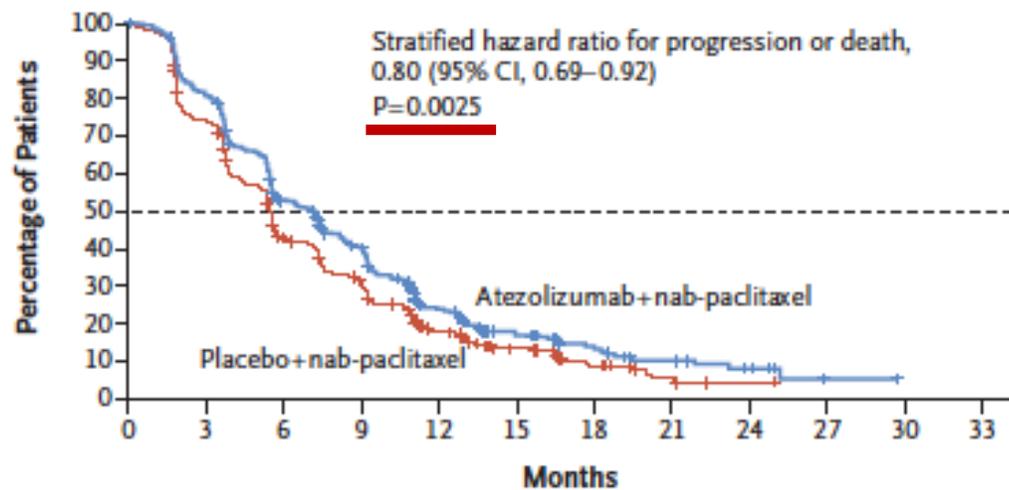


- Co-primary endpoints in ITT and PD-L1 IC+: PFS and OS^d
- Pre-specified hierarchical testing of OS in ITT and, if significant, in PD-L1 IC+ patients
- In both treatment arms, 41% of patients were PD-L1 IC+

Significant improvement in PFS

A Progression-free Survival in the Intention-to-Treat Population

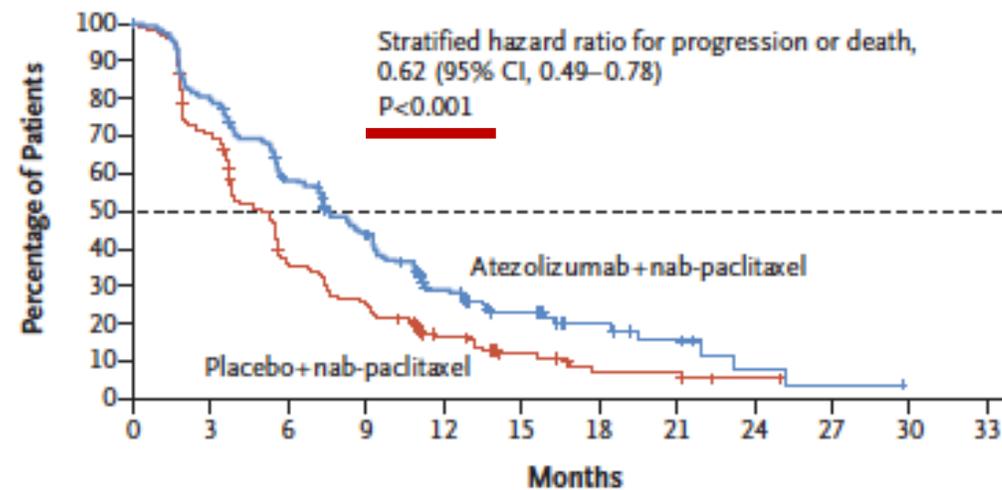
| | No. of Events/ No. of Patients | Median Progression-free Survival (95% CI) <i>mo</i> | 1-Yr Rate of Progression-free Survival (95% CI) % |
|-----------------------------|-----------------------------------|--|--|
| Atezolizumab+Nab-Paclitaxel | 358/451 | 7.2 (5.6–7.5) | 23.7 (19.6–27.9) |
| Placebo+Nab-Paclitaxel | 378/451 | 5.5 (5.3–5.6) | 17.7 (14.0–21.4) |



| No. at Risk | | 0 | 3 | 6 | 9 | 12 | 15 | 18 | 21 | 24 | 27 | 30 | 33 |
|-----------------------------|--|-----|-----|-----|-----|----|----|----|----|----|----|----|----|
| Atezolizumab+nab-paclitaxel | | 451 | 360 | 226 | 164 | 77 | 34 | 20 | 11 | 6 | 1 | NE | NE |
| Placebo+nab-paclitaxel | | 451 | 327 | 183 | 130 | 57 | 29 | 13 | 5 | 1 | NE | NE | NE |

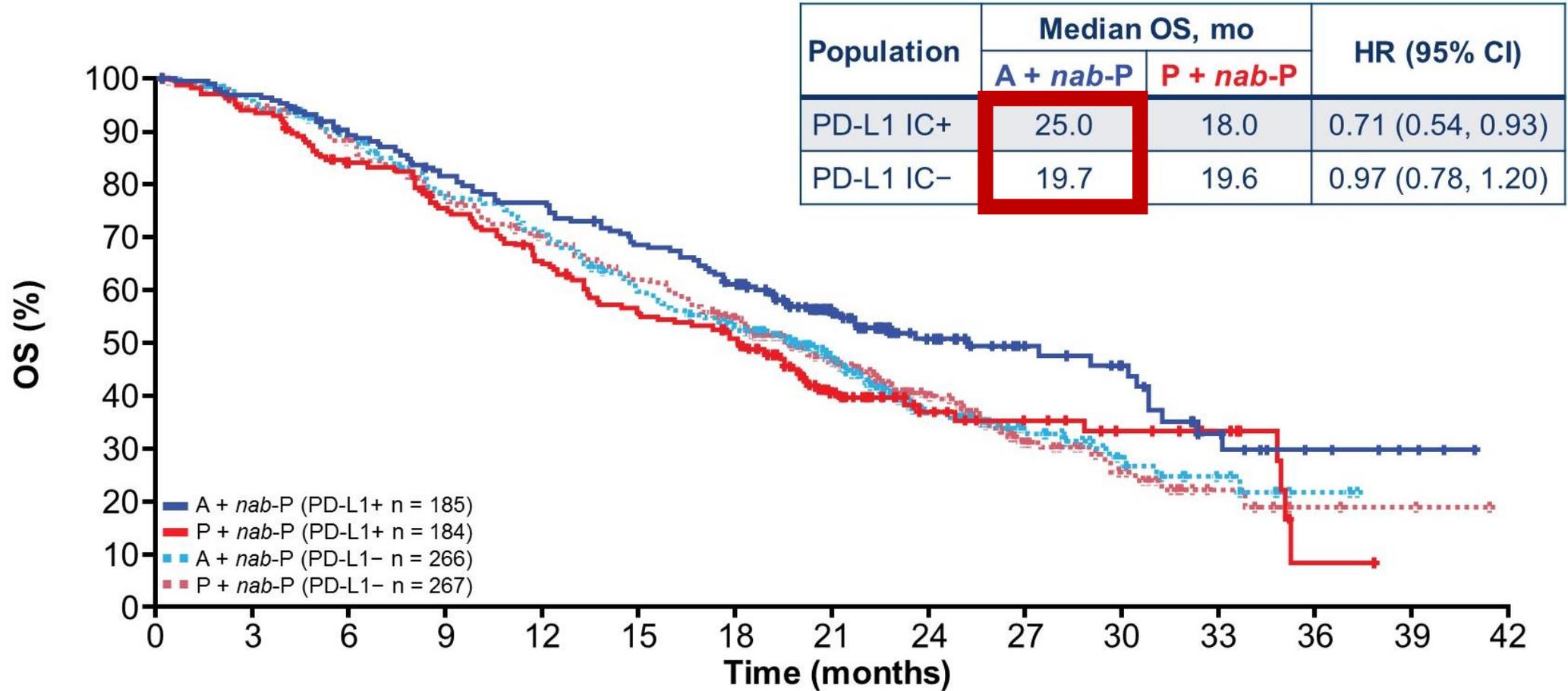
B Progression-free Survival in the PD-L1-Positive Subgroup

