

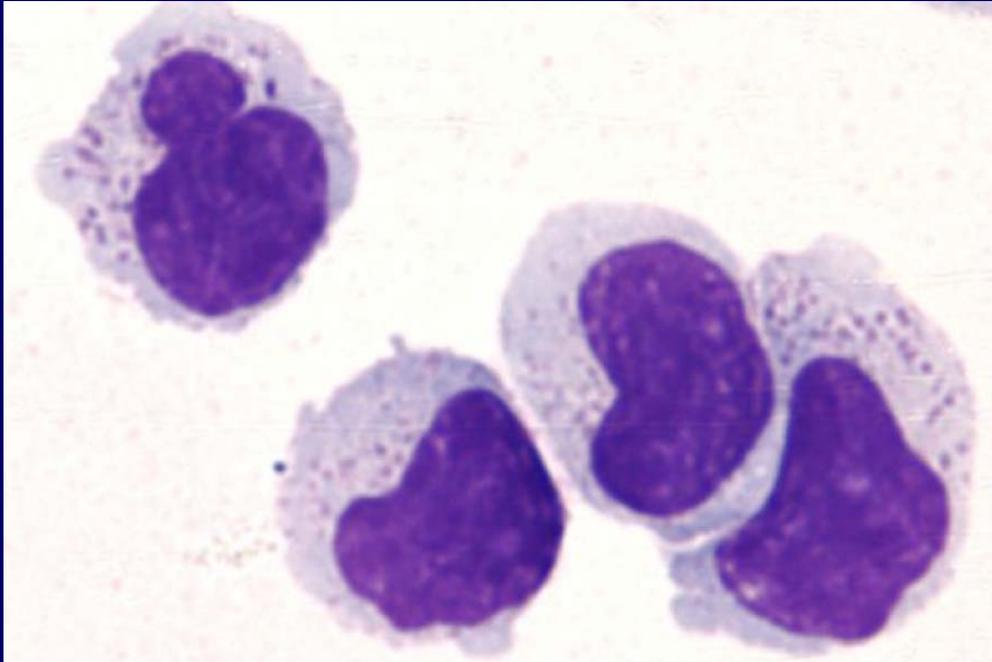
# Natural Killer cells and Hematopoietic Stem Cell Transplantation

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# NK cells are important



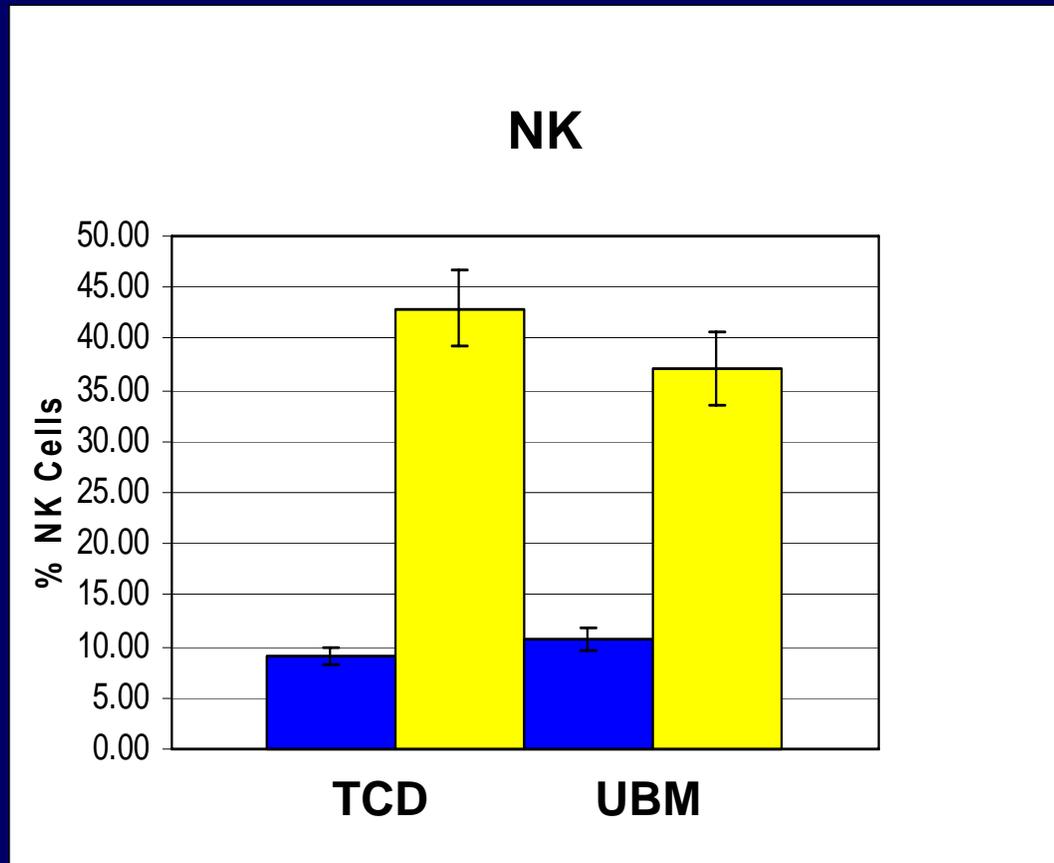
- Cancer treatment and tumor surveillance
- Infection disease control
- Autoimmunity
- Pregnancy (placental angiogenesis)

## NK cell functions

- Killing targets
- Produce cytokines
  - Interferon- $\gamma$
  - Tumor necrosis factor
  - Many others

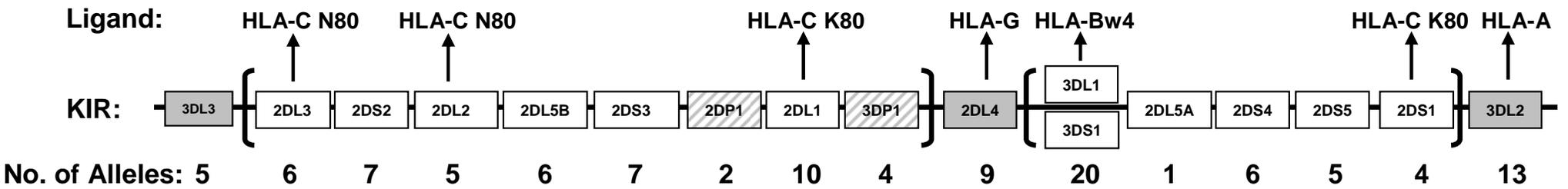
# NK cells after transplant are increased

