Immune Checkpoint Inhibitors in Lung Cancer

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Conflict of Interest

Research funding: Astra Zeneca

- Consulting:
 - Compensated: Merck, Genentech
 - Uncompensated: BMS, Xcovery, Bayer
- Speaker fee: Biodesix
- There will not be discussion about the use of products for non-FDA approved indications in this presentation.

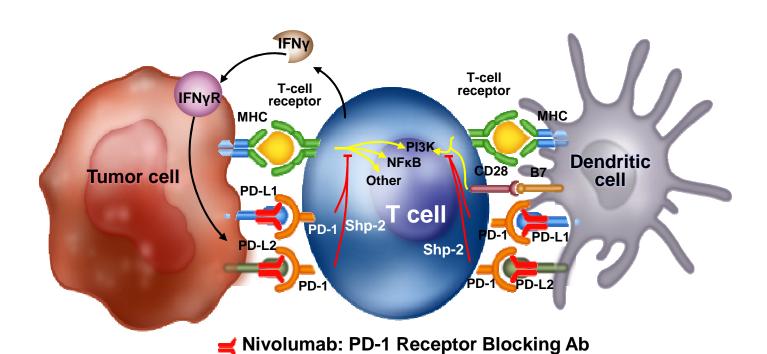
Comparison of Response by PD-L1 status: Phase I Data

Drug	RR	PDL1+/PDL-
Nivolumab	17%	15%/14%
Pembrolizumab	22%%	17-37%/10%
Atezolizumab	23%	31%/14%
Durvalumab	16%	25%/10%

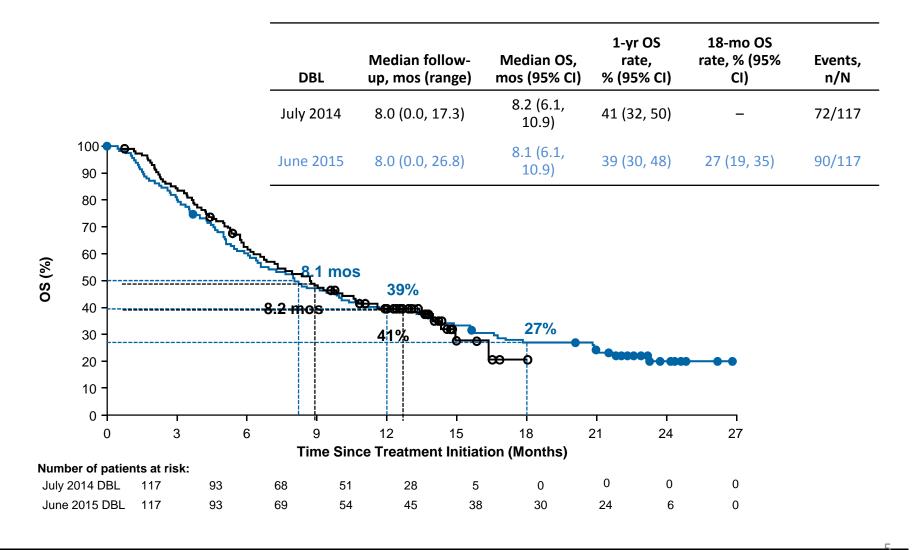


Nivolumab Mechanism of Action

- Nivolumab, a fully human IgG4 PD-1 immune checkpoint inhibitor antibody
- Nivolumab binds PD-1 receptors on T cells and disrupts negative signaling triggered by PD-L1/PD-L2 to restore T-cell antitumor function^{11–13}

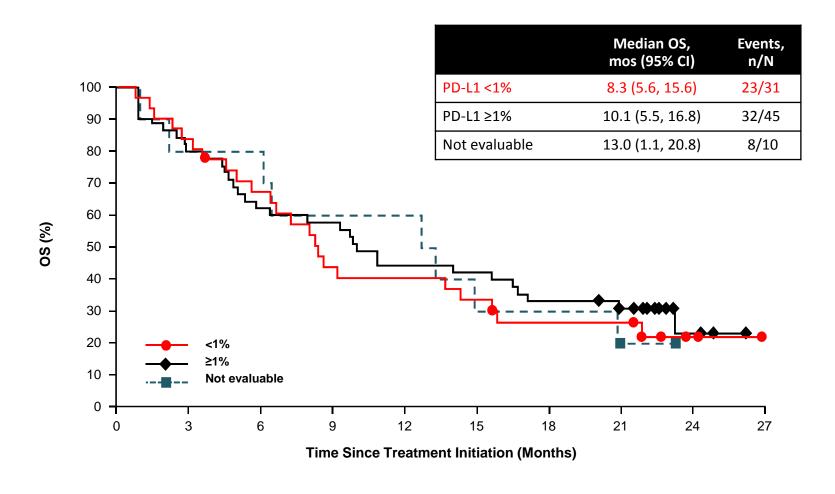


Phase 2: CHECKMATE-063: Overall Survival (OS) : All Treated Patients



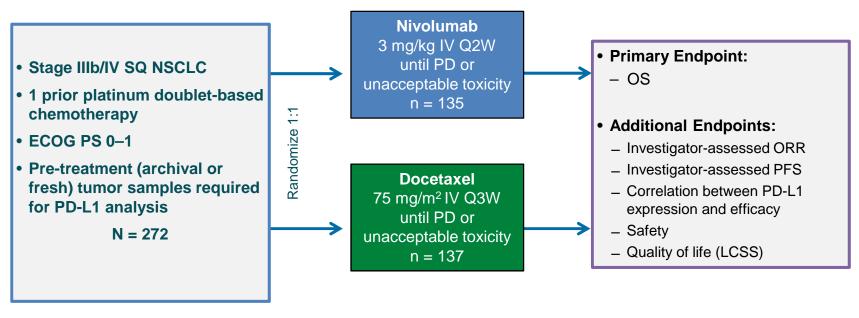


Overall Survival by PD-L1 Expression





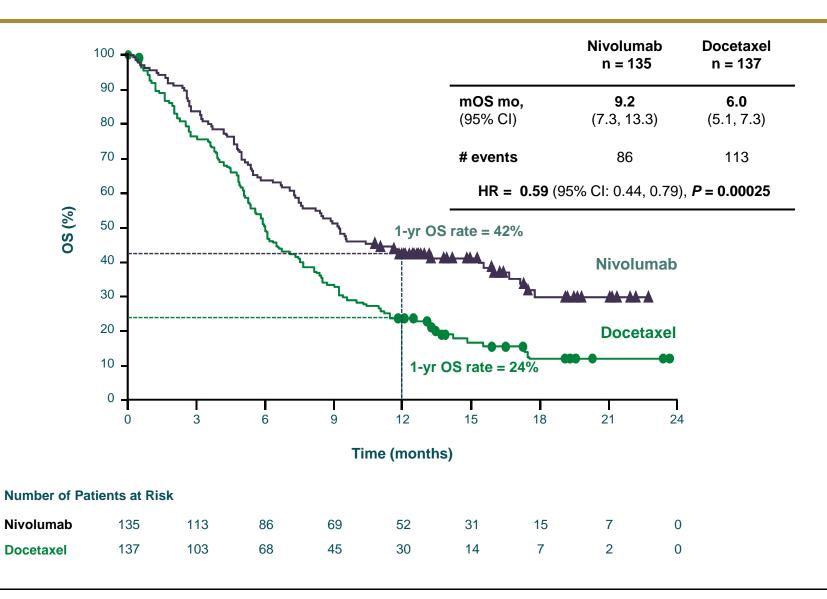
CheckMate 017 (NCT01642004) - Study Design



