



# Influence of the gut microbiome upon anti-PD-1 and immuno- oncology responses

*Jennifer A. Wargo MD MMSc*

*Associate Professor,*

*Departments of Genomic Medicine & Surgical Oncology*

*UT, MD Anderson Cancer Center*

**Society for the Immunotherapy of Cancer**

**Workshop on Single Cell Techniques in Immunology and  
Cancer Immunotherapy**

**Session III: Predictors of Response and Liquid Biopsy**

**November 9, 2017**

**Disclosure information**  
**SITC Workshop on Single Cell Techniques in Immunology and Cancer**  
**Immunotherapy**  
**Session III: Predictors of Response and Liquid Biopsy**  
**November 8, 2017**

*Influence of gut microbiome upon anti-PD-1 immuno-oncology responses*  
Jennifer A. Wargo MD MMSc

- I have the following financial relationships to disclose:
  - Speaker's bureau: Imedex, Dava, Omniprex, Illumina, BMS
  - Advisory board member: Roche - Genentech, GSK, Novartis, Astra-Zeneca
  - Clinical trial support: Roche - Genentech, GSK, BMS, Novartis
- I am an advisor to Microbiome DX
- *I am co –Inventor on patent submitted by The University of Texas MD Anderson Cancer Center to the US Patent and Trademark Office based on this work (Patent # PCT/US1/53717)*

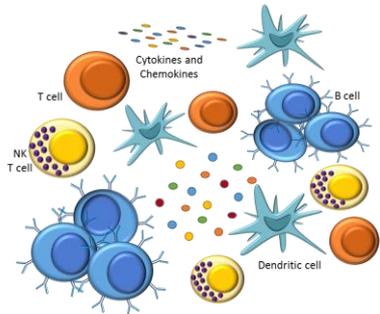


How can we better understand responses to therapy  
and optimize treatment regimens?

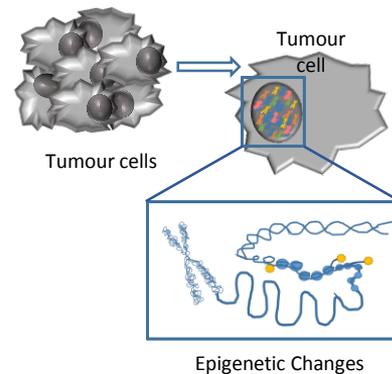
# Responses are dependent on factors shaping tumor growth and immunity

## Systemic Immunity

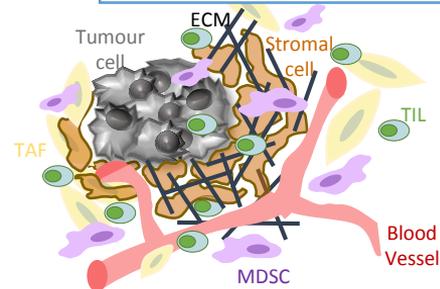
Innate and Adaptive



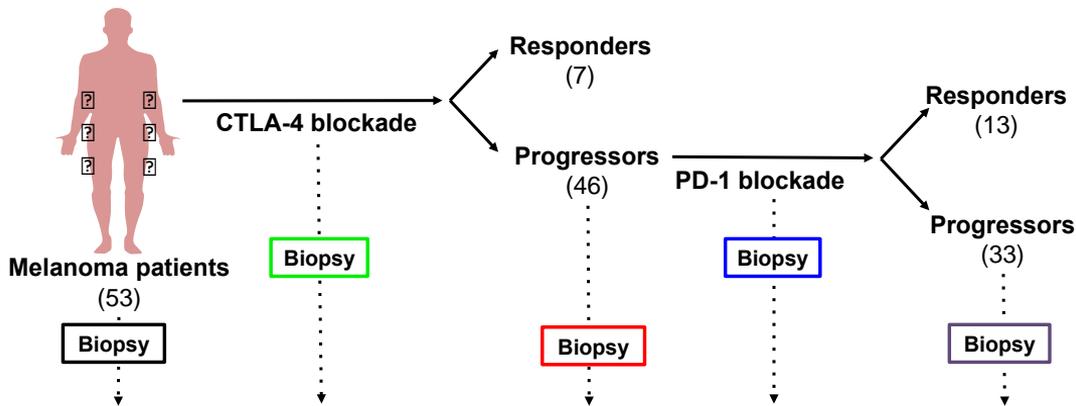
## Tumour Genome and Epigenome



## Tumour Microenvironment

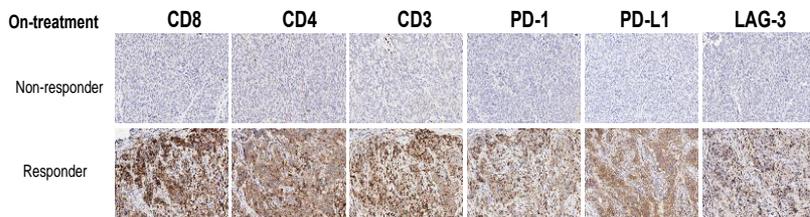


# Translational research in tumors of patients on checkpoint blockade reveals molecular and immune mechanisms of response & resistance

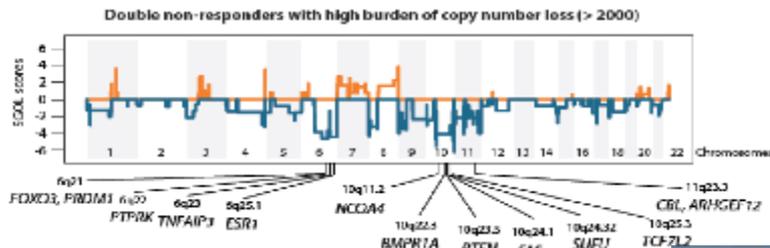
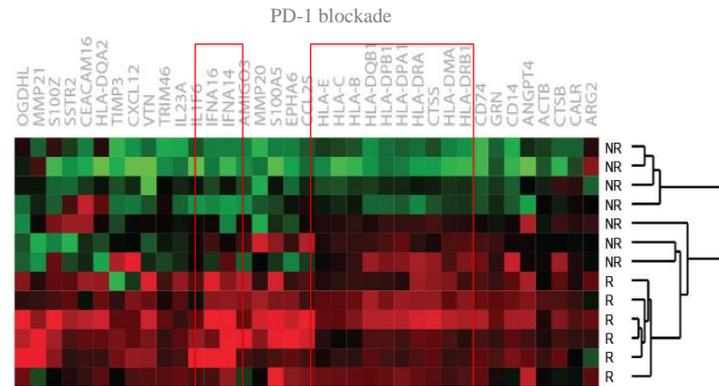


*Molecular and immune profiling performed in longitudinal tumor samples during therapy*

*These studies also revealed that adaptive immune signatures in early on-treatment biopsies are highly predictive of response*



*Mechanisms of resistance were identified, with defects in IFN signaling & antigen processing / presentation, As well as a high burden of copy number loss*



*Chen et al, Cancer Discovery 2016*

*Roh et al, Science Translational Medicine 2017*

*With Jim Allison, Pam Sharma, Andy Futreal, Lynda Chin, Arlene Sharpe, and others*



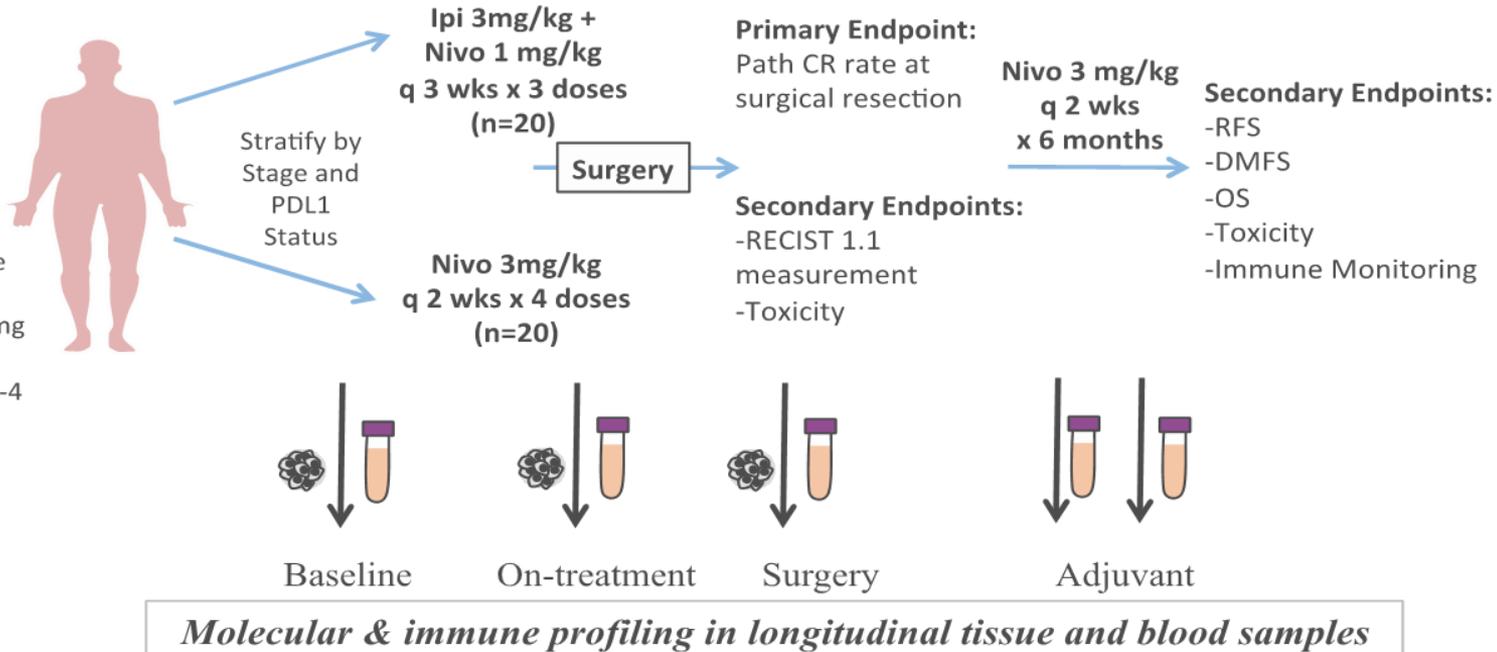
# Translational research in novel clinical trials is also providing insights into mechanisms of response and resistance to checkpoint blockade

