

# Immunotherapy for the Treatment of Head and Neck Cancer

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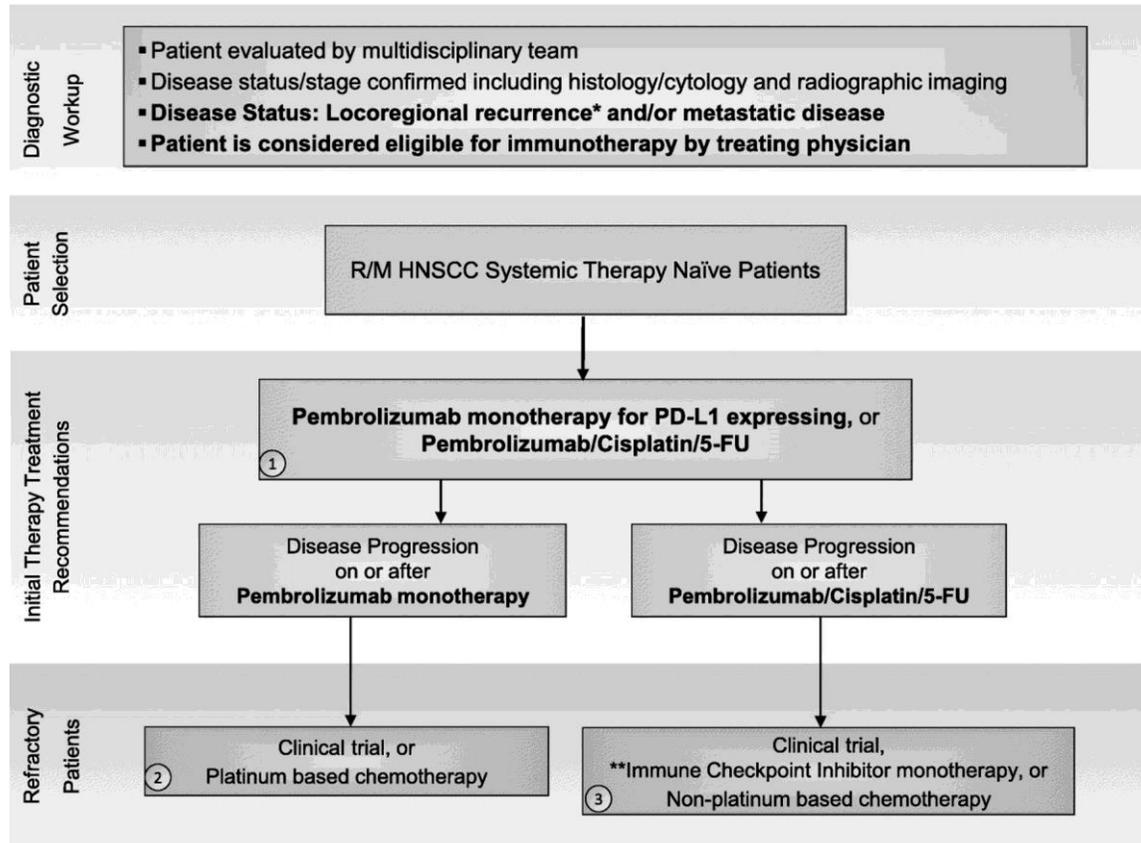
# Disclosures

- No conflicts of interest to disclose
- I will be discussing non-FDA approved indications during my presentation.

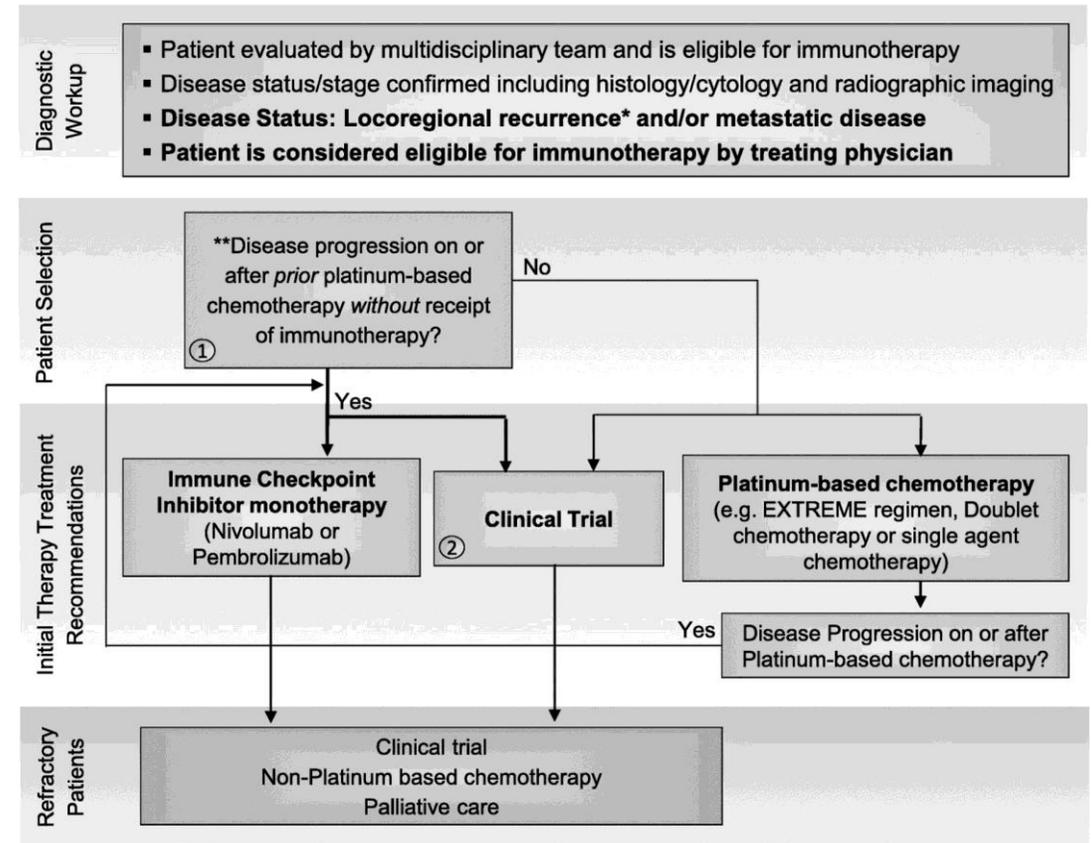
# Outline

- Approved immunotherapies in head and neck cancers
- Biomarkers and immunotherapy responsiveness
- Unique considerations for head and neck cancers
- Future directions

# Immunotherapy in head and neck cancer treatment



\*Locoregional recurrence without salvage surgical or radiation option or declines local therapies  
 \*\*Refer to Figure 2. Initial Therapy Treatment Recommendations: Immune Checkpoint Inhibitor monotherapy (nivolumab or pembrolizumab)



\*Locoregional recurrence without salvage surgical or radiation option or declines local therapies  
 \*\*Disease Progression on or after Platinum-Based Therapy: Disease progression on or after platinum-based therapy including within 6 months of platinum-based CRT given in the locally advanced setting. Patients that receive but cannot tolerate platinum-based chemotherapy would also be included in this category.  
 HNSCC: head and neck squamous cell carcinoma

