
***Ex-Vivo* heat shock protein 70-peptide-activated, autologous
natural killer cells adoptive therapy:
from the bench to the clinic**

iSBTC 10-13 November 2005
Valeria Milani, MD, PhD
Munich



Agenda

- 1. NK ligands**
- 2. HSP70-NK interaction**
- 3. HSP70-activated NK cells adoptive transfer**
- 4. Hyperthermia and NK cells transfer**

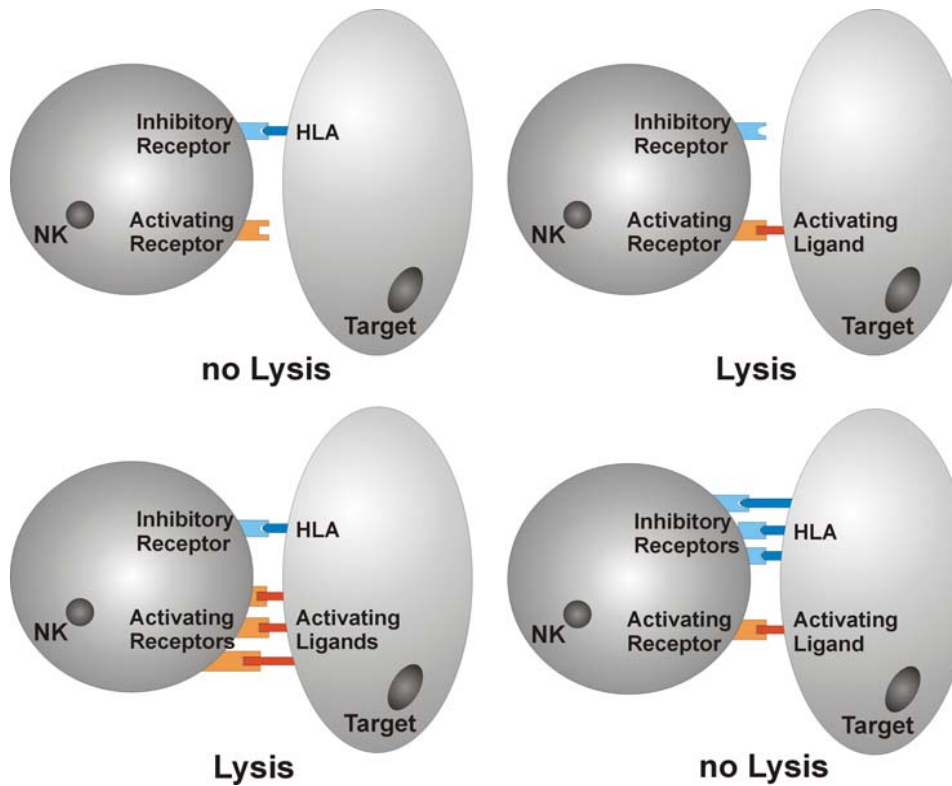


1. NK ligands



NK ligands

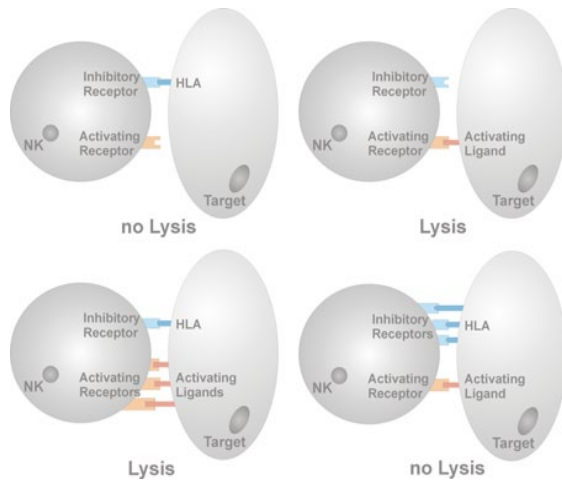
Missing self hypothesis



HG. Ljunggren and K. Karre, *Immunol Today* **11**, 237 (1990)

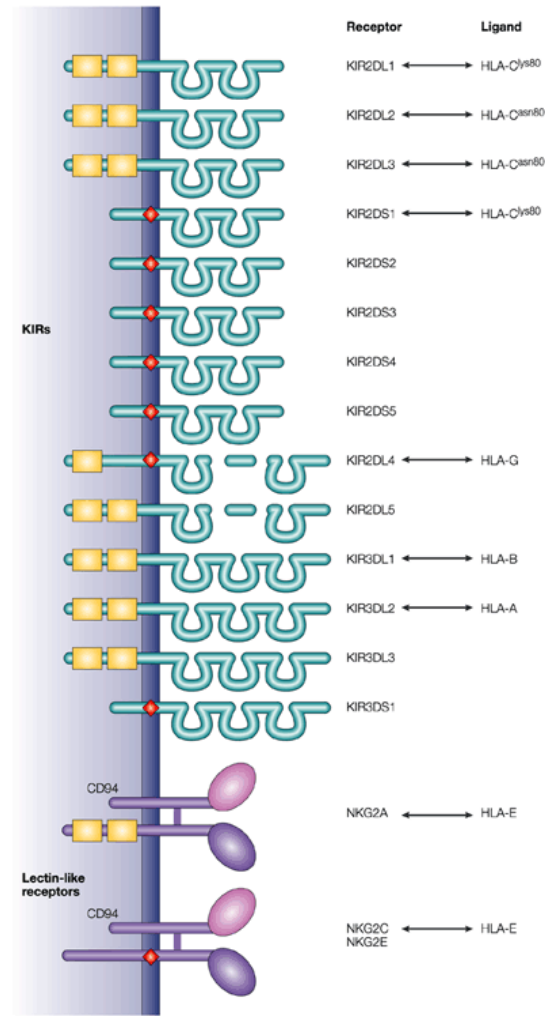
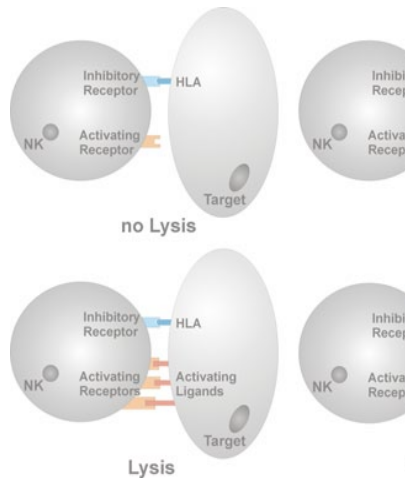


NK ligands



NK ligands

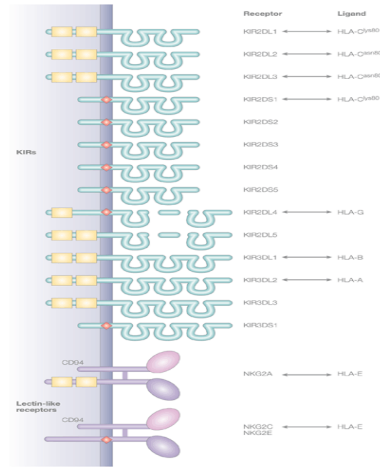
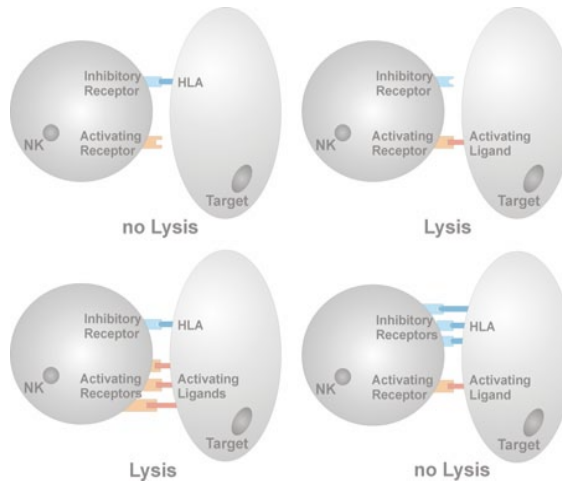
NK cell receptors