## Inclusion Criteria

- Resectable stage IIIB/IIIC, oligometastatic stage IV melanoma
- >1 site amenable to biopsy

## Exclusion Criteria

- Brain, bone mets, LMD
- Active, known autoimmune disease
- Systemic steroid use (>10 mg prednisone daily)
- Prior anti-PD1/PD-L1, CTLA-4 treatment



PIs: Rodabe Amaria & Jennifer Wargo

NCT02519322

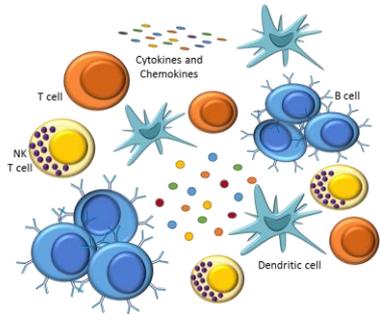


*To be presented by Dr. Sangeetha M. Reddy on Saturday November 11 at  
The Presidential Session (102), 2:20 – 2:35 pm Abstract # 015  
Presidential award nominee*

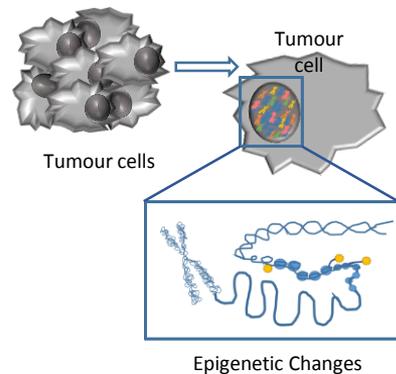
# Environmental factors (such as the **microbiome**) may also impact responses