Patients stratified by region and prior paclitaxel use

- One pre-planned interim analysis for OS
- At time of DBL (December 15, 2014), 199 deaths were reported (86% of deaths required for final analysis)
- The boundary for declaring superiority for OS at the pre-planned interim analysis was P < 0.03

Overall Survival



ORR by PD-L1 Expression

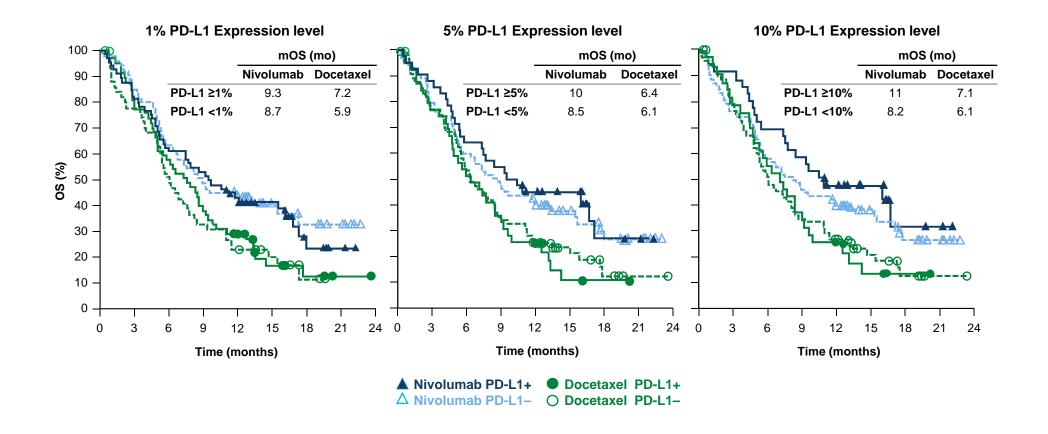
• ORR was independent of PD-L1 expression and consistently higher for nivolumab vs docetaxel

	PD-L1 Expression Level							
	≥1%	<1%	≥5%	<5%	≥10%	<10%	Not quantifiable ^a	
Nivolumab								
ORR, ^b % (n/N)	18 (11/63)	17 (9/54)	21 (9/42)	15 (11/75)	19 (7/36)	16 (13/81)	39 (7/18)	
Docetaxel								
ORR, ^b % (n/N)	11 (6/56)	10 (5/52)	8 (3/39)	12 (8/69)	9 (3/33)	11 (8/75)	3 (1/29)	
Interaction P-value	0.9	94	0.2	29	0.	.64		

^a Percent of randomized pts with PD-L1 expression not quantifiable. ^b CR+PR per RECIST v1.1 criteria confirmation of response required (Investigator Assessment).



OS by PD-L1 Expression





Treatment-related Select AEs

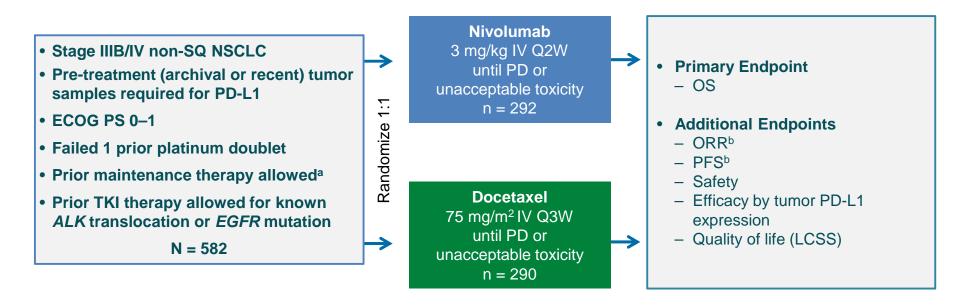
		umab 131	Docetaxel n = 129		
	Any Grade	Grade 3-4	Any Grade	Grade 3-4	
Endocrine, % Hypothyroidism	4 4	0 0	0 0	0 0	
Gastrointestinal, % Diarrhea Colitis	8 8 1	1 0 1	20 20 0	2 2 0	
Hepatic, ^a % ALT increased AST increased	2 2 2	0 0 0	2 1 1	1 1 1	
Pulmonary, %	5	1	1 ^b	0	
Pneumonitis	5	1	0	0	
Lung infiltration Interstitial lung disease	1 0	0	0 1 ^b	0	
Renal, ^c % Blood creatinine increased Tubulointerstitial nephritis	3 3 1	1 0 1	2 2 0	0 0 0	
Skin, ^d %	9	0	9	2	
Hypersensitivity/Infusion reaction, % Hypersensitivity Infusion-related reaction	1 0 1	0 0 0	2 2 1	1 1 0	

a No cases of increased bilirubin occurred in the nivolumab arm. b Grade 5 event. c No cases of renal failure were reported in the nivolumab arm. d Includes rash, pruritus, erythema, maculopapular rash, skin exfoliation, urticaria and palmar plantar erythrodysasthesia syndrome.

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Spinel D et al. ASCO 2015

CheckMate 057 (NCT01673867) Study Design



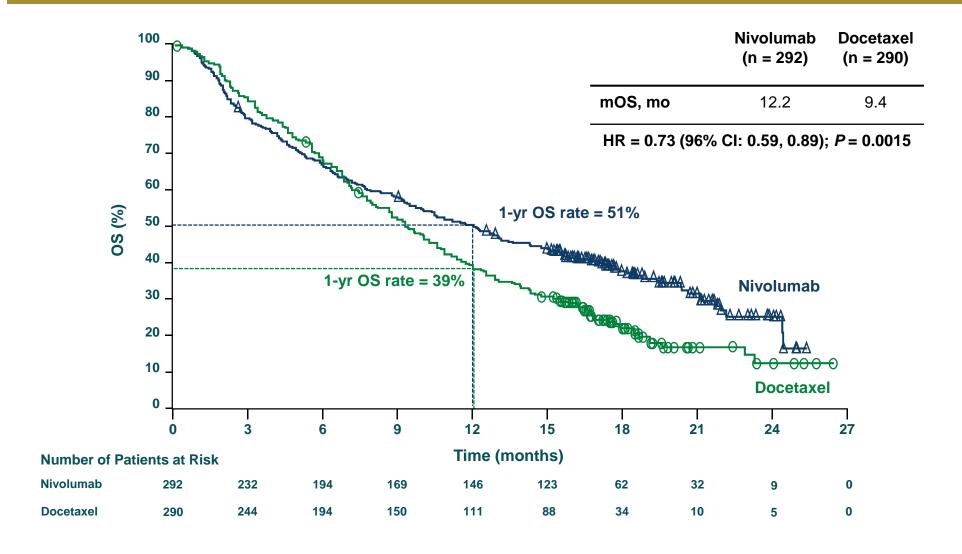
Patients stratified by prior maintenance therapy and line of therapy (second- vs third-line)

- PD-L1 expression measured using the Dako/BMS automated IHC assay^{14,15}
 - Fully validated with analytical performance having met all pre-determined acceptance criteria for sensitivity, specificity, precision, and robustness

^a Maintenance therapy included pemetrexed, bevacizumab, or erlotinib (not considered a separate line of therapy); ^b Per RECIST v1.1 criteria as determined by the investigator.