**■ = Normal DONOR**  
**■ = RECIPIENT**



Cooley et al  
Blood 106:4370,  
2005

# Chr. 19 determines the personality of NK cells: Killer-immunoglobulin receptor (KIR) gene locus

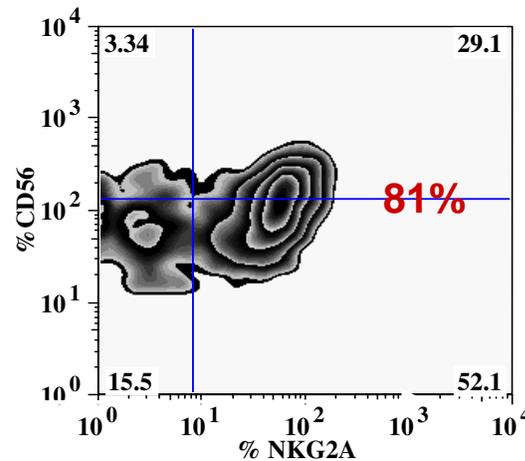
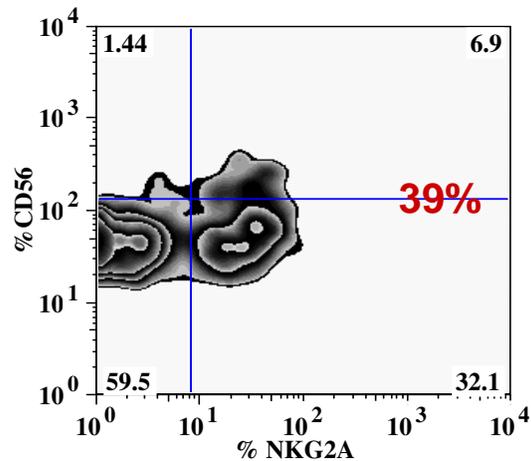
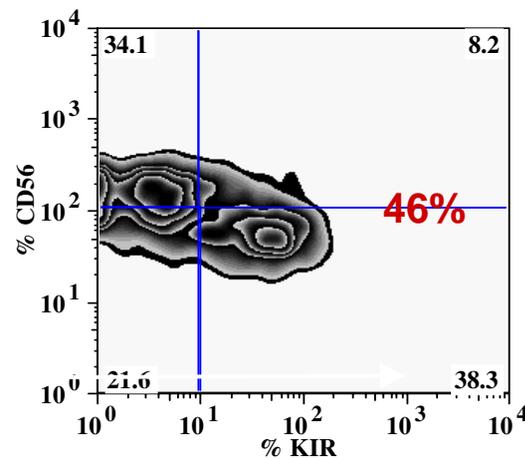
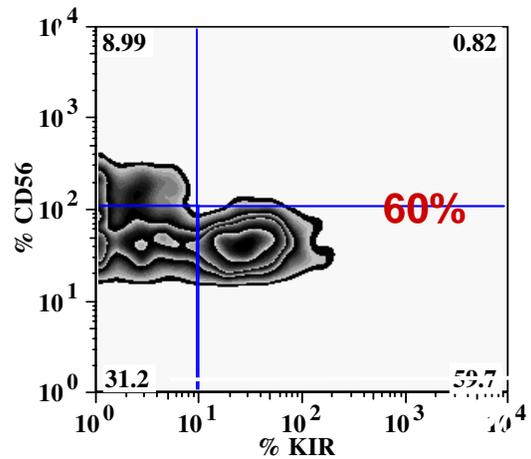


NKG2 family recognizes HLA-E

# NK cells are very different after URD HCT

Donor

Recipient



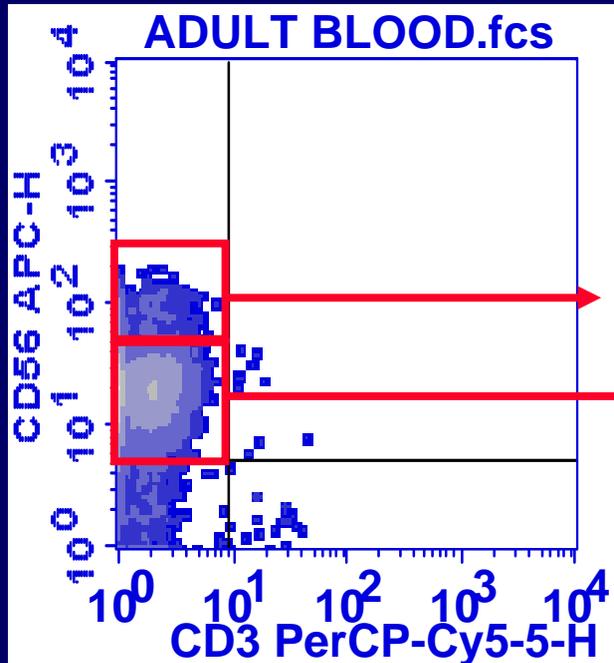
Recipients have

- < KIR
- > CD56<sup>bright</sup>
- > NKG2A
- < Function

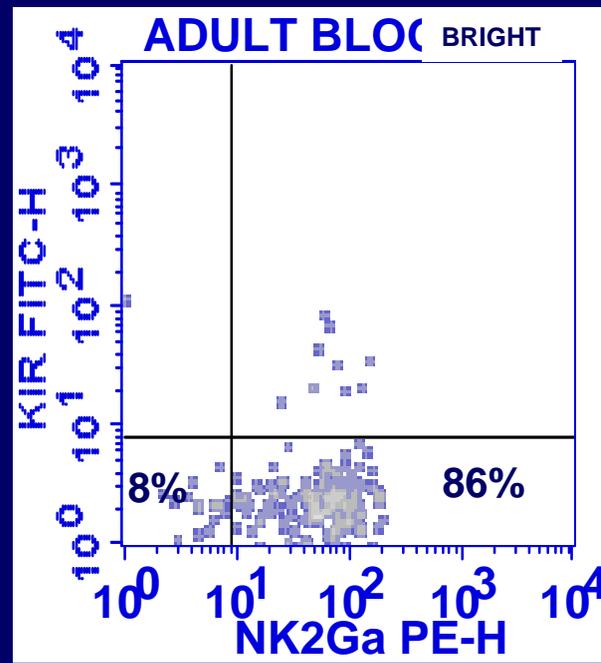
Cooley et al  
Blood 110:578, 2007.