Nature Reviews | Immunology



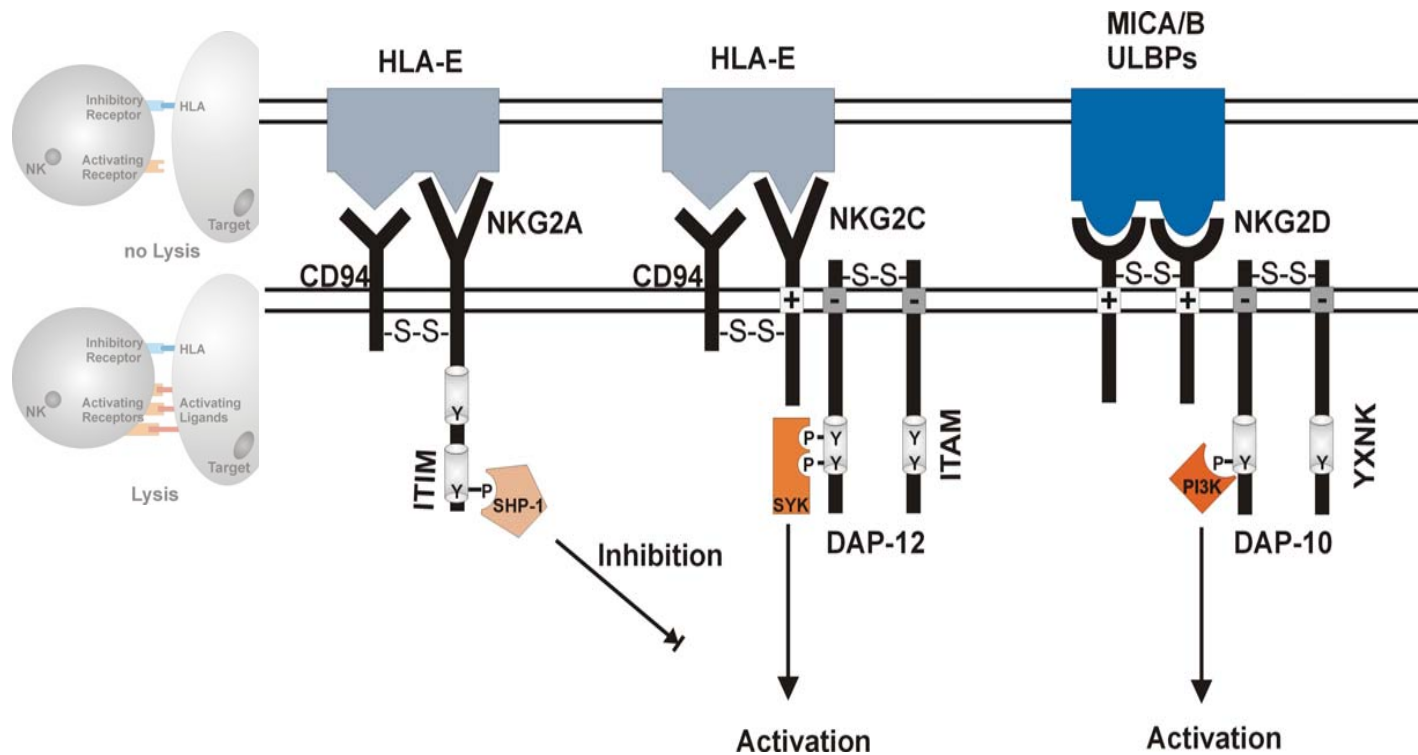
NK ligands



Nature Reviews | Immunology

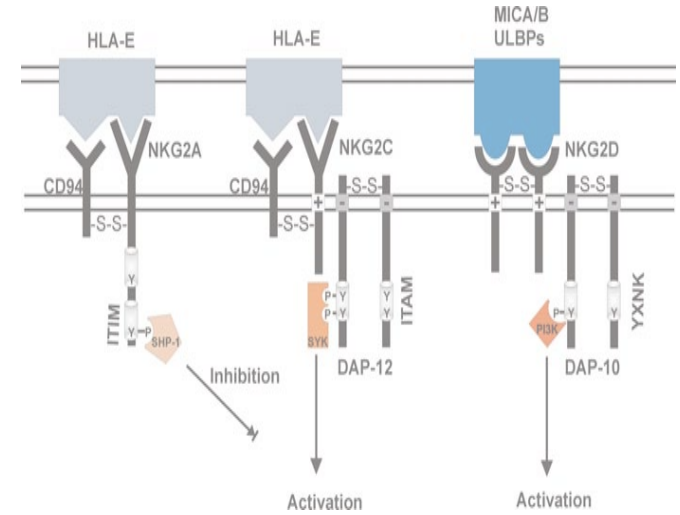
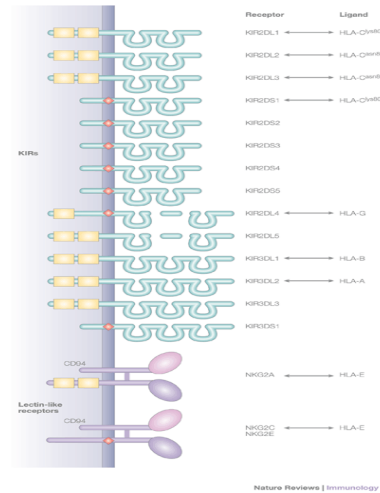
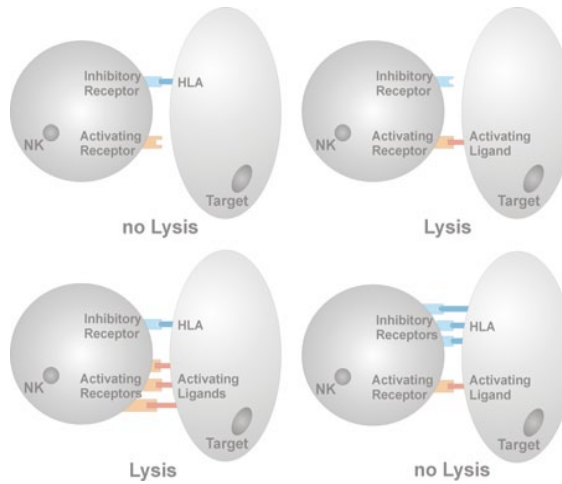
NK ligands