Overall Survival



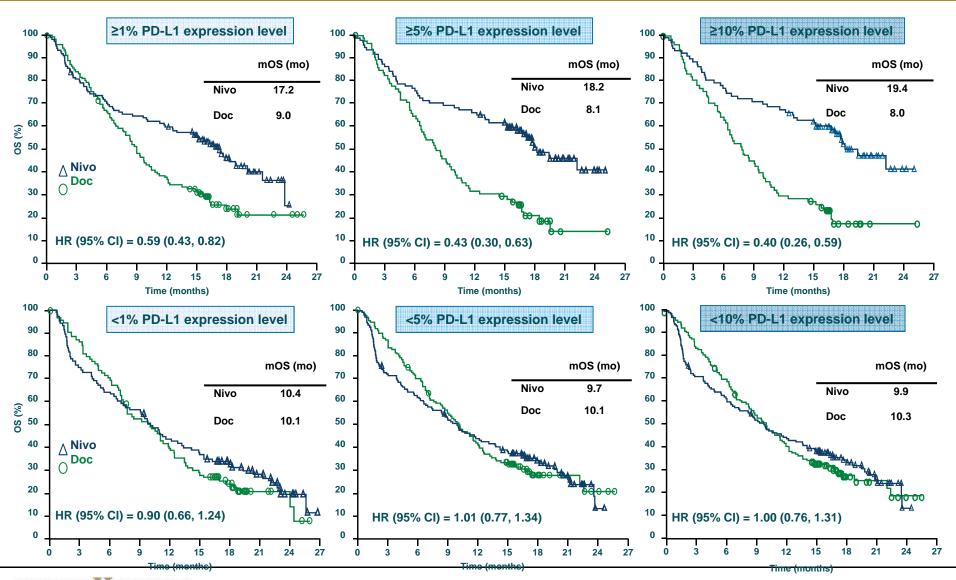
Symbols represent censored observations.

Treatment Effect on OS in Predefined Subgroups

	N	Unstratified HR (95% CI)	_ _
Overall	582	0.75 (0.62, 0.91)	
Age Categorization (years)			_ -
<65	339	0.81 (0.62, 1.04)	!
≥65 and <75	200	0.63 (0.45, 0.89)	
≥75	43	0.90 (0.43, 1.87)	
Gender			
Male	319	0.73 (0.56, 0.96)	
Female	263	0.78 (0.58, 1.04)	
Baseline ECOG PS			
0	179	0.64 (0.44, 0.93)	
≥1	402	0.80 (0.63, 1.00)	
Smoking Status			
Current/Former Smoker	458	0.70 (0.56, 0.86)	<u> </u>
Never Smoked	118	1.02 (0.64, 1.61)	
EGFR Mutation Status			
	82	1.18 (0.69, 2.00)	- i
Positive	02	1110 (0.00)	•
Positive Not Detected	340	0.66 (0.51, 0.86)	-+



OS by PD-L1 Expression



ORR by PD-L1 Expression

PD-L1 expression level	≥1%	<1%	≥5%	<5%	≥10%	<10%	Not quantifiable
Nivolumab							
ORR,ª %	30.9	9.3	35.8	10.3	37.2	11.0	13.1
Median DOR, mos (95% CI) n	16.0 (8.4, NE) 38	18.3 (4.2, NE) 10	16.0 (8.4, NE) 34	18.3 (5.5, NE) 14	16.0 (6.9, NE) 32	18.3 (7.5, NE) 16	7.3 (2.2, NE) 8
Docetaxel							
ORR,ª %	12.2	14.9	12.8	13.8	12.7	13.8	9.1
Median DOR, mos (95% CI) n	5.6 (3.0, 5.7) 15	5.6 (4.2, 9.9) 15	5.6 (3.0, 7.0) 11	5.6 (4.2, 7.1) 19	5.6 (1.6, 6.2) 10	5.6 (4.2, 7.1) 20	6.6 (2.8, 14.2) 6

^aCR+PR as per RECIST v1.1 criteria confirmation of response required (Investigator Assessment).

CI = confidence interval; CR = complete response; DOR = duration of response; NE = not evaluable; ORR = objective response rate;

PD-L1 = programmed cell death ligand 1; PR = partial response.

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Paz-Arez L et a., Oral presentation. Presented at ASCO 2015.

MEDICAL CENTER

Treatment-related Select AEs

	Nivoluma	b (n = 287)	Docetaxe	I (n = 268)
	Any Grade	Grade 3–4 ^a	Any Grade	Grade 3–4 ^a
Endocrine, % Hypothyroidism	7	0	0	0
Gastrointestinal, % Diarrhea	8	1	23	1
Hepatic, % ALT increased AST increased	3 3	0 <1	1 1	<1 0
Pulmonary, % Pneumonitis	3	1	<1	<1
Skin, % Rash Pruritus Erythema	9 8 1	<1 0 0	3 1 4	0 0 0
Hypersensitivity/Infusion reaction, % Infusion-related reaction	3	0	3	<1

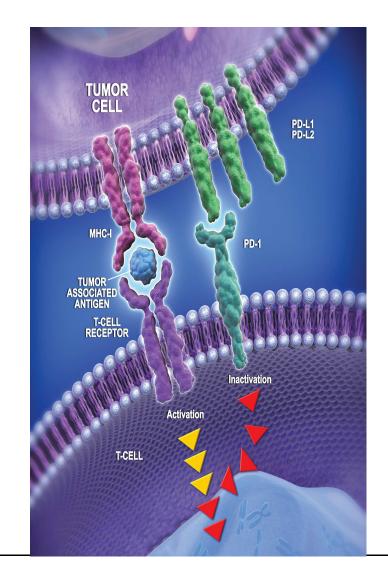
[•] Select AEs: AEs with potential immunologic etiology that require frequent monitoring/intervention

Includes events reported in ≥2.5% of patients.

^a No grade 5 events were reported at DBL;1 grade 5 event for nivolumab was reported post-DBL.