| | No. of Events/ No. of Patients | Median Progression-free Survival (95% CI) <i>mo</i> | 1-Yr Rate of Progression-free Survival (95% CI) % |
|-----------------------------|-----------------------------------|--|--|
| Atezolizumab+Nab-Paclitaxel | 138/185 | 7.5 (6.7–9.2) | 29.1 (22.2–36.1) |
| Placebo+Nab-Paclitaxel | 157/184 | 5.0 (3.8–5.6) | 16.4 (10.8–22.0) |



| No. at Risk | | 0 | 3 | 6 | 9 | 12 | 15 | 18 | 21 | 24 | 27 | 30 | 33 |
|-----------------------------|--|-----|-----|-----|----|----|----|----|----|----|----|----|----|
| Atezolizumab+nab-paclitaxel | | 185 | 146 | 104 | 75 | 38 | 19 | 10 | 6 | 2 | 1 | NE | NE |
| Placebo+nab-paclitaxel | | 184 | 127 | 62 | 44 | 22 | 11 | 5 | 5 | 1 | NE | NE | NE |

IMpassion130: PD-L1+ TNBC



KEYNOTE-355 Study Design (NCT02819518)

Key Eligibility Criteria

- Age ≥18 years
- Central determination of TNBC and PD-L1 expression
- Previously untreated locally recurrent inoperable or metastatic TNBC
- Completion of treatment with curative intent ≥6 months prior to first disease recurrence
- ECOG performance status 0 or 1
- Life expectancy ≥12 weeks from randomization
- Adequate organ function
- No systemic steroids
- No active CNS metastases
- No active autoimmune disease



Stratification Factors:

- Chemotherapy on study (taxane vs gemcitabine/carboplatin)
- PD-L1 tumor expression (CPS ≥1 vs CPS <1)
- Prior treatment with same class chemotherapy in the neoadjuvant or adjuvant setting (yes vs no)

^aPembrolizumab 200 mg intravenous (IV) every 3 weeks (Q3W)

^bChemotherapy dosing regimens are as follows:

Nab-paclitaxel 100 mg/m² IV on days 1, 8, and 15 every 28 days

Paclitaxel 90 mg/m² IV on days 1, 8, and 15 every 28 days

Gemcitabine 1000 mg/m²/carboplatin AUC 2 on days 1 and 8 every 21 days

^cNormal saline

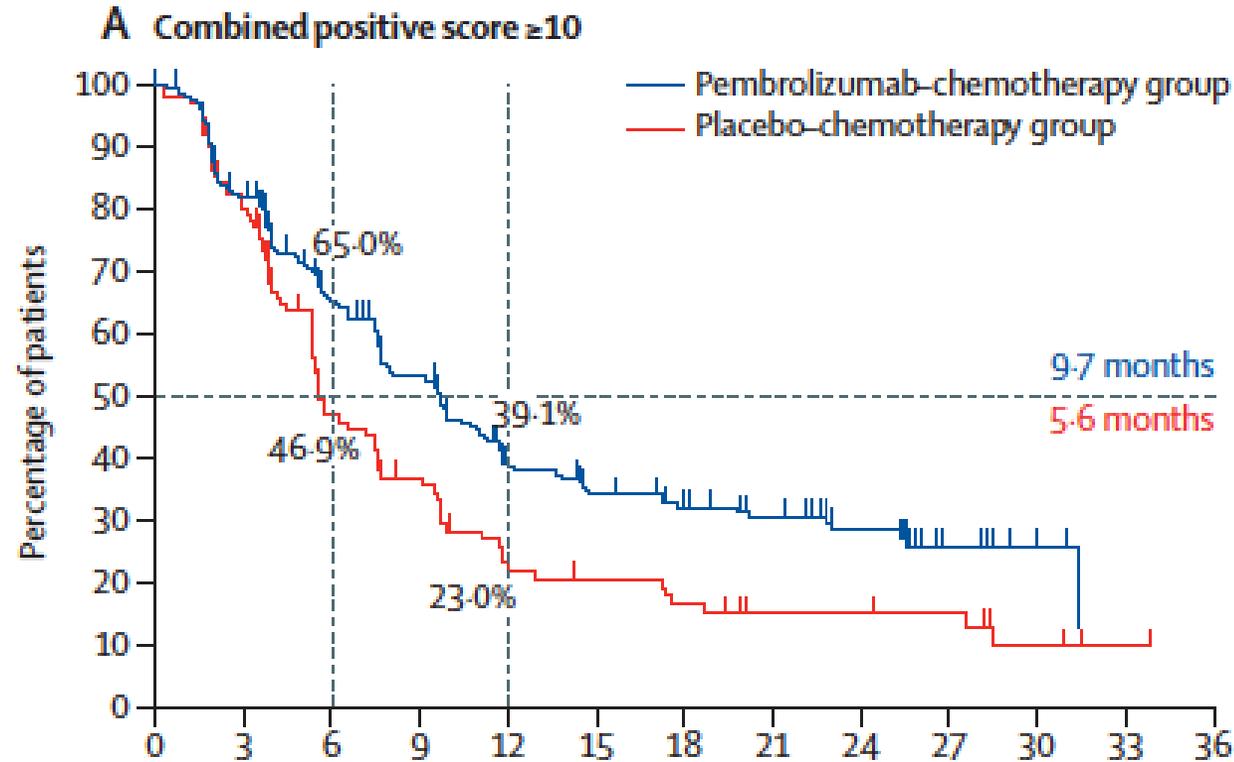
^dTreatment may be continued until confirmation of progressive disease

CNS=central nervous system; ECOG=Eastern Cooperative Oncology Group;

PD-L1=programmed death ligand 1; R=randomized; TNBC=triple-negative breast cancer

PFS Benefit in CPS_{≥10}

The FDA concurrently approved the PD-L1 IHC 22C3 pharmDx test as a companion diagnostic assay for selecting patients with TNBC for pembrolizumab



Hazard ratio [HR] for progression or death, 0.65, 95% CI 0.49–0.86; one-sided p=0.0012 [primary objective met]).