C-type lectin receptors

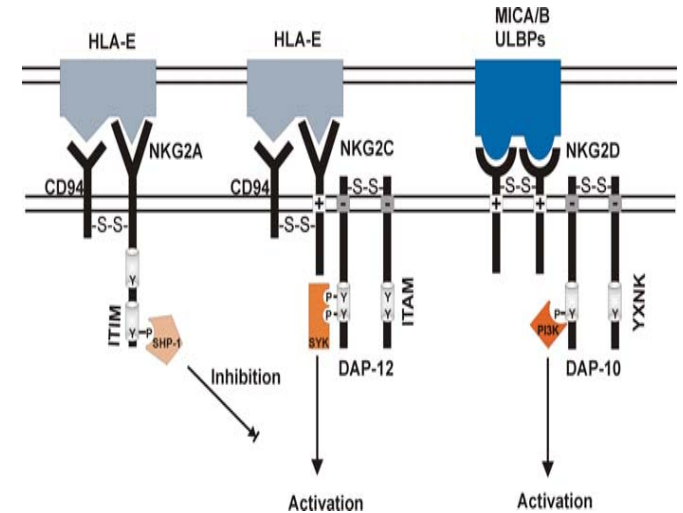
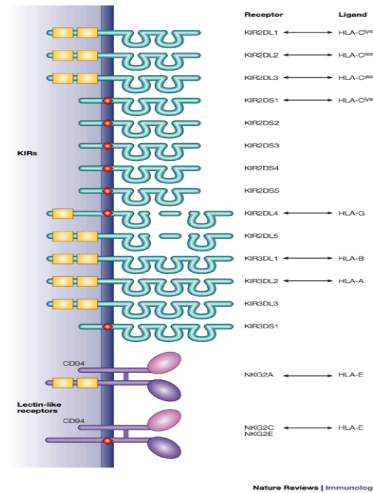
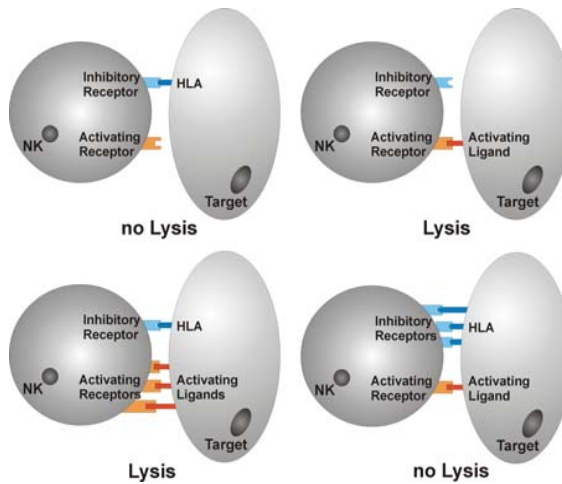


V. Braud et al. *Nature* **391**:795 (1998); S. Bauer et al. *Science* **285**:727 (1999); J. Michaelsson et al. *J Exp Med* **196**:1403 (2002)





NK ligands



The NK cell response depends on the net effect of activating and inhibitory receptors with additional involvement of adaptor molecules

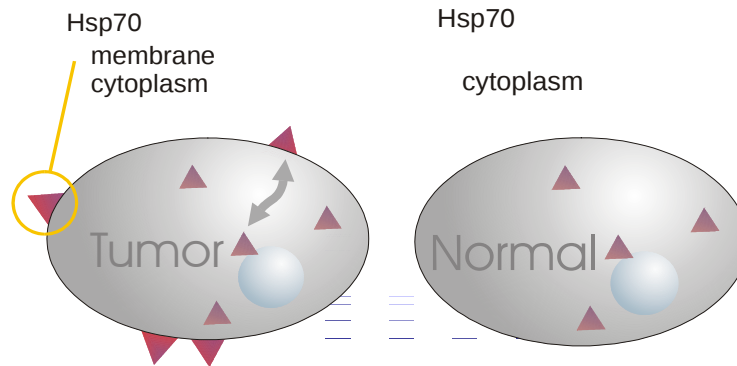
2.

HSP70-NK interaction



Hsp70-NK interaction

Tumor-specific HSP70 membrane expression



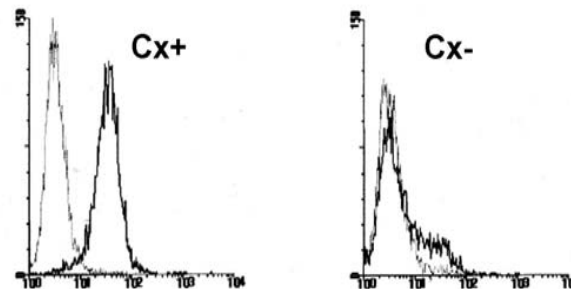
Tissue bank

Solid tumors
Respiratory
Gastrointestinal
Urogenital
Head and neck
Sarcoma
Melanoma

Hematological tumors

Metastases

Hsp70 on tumor cells



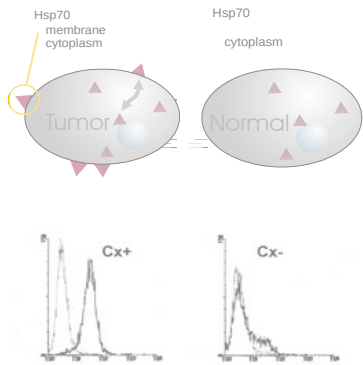
Methods

FACS
Surface biotinylation
Proteomic profiling

M. Ferrarini et al. (1992); Tamura et al. (1993); Chouchane et al. (1994); Multhoff et al. (1995); Altmeyer et al. (1996); Hantschel et al. (2000); Shin et al. JBC (2003)