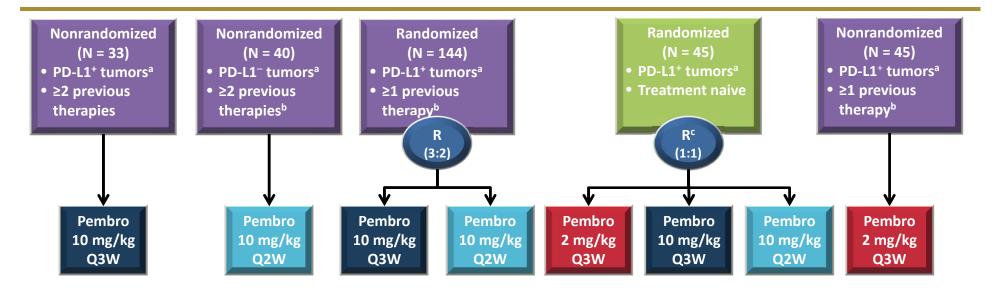
Programmed Death 1 (PD-1) and Pembrolizumab

- Binding of the inhibitory receptor PD-1 to its ligands, PD-L1 or PD-L2, inhibits tumor-specific T-cell responses
- Tumors can exploit this pathway to escape
 T-cell-induced antitumor activity
- Pembrolizumab is a high-affinity antibody against
 PD-1 that blocks its interaction with PD-L1 and PD-L2
 - Robust antitumor activity and manageable safety profile in multiple tumor types
 - Approved in several countries for the treatment of advanced melanoma
 - In development for ≥30 tumor types





KEYNOTE-001 Study: Pembrolizumab (MK3475) in NSCLC Expansion Cohorts (N = 550)



- Response assessment
 - Primary measure: ORR by RECIST v1.1¹ per independent central review
 - Secondary measure: immune-related response criteria (irRC)² per investigator assessment
- Pembrolizumab was given until disease progression, unacceptable toxicity, or death
- Analysis cut-off date: March 3, 2014^d

^aTumor PD-L1 expression was determined by a prototype assay to inform enrollment. Samples were independently reanalyzed using a clinical trial IHC assay.

^bIncluding ≥1 therapy platinum-containing doublet. ^cFirst 11 patients randomized to 2 mg/kg Q3W and 10 mg/kg Q3W. The remaining 34 patients were randomized to 10 mg/kg Q2W and 10 mg/kg Q3W. ^dAnalysis cut-off date is September 11, 2014 for the nonrandomized cohort of 45 patients treated at 2 mg/kg Q3W.

1. Eisenhauer EA et al. *Eur J Cancer*. 2009;45:228-247. 2. Wolchok JD et al. *Clin Cancer Res*. 2009;15:7412-20.



Antitumor Activity by Histology

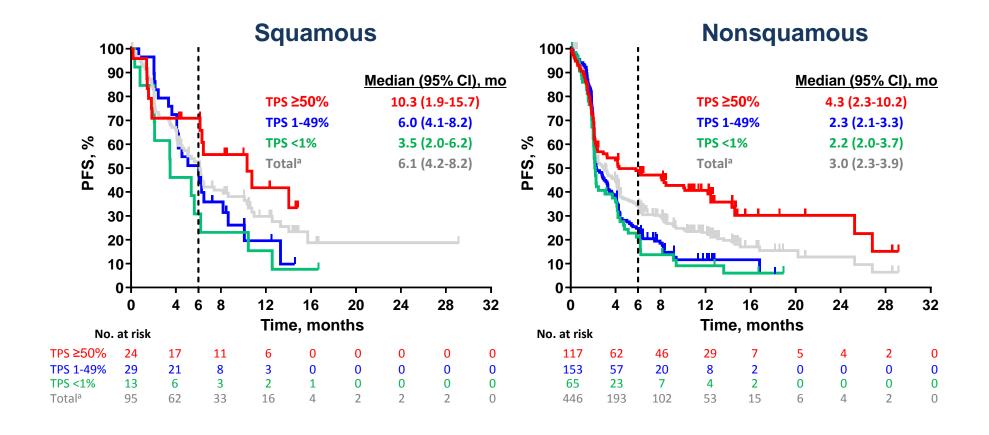
	•	TPS ≥50% TPS 1-49%		TPS <1%		Totala		
	n	ORR, % (95% CI)	n	ORR, % (95% CI)	n	ORR, % (95% CI)	N	ORR, % (95% CI)
Overall	144	38.2 (30.2-46.7)	185	11.9 (7.6-17.4)	80	10.0 (4.4-18.8)	550	20.2 (16.9-23.8)
Squamous	24	50.0 (29.1-70.9)	29	17.2 (5.8-35.8)	13	0.0 (0.0-24.7)	95	26.3 (17.8-36.4)
Nonsquamous	117	35.9 (27.2-45.3)	153	11.1 (6.6-17.2)	65	12.3 (5.5-22.8)	446	19.1 (15.5-23.0)

^aIncludes patients for whom a PD-L1 TPS could not be assigned (n = 141). For the histology breakdown, data are not shown for patients with adenosquamous (n = 7) or unknown (n = 2) histology.

Data cutoff date: January 23, 2015.

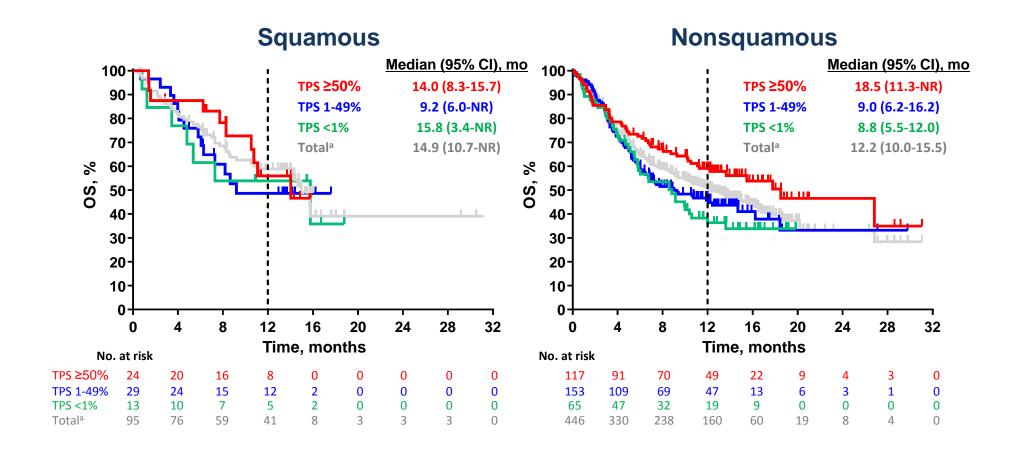


PFS by Histology





OS by Histology



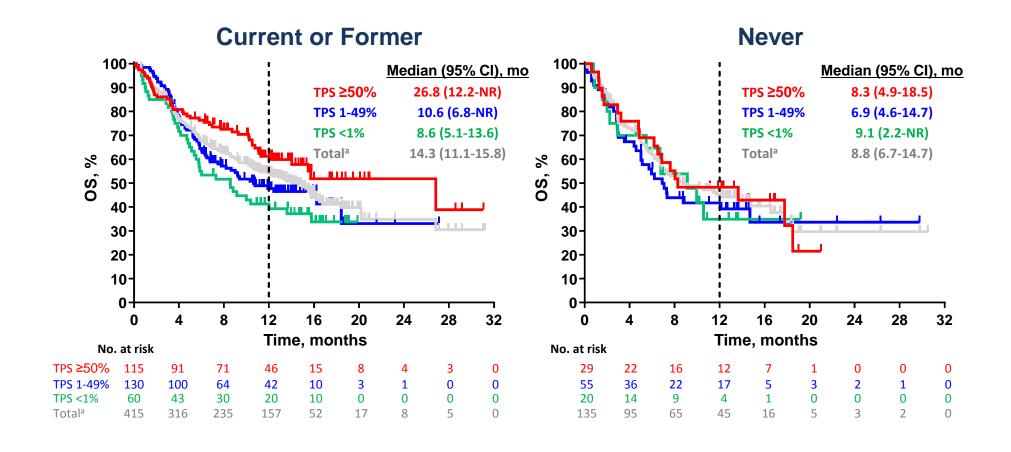


Antitumor Activity by Smoking History

	•	ΓPS ≥50%	TPS 1-49%		TPS <1%		Totala	
	n	ORR, % (95% CI)	n	ORR, % (95% CI)	n	ORR, % (95% CI)	N	ORR, % (95% CI)
Overall	144	38.2 (30.2-46.7)	185	11.9 (7.6-17.4)	80	10.0 (4.4-18.8)	550	20.2 (16.9-23.8)
Current or former	115	40.0 (31.0-49.6)	130	14.6 (9.0-21.9)	60	13.3 (5.9-24.6)	415	23.4 (19.4-27.7)
Never	29	31.0 (15.3-50.8)	55	5.5 (1.1-15.1)	20	0.0 (0.0-16.8)	135	10.4 (5.8-16.8)