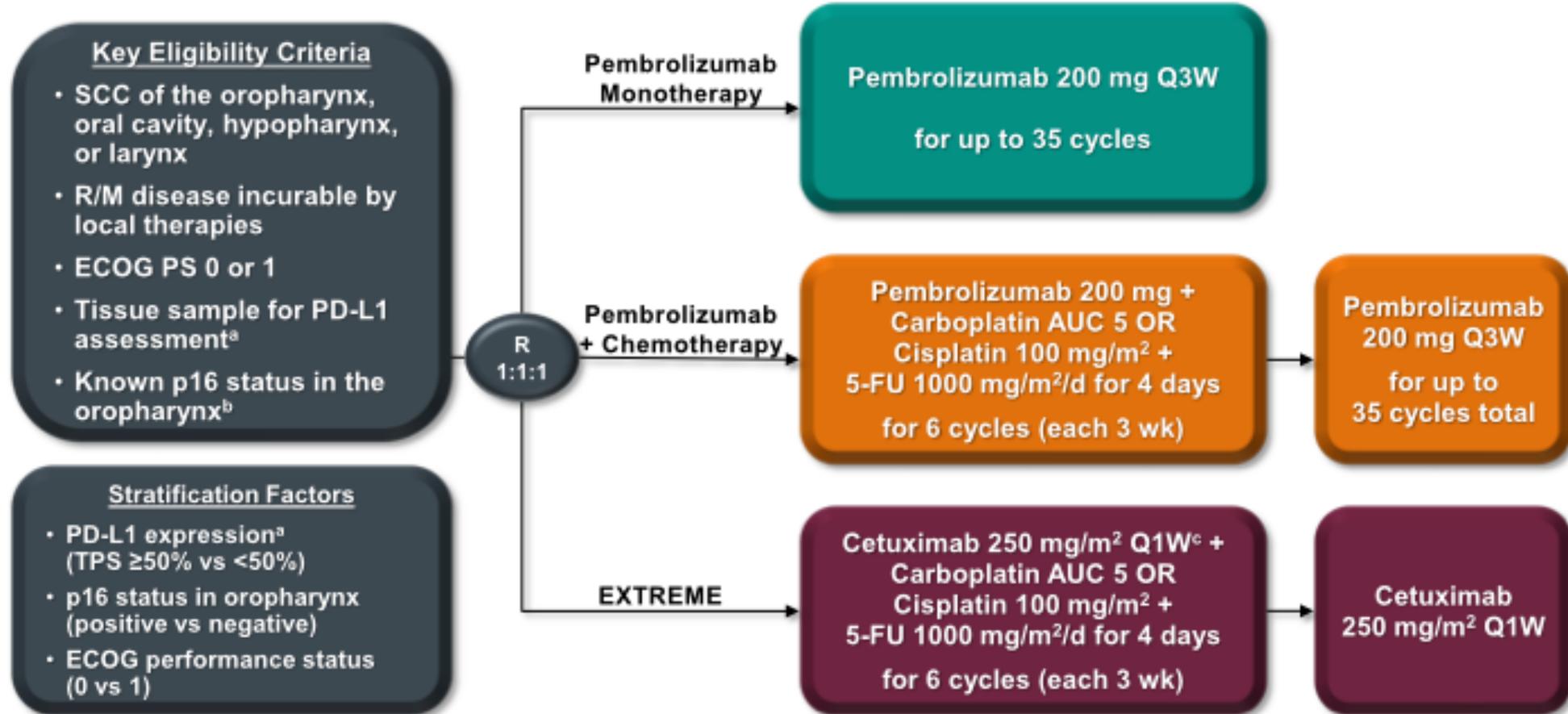
# Approved checkpoint inhibitors in head and neck cancers

Drug	Approved	Indication	Dose
Pembrolizumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	200 mg Q3W or 400 mg Q6W
Nivolumab	2016	Recurrent/metastatic HNSCC, progression on/after chemotherapy	240 mg Q2W or 480 mg Q4W
Pembrolizumab + platinum + fluorouracil	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – all patients	200 mg Q3W or 400 mg Q6W
Pembrolizumab	2019	Recurrent/metastatic HNSCC 1 <sup>st</sup> line – <b>PD-L1 CPS ≥ 1</b>	200 mg Q3W or 400 mg Q6W