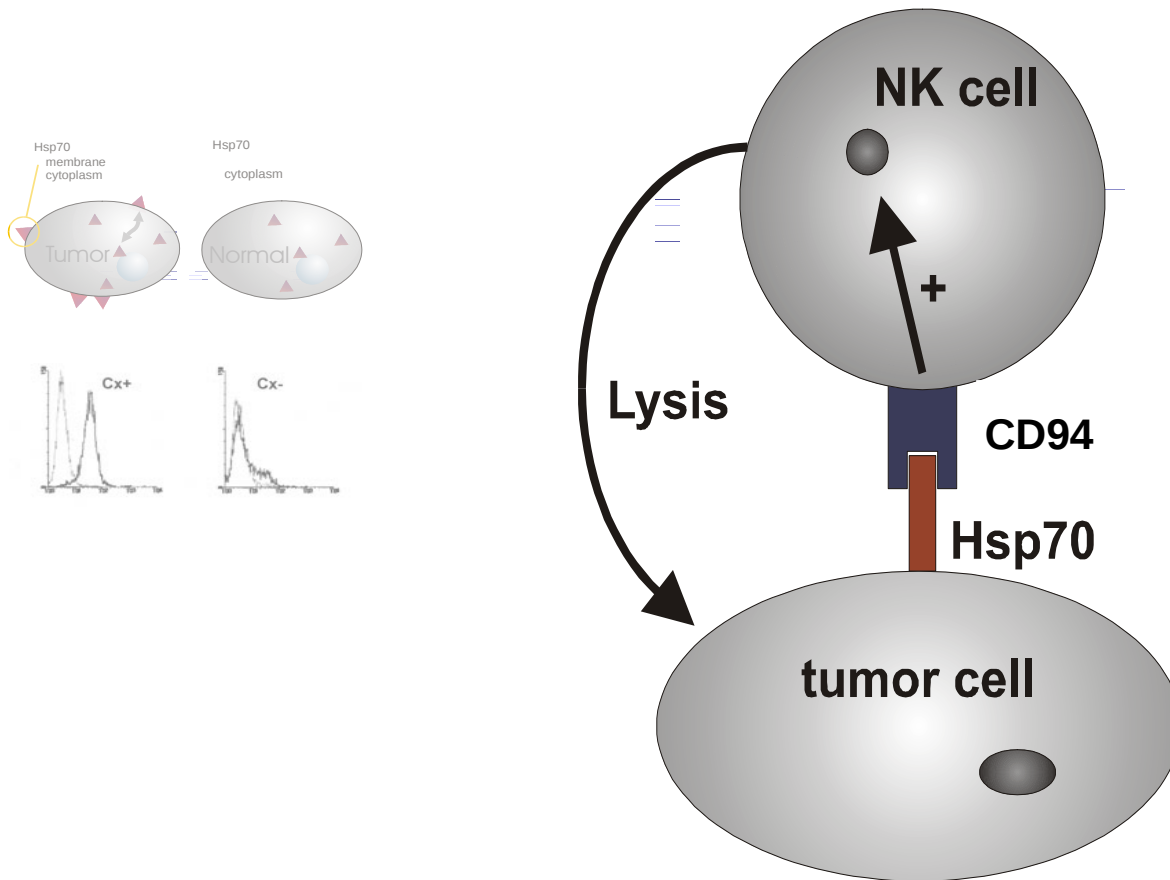


Hsp70-NK interaction

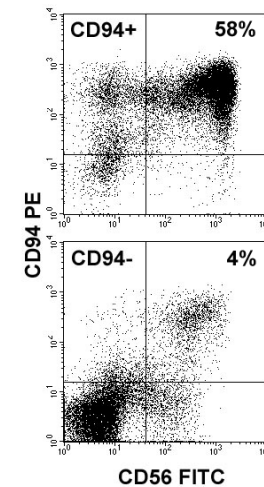


Hsp70-NK interaction

Receptor mediated HSP70-NK activation

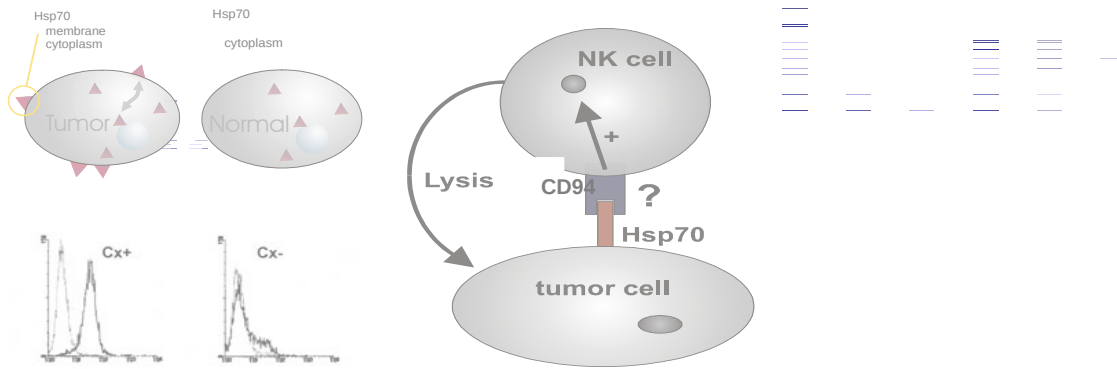


NK cell proliferation $\uparrow$
Cytolytic activity $\uparrow$
INF- γ production $\uparrow$



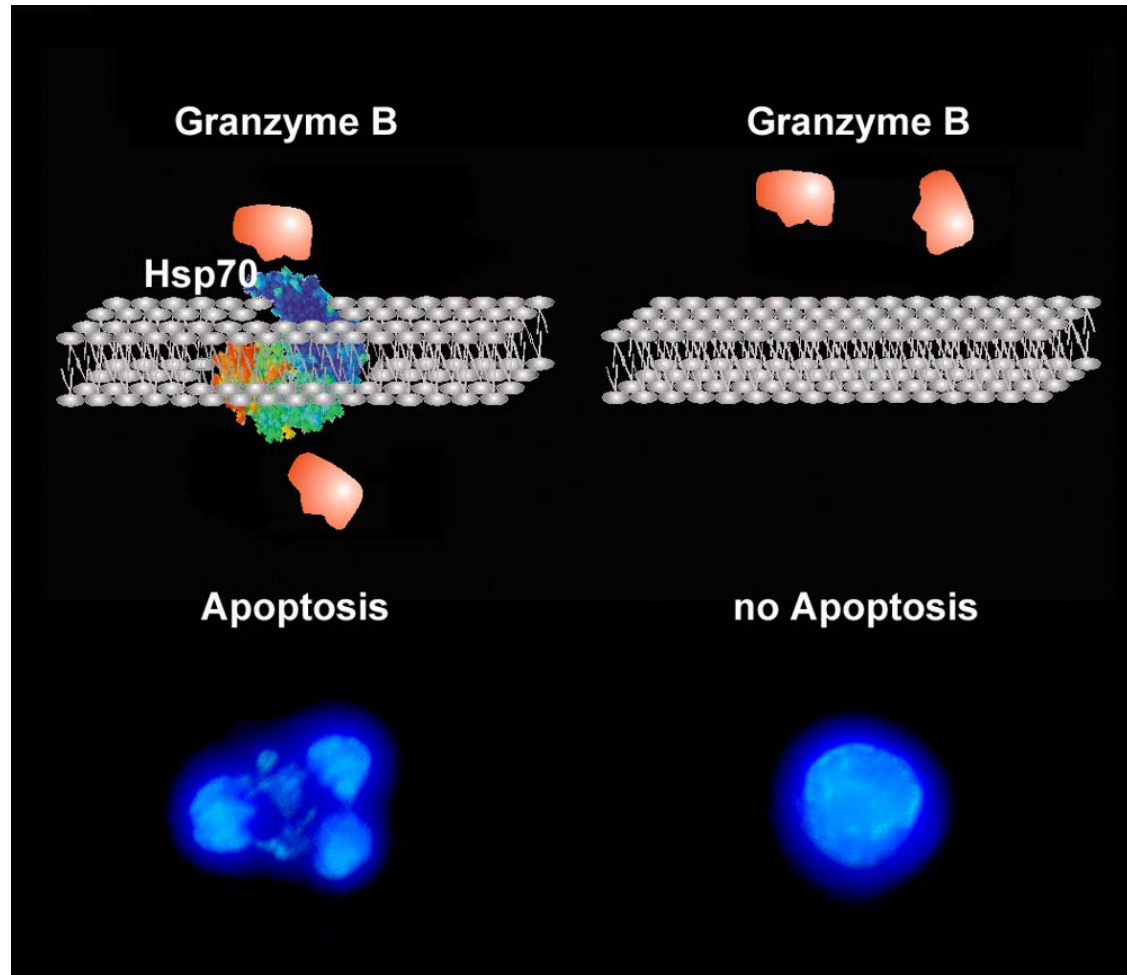
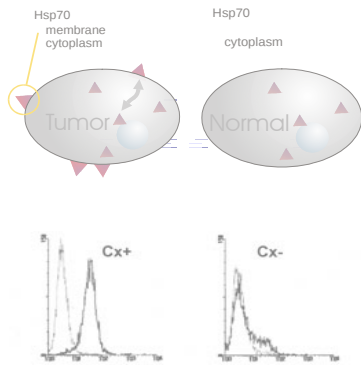
Multhoff et al. *Jl* **158**: 4341 (1997); Multhoff et al. *Exp Hematol* **27**:1627 (1999); Gross et al. *Cell Stress Chaperone* **8**: 348, (2003); Gross et al. *Biol Chem* **384**: 267, (2003)

Hsp70-NK interaction



Hsp70-NK interaction

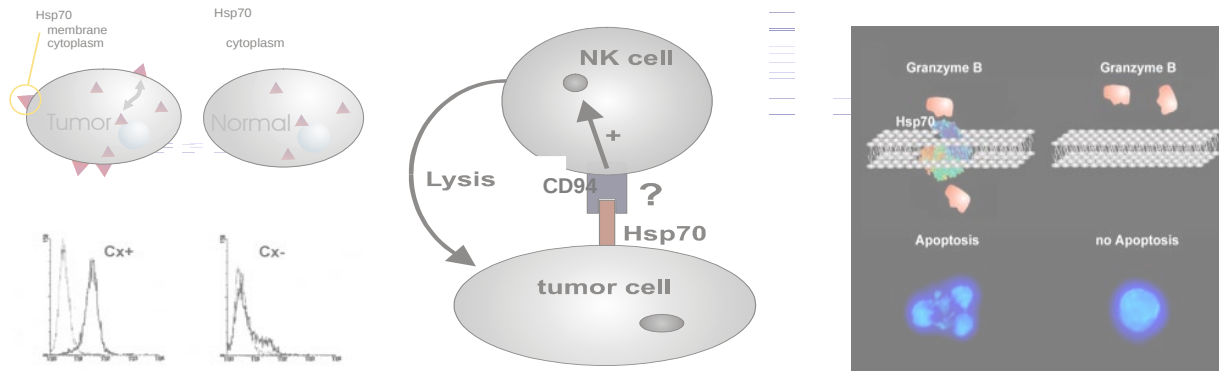
Mechanism of kill: Granzyme B (mi-APO)
initiates apoptosis in Hsp70 positive tumors