## Systemic Immunity

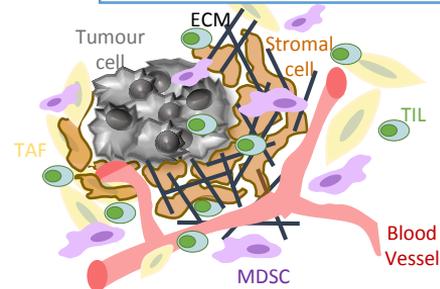
Innate and Adaptive



## Tumour Genome and Epigenome



## Tumour Microenvironment



# THE HUMAN MICROBIOME

100 trillion  
microbes

3% human body  
mass

1-10X microbes  
: human cells

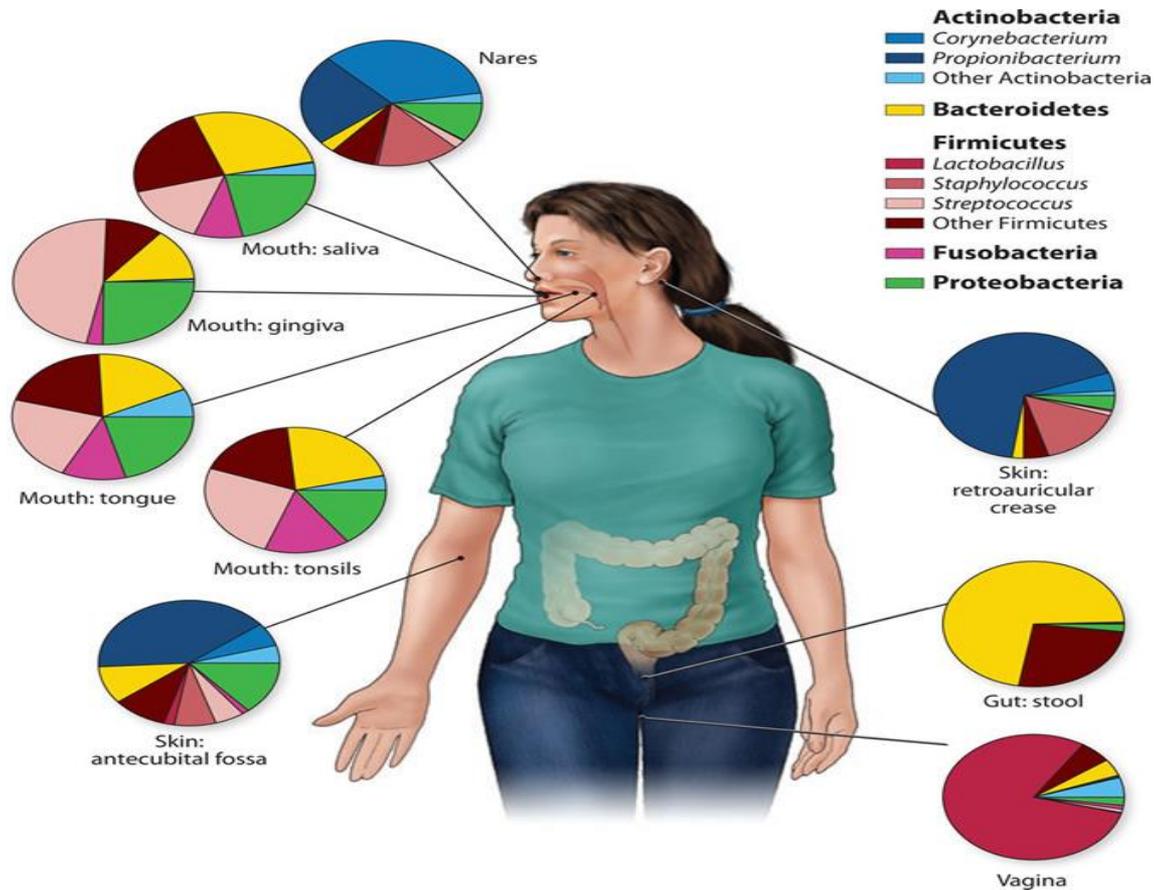
10-100X  
microbial :  
human genes

largest #  
microbes – GI  
tract

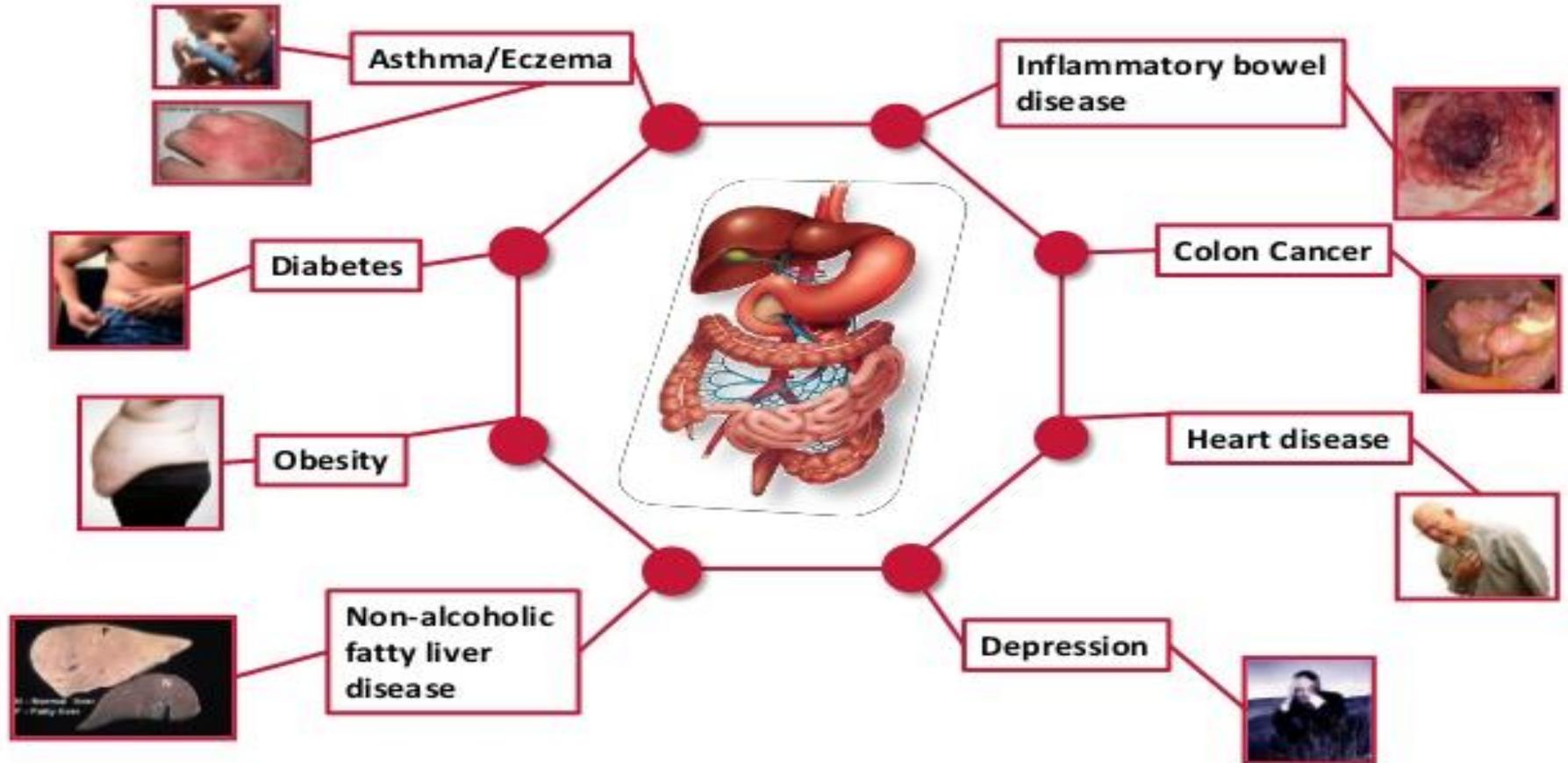
There Human Microbiome Project (HMP) helped to define the composition of the microbiome in healthy individuals

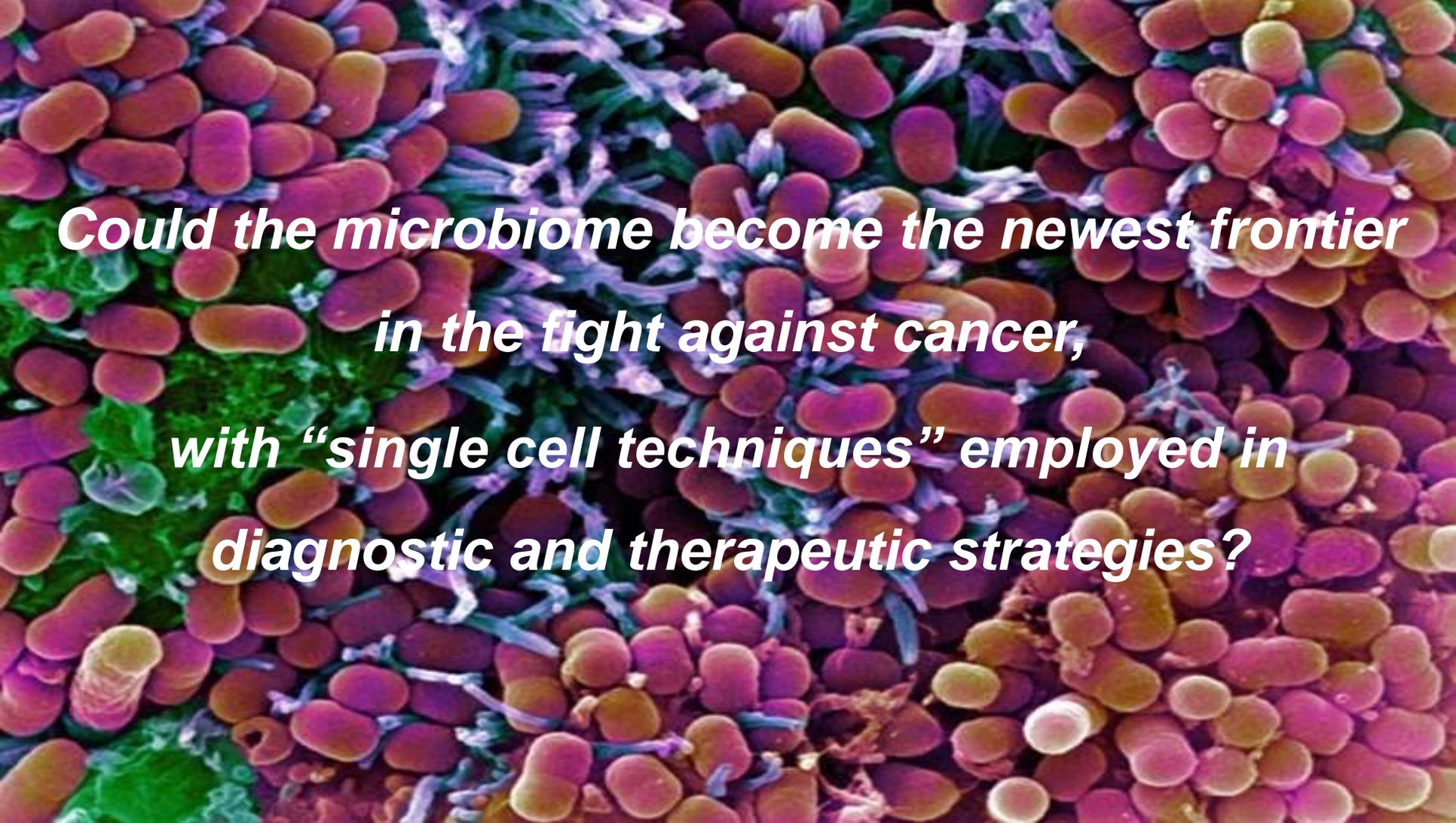


NIH HUMAN  
MICROBIOME  
PROJECT



# Disturbances of the gut microbiome (dysbiosis) are implicated in a large number of diseases

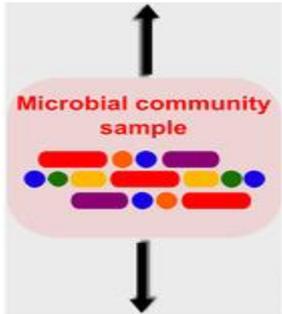


A scanning electron micrograph (SEM) showing a dense population of diverse microorganisms. The organisms exhibit various shapes, including spherical, rod-like, and filamentous forms. The color palette is rich and varied, featuring shades of purple, blue, green, yellow, and orange, which likely represent different species or surface properties of the microbes. The overall appearance is that of a complex, multi-species microbial community.

*Could the microbiome become the newest frontier  
in the fight against cancer,  
with “single cell techniques” employed in  
diagnostic and therapeutic strategies?*

How can we characterize the microbiome?

# 16s and whole genome shotgun sequencing (WGS) are useful tools in characterizing the microbiome

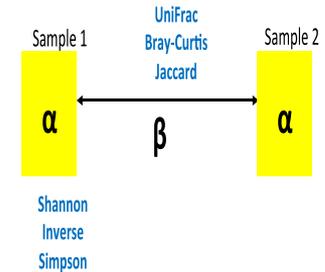


## Metrics:

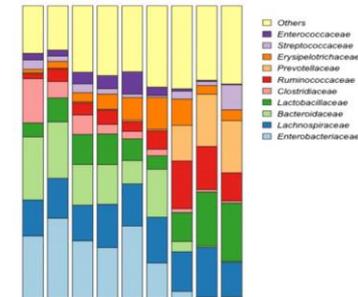
- **Diversity:** elucidates distribution and assembly patterns of microbial communities

- **Types of diversity**

- $\alpha$  - intra-sample
- $\beta$  - inter-sample



- **Relative abundance:** bacterial communities analyzed as discrete OTUs, and frequency of an OTU relative to all others is quantified



What is the role of the microbiome in cancer?

# There is a growing appreciation of the role of the microbiome in cancer

DOI: 10.1093/ncj/dja003  
Advance Access publication on January 23, 2012.

Published by Oxford University Press 2012.

The NEW ENGLAND JOURNAL of MEDICINE

BRIEF COMMUNICATION

ORIGINAL ARTICLE

## Fifteen-Year Effects of *Helicobacter pylori*, Garlic, and Vitamin Treatments on Gastric Cancer Incidence and Mortality

Jun-Ling Ma, Lian Zhang, Linda M. Brown, Ji-You Li, Wei-Dong Liu, Yuanren Hu, Zhongming Ge, David Pee, William

New written informed consents were obtained for the extended follow-up phase from May 2, 2003, to August 1, 2010. Data from 3365 eligible subjects were analyzed.