# Clinical trials in HNSCC

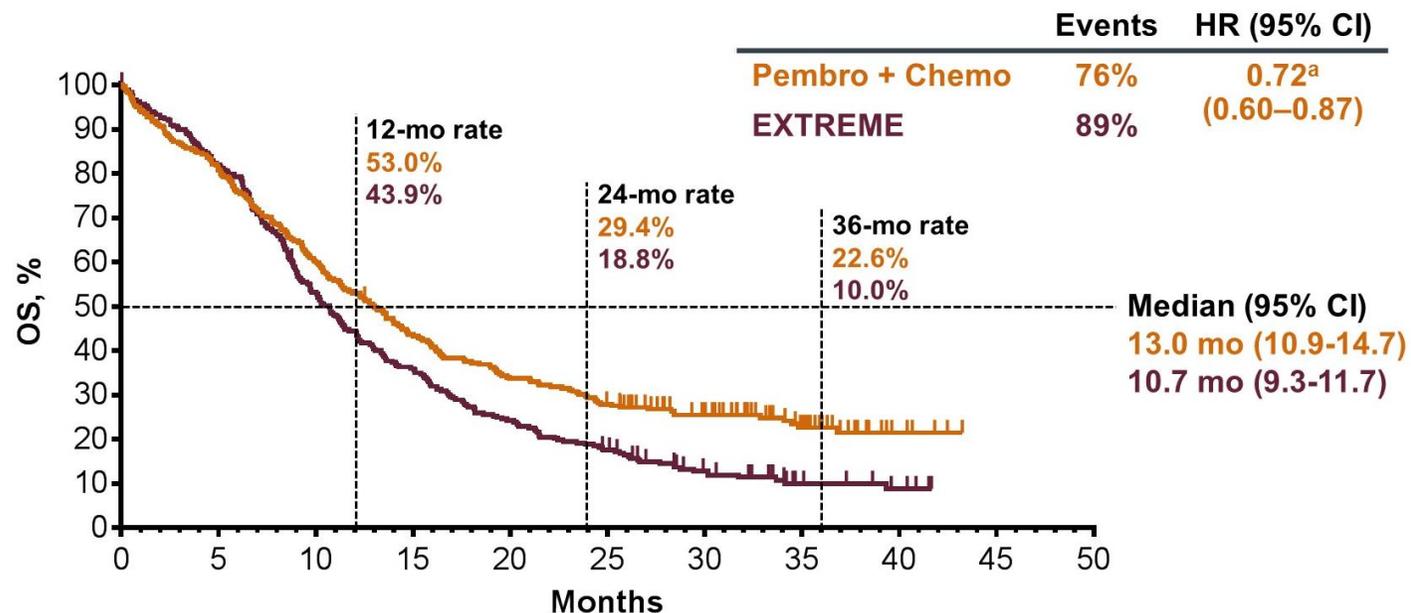
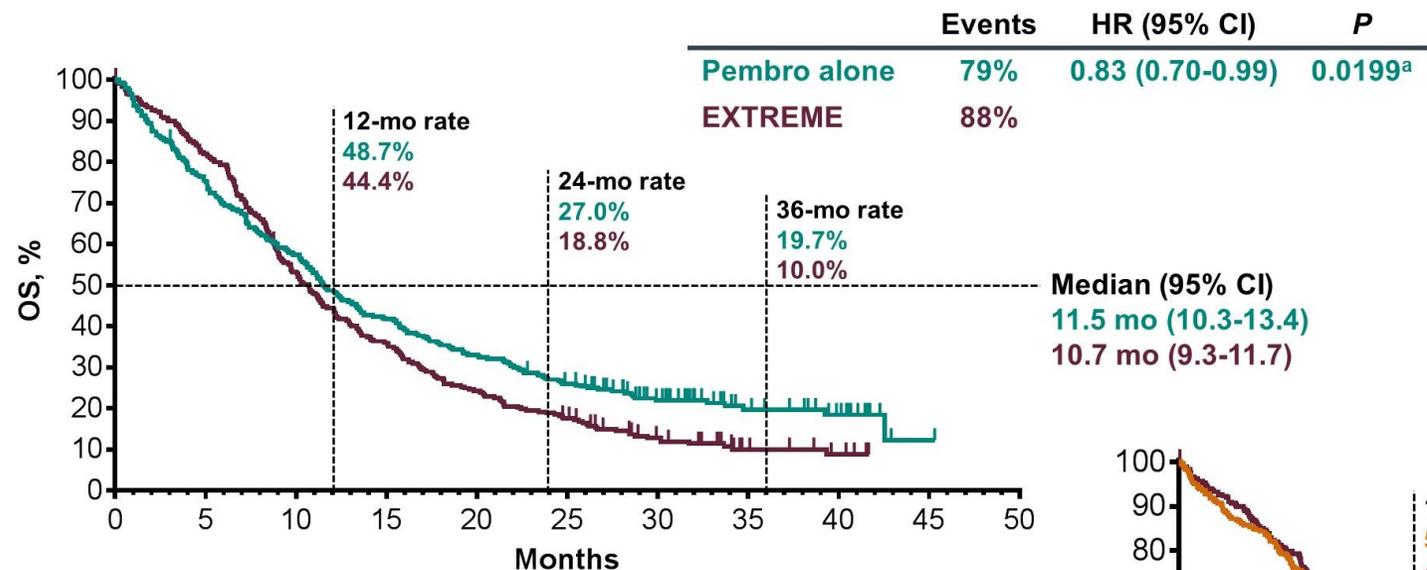
Trial	Patient selection criteria	Treatment arm(s)	N	ORR	Median PFS (months)	Median OS (months)
KEYNOTE-048	<b>Untreated</b> R/M HNSCC (total population)	Pembrolizumab	301	16.9%	2.3	11.5
		Pembrolizumab + chemo	281	36.0%	4.9	13.0
		Cetuximab + chemo	300	36.0%	5.2	10.7
KEYNOTE-012	R/M HNSCC	Pembrolizumab	192	18% (PD-L1+: 21%, PD-L1-: 6%)	2.1	8
CheckMate 141	R/M HNSCC with <b>progression on platinum</b>	Nivolumab	240	13.1% (PD-L1+: 17.7%, PD-L1-: 11.8%)	2.0	7.7
		Investigator's choice	121	5.8%	2.3	5.1
KEYNOTE-040	R/M HNSCC with <b>progression on platinum</b>	Pembrolizumab	247	14.6%	2.1	8.4
		Investigator's choice	248	10.1%	2.3	6.9

# KEYNOTE-048: Pembrolizumab +/- Chemotherapy in newly diagnosed R/M HNSCC



<sup>a</sup>Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression. <sup>b</sup>Assessed using the CINtec p16 Histology assay (Ventana); cutpoint for positivity = 70%. <sup>c</sup>Following a loading dose of 400 mg/m<sup>2</sup>.

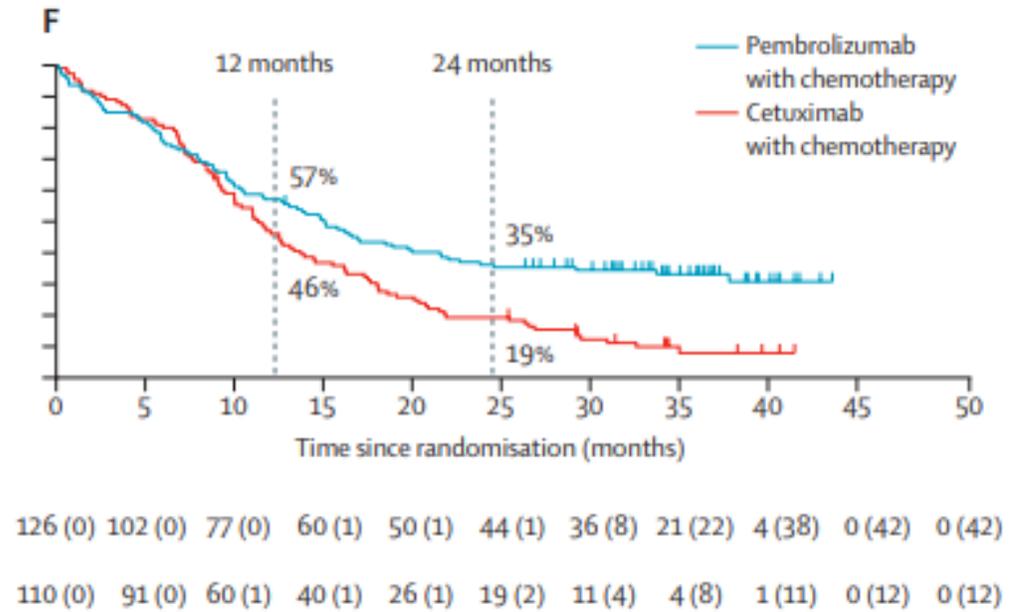
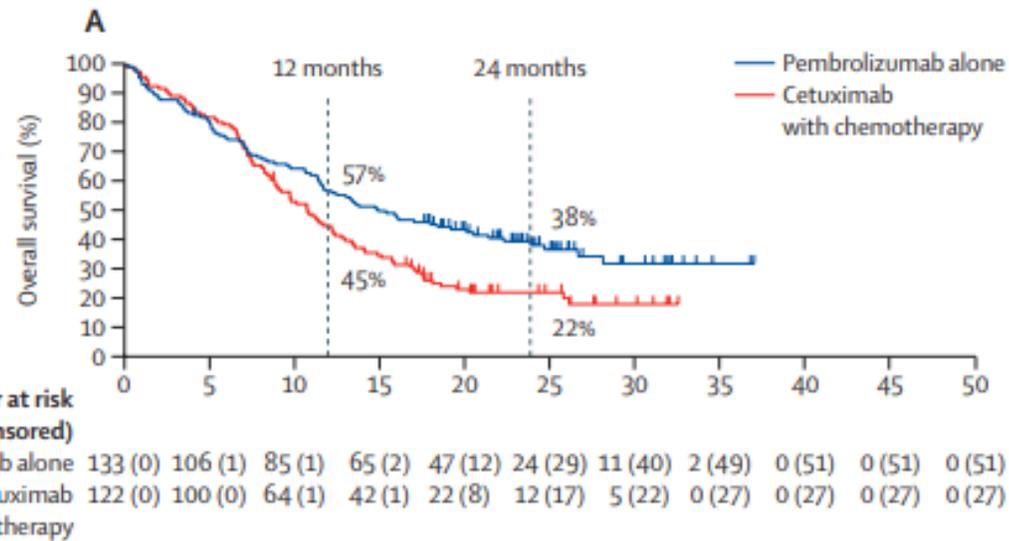
# KEYNOTE-048: Overall survival in the total population