Arispe et al. *Cell Stress Chaperone* (2002); Gross et al. *J Biol Chem* **17**: 41173, (2003)

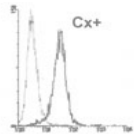
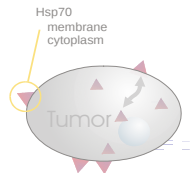


Hsp70-NK interaction

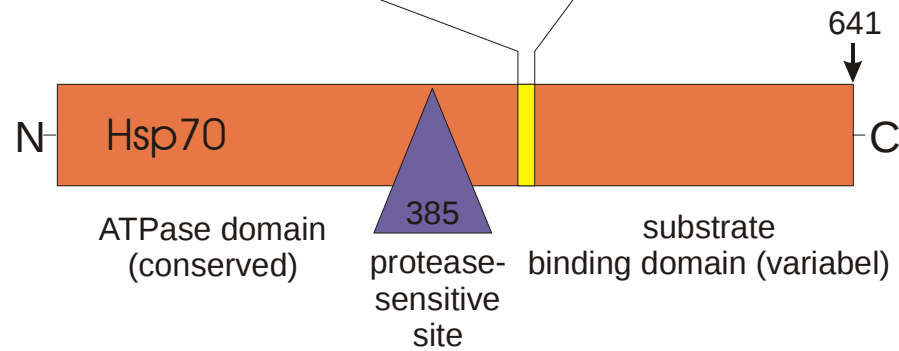


Hsp70-peptide TKD (aa 450-463) (ENKASTIM)

Epitope of the therapeutic Hsp70 specific antibody (mi-TUMEX)



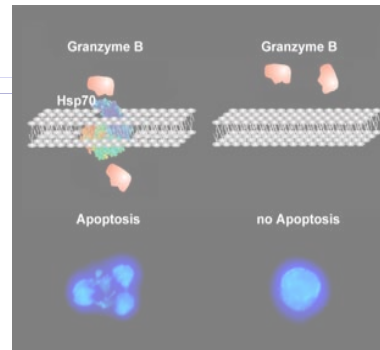
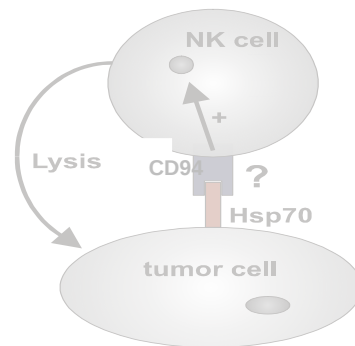
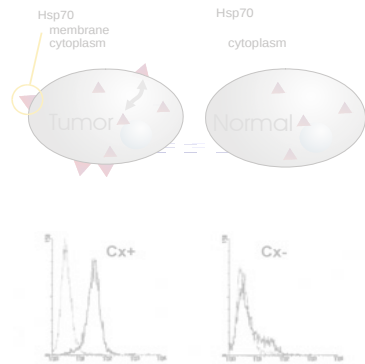
Name	aa	Sequence	NK Cell Stimulation
NLL	454-461	- - - - N L L G R F E L	no
GIPP	454-466	- - - - N L L G R F E L S G I P P	no
TKD	450-463	T K D N N L L G R F E L S G	yes



Multhoff et al. *Cell Stress Chaperone* **6**: 337, (2001); Gastpar et al *J Immunol* **172**: 972 (2004)



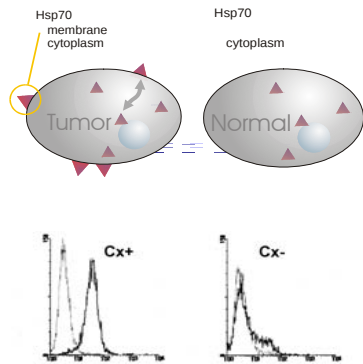
Hsp70-NK interaction



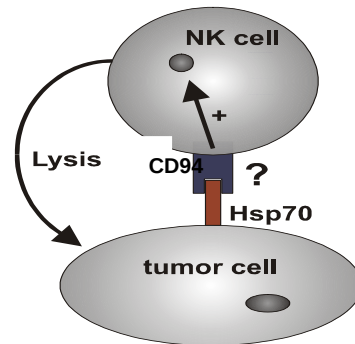
Name	aa	Sequence	NK Cell Stimulation
NLL	454-461	- - - - N L L G R F E L	no
GIPP	454-466	- - - - N L L G R F E L S G I P P	no
TKD	450-463	T K D N N L L G R F E L S G	yes

The schematic shows the Hsp70 protein structure from amino acid 450 to 641. It is divided into an 'ATPase domain (conserved)' from residue 450 to 385 and a 'substrate-binding domain (variable)' from residue 385 to 641. A yellow triangle at residue 385 marks the 'protease-sensitive site'.

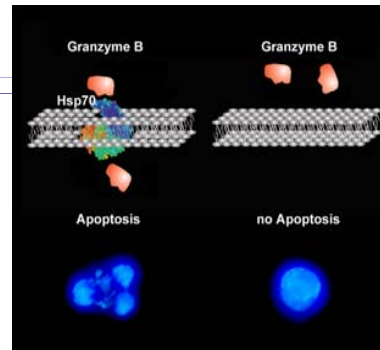
Hsp70-NK interaction



1995



1997



2001-2003

Name	aa	Sequence	NK Cell Stimulation
NLL	454-461	---NLLGRFEL	no
GIPP	454-466	---NLLGRFELSGIPP	no
TKD	450-463	TKDNNLLGRFELSG	yes

The schematic shows the Hsp70 protein structure with domains: ATPase domain (conserved), protease-sensitive site (385), and substrate-binding domain (variable) (641). The N-terminus is on the left and the C-terminus is on the right.