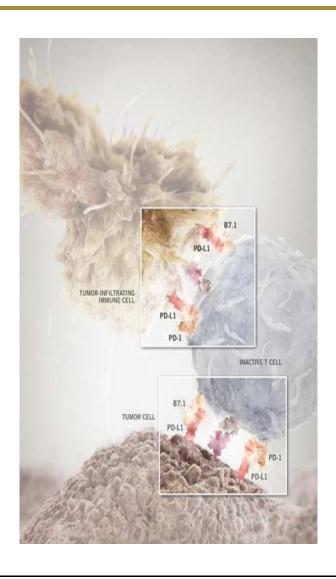


OS by Smoking History



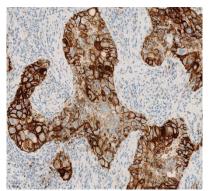


Atezolizumab is a Humanized Anti-PDL1 Antibody That Inhibits the Binding of PD-L1 to PD-1 and B7.1

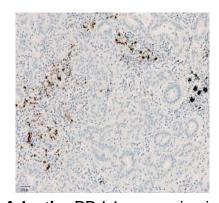


- Inhibiting PD-L1/PD-1 and PD-L1/B7.1 interactions can restore antitumor T-cell activity and enhance T-cell priming
- Targeting PD-L1 leaves the PD-L2/PD-1 interaction intact, thereby potentially preserving peripheral immune homeostasis
- Atezolizumab (anti-PDL1; MPDL3280A) has demonstrated promising response rates in NSCLC that correlated with PD-L1 expression on tumor cells (TC) and/or tumor-infiltrating immune cells (IC); (Spigel et al., ASCO 2015; Horn et al., ASCO 2015; Liu et al., ASCO 2015)

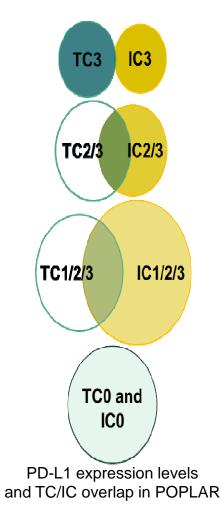
PD-L1 Expression on TC and IC is a Potential Predictive Biomarker for Atezolizumab in NSCLC



Intrinsic PD-L1 expression in tumor cells (TC)



Adaptive PD-L1 expression in tumor-infiltrating immune cells (IC)



 SP142 IHC assay is sensitive and specific for PD-L1 expression on both TC and IC

- Distinct TC and IC sub-populations exist at each of four cutoff levels^a (Gettinger et al., ASCO 2015)
- PD-L1 expression on TC and IC was independently predictive of response (Horn et al., ASCO 2015)

 $^{\circ}$ TC scored as percentage of tumor cells and IC scored as percentage of tumor area. **TC3 or IC3** = TC ≥ 50% or IC ≥ 10% PD-L1+; **TC2/3 or IC2/3** = TC or IC ≥ 5% PD-L1+; **TC1/2/3 or IC1/2/3** = TC or IC ≥ 1% PD-L1+; **TC0 and IC0** = TC and IC < 1% PD-L1+, respectively.



POPLAR: A Randomized All-comer Phase II Study

Metastatic or locally advanced NSCLC (2L/3L)
Disease progression on a prior platinum therapy N = 287

Stratification Factors

- PD-L1 IC expression (0 vs 1 vs 2 vs 3)^a
- Histology (squamous vs non-squamous)
- Prior chemotherapy regimens (1 vs 2)

R 1:1

Atezolizumab

1200 mg IV q3w until loss of clinical benefit

Docetaxel

75 mg/m² IV q3w until disease progression

Primary study objective:

Estimate OS by PD-L1 expression

Secondary study objectives:

- Estimate PFS, ORR and DOR by PD-L1 expression
- Evaluate safety

Interim analysis is based on 153 events with a minimum follow-up 10 months

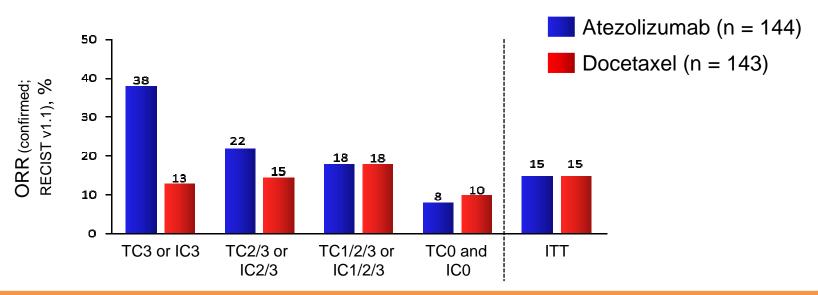


POPLAR Baseline Characteristics, ITT Population

		Atezolizumab (n=144)	Docetaxel (n=143)
Median age, y	/	62	62
≥65 years, %		40%	39%
Male, %		65%	53%
Histology	Non-squamous	66%	66%
Histology	Squamous	34%	34%
ECOG score 0	/1	33% / 67%	32% / 68%
No. of prior c	nemotherapies, 1/2	65% / 35%	65% / 35%
_	Never	19%	20%
History of tobacco use	Current	17%	15%
	Previous	64%	65%



Atezolizumab vs. Docetaxel in NSCLC (POPLAR Study): Overall Response Rates & Survival