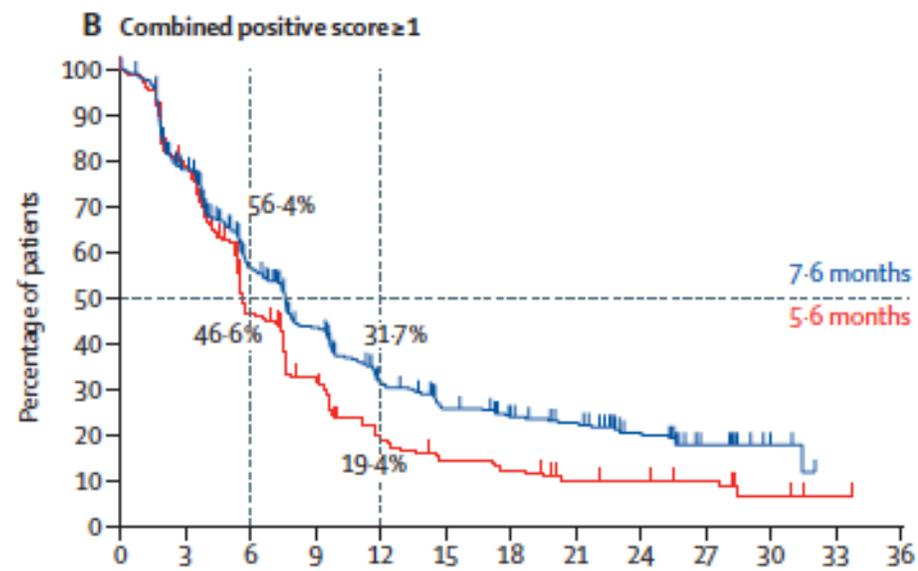
Number at risk

| | | | | | | | | | | | | | |
|----------------------------------|-----|-----|-----|----|----|----|----|----|----|----|---|---|---|
| Pembrolizumab-chemotherapy group | 220 | 173 | 122 | 96 | 63 | 52 | 44 | 37 | 25 | 12 | 5 | 0 | 0 |
| Placebo-chemotherapy group | 103 | 80 | 41 | 30 | 18 | 15 | 12 | 8 | 8 | 7 | 3 | 1 | 0 |

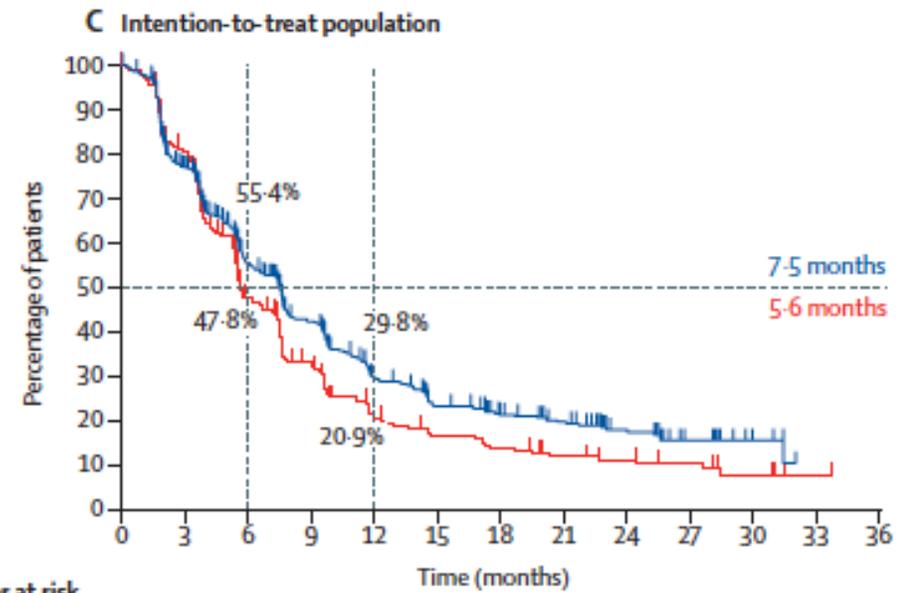
Cortes J. et al *Lancet* 2020; 396: 1817–28

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CPS ≥ 1 and ITT:

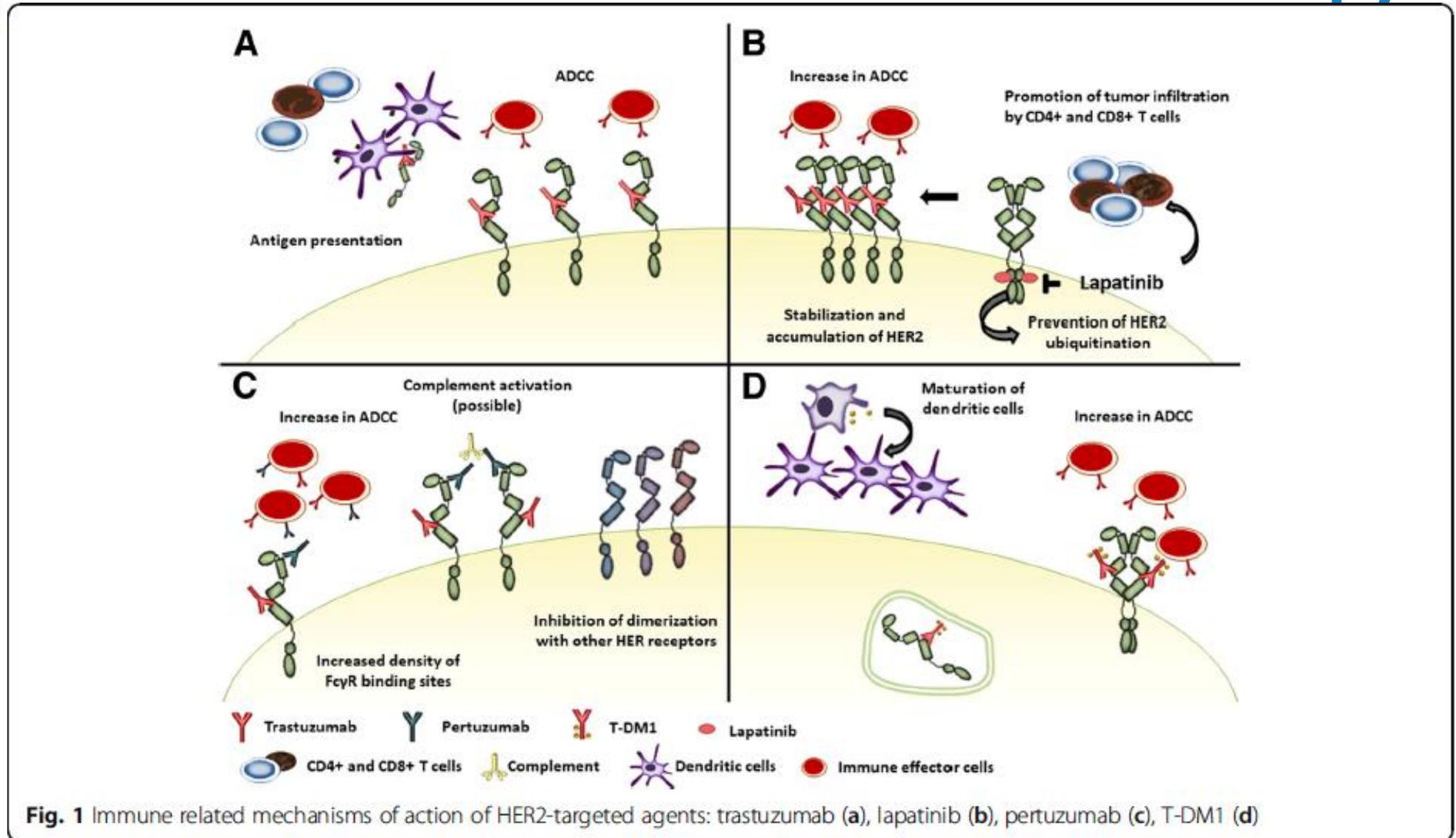


| | 0 | 3 | 6 | 9 | 12 | 15 | 18 | 21 | 24 | 27 | 30 | 33 | 36 |
|----------------------------------|-----|-----|-----|-----|----|----|----|----|----|----|----|----|----|
| Number at risk | | | | | | | | | | | | | |
| Pembrolizumab-chemotherapy group | 425 | 315 | 202 | 143 | 94 | 72 | 60 | 51 | 32 | 16 | 6 | 0 | 0 |
| Placebo-chemotherapy group | 211 | 158 | 81 | 51 | 28 | 20 | 17 | 11 | 10 | 8 | 3 | 1 | 0 |



| | 0 | 3 | 6 | 9 | 12 | 15 | 18 | 21 | 24 | 27 | 30 | 33 | 36 |
|----------------------------------|-----|-----|-----|-----|-----|----|----|----|----|----|----|----|----|
| Number at risk | | | | | | | | | | | | | |
| Pembrolizumab-chemotherapy group | 566 | 408 | 260 | 184 | 118 | 86 | 70 | 57 | 32 | 16 | 6 | 0 | 0 |
| Placebo-chemotherapy group | 281 | 214 | 108 | 68 | 39 | 29 | 24 | 17 | 14 | 11 | 5 | 1 | 0 |

Immune Effects of HER2 directed therapy



T-DM1 in adjuvant setting

The **NEW ENGLAND**
JOURNAL of MEDICINE

ESTABLISHED IN 1812

FEBRUARY 14, 2019

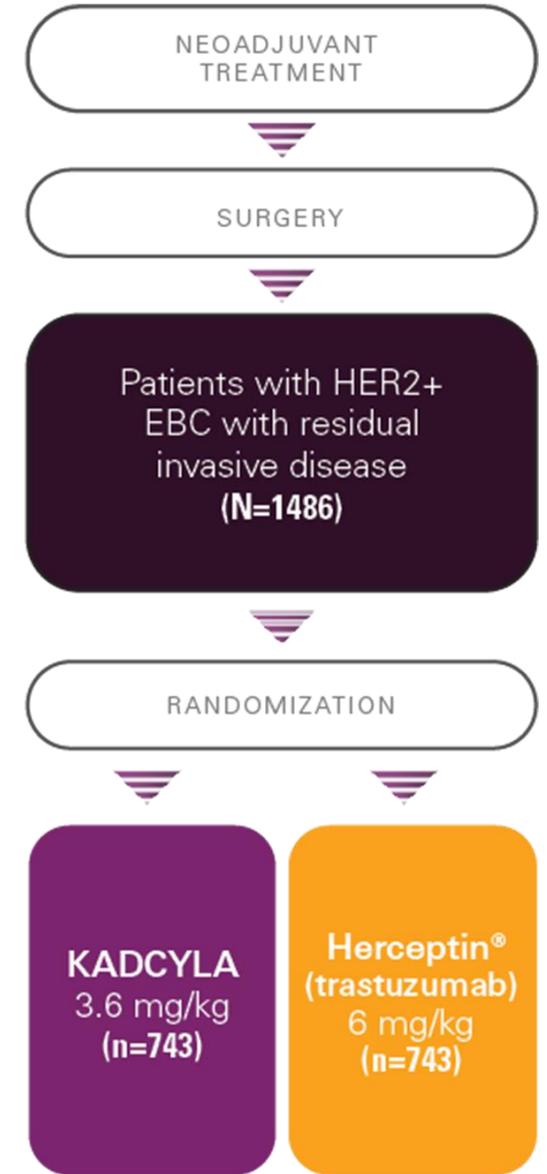
VOL. 380 NO. 7

Trastuzumab Emtansine for Residual Invasive HER2-Positive Breast Cancer

G. von Minckwitz, C.-S. Huang, M.S. Mano, S. Loibl, E.P. Mamounas, M. Untch, N. Wolmark, P. Rastogi, A. Schneeweiss, A. Redondo, H.H. Fischer, W. Jacot, A.K. Conlin, C. Arce-Salinas, I.L. Wapnir, C. Jackisch, M.P. DiGiovanna, P.A. Fasching, J.P. Crown, P. Wülfing, Z. Shao, E. Rota Caremoli, H. Wu, L.H. Lam, D. Tesarowski, M. Smitt, H. Douthwaite, S.M. Singel, and C.E. Geyer, Jr., for the KATHERINE Investigators*

N Engl J Med 2019;380:617-28.