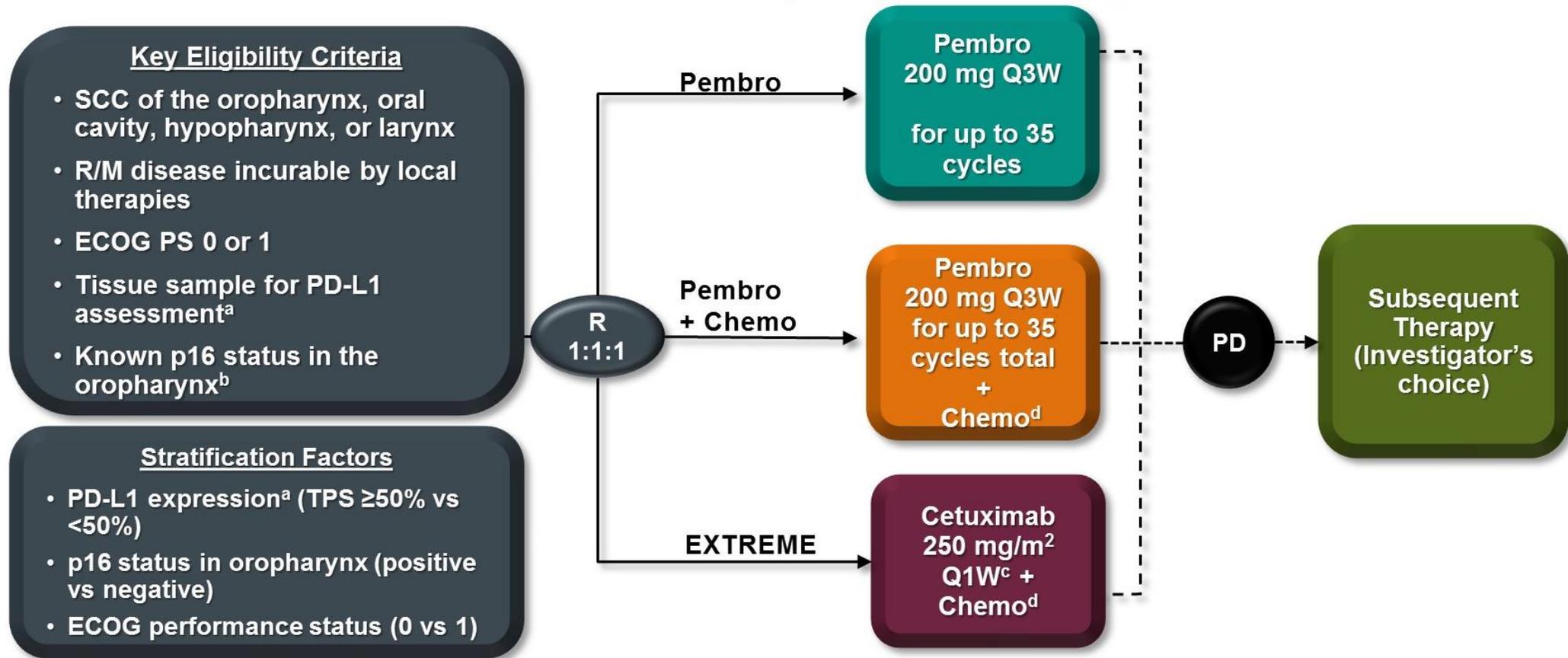
# KEYNOTE-048: Overall survival in the PD-L1 positive population

PD-L1 CPS  $\geq 1$

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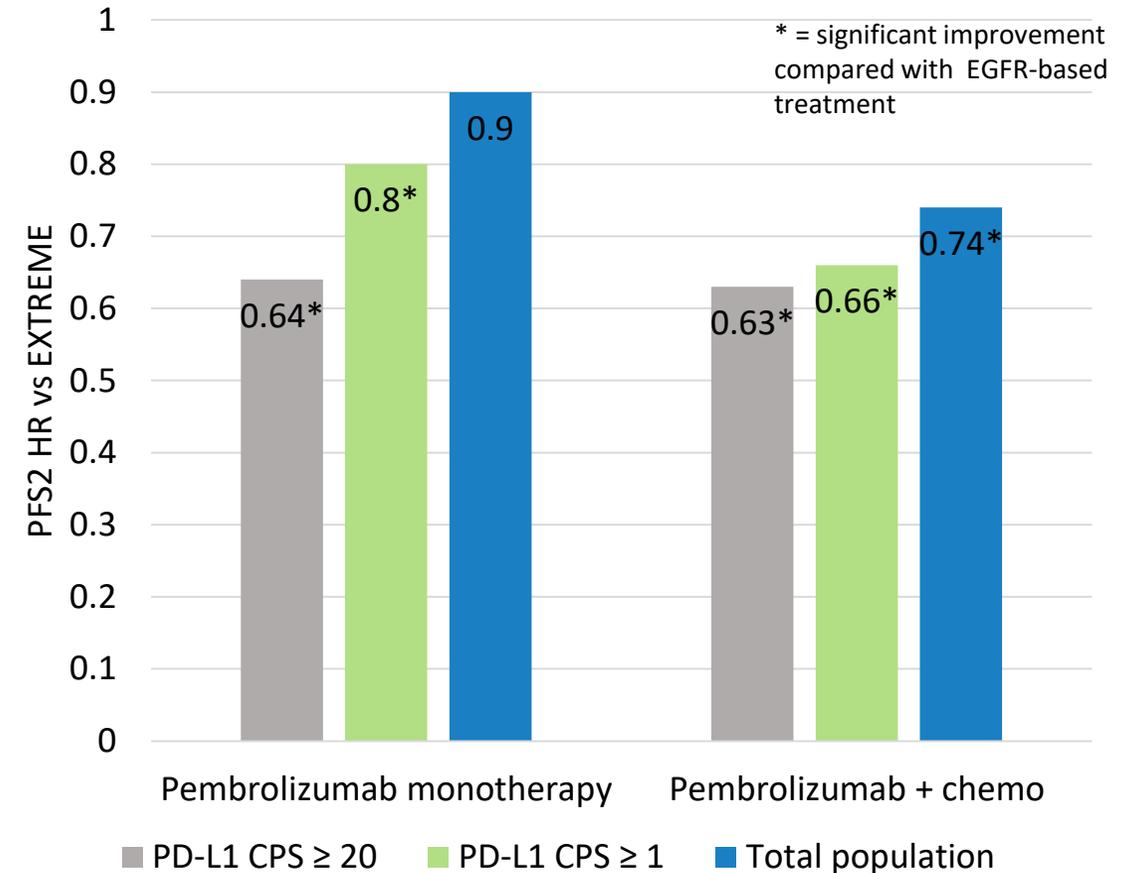