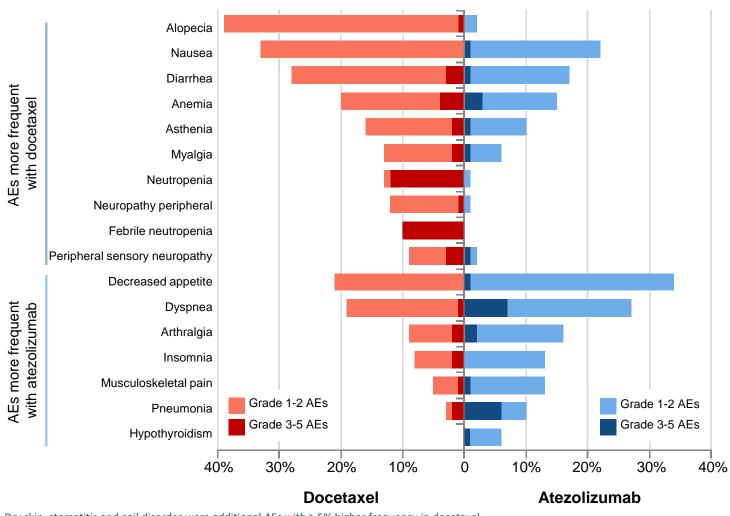


	Median OS months						
	TC3 or IC 3 (n=47)	TC2/3 or IC 2/3 (n=105)	TC or IC 1/2/3 (n = 195)	TC0 or IC0 (n = 92)			
Atezolizumab	NR (9.8 – NE)	13.0 (8.4 – NE)	11.0 (11.0 – NE)	9.7 (8.6 – 12.0)			
Docetaxel	11.0 (6.4 – 14)	7.4 (6.0 – 12.5)	9.1 (7.4 – 12.8)	9.7 (6.7 – 11.4)			
	HR ^a = 0.46 (0.19, 1.09) P value = 0.070	HR ^a = 0.56 (0.33, 0.94) P value = 0.026	HR ^a = 0.63 (0.42, 0.94) P value = 0.024	HR ^a = 1.12 (0.64, 1.93) P value = 0.70			



POPLAR: All-cause AEs

(≥ 5% difference between arms)

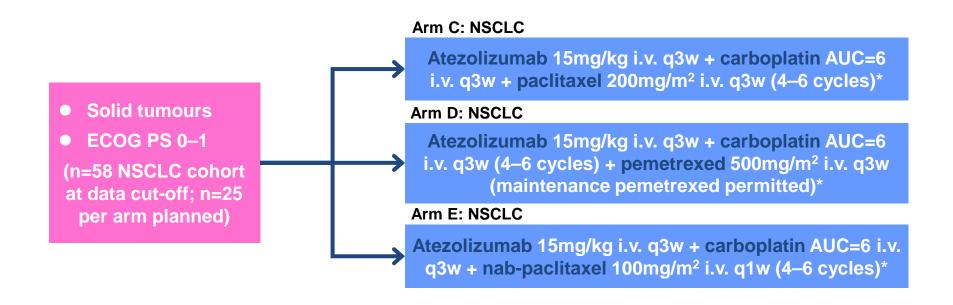


- AE profiles consistent with previous studies
- For atezolizumab, other immune-mediated AEs (any grade) included:
 - AST increased (4%)
 - ALT increased (4%)
 - Pneumonitis (2%)
 - Colitis (1%)
 - Hepatitis (1%)

Dry skin, stomatitis and nail disorder were additional AEs with \geq 5% higher frequency in docetaxel. Safety population includes patients who received any amount of either study treatment. Data cut-off Jan 30, 2015.



Phase Ib GP28328 study design and endpoints: NSCLC cohort

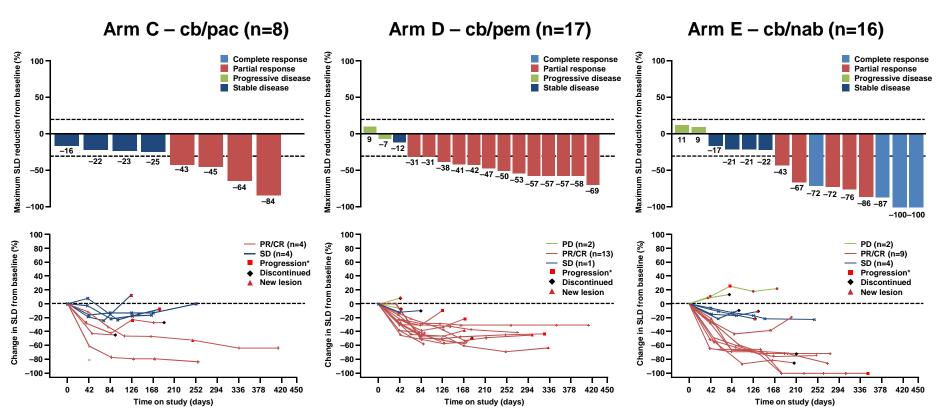


- Primary endpoint: safety (including dose-limiting toxicities)
- Secondary endpoints: pharmacokinetics; best overall response; objective response rate (ORR); duration of response (DOR); progression-free survival (PFS)
- Date of cut-off: 10 Feb 2015; median safety follow-up: 128.5 days (4.2 months)



^{*}supportive care (including steroids if necessary) was permitted, at the investigators' discretion; atezolizumab was given until loss of clinical benefit

Depth of response and changes in tumor burden by treatment arm



Includes all patients dosed by 10 Nov 2014; data cut-off: 10 Feb 2015; SLD, sum of longest diameters; *PD for reasons other than new lesions



Grade 3/4 treatment-related AEs* in ≥3% of patients

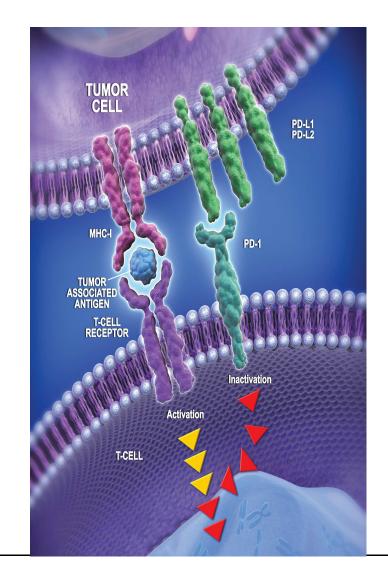
AE, n (%)	Arm C – cb/pac (n=14)	Arm D – cb/pem (n=24)	Arm E – cb/nab (n=20)	All NSCLC patients (n=58)
Neutropenia	5 (35.7)	9 (37.5)	9 (45.0)	23 (39.7)
Anemia	2 (14.3)	2 (8.3)	4 (20.0)	8 (13.8)
Thrombocytopenia	0 (0)	5 (20.8)	2 (10.0)	7 (12.1)
Fatigue	1 (7.1)	2 (8.3)	2 (10.0)	5 (8.6)
Alanine aminotransferase increased	0 (0)	1 (4.2)	2 (10.0)	3 (5.2)
Aspartate aminotransferase increased	0 (0)	1 (4.2)	2 (10.0)	3 (5.2)
Dehydration	1 (7.1)	2 (8.3)	0 (0)	3 (5.2)
Hypokalemia	0 (0)	1 (4.2)	1 (5.0)	2 (3.4)
Leukopenia	0 (0)	2 (8.3)	0 (0)	2 (3.4)
Nausea	0 (0)	0(0)	2 (10.0)	2 (3.4)



^{*}includes AEs attributed to chemotherapy and/or atezolizumab; data cut-off: 10 Feb 2015