# NKG2A/KIR expression distinguishes populations of CD56<sup>dim</sup> NK cells

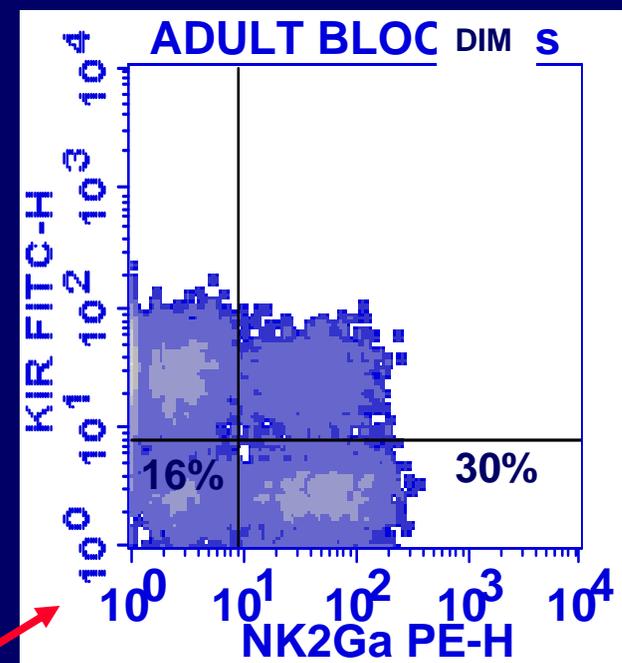
A



B



C

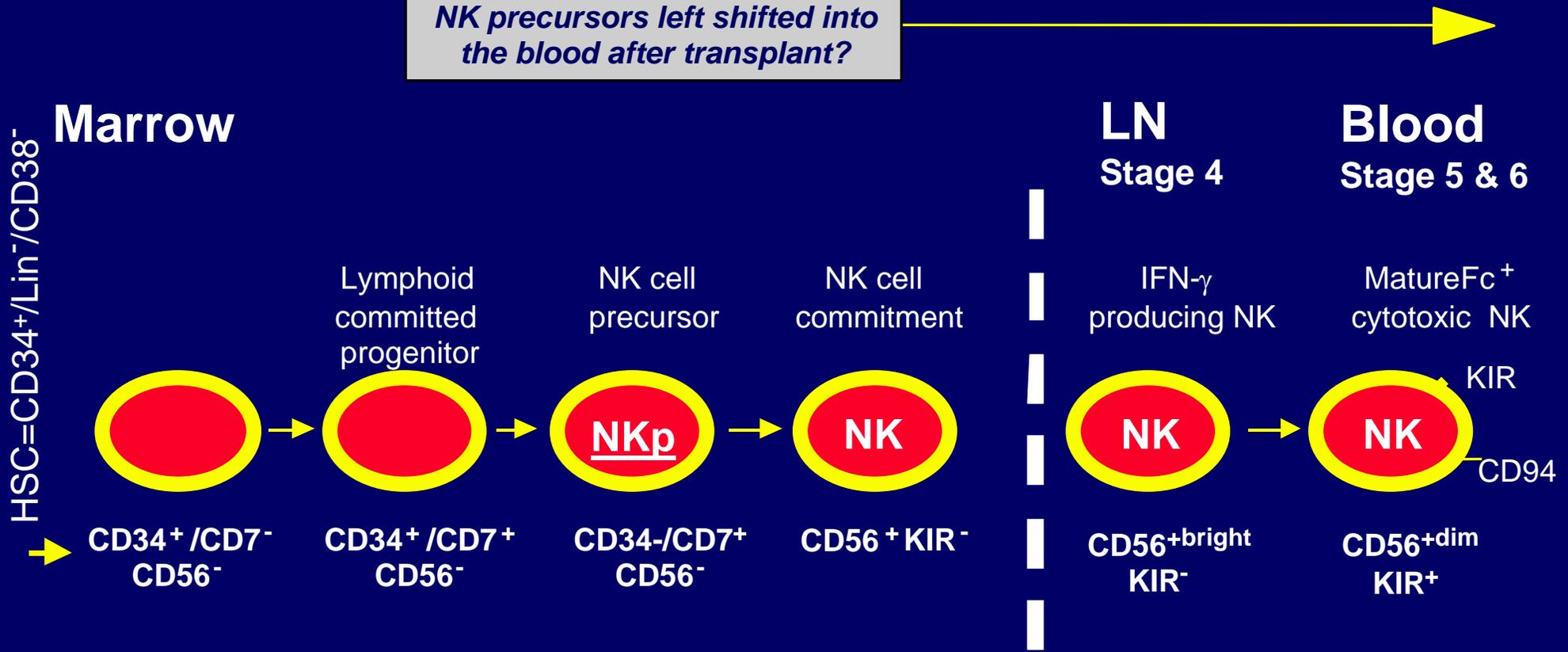


KIR-/NKG2A<sup>-</sup> subset:  $19.4 \pm 2.8\%$  of CD56<sup>dim</sup> NK cells healthy donors (n=26)

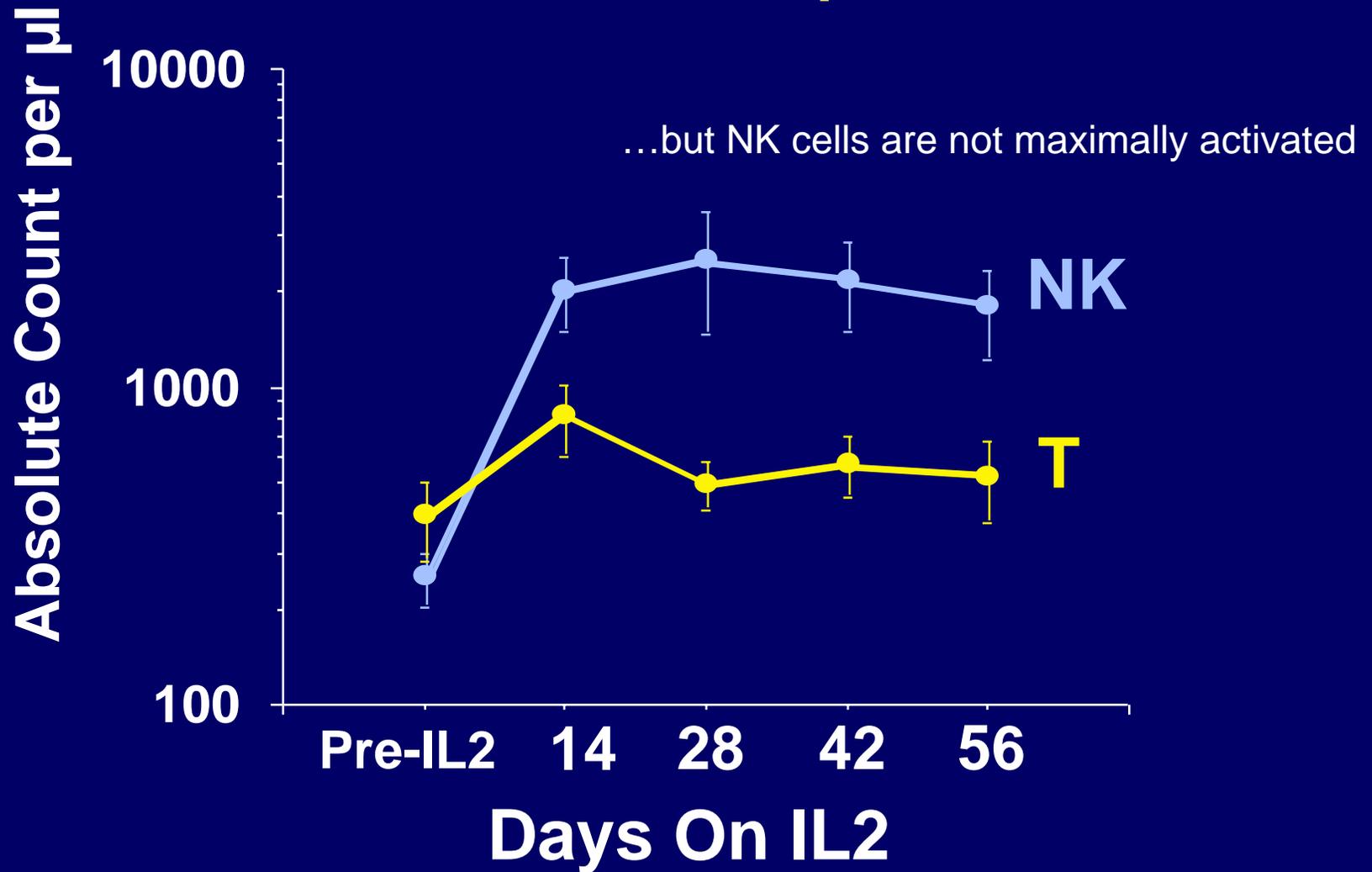
These cells do not kill targets or make IFN, thus are hyporesponsive (immature)