# KEYNOTE-048: Outcomes on subsequent therapy



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- After progression, most common next treatment was a chemotherapy regimen
- PFS2: Progression-free survival on second treatment (after progression on KEYNOTE-048 treatment)
- Benefits seen for patients who received pembrolizumab regimens up-front
- Provides support to use of immunotherapy in front-line setting



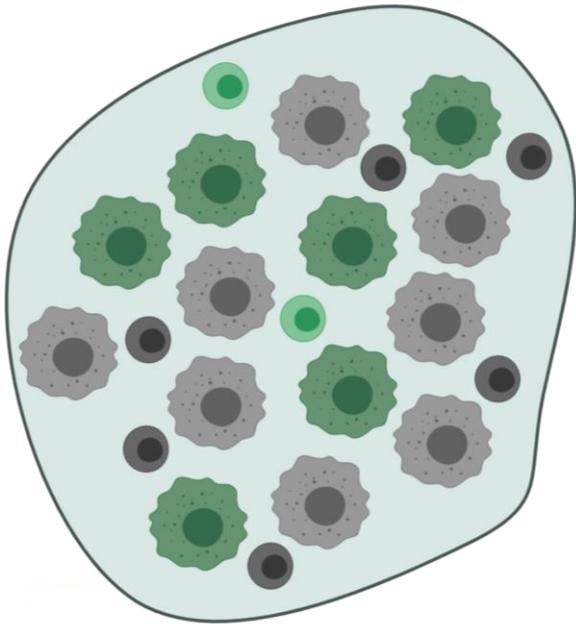
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# PD-L1: TPS vs CPS

$$TPS = \frac{\# \text{ of PD-L1 positive tumor cells}}{\text{number of viable tumor cells}} \times 100$$

$$CPS = \frac{\# \text{ of PD-L1 positive cells (tumor cells, lymphocytes, macrophages)}}{\text{total number of tumor and immune cells}} \times 100$$



-  PD-L1-positive immune cell
-  PD-L1-negative immune cell
-  PD-L1-positive tumor cell
-  PD-L1-negative tumor cell

$$TPS = \frac{6 \text{ positive tumor cells}}{14 \text{ total tumor cells}} \times 100 = 43$$

$$CPS = \frac{6 \text{ positive tumor cells} + 2 \text{ positive immune cells}}{22 \text{ total cells}} \times 100 = 36$$

# Impact of PD-L1 in HNSCC

## PD-L1 CPS

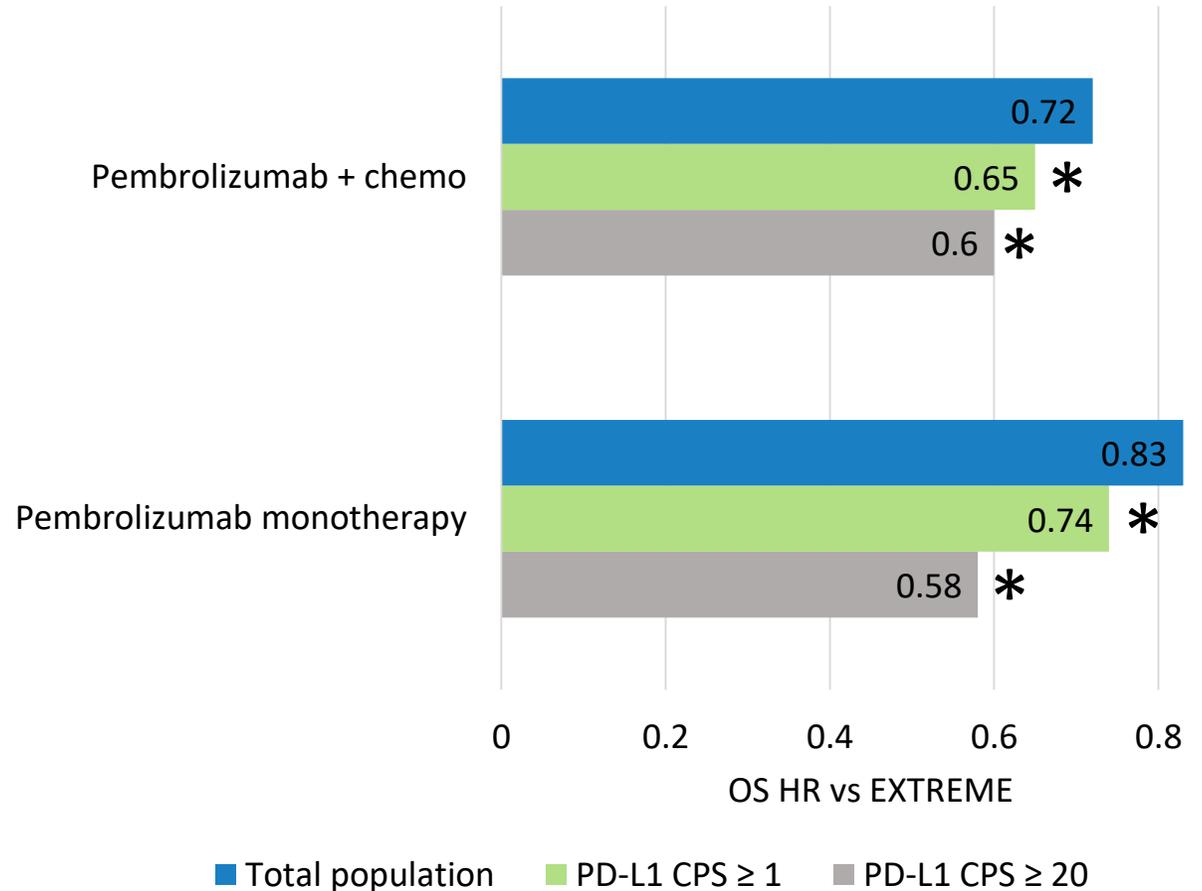
- KEYNOTE-048
  - First-line treatment
  - Approval of pembrolizumab monotherapy: CPS  $\geq 1$
- KEYNOTE-040
  - After platinum
  - Improved outcomes in PD-L1-positive patients (by CPS  $\geq 1$ ), no significance in total population

## PD-L1 TPS

- CheckMate 141
  - After platinum
  - Greatest benefit seen for PD-L1-positive tumors (TPS  $\geq 1\%$ ), but benefit regardless
- KEYNOTE-012
  - Second-line treatment
  - Higher response rate with PD-L1 CPS-positive tumors
  - No difference for PD-L1-positive tumors by TPS