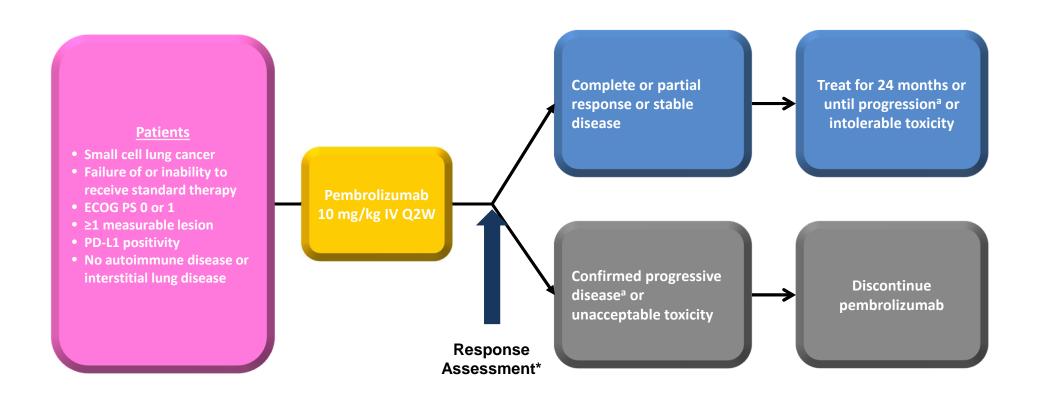
Programmed Death 1 (PD-1) and Pembrolizumab

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- Pembrolizumab is a high-affinity antibody against
 PD-1 that blocks its interaction with PD-L1 and PD-L2
 - Robust antitumor activity and manageable safety profile in multiple tumor types
 - Approved in several countries for the treatment of advanced melanoma
 - In development for ≥30 tumor types





KEYNOTE-028 (NCT02054806): Phase 1b Multicohort Study of Pembrolizumab for PD-L1⁺ Advanced Solid Tumors



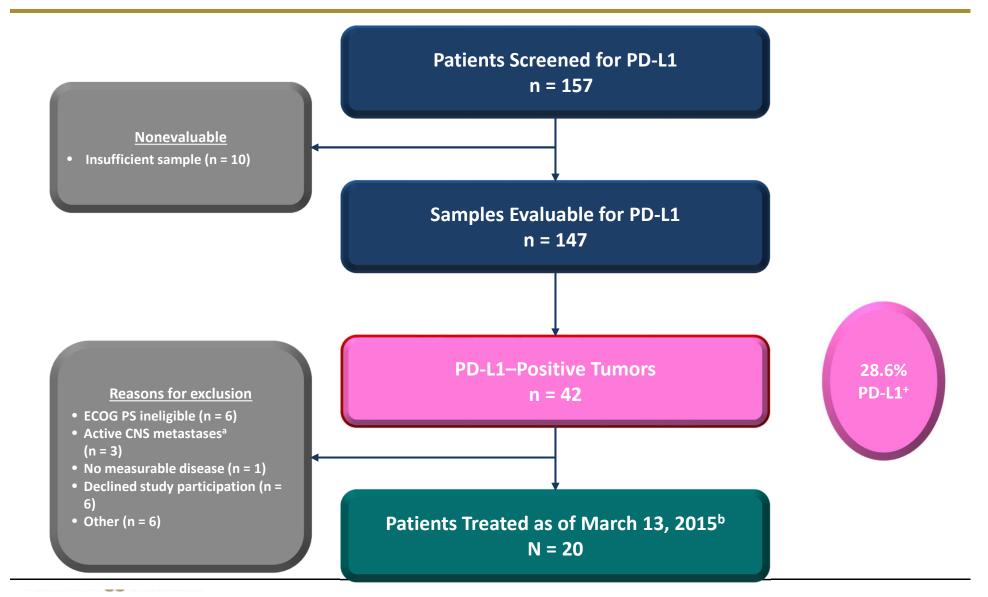
*Response assessment: Every 8 weeks for the first 6 months; every 12 weeks thereafter

Primary end points: ORR per RECIST v1.1 and safety **Secondary end points:** PFS, OS, duration of response

^alf clinically stable, patients are to remain on pembrolizumab until progressive disease is confirmed on a second scan performed ≥4 weeks later. Patients who experience progression may be eligible for up to 1 year of additional pembrolizumab if no other anticancer therapy is received.



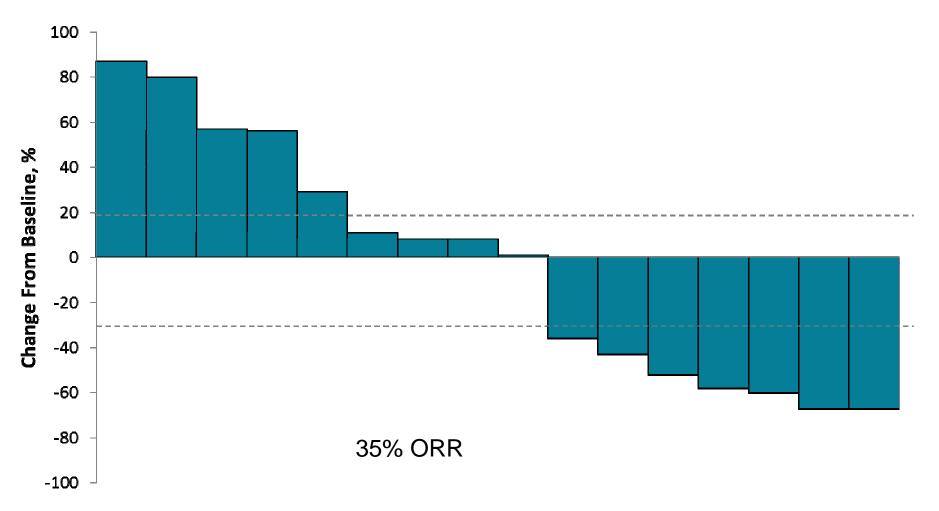
PD-L1 Screening: SCLC Cohort



^aPatients with CNS metastases that were stable for ≥4 weeks could enroll.

b1 additional patient was misenrolled and never treated. An additional 4 patients were enrolled and treated after the March 13, 2015, data cutoff date of this analysis.

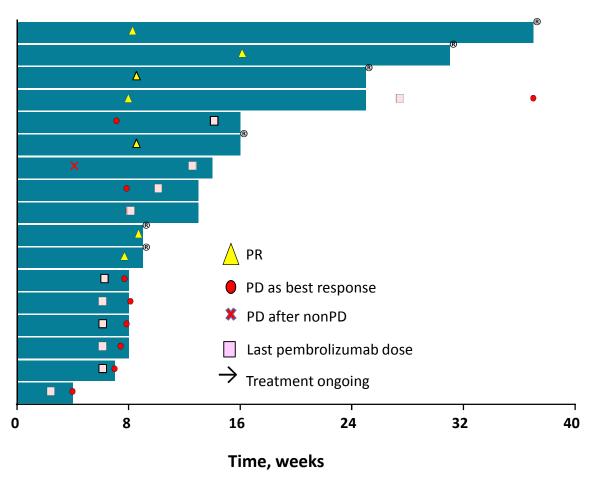
Change From Baseline in Tumor Size (RECIST v1.1, Investigator Review)



Only patients with ≥1 evaluable postbaseline tumor assessment are included (n = 16). Data cutoff date: March 13, 2015.