REPORT LETTER

## Immunoproliferative Small Intestinal Disease Associated with *Campylobacter jejuni*

... M.D.,

## Proinflammatory CD4<sup>+</sup>CD45RB<sup>hi</sup> Lymphocytes Promote and Intestinal Carcinogenesis in *Apc*<sup>Min/+</sup> Mice

Varada P. Bruce H.

... Zhongming Ge,<sup>1</sup> Prashant R. Namb

## Adenoma-linked barrier defects and microbial products drive IL-23/IL-17-mediated tumour growth

Sergei I. Grivennikov<sup>1\*</sup>, Keqiong Wang<sup>2,3\*</sup>, Daniel Mucida<sup>3,4</sup>, C. Andrew Stewart<sup>5</sup>, Bernd Schnabl<sup>6</sup>, Dominik Jansch<sup>1</sup>, Koji Taniguchi<sup>1,7</sup>, Guann-Yi Yu<sup>1</sup>, Christoph H. Osterreicher<sup>8,9</sup>, Kenneth E. Hunt<sup>9</sup>, Christian Datz<sup>10</sup>, Ying Feng<sup>11</sup>, Eric R. Fearon<sup>12</sup>, Mohamed Ouksa<sup>12</sup>, Lino Tessarollo<sup>13</sup>, Vincenzo Coppola<sup>14</sup>, Felix Yarovinsky<sup>15</sup>, Hilde Cheroutre<sup>6</sup>, Lars Eckmann<sup>6</sup>, Giorgio Trinchieri<sup>16</sup> & Michael Karin<sup>1</sup>

## MyD88 inhibition amplifies de cell capacity to promote pancreatic carcinogenesis via Th2 cells



Atsuo Ochi,<sup>1</sup> Andrew H. Nguyen,<sup>2</sup> Andrea S. Bedrosian,<sup>1</sup> Harry M. Mushlin,<sup>2</sup> Saman Zerbakhsh,<sup>1</sup> Rocky Barilla,<sup>1</sup> Constantinos P. Zambirinis,<sup>1</sup> Nina C. Fallon,<sup>1</sup> Adeel Rehman,<sup>1</sup> Yuliya Pylayeva-Gupta,<sup>3</sup> Sana Badar,<sup>1</sup> Cristina H. Hajdu,<sup>4</sup> Alan B. Frey,<sup>2</sup> Dafna Bar-Sagi,<sup>3</sup> and George Miller<sup>1,2</sup>

## Promotion of Hepatocellular Carcinoma by the Intestinal Microbiota and TLR4

Dianne H. Dapito,<sup>1,2,10</sup> Ali Mendir,<sup>3,10</sup> Geum-Youn Gwak,<sup>1,7,10</sup> Jean-Philippe Pradere,<sup>1,10</sup> Myoung-Kuk Jang,<sup>1</sup> ...

The Int Inflam

Grace Y. Chen,<sup>1,3</sup> Michael H. Shaw,<sup>2,3</sup> Gloria Redondo,<sup>2,3</sup> and Gabriel M...

## Chronic Active Hepatitis and Associated Liver Tumors in Mice Caused by a Persistent Bacterial Infection With a Novel *Helicobacter* Species

Terrold M. Ward, James G. Fox, Miriam R. Anver, Diana C. Haines, Cathi V. George, Michael J. Collins, Jr., Peter L. Gorelick, Kunio Vagashima, Matthew A. Gonda, Raymond V. Gilden, Joseph G. Fuly, Robert J. Russell, Raoul E. Benveniste, Bruce J. Paster, Floyd E. Dewhirst, John C. Donovan, Lucy M. Anderson, Jerry M. Rice\*

## Intestinal Neoplasia in the *Apc*<sup>Min</sup> Mouse: Independence from the Microbial and Natural Killer (*beige* Locus) Status<sup>1</sup>

William F. Dove,<sup>2</sup> Linda Clipson, Karen A. Gould,<sup>3</sup> Cindy Luongo,<sup>4</sup> David J. Marshall, Amy R. Moser,<sup>5</sup> Michael A. Newton, and Russell F. Jacoby

McArdle Laboratory for Cancer Research [L.C., A.R.M.] and Laboratory of Genetics [W.F.D., K.A.G., C.L.], University of Wisconsin, Madison, Wisconsin 53706; Department of Medicine, Division of Gastroenterology [D.J.M., R.F.J.] and Department of Biostatistics, Comprehensive Cancer Center [M.A.N.], University of Wisconsin, Madison, Wisconsin 53792

# Bacteria in tumors of cancer patients may mediate resistance to therapy

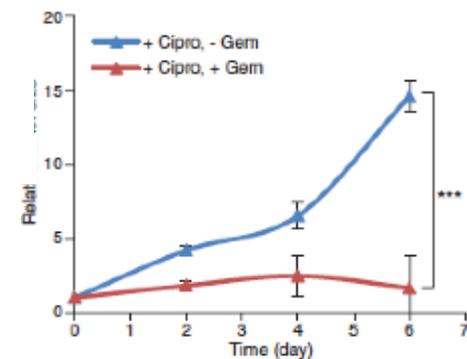
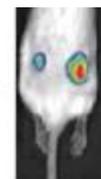
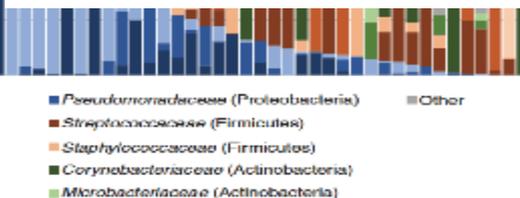
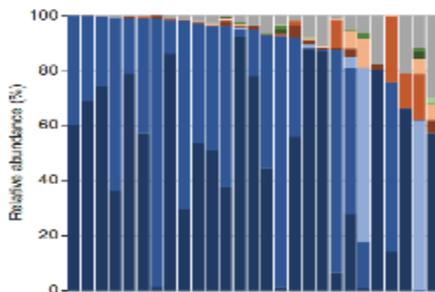
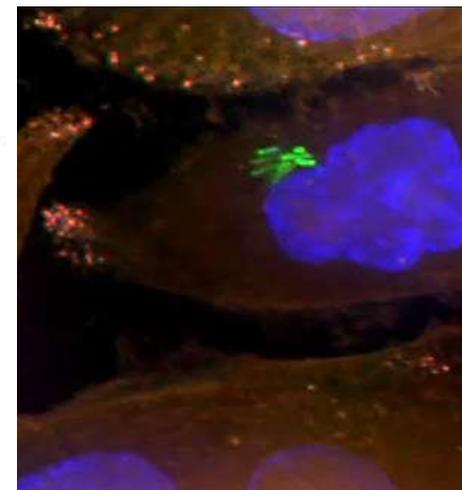


CANCER

## Potential role of intratumor bacteria in mediating tumor resistance to the chemotherapeutic drug gemcitabine

Leore T. Geller,<sup>1\*</sup> Michal Barzily-Rokni,<sup>2\*</sup> Tal Danino,<sup>3†</sup> Oliver H. Jonas,<sup>4,5</sup> Noam Shental,<sup>6</sup> Deborah Nejman,<sup>1</sup> Nancy Gavert,<sup>1</sup> Yaara Zvang,<sup>1</sup> Zachary A. Cooper,<sup>7,8‡</sup> Kevin Shee,<sup>2</sup> Christoph A. Thaiss,<sup>9</sup> Alexandre Reuben,<sup>8</sup> Jonathan Livny,<sup>2</sup> Roi Avraham,<sup>10</sup> Dennie T. Frederick,<sup>11</sup> Matteo Ligorio,<sup>12</sup> Kelly Chatman,<sup>13</sup> Stephen E. Johnston,<sup>2</sup> Carrie M. Mosher,<sup>2</sup> Alexander Brandis,<sup>14</sup> Garold Fuks,<sup>15</sup> Candice Gurbatri,<sup>16</sup> Vancheswaran Gopalakrishnan,<sup>8</sup> Michael Kim,<sup>8</sup> Mark W. Hurd,<sup>17</sup> Matthew Katz,<sup>8</sup> Jason Fleming,<sup>8</sup> Anirban Maitra,<sup>18</sup> David A. Smith,<sup>2</sup> Matt Skalak,<sup>3</sup> Jeffrey Bu,<sup>3</sup> Monia Michaud,<sup>19</sup> Sunia A. Trauger,<sup>13</sup> Iris Barshack,<sup>20,21</sup> Talia Golan,<sup>21,22</sup> Judith Sandbank,<sup>21</sup> Keith T. Flaherty,<sup>12</sup> Anna Mandinova,<sup>2,23</sup> Wendy S. Garrett,<sup>2,19,24</sup> Sarah P. Thayer,<sup>25</sup> Cristina R. Ferrone,<sup>26</sup> Curtis Huttenhower,<sup>2,27</sup> Sangeeta N. Bhatia,<sup>2,28,29,30,31,32,33</sup> Dirk Gevers,<sup>2,§</sup> Jennifer A. Wargo,<sup>7,8</sup> Todd R. Golub,<sup>34,35,36</sup> || Ravid Straussman<sup>1</sup> || ¶