2004-2005

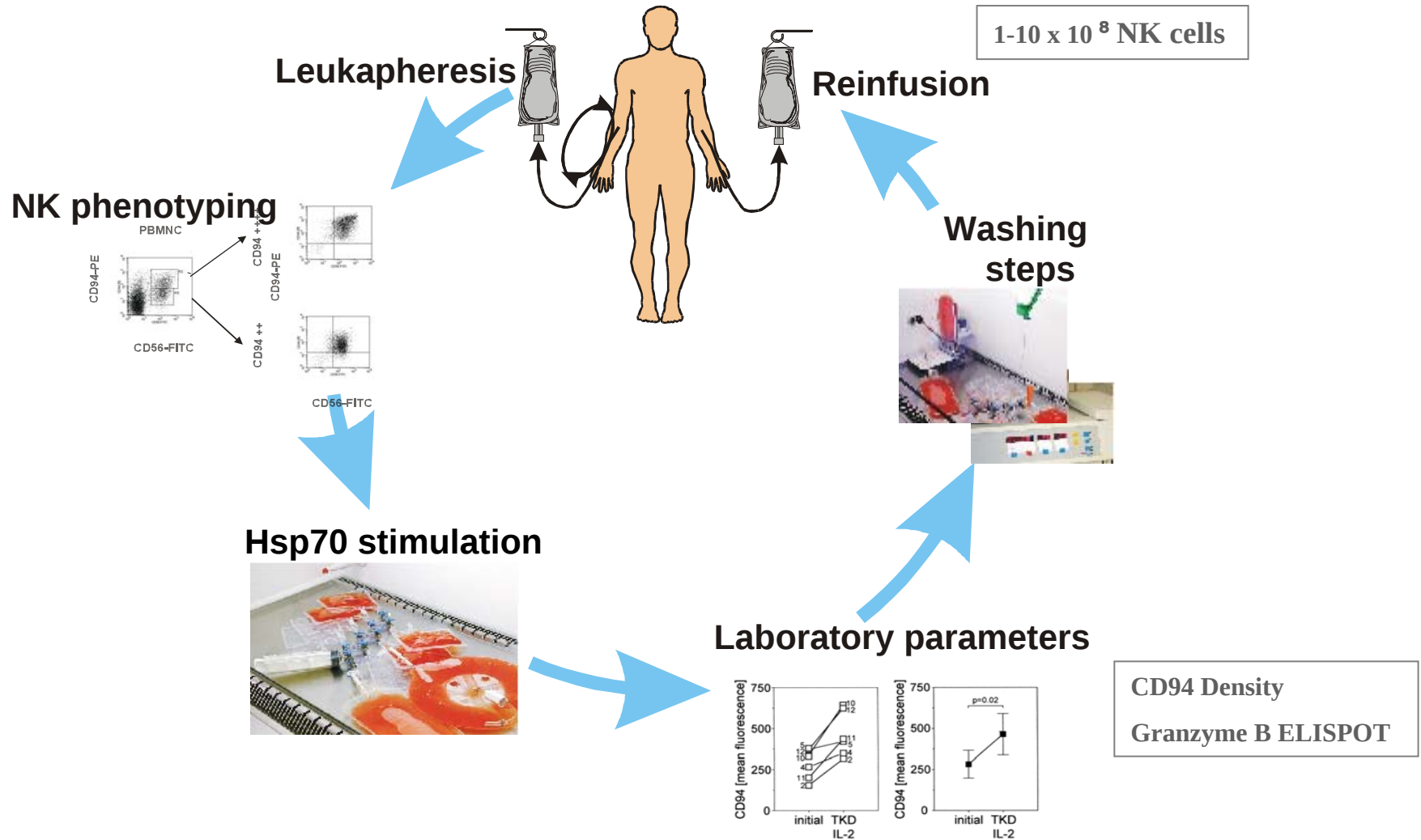


From the bench to the bedside

3. Clinical trials



Phase I clinical trial



Krause et al. Clin Canc Res **10**: 3699 (2004)



Phase I clinical trial

Treatment of colon and lung cancer patients with ex vivo HSP70-peptide-activated NK cells

Patients:

metastasised, therapy refractory colorectal cancer (n = 11) and non-small cell lung cancer (n = 1)

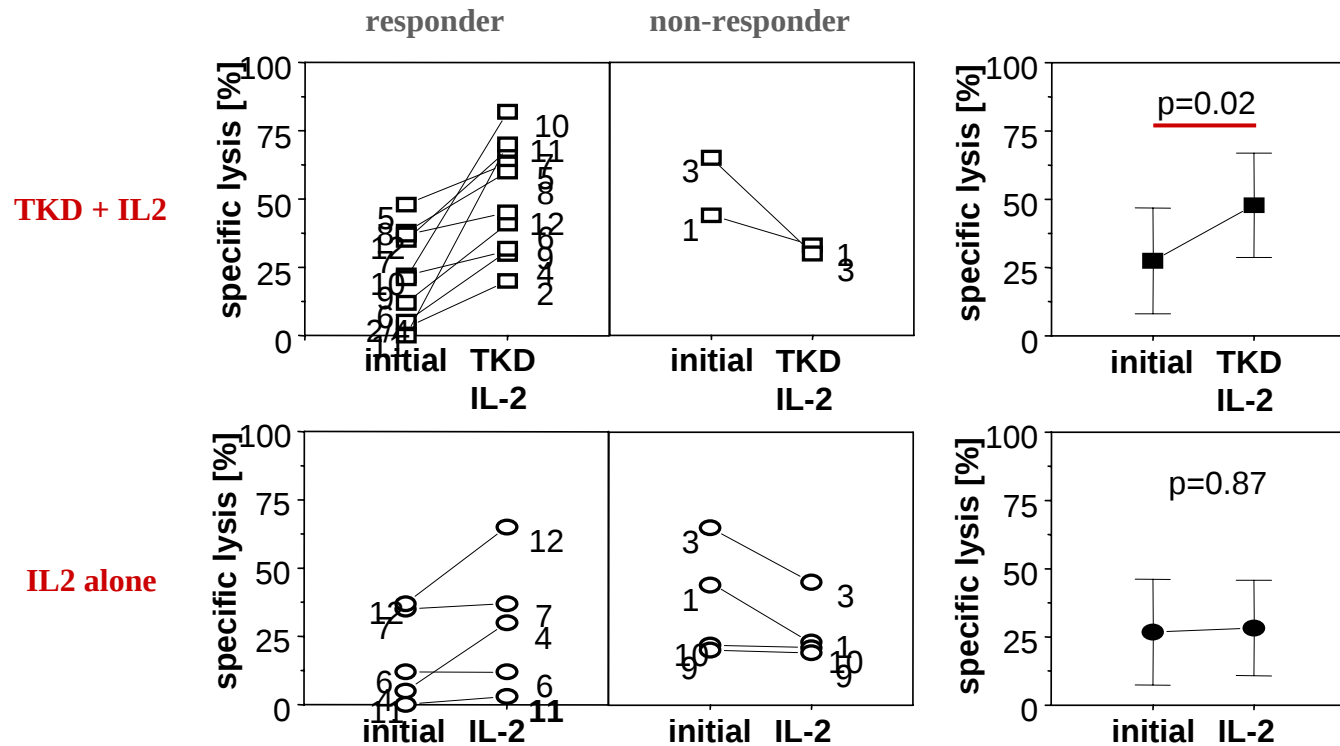
- | | | | |
|----|---|--------|---|
| 1) | Feasibility and safety of Hsp70-reactive NK cells | —————> | Excellent safety profile even at maximum dose |
| 2) | Escalation: cell dose, infusion cycles | —————> | max $1-10 \times 10^8$, 6 cycles every 4 weeks |
| 3) | <i>In vitro</i> efficacy | —————> | <i>In vitro</i> efficacy in 10 of 12 patients (CD94, cytolytic activity) |
| 4) | Clinical outcome | —————> | 1 SD and 1 mixed response in 5 pts with > 4 cycles |

Krause et al. Clin Canc Res **10**: 3699 (2004)



Phase I clinical trial

Increased tumor kill by patient-derived, TKD-activated NK cells



Krause et al. Clin Canc Res **10**: 3699 (2004)