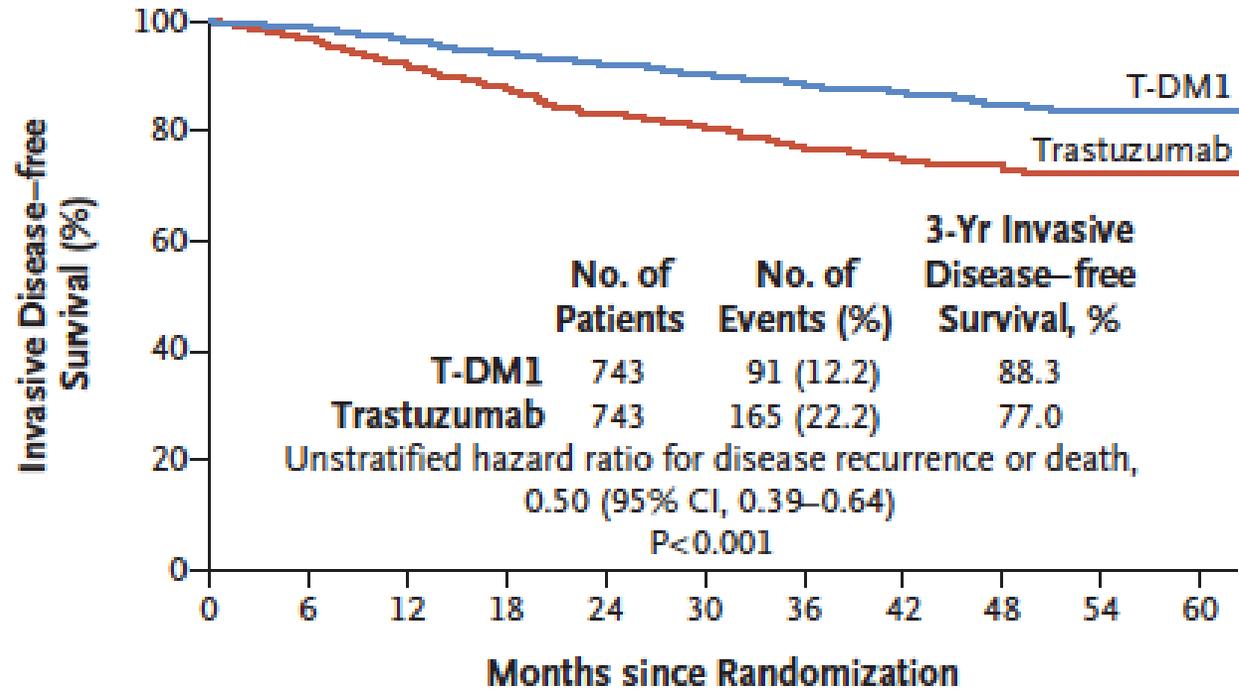
DOI: 10.1056/NEJMoa1814017



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3 year iDFS improvement of 11%

A



No. at Risk

| | | | | | | | | | | | |
|-------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|---|
| T-DM1 | 743 | 707 | 681 | 658 | 633 | 561 | 409 | 255 | 142 | 44 | 4 |
| Trastuzumab | 743 | 676 | 635 | 594 | 555 | 501 | 342 | 220 | 119 | 38 | 4 |

Outline

- **Breast cancer**
 - Approvals
 - **In the pipeline**
 - Biomarkers and immunotherapy responsiveness
- Gynecologic cancers
 - Approvals
 - In the pipeline

Clinical trials in TNBC

| Trial | Treatment arm(s) | Patient selection criteria | n | ORR | Median PFS (months) | Median OS (months) |
|--------------|--|---|------|--|--------------------------|---------------------------|
| IMpassion130 | Atezolizumab + nab-paclitaxel* *FDA-approved | Metastatic TNBC without prior therapy | 902 | ITT: 56.0% PD-L1+: 58.9% | ITT: 7.2 PD-L1+: 7.5 | ITT: 21.3 PD-L1+: 25.0 |
| | Placebo + nab-paclitaxel | | | ITT: 45.9% PD-L1+: 42.6% | ITT: 5.5 PD-L1+: 5.0 | ITT: 17.6 PD-L1+: 15.5 |
| KEYNOTE-086 | Pembrolizumab | A: Metastatic TNBC at 2 nd line or greater | 170 | 5.3% CR: 1.2% | 2.0 | 9.0 |
| | | B: PD-L1+ metastatic TNBC without prior therapy | 84 | 21.4% CR: 4.7% | 2.1 | 18.0 |
| IMMU-132-01 | Sacituzumab govitecan-hziy* (Anti-Trop-2) | Advanced TNBC with at least 2 prior therapies | 108 | 33.3% | 5.5 | 13.0 |
| KEYNOTE-355 | Pembrolizumab + chemotherapy | Locally recurrent inoperable or metastatic TNBC without prior therapy | 566 | | ITT: 7.5 CPS >10: 9.7 | |
| | Placebo + chemotherapy | | 281 | | ITT: 5.6 CPS >10: 5.6 | |
| KEYNOTE-522 | Neoadjuvant pembrolizumab + paclitaxel/carboplatin, adjuvant pembrolizumab | Stage II or III TNBC without prior therapy | 1174 | Pathological complete response rates: ITT: 64.8% vs 51.2% PD-L1+: 68.9% vs 54.9% PD-L1-: 45.3% vs 30.3% | | |
| | Neoadjuvant placebo + paclitaxel/carboplatin, adjuvant placebo | | | | | |

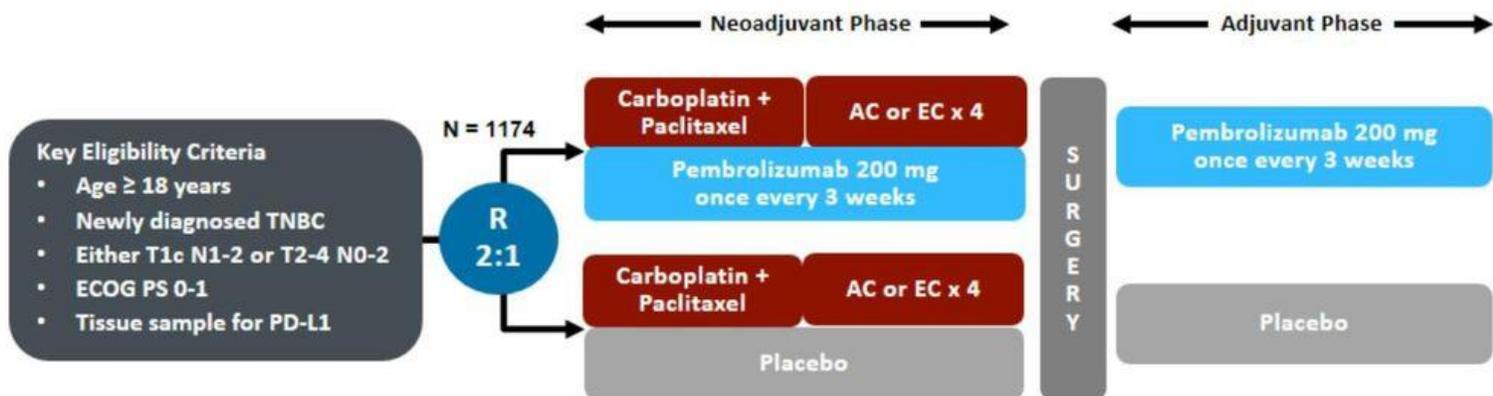
Clinical trials in HR+ or HER2+ breast cancer

| Trial | Treatment arm(s) | Patient selection criteria | n | ORR | Median PFS (months) | Median OS (months) |
|---------------------|--------------------------------------|---|----------|--|-------------------------|------------------------------------|
| KEYNOTE-028 | Pembrolizumab | ER+/HER2-, PD-L1+ breast cancer | 25 | 12.0% CR: 0% | 1.8 | 8.6 |
| KEYNOTE-014/PANACEA | Pembrolizumab + trastuzumab | HER2+ breast cancer with progression on trastuzumab | 58 | PD-L1+: 15% CR: 4% PD-L1-: 0% | | |
| KATE2 | Atezolizumab + trastuzumab emtansine | HER2+ advanced breast cancer with previous trastuzumab and a taxane | 133 | ITT: 45% PD-L1+: 54% | ITT: 8.2 PD-L1+: 8.5 | ITT 1-year: 89.1% PD-L1+: 94.3% |
| | Trastuzumab emtansine | | 69 | ITT: 43% PD-L1+: 33% | ITT: 6.8 PD-L1+: 4.1 | ITT 1-year: 89.0% PD-L1+: 87.9% |
| KATHERINE | Trastuzumab emtansine* (Anti-HER2) | HER2-positive early breast cancer after neoadjuvant therapy | 148 6 | 3-year invasive disease-free survival: 88.3% vs. 77.0% | | |
| DESTINY-Breast01 | Trastuzumab deruxtecan* | HER2-positive metastatic breast cancer after trastuzumab emtansine | 184 | 60.9% | 16.4 | NR |