Geller et al, Science – published September 15, 2017



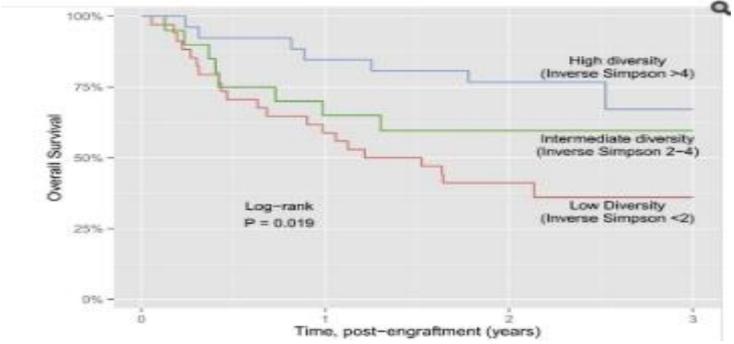
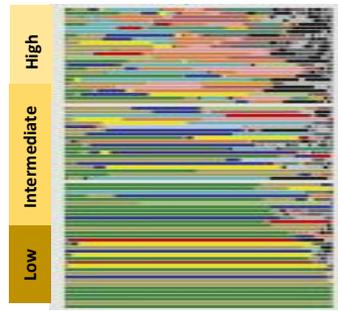
With Ravid Straussman Todd Golub, Keith Flaherty, Curtis Huttenhower et al

There is also strong evidence that bacteria in the gut  
may influence responses to cancer therapy  
*(particularly immunotherapy)*

# The gut microbiome may influence responses to SCT and checkpoint blockade

Diversity of the gut microbiome is associated with differential outcomes in the setting of stem cell transplant in patients with AML

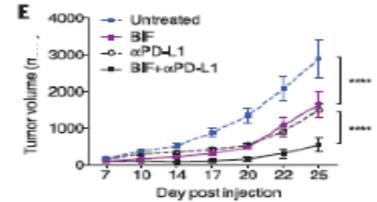
Composition of the gut microbiome is associated with differential responses to checkpoint blockade in murine models



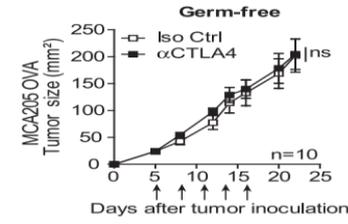
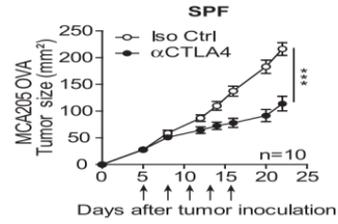
Taur...Pamer Blood 2014

## Commensal *Bifidobacterium* promotes antitumor immunity and facilitates anti-PD-L1 efficacy

Ayelet Sivan,<sup>1\*</sup> Leticia Corrales,<sup>1\*</sup> Nathaniel Hubert,<sup>2</sup> Jason B. Williams,<sup>1</sup> Keston Aquino Michaels,<sup>2</sup> Zachary M. Earley,<sup>2</sup> Franco W. Benjamin,<sup>1</sup> Yuk Man Lei,<sup>2</sup> Rana Jabri,<sup>2</sup> Maria-Luisa Alegre,<sup>2</sup> Eugene B. Chang,<sup>2</sup> Thomas F. Gajewski<sup>1,2,†</sup>



## Anticancer immunotherapy by CTLA-4 blockade relies on the gut microbiota



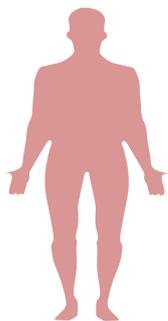
Sivan...Gajewski Science 2015, Vetizou...Zitvogel Science 2015

Based on this evidence, we wanted to better understand the role of the gut microbiome in response to checkpoint blockade in patients with melanoma

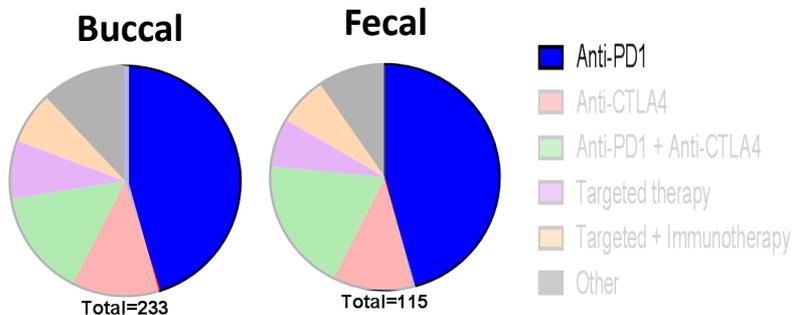
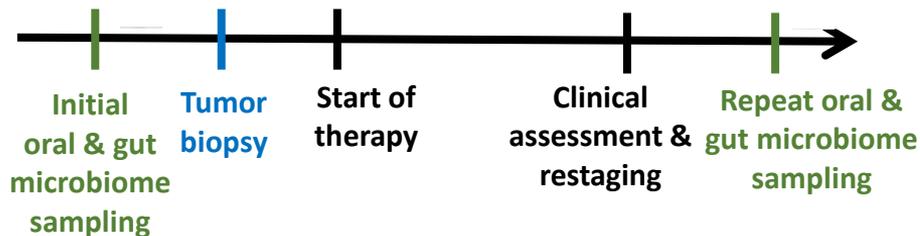
# Hypothesis

- Differential bacterial “signatures” exist in responders versus non-responders to immune checkpoint blockade
- Favorable signatures will be associated with an enhanced anti-tumor immune response (with increased CD8+ T cells, as well as evidence of an enhanced innate immune response)
- Insights gained could lead to strategies to enhance responses to therapy (through modulation of the microbiome)

# We studied oral and gut (fecal) microbiome in a large cohort of patients with metastatic melanoma going onto systemic therapy



n = 233 patients



## Microbiome sequencing

16S sequencing  
Whole genome sequencing

## Molecular Profiling

Whole Exome Sequencing

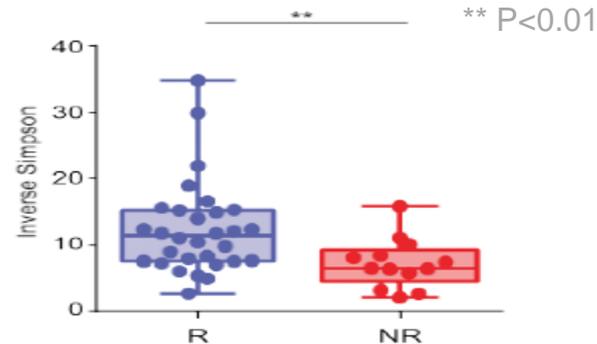
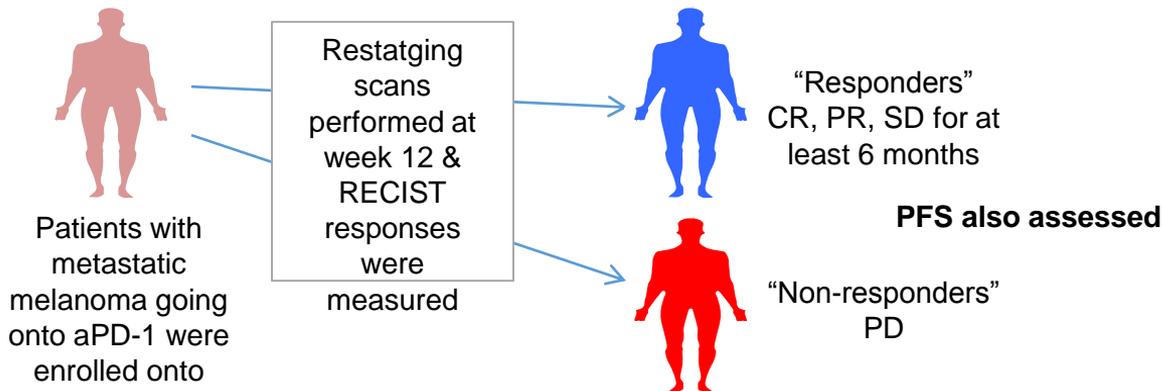
## Immune Profiling

Immunohistochemistry  
Flow cytometry  
T cell Receptor Sequencing  
Cytokine Analysis

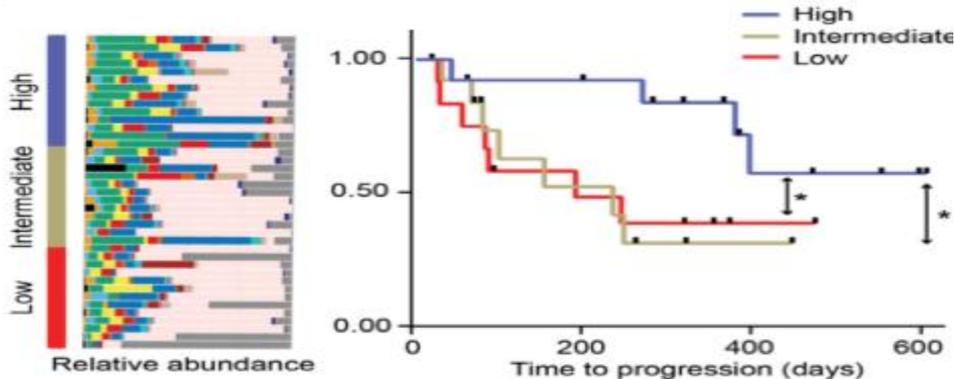


Is there an association between the *diversity* of the microbiome and response to anti-PD-1 in patients with metastatic melanoma?