# Hypothesized NK cell development schema

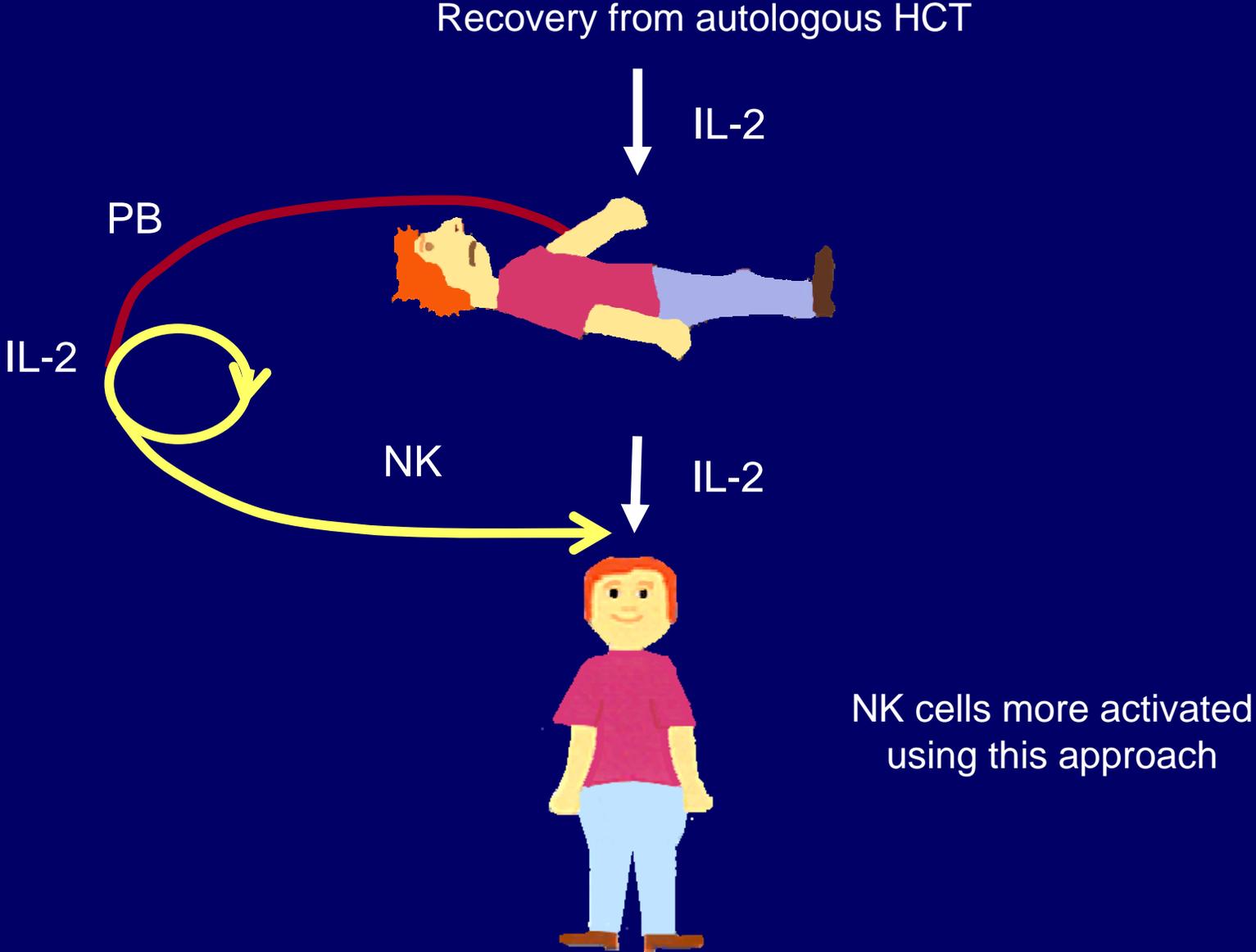
*NK precursors left shifted into the blood after transplant?*



# Outpatient subcutaneous IL-2 promotes in vivo NK cell expansion



# Autologous NK Administration in Cancer Patients



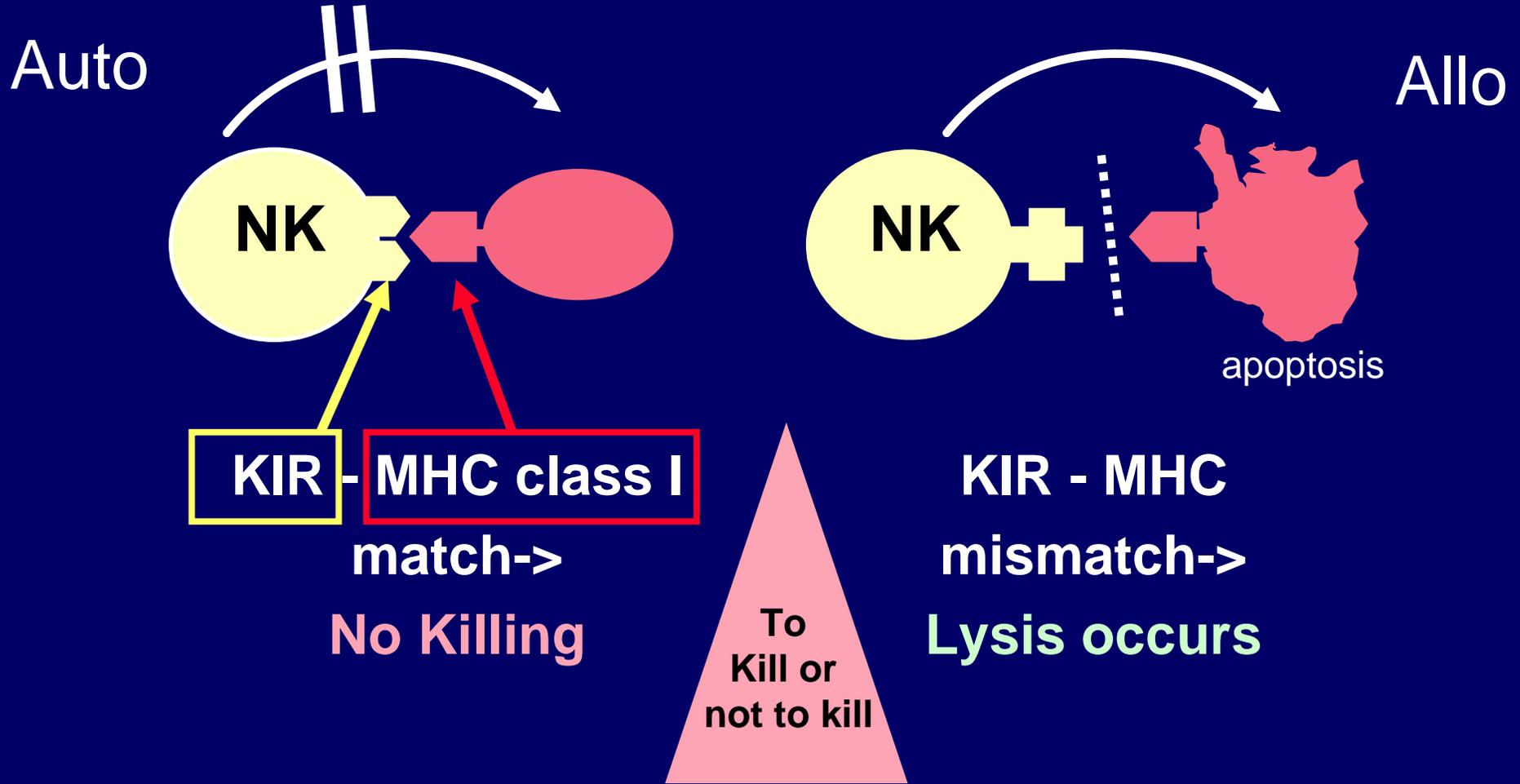
# **NK cell-based autologous Immunotherapy to prevent relapse (HD, NHL, BC)**