# KEYNOTE-048: Outcomes by PD-L1 status

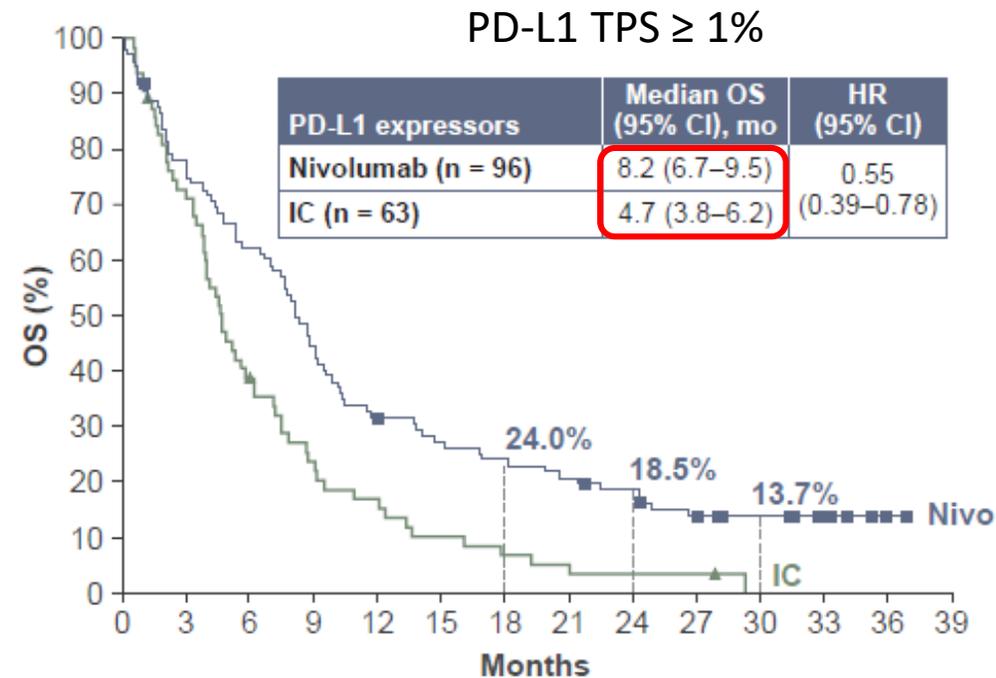
- Greatest benefits seen in tumors with highest PD-L1 expression
- Approval requires PD-L1 expression (CPS) only for monotherapy
- For total population, only pembrolizumab + chemotherapy should be considered, not monotherapy



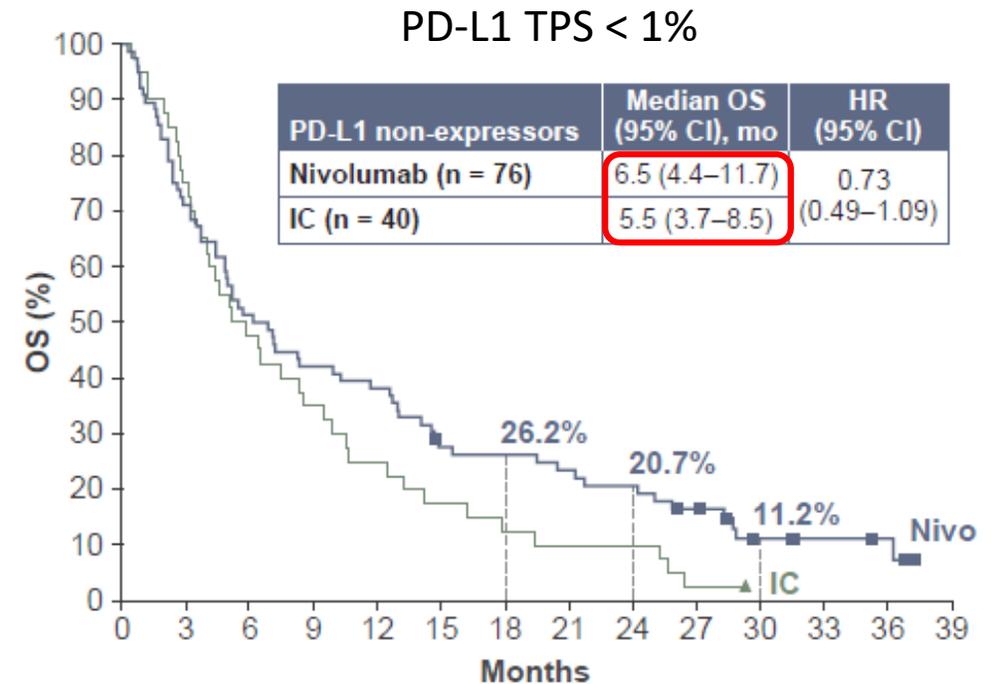
\*superiority statistically demonstrated at interim or final analysis

# CheckMate 141: Outcomes by PD-L1 status

## CheckMate 141: 2 year update



No. at risk		0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	96	74	59	42	30	25	22	19	16	11	8	5	1	0	
IC	63	45	24	14	10	6	4	3	2	2	0	0	0	0	



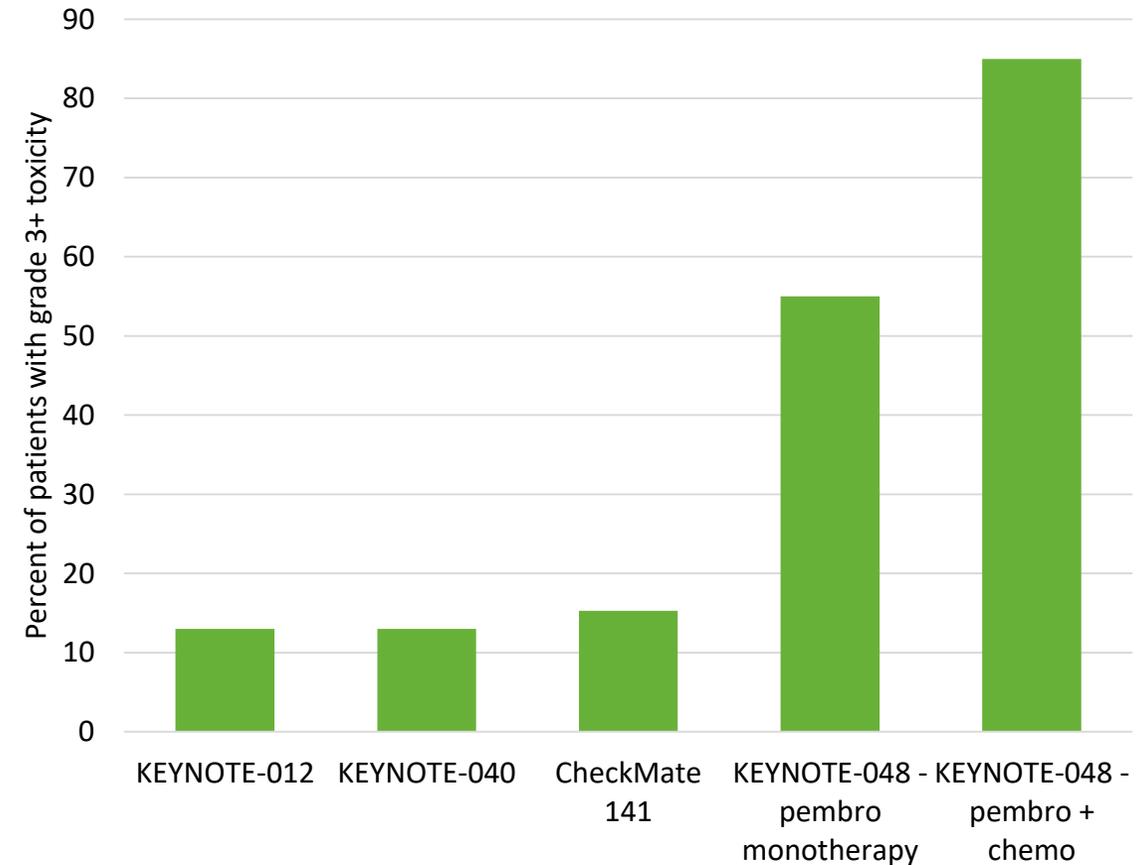
No. at risk		0	3	6	9	12	15	18	21	24	27	30	33	36	39
Nivo	76	54	39	32	29	20	19	17	15	11	5	4	3	0	
IC	40	30	19	14	10	7	5	4	4	1	0	0	0	0	