# The *diversity* of the oral and gut microbiome were analyzed in responders versus non-responders to PD-1 blockade



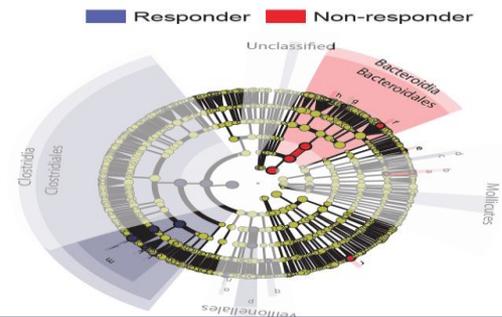
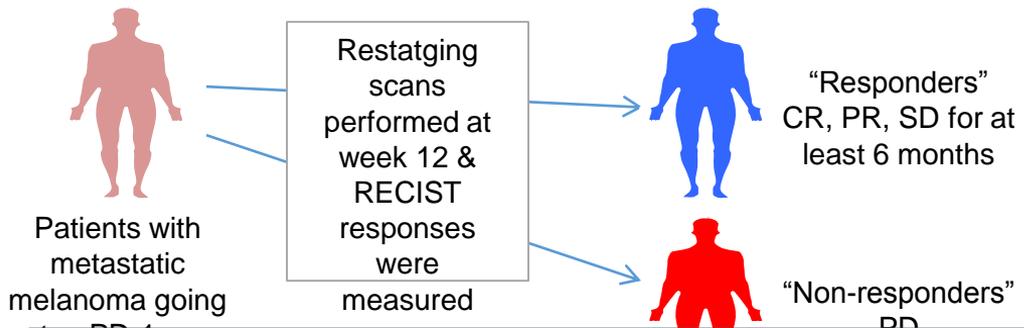
*Responders to anti-PD-1 therapy had a higher diversity in their gut microbiome than non-responders (with no differences noted in the the oral microbiome)*



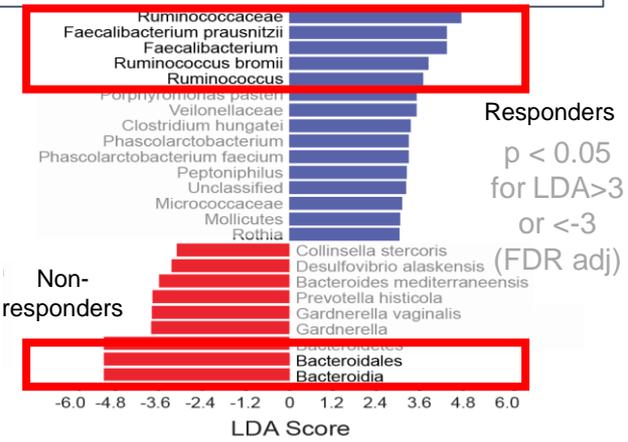
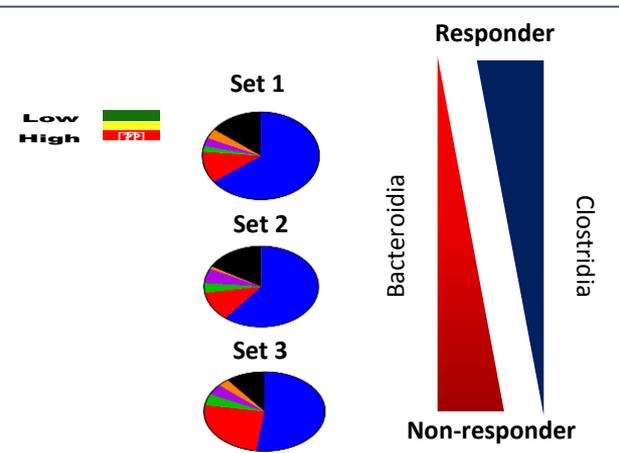
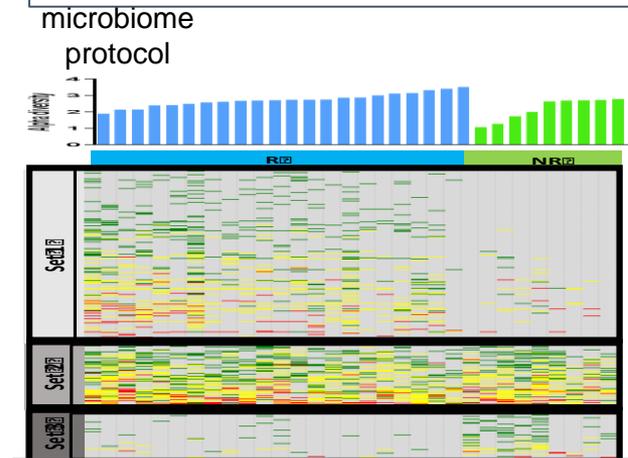
*Higher diversity of the gut microbiome is associated with improved PFS on melanoma patients on anti-PD-1 therapy (no difference in oral microbiome)*

Is there an association between the composition of the microbiome and response to anti-PD-1 in patients with metastatic melanoma?

# The *composition* of the oral and gut (fecal) microbiome were analyzed in responders versus non-responders to PD-1 blockade



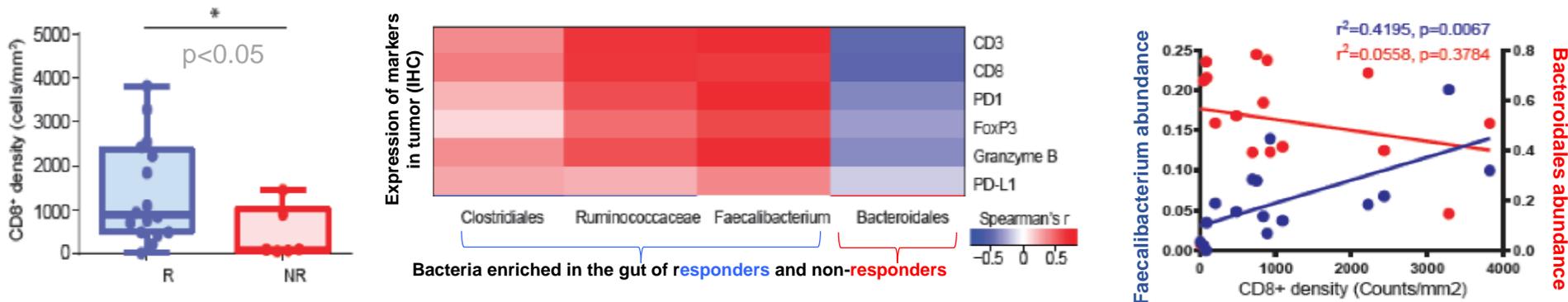
Significant differences were noted in composition in the gut microbiome of responders vs non-responders



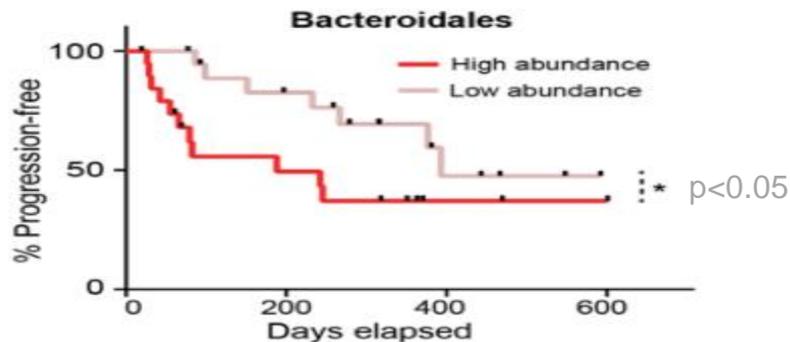
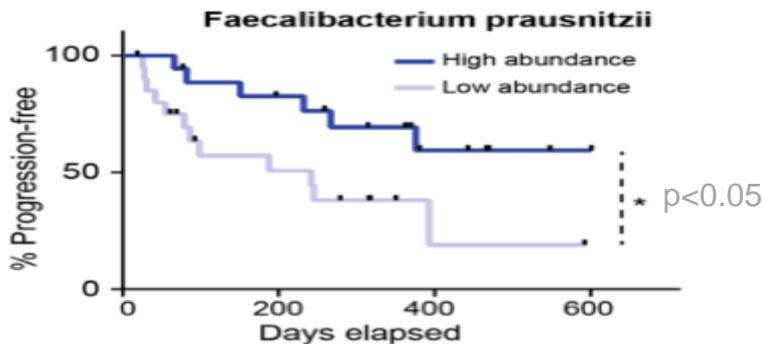
No substantial differences were noted in composition in the oral microbiome of responders vs non-responders

What is the relationship between the gut microbiome and anti-tumor immunity in this cohort?