*Burns et al, Bone Marrow Transplant, 32:177-186, 2003*

## **Conclusions**

- ↕ **Enhanced activation of NK cells.**
- ↕ **A matched paired analysis with our data and data from the IBMTR showed no apparent efficacy (survival or time to disease progression).**

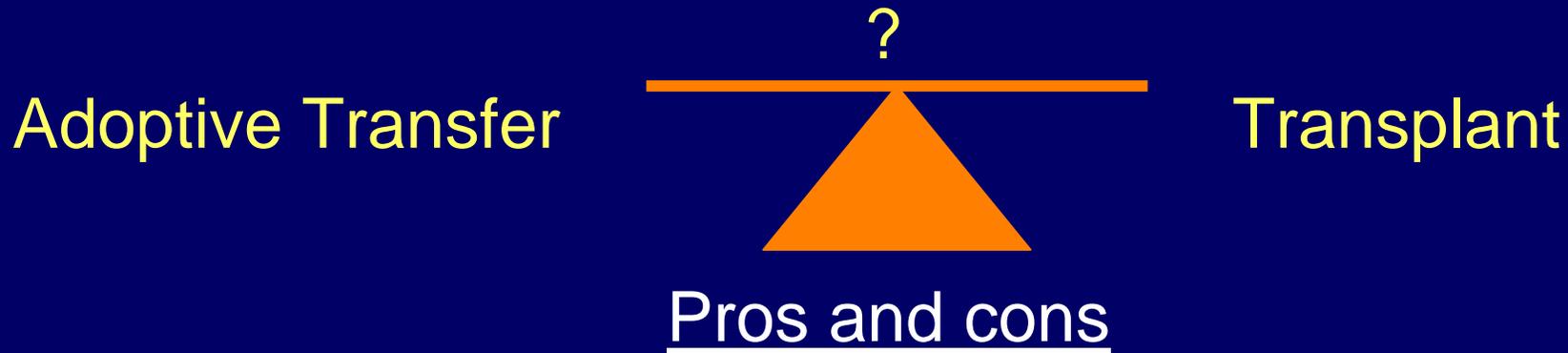
# Hypothesis: Autologous NK cell therapy failed due to inhibitory receptors that recognize MHC



# AML Transplant trials based on promoting NK cell alloreactivity

	Transplant	Graft	Outcome
Ruggeri <i>et al</i> Science 3/2002	Haploidentical KIR-L Mismatch	TCD	Benefit in AML
Davies <i>et al</i> Blood 11/2002	URD KIR-L Mismatch	UBM	No Benefit
Giebel <i>et al</i> Blood 8/2003	URD KIR-L Mismatch	<i>In Vivo</i> TCD	Benefit

# How can we best exploit NK cells?



Safer

Transient

Can expand in vivo (IL-2)