KEYNOTE 522: Neoadjuvant Study

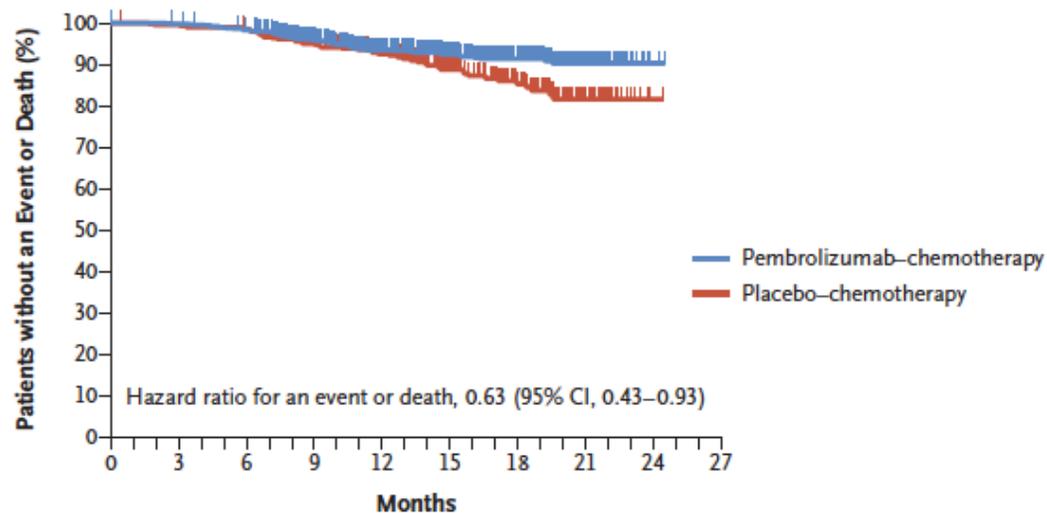
KEYNOTE-522

Confirming Benefit of Pembrolizumab-Containing Neoadjuvant Therapy



Stratification Factors:

- Nodal status (+ vs -)
- Tumor size (T1/T2 vs T3/T4)
- Carboplatin schedule (once weekly vs once every 3 weeks)



| No. at Risk | 0 | 3 | 6 | 9 | 12 | 15 | 18 | 21 | 24 | 27 |
|----------------------------|-----|-----|-----|-----|-----|-----|-----|----|----|----|
| Pembrolizumab–chemotherapy | 784 | 780 | 765 | 666 | 519 | 376 | 242 | 73 | 2 | 0 |
| Placebo–chemotherapy | 390 | 386 | 380 | 337 | 264 | 186 | 116 | 35 | 1 | 0 |

Figure 2. Kaplan–Meier Estimates of Event-free Survival, According to Trial Group in the Intention-to-Treat Population.

Tick marks indicate data censored at the last time the patient was known to be alive and without an event (disease progression that precludes definitive surgery; local or distant recurrence or a second primary tumor; or death from any cause). The hazard ratio and confidence interval were analyzed with the use of a Cox regression model with treatment as a covariate stratified according to the randomization stratification factors of nodal status (positive or negative), tumor size (T1 to T2 or T3 to T4), and frequency of carboplatin administration (once weekly or once every 3 weeks).

ORIGINAL ARTICLE

Pembrolizumab for Early Triple-Negative Breast Cancer

P. Schmid, J. Cortes, L. Pusztai, H. McArthur, S. Kümmel, J. Bergh, C. Denkert, Y.H. Park, R. Hui, N. Harbeck, M. Takahashi, T. Foukakis, P.A. Fasching, F. Cardoso, M. Untch, L. Jia, V. Karantza, J. Zhao, G. Aktan, R. Dent, and J. O’Shaughnessy, for the KEYNOTE-522 Investigators*

- pCR: **64.8%** (pembro-chemo) vs. **51.2%** (placebo-chemo)

After a median follow-up of 15.5 months EFS events occurred in:

- 58 of 784 patients (7.4%) in the pembro–chemo group
- 46 of 390 patients (11.8%) in the placebo–chemo group
- (hazard ratio, 0.63; 95% CI, 0.43 to 0.93).

ALLIANCE CALGB 40603:

Addition of carboplatin led to pCR 54% v 41%; P .0029

The use of neoadjuvant platinum with taxane for treatment of early stage TNBC improve pCR rate, but toxicity limits dosing of carboplatin

In Arm 3 (taxol/carbo/ddAC arm)

- 56% in the carbo arm had Grade 3-4 neutropenia
- 20% had Grade 3-4 thrombocytopenia
- 10 pts had febrile neutropenia

J Clin Oncol 33:13-21.

DOI: 10.1200/JCO.2014.57.0572

Table 3. Grade 3 to 4 Treatment-Related Toxicities

| | Arm One: Control (%) | Arm Two: Control + Bev (%) | Arm Three: Control + Carbo (%) | Arm Four: Control + Bev and Carbo (%) |
|-----------------------|-------------------------|----------------------------------|--------------------------------------|--|
| Leukopenia | 12 | 13 | 13 | 25 |
| Neutropenia | 22 | 27 | 56 | 67 |
| Thrombocytopenia | 4 | 3 | 20 | 26 |
| Hemoglobin | 0 | 2 | 4 | 5 |
| Febrile neutropenia | 7 | 9 | 12 | 24 |
| Nausea | 4 | 4 | 3 | 8 |
| Vomiting | 2 | 2 | 2 | 4 |
| Mucositis | 2 | 0 | 1 | 4 |
| Diarrhea | 0 | 3 | 2 | 3 |
| Hypertension | 2 | 12 | 0 | 10* |
| ALT elevation | 0 | 3 | 0 | 3 |
| Hypokalemia | 3 | 1 | 6 | 2 |
| Peripheral neuropathy | 2 | 6 | 7 | 4 |
| Fatigue | 10 | 12 | 10 | 20 |
| Pain | 3 | 6 | 3 | 11 |

NOTE. Bold font indicates significant difference in incidence compared with other treatment arms. Early surgical complications requiring intervention ± bevacizumab: 9% versus 5%; delayed surgical complications requiring intervention ± bevacizumab: 4% versus 1%.

Abbreviations: Bev, bevacizumab; Carbo, carboplatin.

*One treatment-related fatality.