# Anti-tumor immune responses were assessed and were compared to the composition of the gut (fecal) microbiome in patients on anti-PD-1



*High abundance of Ruminococcus & Faecalibacteria in the gut was associated with cytotoxic T cells in TME*



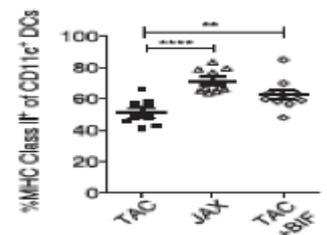
*Differences in composition of the gut microbiome were associated with differences in PFS on aPD-1*

What is the mechanism through which a “favorable” gut microbiome may enhance responses to checkpoint blockade?

# We are gaining insight into how the gut microbiome influences responses

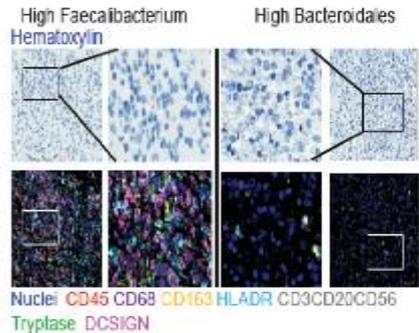
## Commensal *Bifidobacterium* promotes antitumor immunity and facilitates anti-PD-L1 efficacy

Ayellet Sivan,<sup>1,2\*</sup> Leticia Corrales,<sup>1,2\*</sup> Nathaniel Hubert,<sup>3</sup> Jason B. Williams,<sup>2</sup> Keston Aquino-Michaels,<sup>2</sup> Zachary M. Kurley,<sup>2</sup> Francis W. Benjamin,<sup>4</sup> Yuk Man Lai,<sup>2</sup> Rama Jabri,<sup>2</sup> Marta-Tarba Alegre,<sup>2</sup> Eugene B. Chang,<sup>2</sup> Thomas F. Gajewski<sup>1,2,5†</sup>

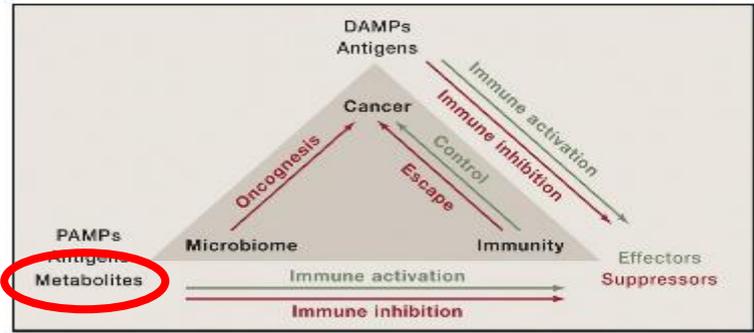
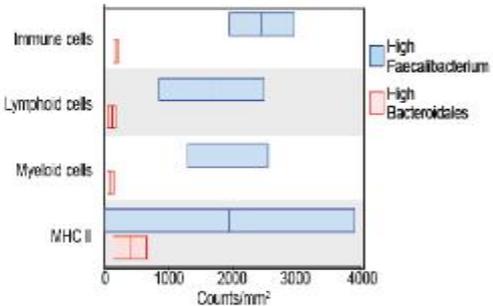


Sivan...Gajewski Science 2015

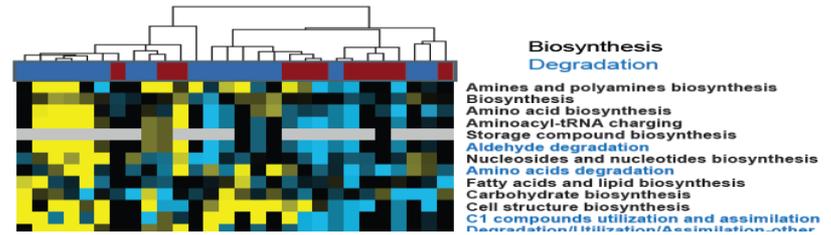
Mice with a "favorable" gut microbiome and enhanced response to checkpoint blockade had more functional antigen-presenting cells (DCs) capable of priming an antigen-specific T cell response



Similar findings in our patients treated with anti-PD-1 (multiplex IHC panel developed by Lisa Coussens PhD, Staining performed by Alex Reuben PhD)



Zitvogel et al, Cell 2016

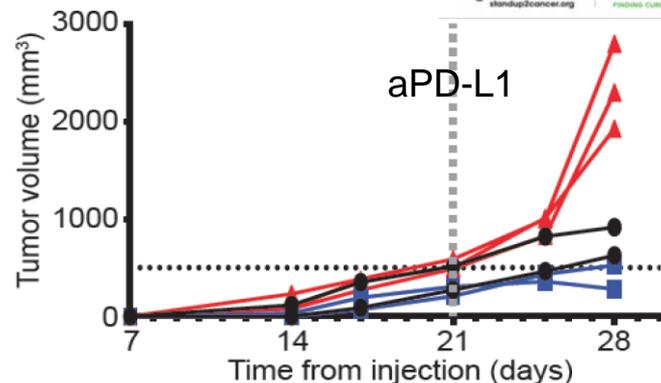
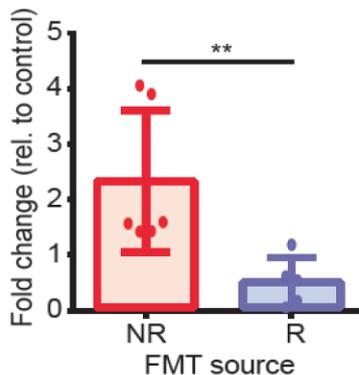
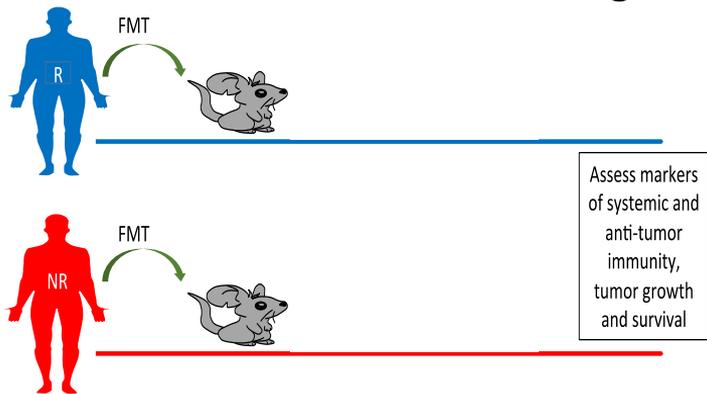


Differences in the metabolic profiles of gut bacteria in responders versus non-responders to anti-PD-1 were noted in our cohort



Gopalakrishnan et al, Science (in press) (First Release November 2 2017)

# We are now building on these studies to further investigate the mechanism and to test strategies to enhance therapeutic responses



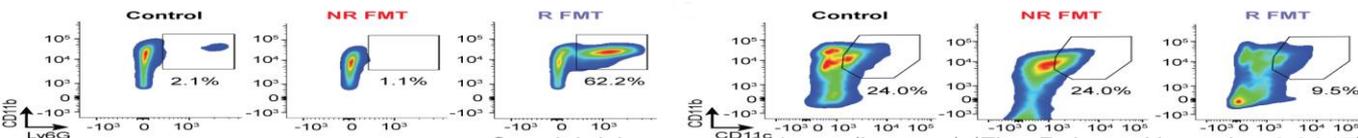
*Germ-free mice receiving FMT from responders have delayed tumor growth and enhanced response to aPD-L1*



To be presented by Dr. Vancheswaran (Deepak) Gopalakrishnan on Friday November 10 at the Science Behind the Therapy Session (102) 11:45 am – 12:00 pm, Abstract # 030

Tumors in mice receiving R FMT are more infiltrated

The gut of mice receiving R FMT is also more infiltrated



Gopalakrishnan et al, *Science* (in press) (First Release November 2 2017)

Other important differences in markers of systemic and anti-tumor immunity were noted (AP Cogdill J Allison et al)

# Importantly, other groups have made similar observations in other cancer types



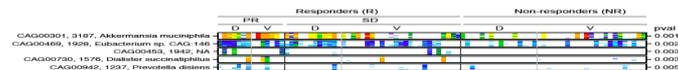
## Routy B.,... L. Zitvogel. Gut microbiota determines efficacy of PD-1 blockade against lung and renal carcinoma.