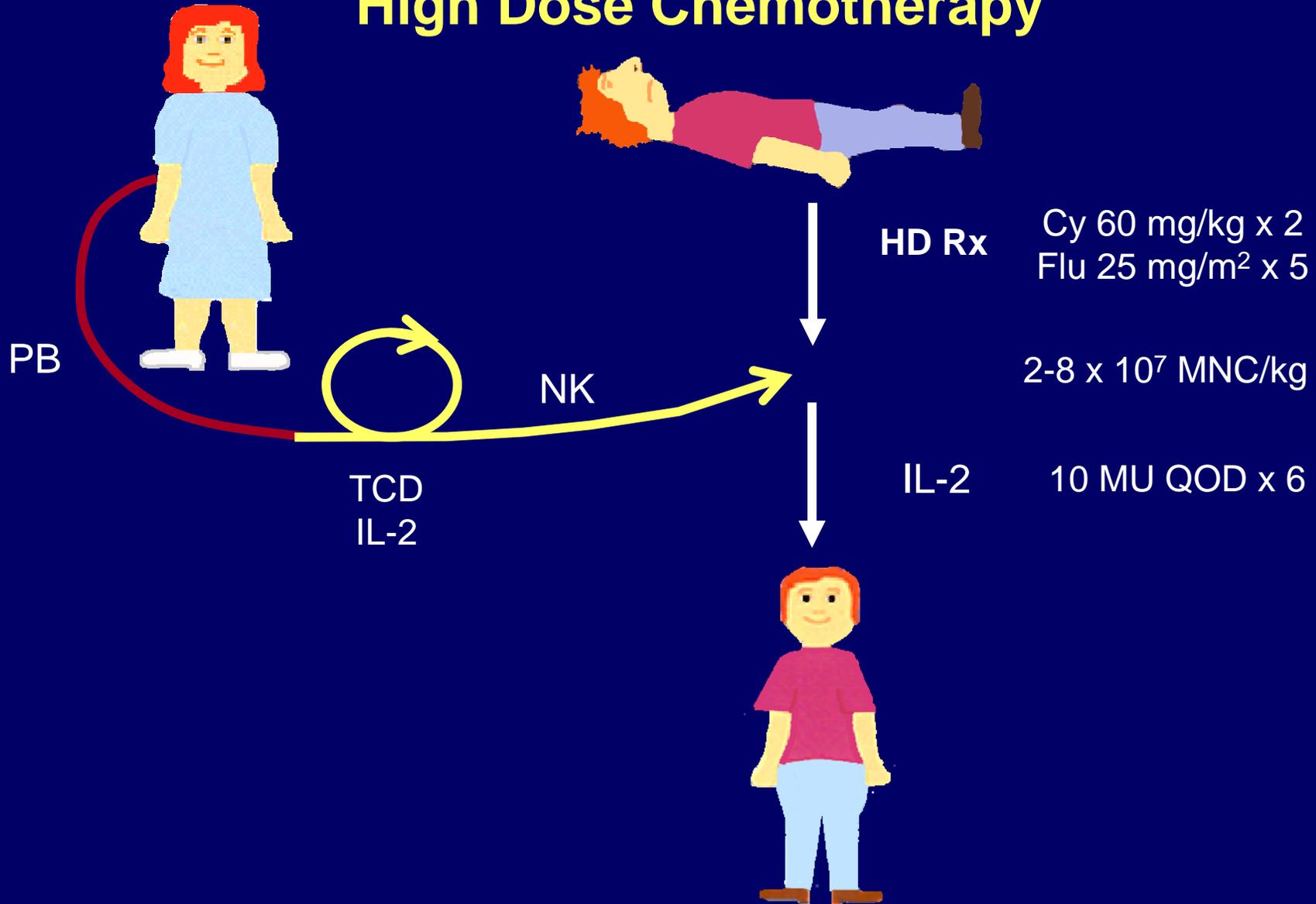
More TRM

Permanent

Too risky 2<sup>o</sup>

GVHD risk

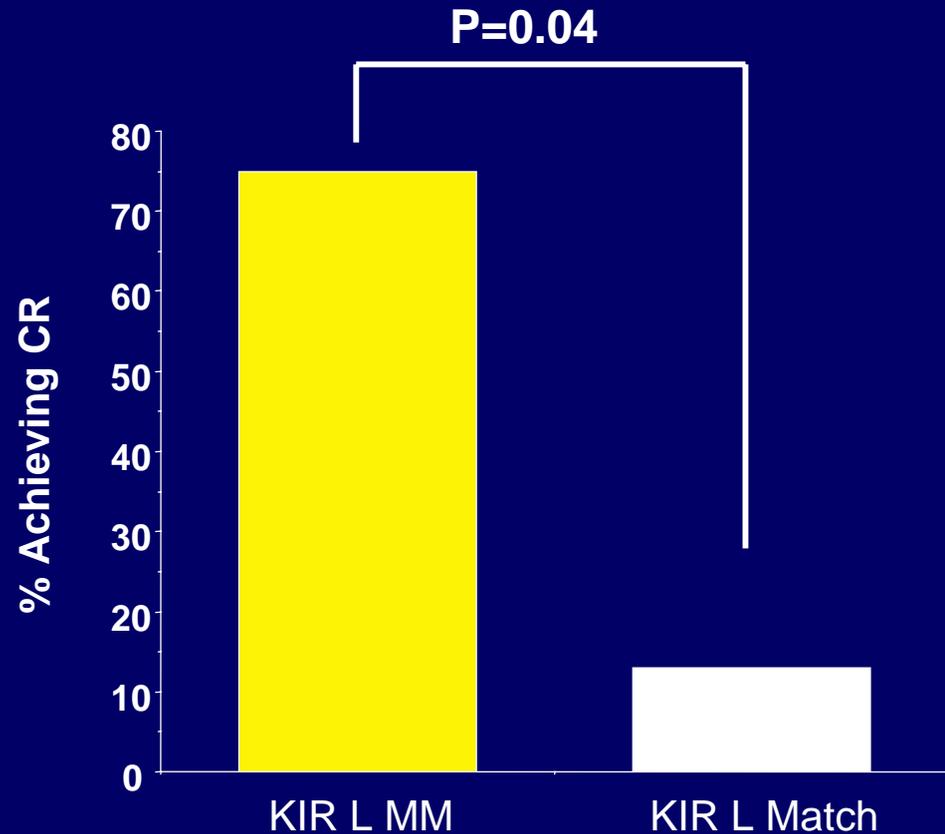
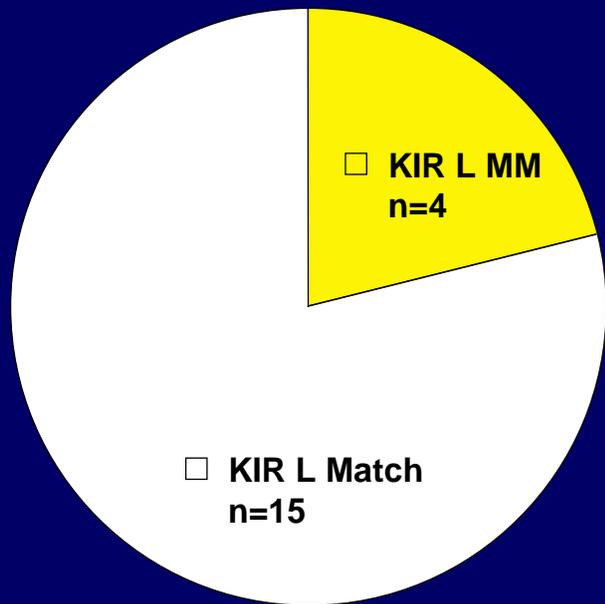
# Related Donor Haploidentical NK Infusions After High Dose Chemotherapy



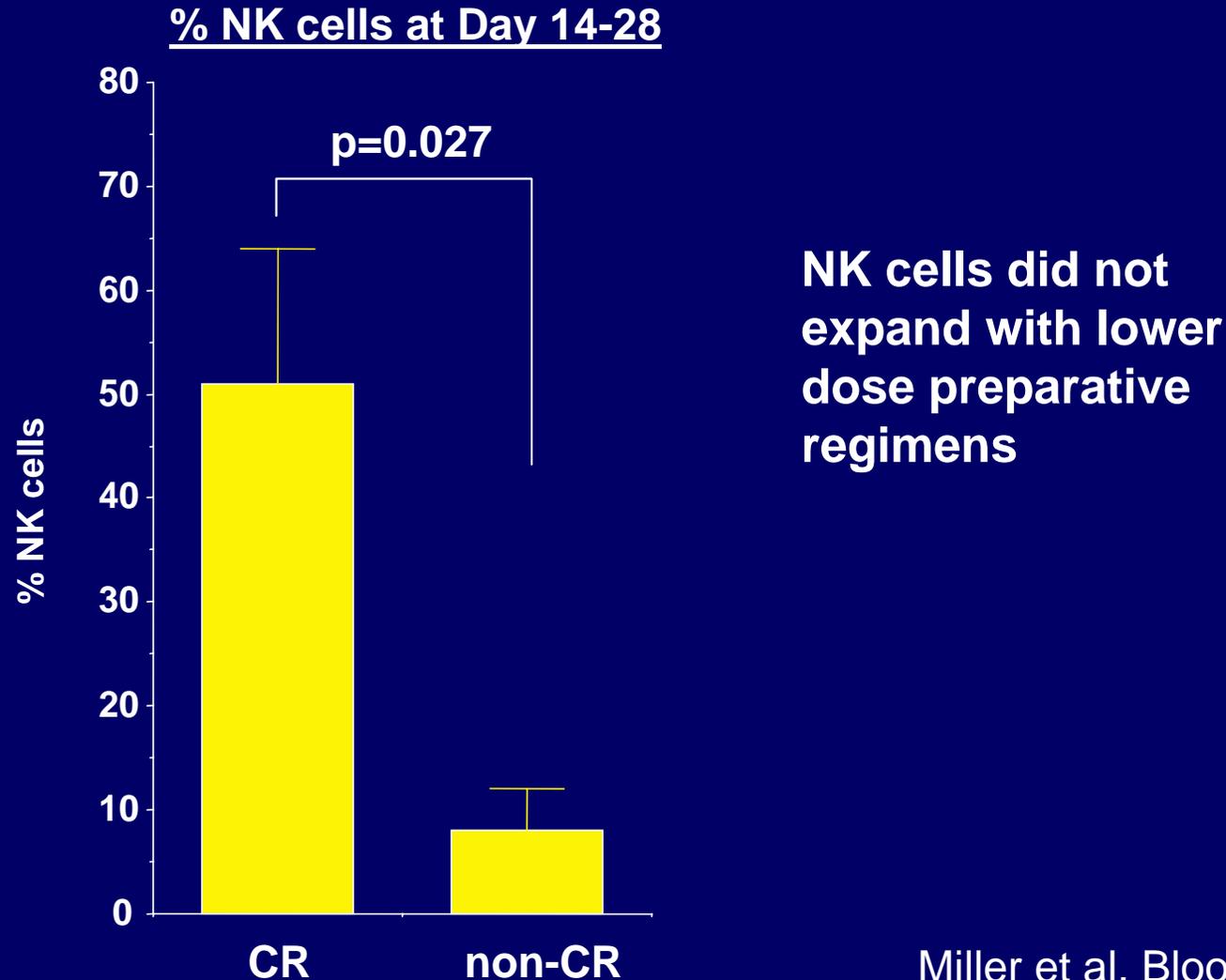
# Patients and eligibility

- Poor prognosis AML (n=19)
  - Primary refractory disease
  - Relapsed disease not in CR after 1 or more cycles of standard re-induction therapy
  - Secondary AML from MDS
  - Relapsed AML  $\geq$  3 months after HCT.
- No active infections