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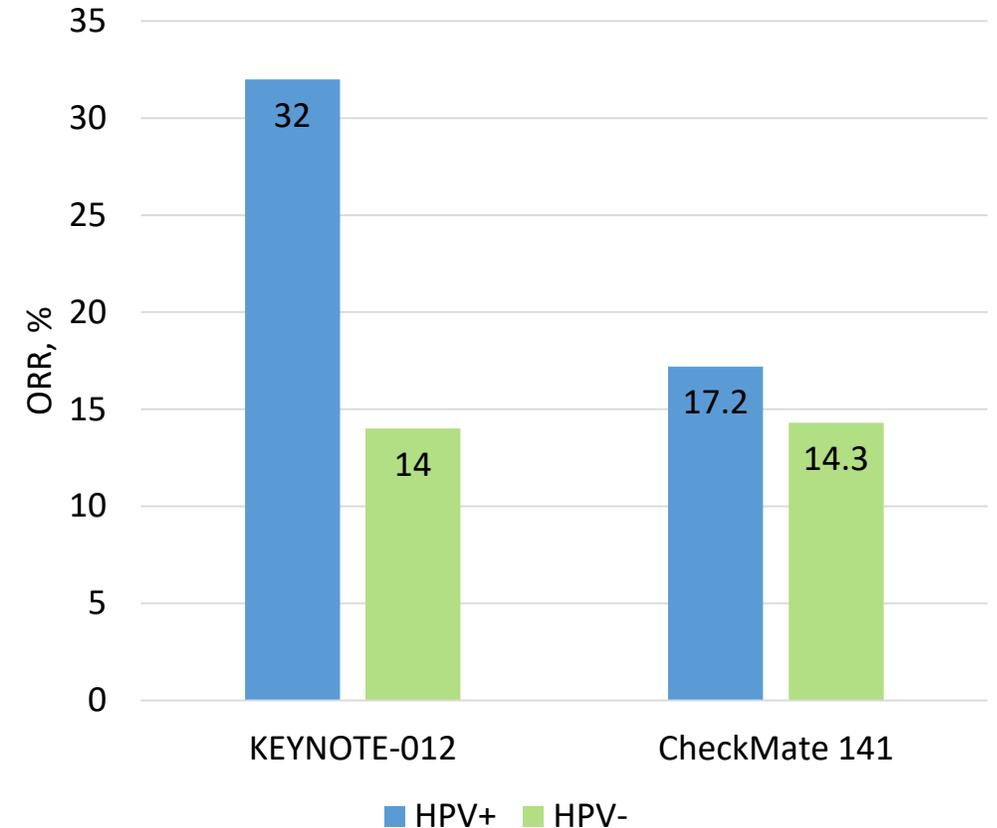
# Toxicities in head and neck cancer patients

- Patients typically receive aggressive radiation treatment, with accompanying side effects
- Radiation in combination with chemotherapy, immunotherapy and/or surgery can further complicate toxicity profiles
- While combinations may have higher response rates, also have higher toxicity rates



# Viral infections in HNSCC

- Virally-associated cancers are biologically and clinically distinct
  - Human papillomavirus associated with oropharynx cancer
  - Epstein Barr virus associated with nasopharyngeal cancer
- Evidence that HPV+ tumors may perform better, but there is benefit with immunotherapy regardless of HPV status



# Combination immune checkpoint inhibition in HNSCC – *limited success to date*

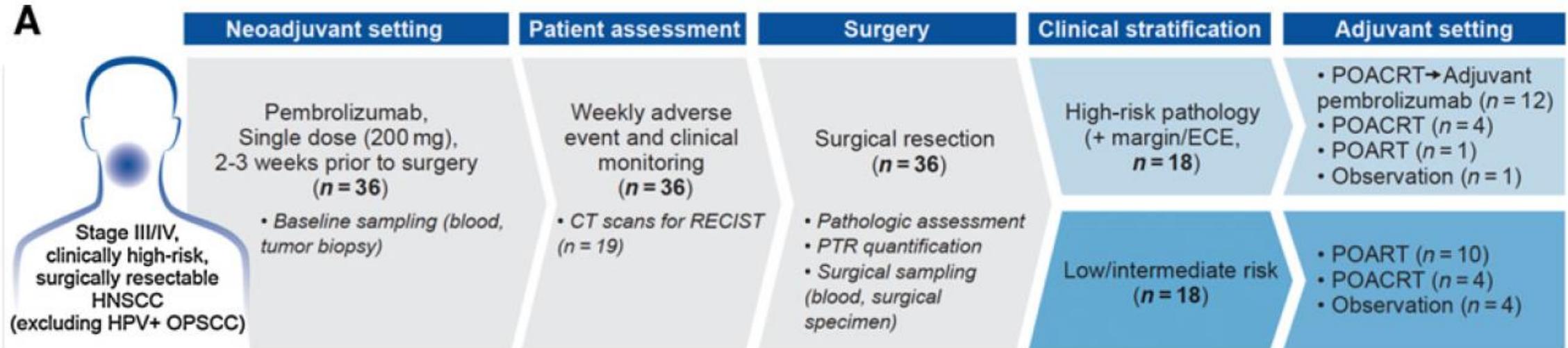
Trial	Patient population	Treatment arms	ORR	Median OS (months)	Landmark OS
EAGLE	R/M HNSCC after platinum	Durvalumab	17.9%	7.6	24-months: 18.4%
		Durvalumab + tremelimumab	18.2%	6.5	24-months: 13.3%
		SoC	17.3%	8.3	24-months: 10.3%

Trial	Patient population	Treatment arms	Expected study completion
KESTREL	Untreated HNSCC	Durvalumab	February 2021
		Durvalumab + tremelimumab	
		SoC	
CheckMate 714	Platinum-refractory HNSCC	Nivolumab + ipilimumab	January 2024
		Nivolumab	
CheckMate 651	Untreated HNSCC	Nivolumab + ipilimumab	February 2026
		EXTREME regimen	