Antibiotics (ATB) taken 2 months before and/or 1 month after the 1st administration of aPD1 Ab or aPD-L1 Ab.



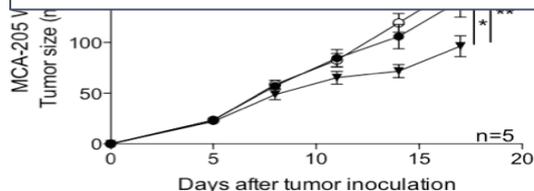
Ruminococcaceae and Akkermansia muciniphila contrasted responders from NR (shotgun MG in 107 pts)



Other studies are emerging on gut microbiome and response to checkpoint blockade in patients...  
 Combination immunotherapy approaches through understanding the tumor, host, and the microbiota  
 (Gajewski – AACR Annual Meeting 2017)

Metagenomic shotgun sequencing to identify specific human gut microbes associated with immune checkpoint therapy efficacy in melanoma patients (Koh Neoplasia 2017)

Baseline gut microbiota predicts clinical response and colitis in metastatic melanoma patients treated with ipilimumab – Chaput et al, Annals of Oncology 2017



... and oral gavage of specific bacteria (*A. muciniphilia*) restored sensitivity to aPD-1



University of Colorado Cancer Center

A NATIONAL CANCER INSTITUTE-DESIGNATED CONSORTIUM COMPREHENSIVE CANCER CENTER

[A-Z Index](#) | [Find a Health Care Provider](#)

[Contact Us](#) | [Maps and Parking](#)

Cancer Center



[HOME](#)

[ABOUT US](#)

[RESEARCH](#)

[PATIENT CARE](#)

[CLINICAL TRIALS](#)

[COMMUNITY](#)

[EDUCATION](#)

[NEWS](#)

[GIVING](#)

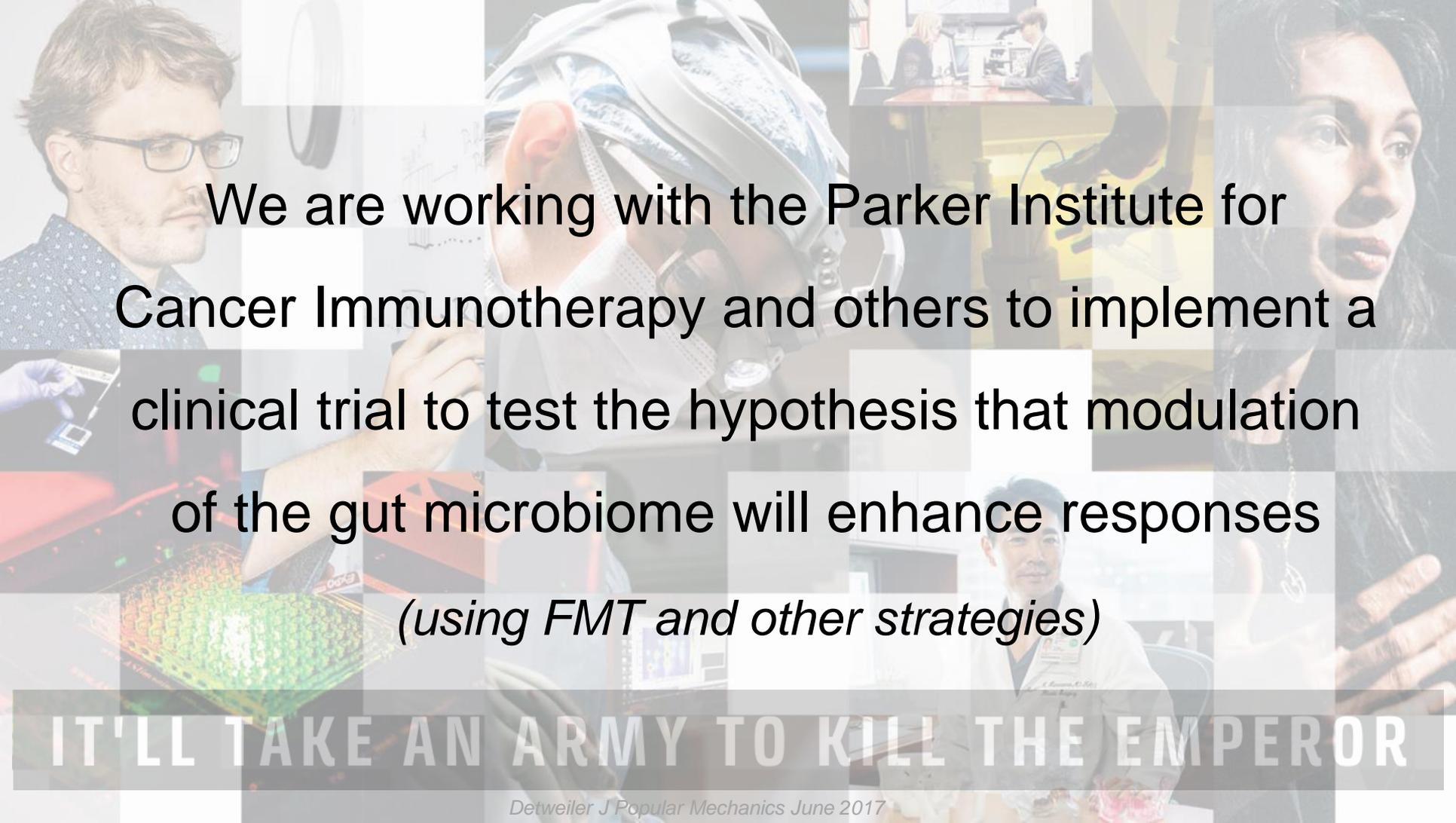


## Cancer and the Microbiome

Does your gut hold the key to your cancer?

Can we modulate the gut microbiome to enhance responses to immunotherapy?

*YES!*



We are working with the Parker Institute for Cancer Immunotherapy and others to implement a clinical trial to test the hypothesis that modulation of the gut microbiome will enhance responses  
*(using FMT and other strategies)*

IT'LL TAKE AN ARMY TO KILL THE EMPEROR

# Conclusions and potential implications of these findings:

- There is increasing evidence for the role of the microbiome in health and disease, and evidence that the gut microbiome may influence responses to cancer therapy
- This raises important questions in the context of immunotherapy
  - should we be profiling the microbiome of patients going onto therapy?
  - should we also be limiting (or closely monitoring) antibiotic use in these patients?
  - do we need to consider diet / pro-biotic intake in these patients?
  - do we also need to consider the role of the microbiome in pre-clinical models?
- There is also now strong evidence to suggest that modulation of the microbiome can enhance responses to immune checkpoint blockade and other forms of immunotherapy, though this needs to be tested carefully in the context of clinical trials
- There is still a great deal to learn, and the strongest gains are made through collaboration (*and we owe this to our patients*)

# Acknowledgements

## Patients and their families

SITC / Workshop Organizers, Speakers, Attendees

## Laboratory Investigation (Wargo lab members)

- Vancheswaran Gopalakrishnan MS (PhD candidate)
- Zachary A. Cooper PhD (alumni)
- Christine Spencer MS (PhD candidate)
- Alexandre Reuben PhD
- Miles Cameron Andrews MD PhD
- Luigi Nezi PhD
- Alexandria P. Cogdill MS (PhD candidate)
- Robert Szczepaniak-Sloane BS (PhD candidate)
- Hong Jiang PhD, Peter Prieto, MD MPH
- Wei-Shen Chen, MD PhD
- Sangeetha Reddy MD PhD
- Liz Burton MBA

## Other key collaborators

- Laurence Zitvogel MD PhD, Tom Gajewski MD PhD
- Ravid Straussman MD PhD

## MDACC Collaborators

- Jim Allison PhD, Pam Sharma MD PhD
- Michael Davies MD PhD, Jeff Gershenwald MD
- Patrick Hwu MD, other Melanoma Med Onc Faculty / Staff
- Jeff Lee MD, Merrick Ross MD, other Surg Onc Faculty / Staff
- Andrew Futreal PhD, Giulio Draetta MD PhD
- Michael Tetzlaff MD PhD, Alex Lazar MD
- Robert Jenq MD PhD, other MDACC faculty / staff

## Prior mentors

- Toni Ribas MD PhD, Steve Rosenberg MD PhD
- Lisa Butterfield PhD, Keith Flaherty MD, Arlene Sharpe MD PhD

## Baylor CMMR

- Joe Petrosino PhD, Nadim Ajami PhD, Diane Hutchinson PhD

## Philanthropic/Grant Support

- MRA, BSF, AACR-SU2C, PICI, Sabin Family Foundation
- Melanoma Moon Shot Program

## Industry Sponsors/Collaborators

Parker Institute for Cancer Immunotherapy