Clinical studies on NK cell adoptive immunotherapy

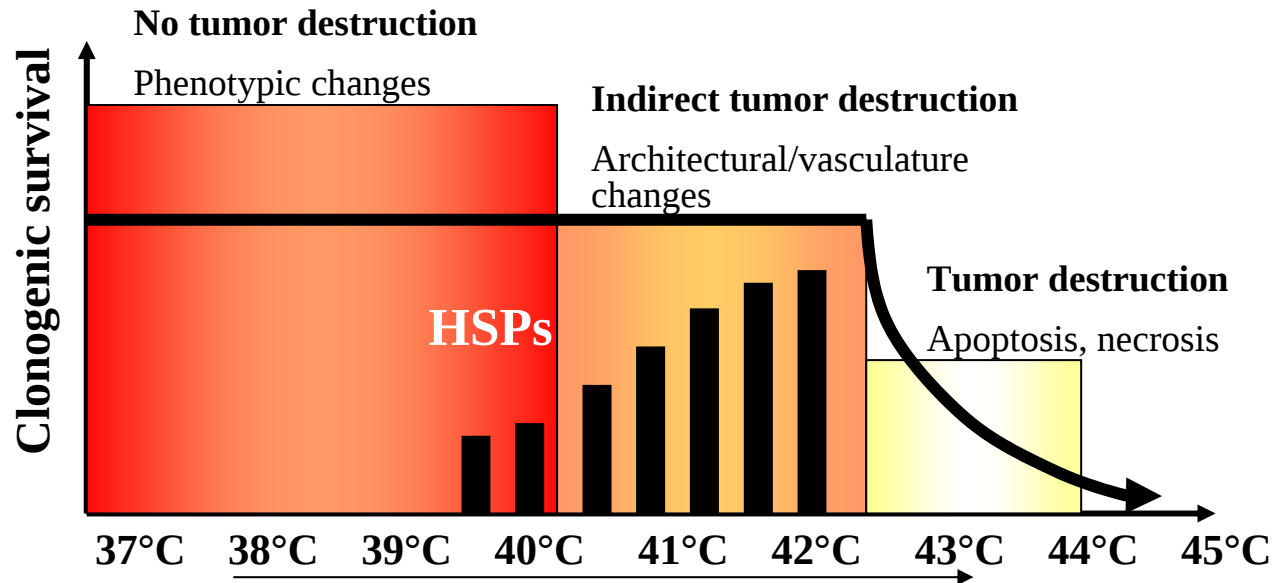
Setting	Source	Results	N	Tumor entity
Autologous	Conclusion: feasible, increases NK-cell function <i>in vivo</i>, no improvement in outcome			
	<i>Keilholz et al. EJC 1994</i>	HD-IL2 +/- LAK iv or ia in liver MTS (objective responses 20%)	9	melanoma
	<i>Law T et al. Cancer 1995</i>	ci IL-2 +/- LAK (objective responses 6%)	71*	renal cell carcinoma
	<i>Lister et al. CCR 1995</i>	IL2 + NK after HD-PBSCT (increase NK activity)	12	refractory lymphomas
	<i>Kruit et al. J Immunoth 1997</i>	ci IL-2 +/- IFN + LAK (objective responses 24-37%)	72	renal cell carcinoma
	<i>Kimura et al. Cancer 1997</i>	IL-2 + LAK adjuvant after chemo-radiotherapy (survival benefit?)	174*	lung carcinoma
	<i>Rosenberg et al, JEM 1997</i>	HD-IL2 + LAK (objective responses 20%)	157	metastatic cancer
	<i>Burns et al. BMT 2003</i>	IL-2 activated NK +/- IL2 (increase NK lysis + cytokines)	57	refractory lymphomas
Allogeneic	Conclusion: feasible, eradication of leukemia, protection against GVHD			
	<i>Ruggeri et al. Science 2002</i>	Haploidentical NK (KIR mismatch) in Allo-BMT setting	na	AML
	<i>Miller et al. Blood 2005</i>	Transfer and expansion of haplo-NK in non-BMT setting	43	metastatic cancer



4. Hyperthermia and NK transfer



Clinical hyperthermia



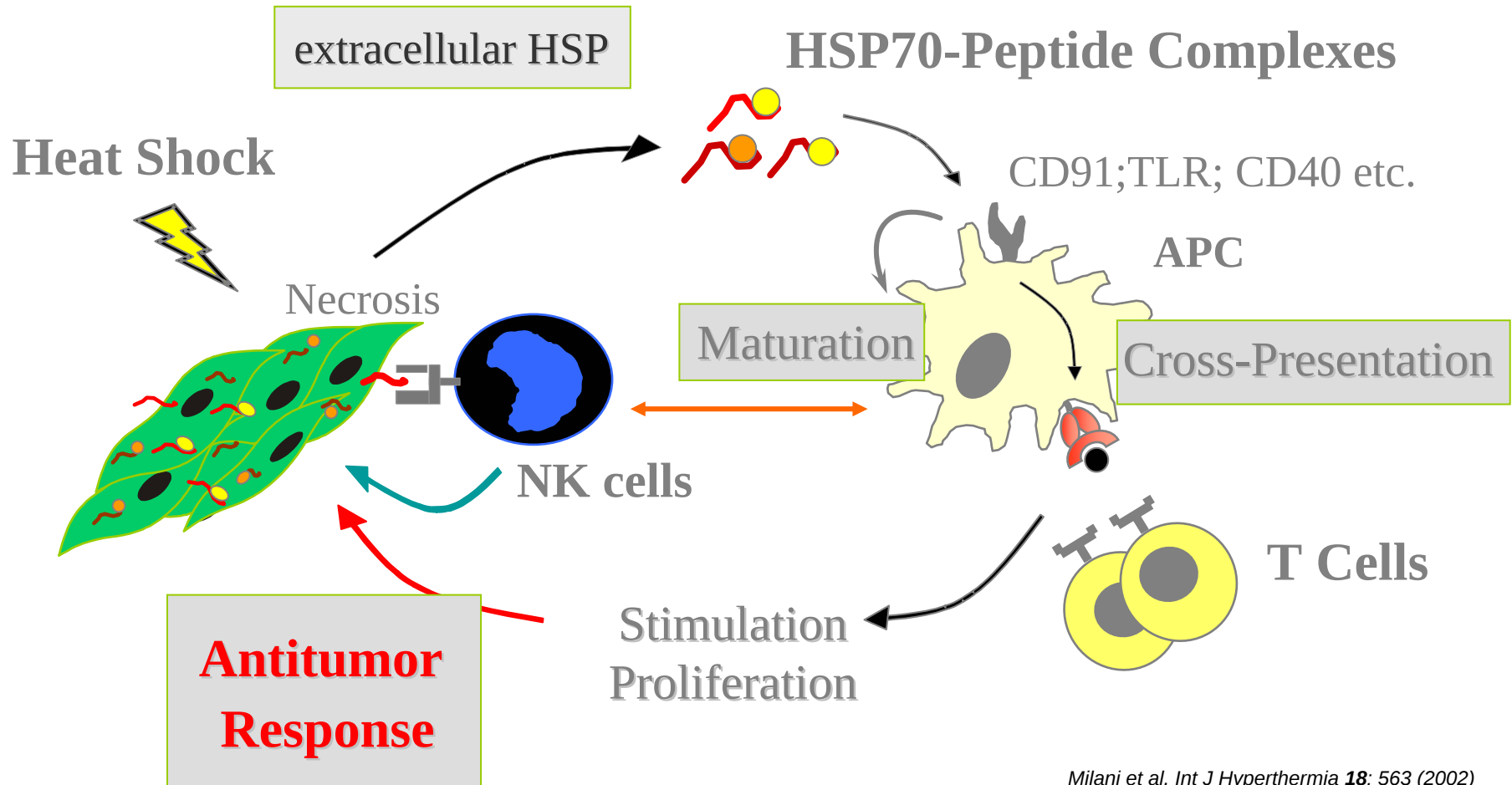
Immune response	Increased susceptibility to NK Maturation/Activation of DC	Increased lymphocytes migration/infiltration	Release of HSPs and HSP-PC
Molecular response	Cell cycle; DNA repair Induction of intracellular heat shock proteins	Apoptosis	Necrosis
Clinical setting	Thermosensitisation of chemotherapy and radiation Whole-body Hyperthermia Regional hyperthermia		

Overgaard et al. *Lancet* 345:540 (1995); Van der Zee et al. *Lancet* **355**: 1119 (2000); Wendtner et al. *J Clin Oncol.* **20**:3156 (2002); Jones et al. *J Clin Oncol* **23**:7039 (2005)



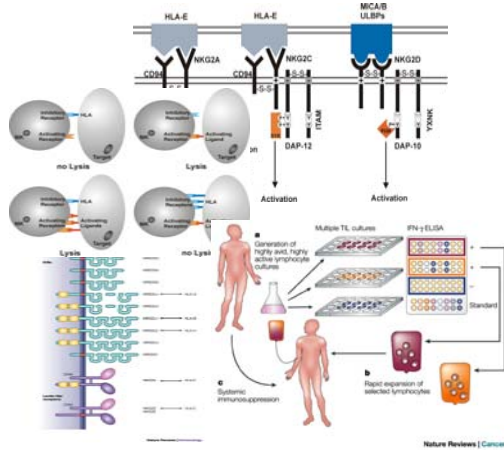
Hyperthermia: vaccination *in situ* ?