# Outline

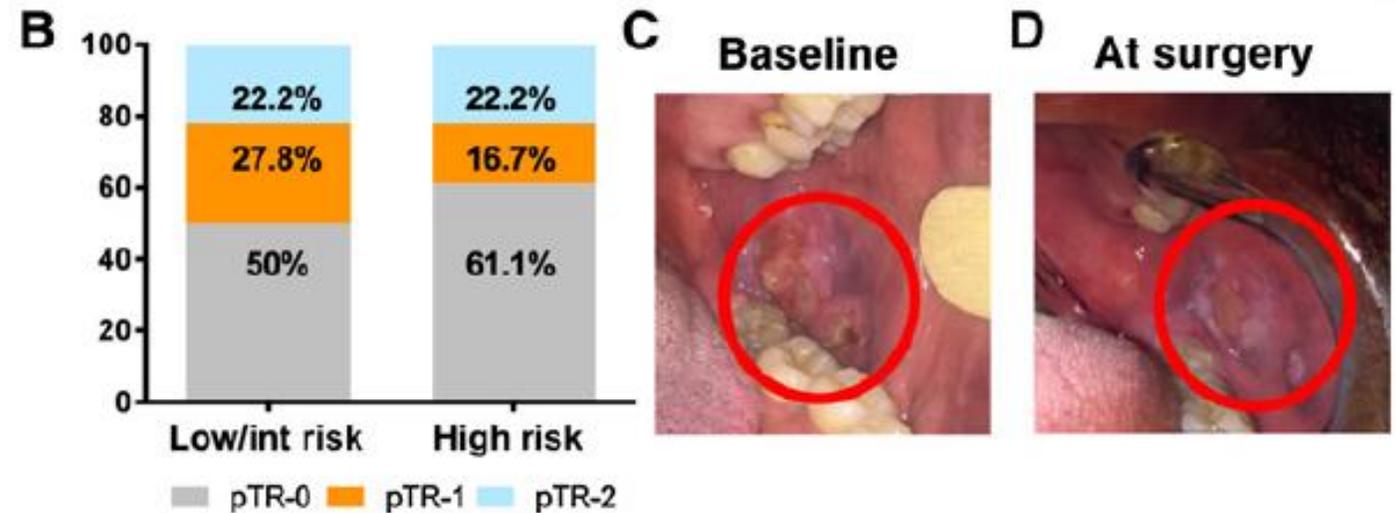
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# In development: Oral cavity cancer



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- No serious AEs or unexpected surgical complications/delays
- pTR-2: 22%
- pTR-1: 22%
- pTR-0: 22%
- 1-year relapse rate: 16.7%



# In development: Checkpoint inhibitors + radiotherapy as primary therapy

- NCT03247712: neoadjuvant nivolumab + SBRT
  - Phase I
  - Decreased tumor size prior to surgery; high pathologic CR rate
- KEYNOTE-412: pembrolizumab + chemoradiation
  - Phase III
  - Safety confirmed, estimated completion 2021
- JAVELIN Head and Neck 100: avelumab + chemoradiation
  - Phase III trial terminated in early 2020, due to likelihood of limited efficacy
- REACH: avelumab + cetuximab + radiotherapy
  - Phase III
  - Safety confirmed, estimated completion 2027

# In development: cetuximab + pembrolizumab for recurrent metastatic disease

- Cetuximab and pembrolizumab are both approved as monotherapies for HNSCC
- Phase II trial testing cetuximab + pembrolizumab:
  - Platinum refractory or ineligible disease
  - ORR: 45%
  - Median OS: 18.4 months
  - Safety profile consistent with individual drugs

# In development: Selected ongoing combination trials

Trial	Patient population	Treatment arms	Targets	Expected study completion
LEAP-010	Untreated recurrent/metastatic PD-L1+ HNSCC (CPS $\geq$ 1)	Pembrolizumab + lenvatinib	PD-1 + multikinase inhibitor	April 2024
		Pembrolizumab	PD-1	
INDUCE-3	Untreated recurrent/metastatic PD-L1+ HNSCC (CPS $\geq$ 1)	Pembrolizumab + GSK609	PD-1 + ICOS	July 2023
		Pembrolizumab	PD-1	
NCT02643550	HNSCC after 1-2 therapies, including progression on Pt	Monalizumab + cetuximab	NKG2A + EGFR	Phase 1/2: 2021 Phase 3: planned

# Conclusions

- Cytotoxic chemotherapy achieves limited survival in HNSCC with unfavorable side effects.
- Checkpoint inhibitors that target the PD-1 axis, nivolumab and pembrolizumab, are approved in platinum-refractory/exposed recurrent/metastatic HNSCC.
- Nivolumab and pembrolizumab are in general better tolerated than cytotoxic chemotherapy.
- Ongoing areas of research include combinations of immunotherapy with radiation and/or other drugs and development of predictive biomarkers.

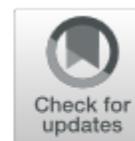
Cohen *et al.* *Journal for Immunotherapy of Cancer* (2019) 7:184  
<https://doi.org/10.1186/s40425-019-0662-5>

Journal for Immunotherapy  
of Cancer

**POSITION ARTICLE AND GUIDELINES**

**Open Access**

## The Society for Immunotherapy of Cancer consensus statement on immunotherapy for the treatment of squamous cell carcinoma of the head and neck (HNSCC)



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Robert L. Ferris<sup>8\*</sup>

# Case Studies

# Case Study 1

RM is a 58 YOM with a 20 pack year smoking history who presented to his PCP with bilateral neck masses. Patient underwent a CT scan demonstrating bilateral cystic necrotic lymph nodes measuring 3.3 cm on the left and 2.1 cm on the right followed by a biopsy.

# Case Study 1

Diagnosis: Stage II (cT2N2) L tonsil squamous cell carcinoma, p16+

Treatment: Patient underwent resection (positive margins) followed by concurrent radiation and chemotherapy (cisplatin 100mg/m<sup>2</sup> IV) for 3 cycles

# Case Study 1

Follow up: 3 month scan shows recurrent disease that is unresectable.

Plan: Pembrolizumab 200 mg IV every 3 weeks

## Case Study 2

TS is a 65 YOM who presented to the ED with increasing dyspnea and pain with swallowing. He has a 30-pack year smoking history as well as significant alcohol use. TS also reports an unintentional 20 lb weight loss in the last 6 months. CT neck demonstrated 2.8 cm soft tissue mass of the vocal cord and supraglottis with extension into the preepiglottic space, additionally enlarged left level 3 cervical lymph node measuring 3.6 cm (with concern for extranodal extension), and a 1 cm left level 2 lymph node. Patient is otherwise healthy with a PS of 0. PD-L1 25%

Diagnosis: Stage IVA laryngeal squamous cell carcinoma



## Case Study 2

What is the most appropriate treatment for TS at this time?

- A. Nivolumab
- B. Gemcitabine and Cisplatin
- C. Pembrolizumab/cisplatin/fluorouracil
- D. Cetuximab

## Case Study 2

TS presents for a 6 month follow up appointment where he complains of extreme fatigue and additional weight loss due to trouble eating and drinking. His scans show a pulmonary nodule indicating disease progression. His PS has declined and disease is unresectable.

## Case Study 2

What is the most appropriate treatment for TS at this time?

- A. Nivolumab
- B. Cetuximab/Cisplatin/5FU
- C. Afatinib
- D. Carboplatin/Docetaxel