Treatment Exposure and Response Duration (RECIST v1.1, Investigator Review)



Time to response

Median: 8.6 weeks

• Range: 7.7-16.1 weeks

• Duration of response

• Median: 29.1 weeks

• Range: 0.1+ to 29.1 weeks

 6 of 7 responses ongoing at time of data cutoff

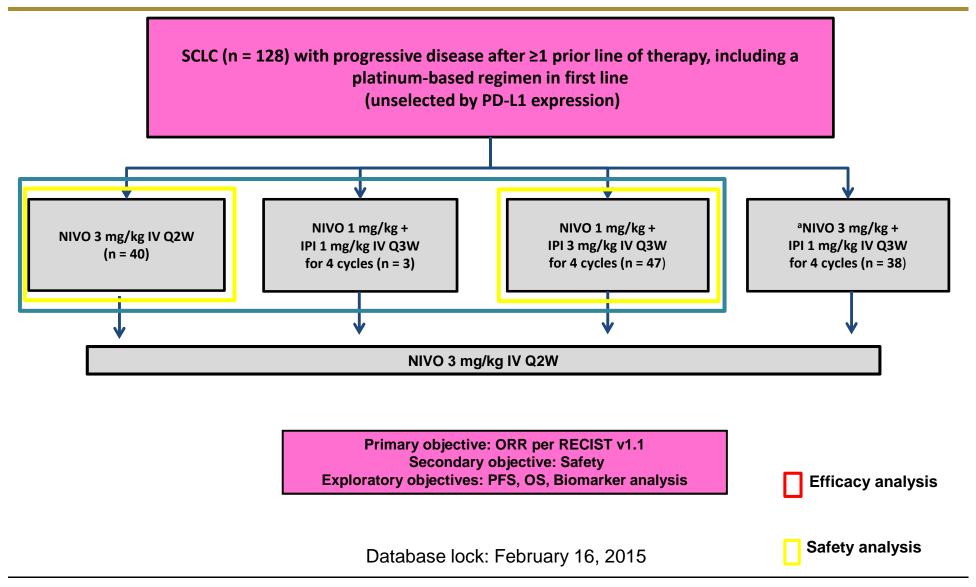
Bar length is equivalent to the time to the last imaging assessment. Includes patients with ≥1 postbaseline tumor assessment (n = 17). Data cutoff date: March 13, 2015.



Adverse Events of Special Interest

Event	n (%)	Resulted in Treatment Interruption
Rash ^a (all grade 1)	2 (10)	No
ALT/AST increased (grade 1)	1 (5)	No
Infusion-related reaction (grade 1)	1 (5)	No
Autoimmune thyroiditis (grade 2)	1 (5)	Yes
Colitis (grade 5)	1 (5)	Yes

CheckMate 032 Study Design



Summary of Clinical Activity

	NIVO (n = 40)	NIVO + IPI (n = 46ª)	
ORR, n (%)	7 (18)	8 (17.4)	
CR, n (%)	0	1 (2.2)	
PR, n (%)	7 (18)	7 (15.2)	
SD, n (%)	8 (20)	17 (37)	
DCR, n (%)	15 (38)	25 (54.3)	
PD, n (%)	21 (53) ^b	17 (37)	
Death prior to first response assessment, n (%)	4 (10)	3 (6.5) ^c	
Not evaluable (no tumor assessment follow-up)	0	1 (2.2) ^d	
Median time to objective response, months	1.6	2.1	
Median DOR, months (95% CI)	NR	6.9 (1.5, NR)	
Range	4.1-11+	1.5-11.1+	

DBL, database lock; NR, not reached.

- Of 17 pts with SD (NIVO + IPI), 7 pts had a PR confirmed after the database lock, resulting in an updated ORR of 32.6% for NIVO + IPI
- No additional responses occurred in the NIVO monotherapy arm after database lock



^aData combined for NIVO 1 + IPI 1 and NIVO 1 + IPI 3 cohorts. In the NIVO 1 + IPI 3 cohort, 4 pts had not reached first tumor assessment at DBL

b1 pt had PD in spine requiring surgery

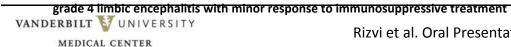
^c1 pt died due to unrelated AE, 1 pt died due to treatment-related myasthenia gravis, 1 pt died due to PD

^d1 pt had unrelated AE leading to permanent discontinuation and had no post baseline tumor assessment

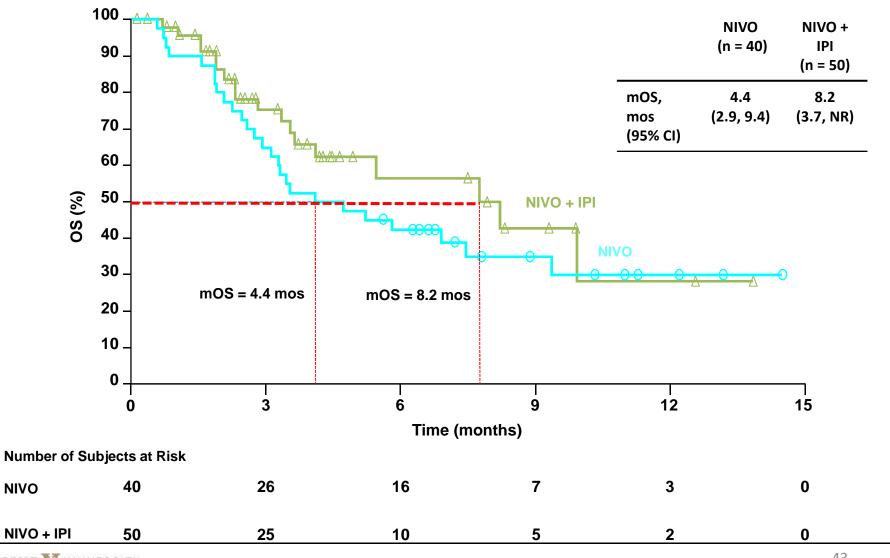
Treatment-related AEs in ≥5% Patients

	NIVO (n = 40) Any Grade, % Grade 3-4, %		NIVO1 + IPI3 (n = 47) Any Grade, % Grade 3-4, %	
Total TRAEs	53	15	77	34
Fatigue	18	3	21	0
Diarrhea	13	0	23	9
Nausea	10	0	13	2
Vomiting	3	0	9	4
Decreased appetite	10	0	4	0
Pruritus	8	0	19	2
Rash	3	0	21	4
Rash maculopapular	0	0	13	4
Hypothyroidism	5	0	15	0
Hyperthyroidism	3	0	13	0
AST increased	5	0	4	0
Amylase increased	3	3	6	2
Lipase increased	0	0	11	6
Pneumonitis	5	0	2	2

Limbic encephalitis of grade 2 occurred in 2 pts (NIVO, n = 1; NIVO 1 + IPI 3, n = 1) and resolved under immunosuppressive treatment. One pt (NIVO, n = 1) had



Overall Survival



Summary

- Anti-PD1 and PD-L1 antibodies have demonstrated promising results as second line therapy in NSCLC patients
 - Nivolumab is FDA approved as second line therapy in squamous cell lung cancer
 - Nivolumab nonsquamous trial was also positive for OS
 - Atezolizumab phase II data shows similar results
- PD-L1 expression predicts for response
 - But responses are seen in PD-L1 negative patients and not all PD-L1 positive patients are responding
- PD-L1 inhibitors are safe in combination with chemotherapy
- Anti-PD1 antibodies look promising in small cell lung cancer patients
- Length of therapy with immune checkpoint inhibitors in lung cancer patients needs to be established