Tumor Necrosis and Release of HSP70 Activate the Immune System

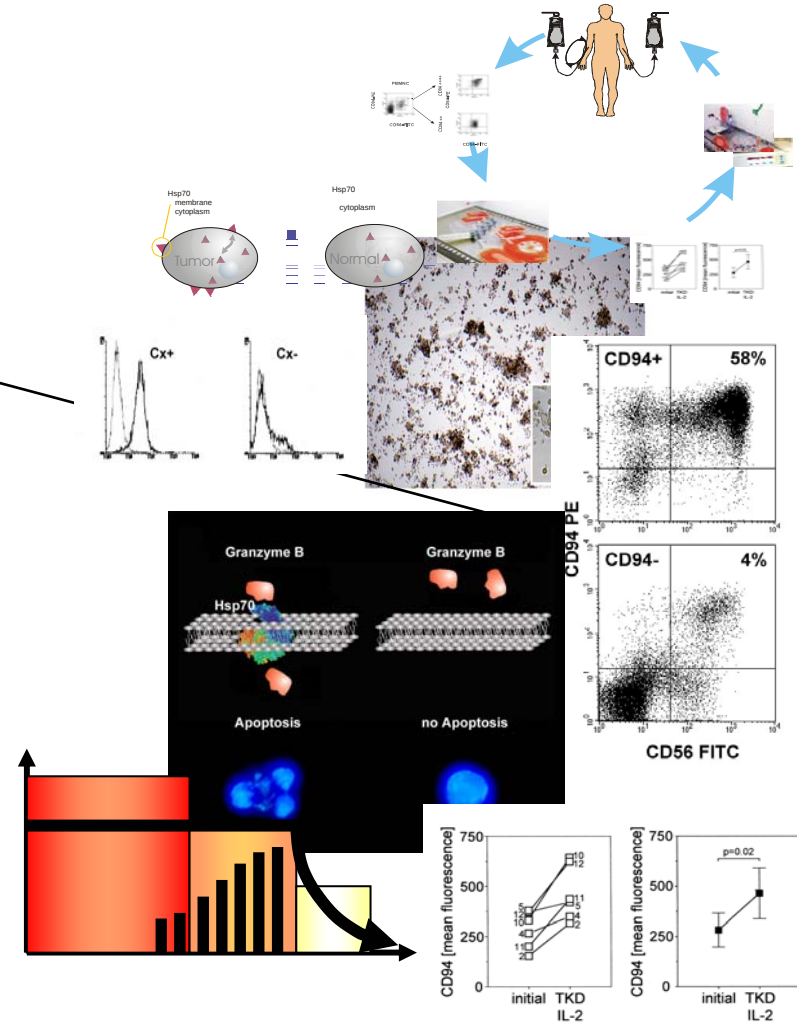


Milani et al. *Int J Hyperthermia* **18**: 563 (2002)

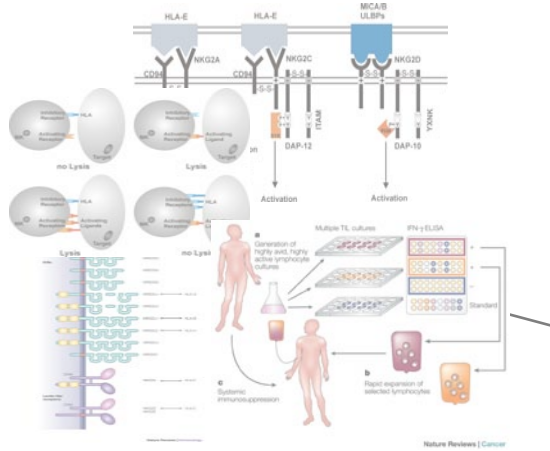
Can we specifically activate NK cells against tumor?



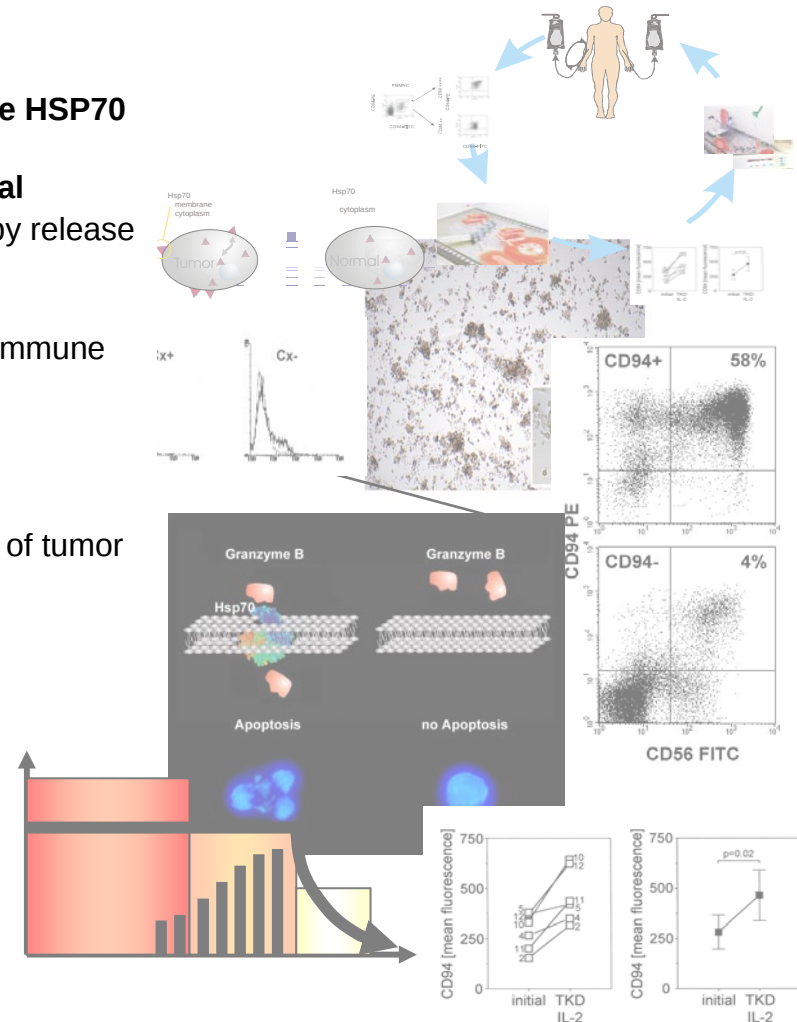
Nature Reviews | Cancer



Can we specifically activate NK cells against tumor?



- Heat upregulates **surface HSP70**
- Heat provides the **optimal immunological milieu** by release of **HSPs**
 - trigger the innate immune system
 - cross-talk DC-NK
 - cross-presentation of tumor antigens



Multhoff et al. (1995); Asea et al. (2000); Srivastava (2000-5); Noessner et al. (2002); Parmiani et al. (2001-5); Milani et al. (2005); Massa et al. (2005); Pilla et al. (2005)



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Regensburg**

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R. Gastpar

C. Gross (NIH)

M. Gehrmann



**Multimmune GmbH,
Regensburg, Germany**

G. Multhoff, CEO



Institut für Molekulare Immunologie
Klinische Kooperationsgruppe
Hyperthermie