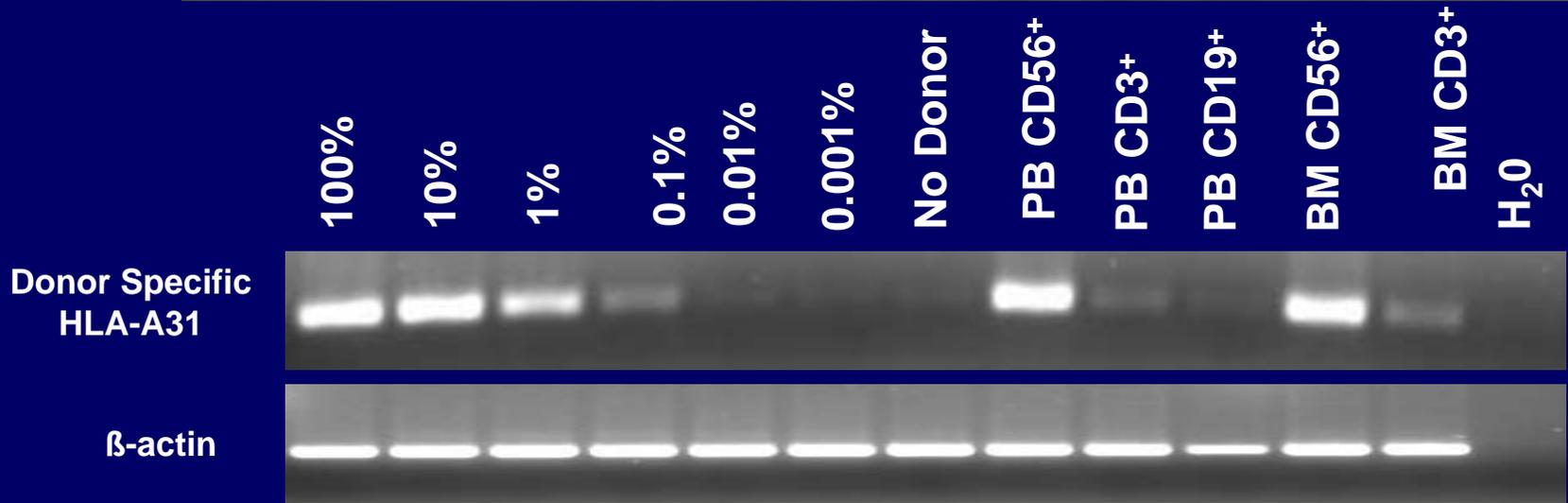
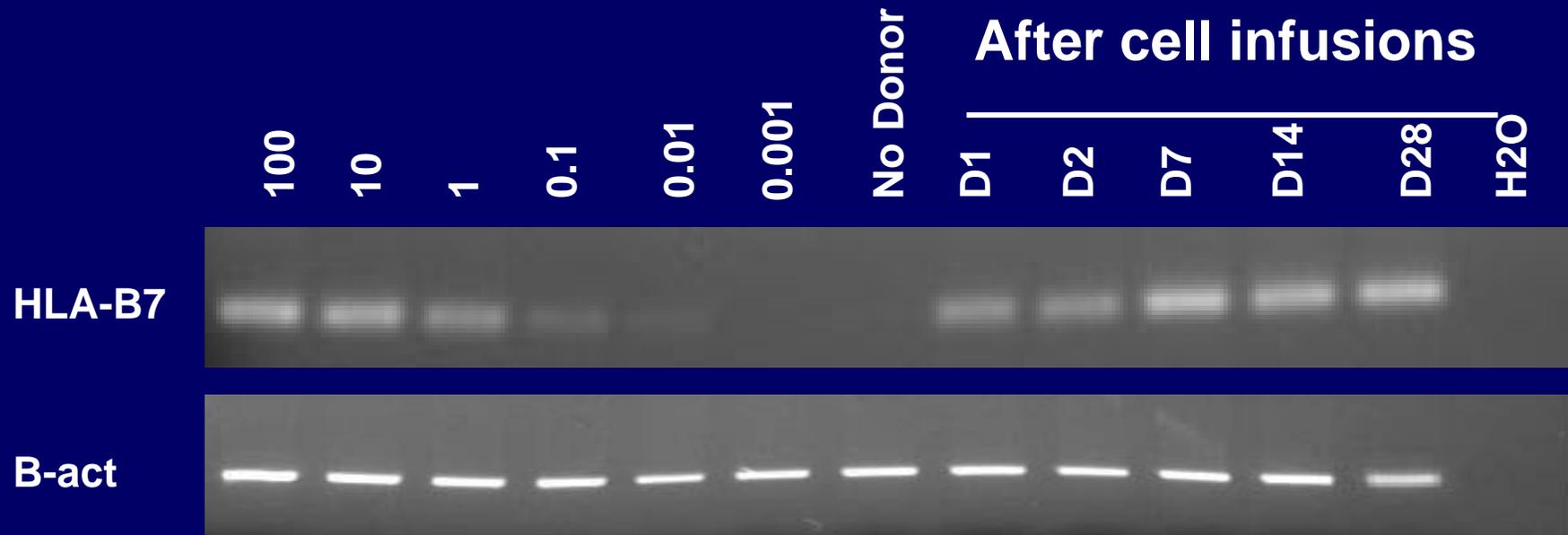
# KIR Ligand mismatched donor correlates with achieving AML CR (5 of 19=26%)



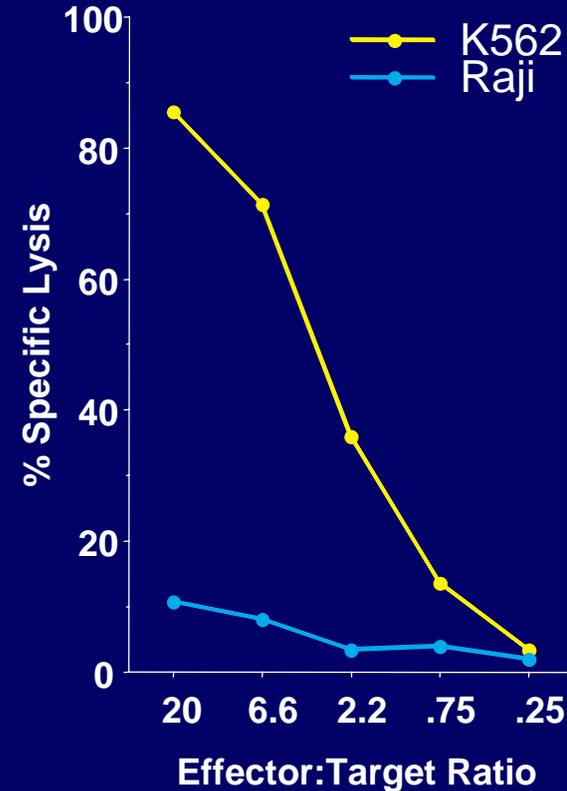
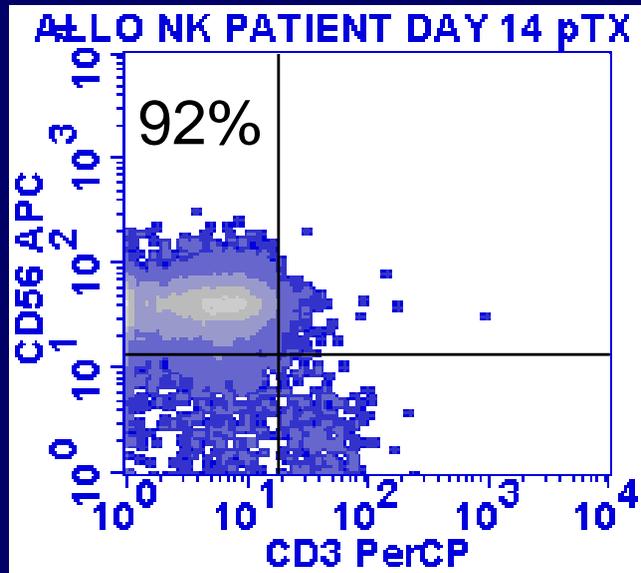
# CR patients had higher numbers of functional NK cells after haplo NK cells