Neoadjuvant chemotherapy regimens in TNBC

- **ISPY2** demonstrated addition of pembrolizumab improves pCR rate:
 - **pCR 60%** in pembro-chemo (P+C) arm vs **20%** in standard chemo (C) alone arm
 - Standard chemo: 12 weekly paclitaxel → AC (q2-3 wks)
- **KEYNOTE-522**
 - **pCR: 64.8%** in the pembro–chemo group vs. **51.2%** in chemo alone group
 - Toxicity: treatment-related AE \geq grade 3: 78.0% in (P+C) and 73.0% in chemotherapy group
 - febrile neutropenia: 14.6% (P+C) and 12.1% (C)
 - discontinuation of any trial drug: 23.3% (P+C), 12.3% (C)

Outline

- **Breast cancer**
 - Approvals
 - In the pipeline
 - Biomarkers and immunotherapy responsiveness
- Gynecologic cancers
 - Approvals
 - In the pipeline

Biomarkers and immunotherapy responsiveness in breast cancers

- Potential markers of responsiveness include:
 - PD-L1
 - Tumor infiltrating lymphocytes
 - Mutational signatures

FDA-approved biomarkers only include:

- PD-L1+ by SP142
- TMB 10 or more
- MSI high

ADDITIONAL TARGETED THERAPIES AND ASSOCIATED BIOMARKER TESTING FOR RECURRENT OR STAGE IV (M1) DISEASE

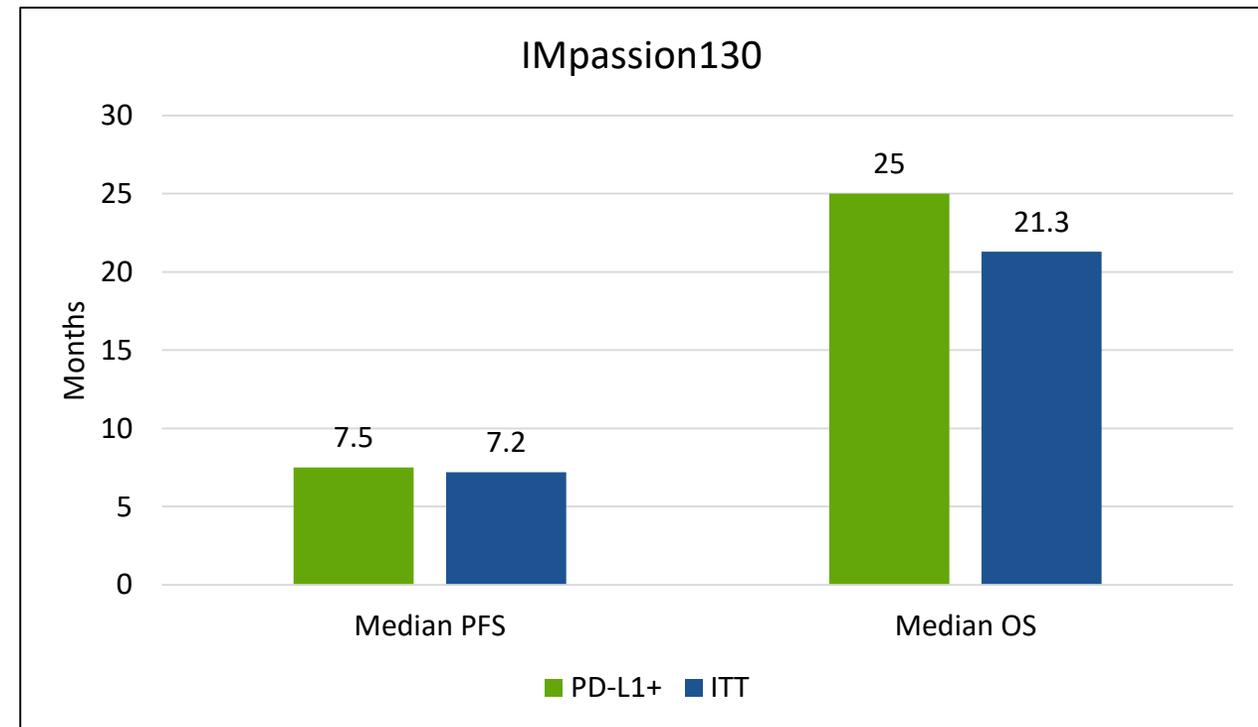
| Biomarkers Associated with FDA-Approved Therapies | | | | | |
|---|---|---|--|--------------------------------|--|
| Breast Cancer Subtype | Biomarker | Detection | FDA-Approved Agents | NCCN Category of Evidence | NCCN Category of Preference |
| Any ^a | <i>BRCA1</i> mutation <i>BRCA2</i> mutation | Germline sequencing | Olaparib Talazoparib | Category 1 Category 1 | Preferred Preferred |
| HR-positive/ HER2-negative ^b | <i>PIK3CA</i> mutation | PCR (blood or tissue block if blood negative), molecular panel testing | Alpelisib + fulvestrant ^d | Category 1 | Preferred second-line therapy |
| HR-negative/ HER2-negative ^c | PD-L1 expression • Threshold for positivity: ≥1% on tumor-infiltrating immune cells | IHC | Atezolizumab + albumin-bound paclitaxel | Category 2A | Preferred |
| Any | <i>NTRK</i> fusion | FISH, NGS, PCR (tissue block) | Larotrectinib ^e Entrectinib ^e | Category 2A Category 2A | Useful in certain circumstances ^e Useful in certain circumstances ^e |
| Any | MSI-H/dMMR | IHC, PCR (tissue block) | Pembrolizumab ^f | Category 2A | Useful in certain circumstances ^l |

Biomarkers and immunotherapy responsiveness in breast cancers

- Potential markers of responsiveness include:
 - PD-L1
 - Tumor infiltrating lymphocytes
 - Mutational signatures

Here, patients with PD-L1 on $\geq 1\%$ of tumor-infiltrating immune cells had improved outcomes on atezolizumab + chemotherapy compared to the general population (OS HR 0.62 vs 0.84)

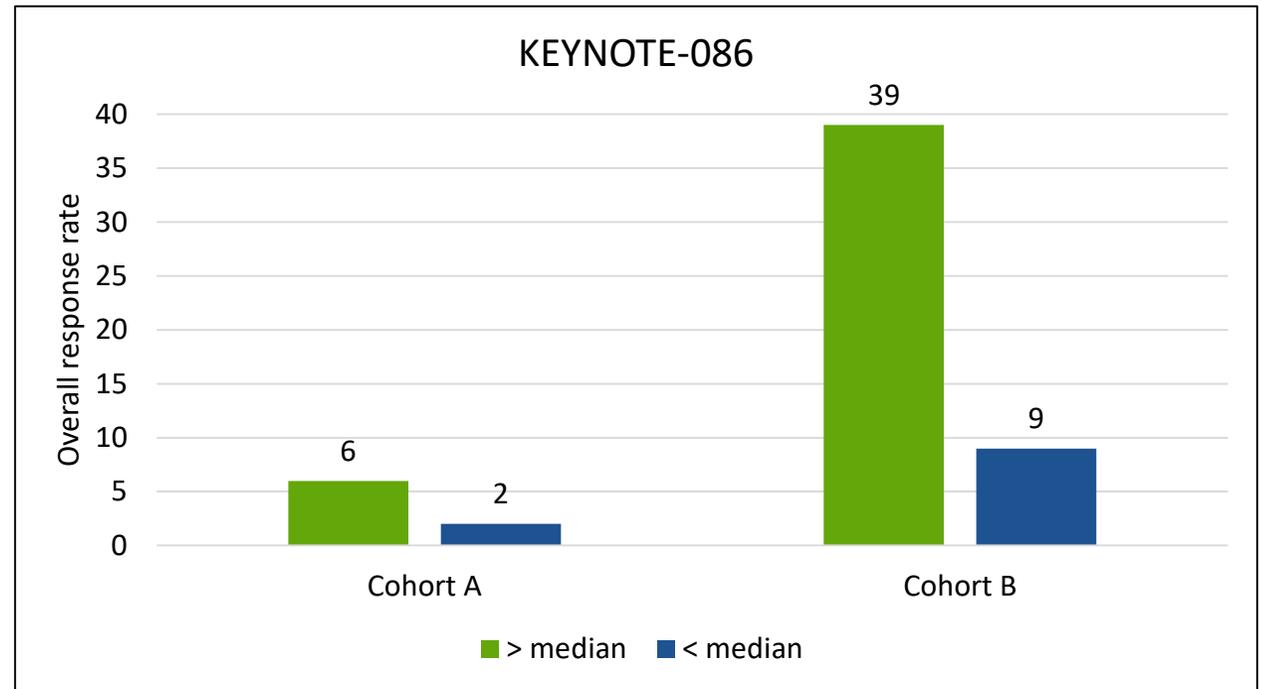
However, PD-L1 expression does not always correlate with response to all ICIs.



Biomarkers and immunotherapy responsiveness in breast cancers

- Potential markers of responsiveness include:
 - PD-L1
 - Tumor infiltrating lymphocytes
 - Mutational signatures

Here, patients with a tumor infiltrating lymphocyte level greater than the median level had improved outcomes when treated with pembrolizumab, particularly in the front-line setting (cohort B).

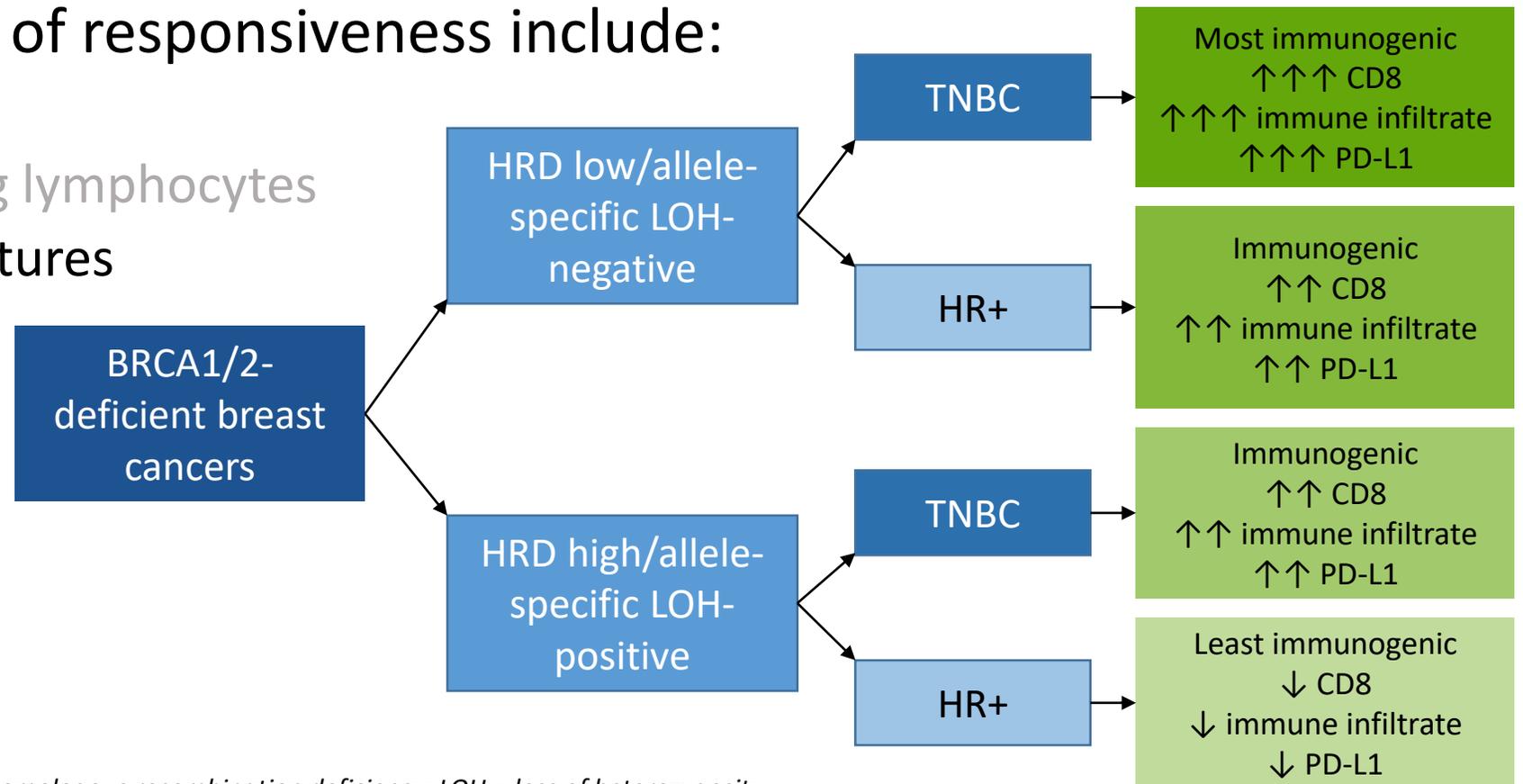


**Not an FDA-approved biomarker for treatment selection*

Biomarkers and immunotherapy responsiveness in breast cancers

- Potential markers of responsiveness include:

- PD-L1
- Tumor infiltrating lymphocytes
- Mutational signatures



Pembrolizumab is also approved for MSI-H/TMB-H tumors

**BRCA/HRD not FDA-approved biomarkers for immunotherapies*

HRD = homologous recombination deficiency; LOH = loss of heterozygosity

Conclusions

- Immunotherapy in breast cancers is expanding rapidly
- Immunotherapy in breast cancer shows promise in certain subtypes

Case Studies

Case Study 1

65 y.o. woman with de novo stage 4 TNBC with metastatic disease to the bone, liver, and lung presents for initial consultation. She has not received any treatment in the metastatic setting.

1. What is the first step in evaluating her disease to determine the 1st line therapy?

- A. Initiate chemotherapy with Gemcitabine plus carboplatin
- B. Biopsy metastatic site and send off for PD-L1 IHC
- C. Initiate radiation to the liver lesions
- D. Initiate endocrine therapy with tamoxifen

2. The patient initiates 1st line therapy, on her first restaging scan at 3 months, she has achieved PR. She feels well and continues on current therapy. What are the routine labs for patients like her?

- A. CBC with diff
- B. CMP
- C. TFTs
- D. All of the above

3. Her TSH on routine labs was found to be elevated at 10, she is asymptomatic, what is the next step?

- A. Admit patient to the hospital
- B. Do nothing
- C. Begin levothyroxine
- D. All of the above