# In vivo expansion of haploidentical NK cells in AML

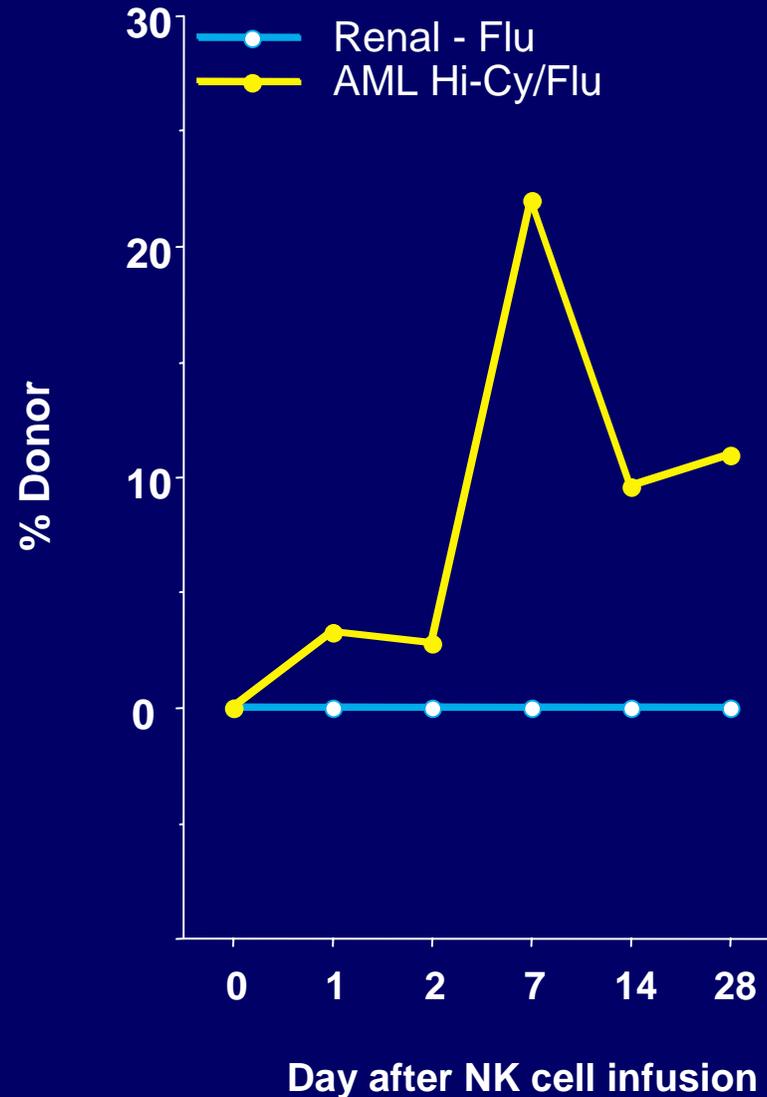


# Circulating donor cells were functional NK cells 14 days after Haplo NK cell infusions

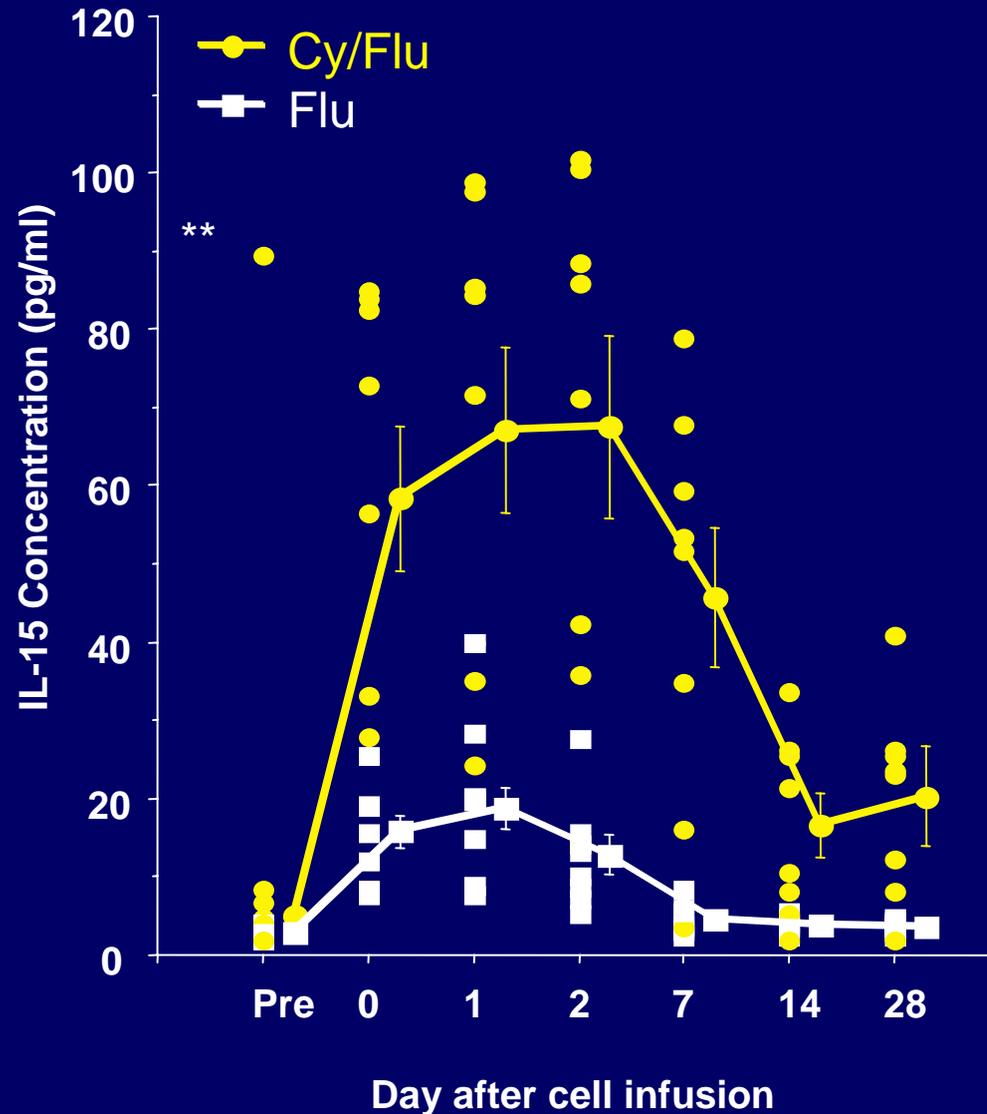


Verified by VNTR and G-banding

# Hi-Cy/Flu induces in vivo expansion of donor cells (all patients by prep)



# Hi-Cy/Flu induces endogenous IL-15 which correlates with *in vivo* NK cell expansion



## Interpretation of cytokine data

- Every time we give lymphodepleting chemotherapy ( $\pm$ TBI), we see a sustained surge in endogenous IL-7 and IL-15
- May explain high fevers when adding exogenous IL-2 in this setting.

# Questions

- Why NK cells don't expand in everyone?
- Would other cell sources be superior to adult blood NK cells?

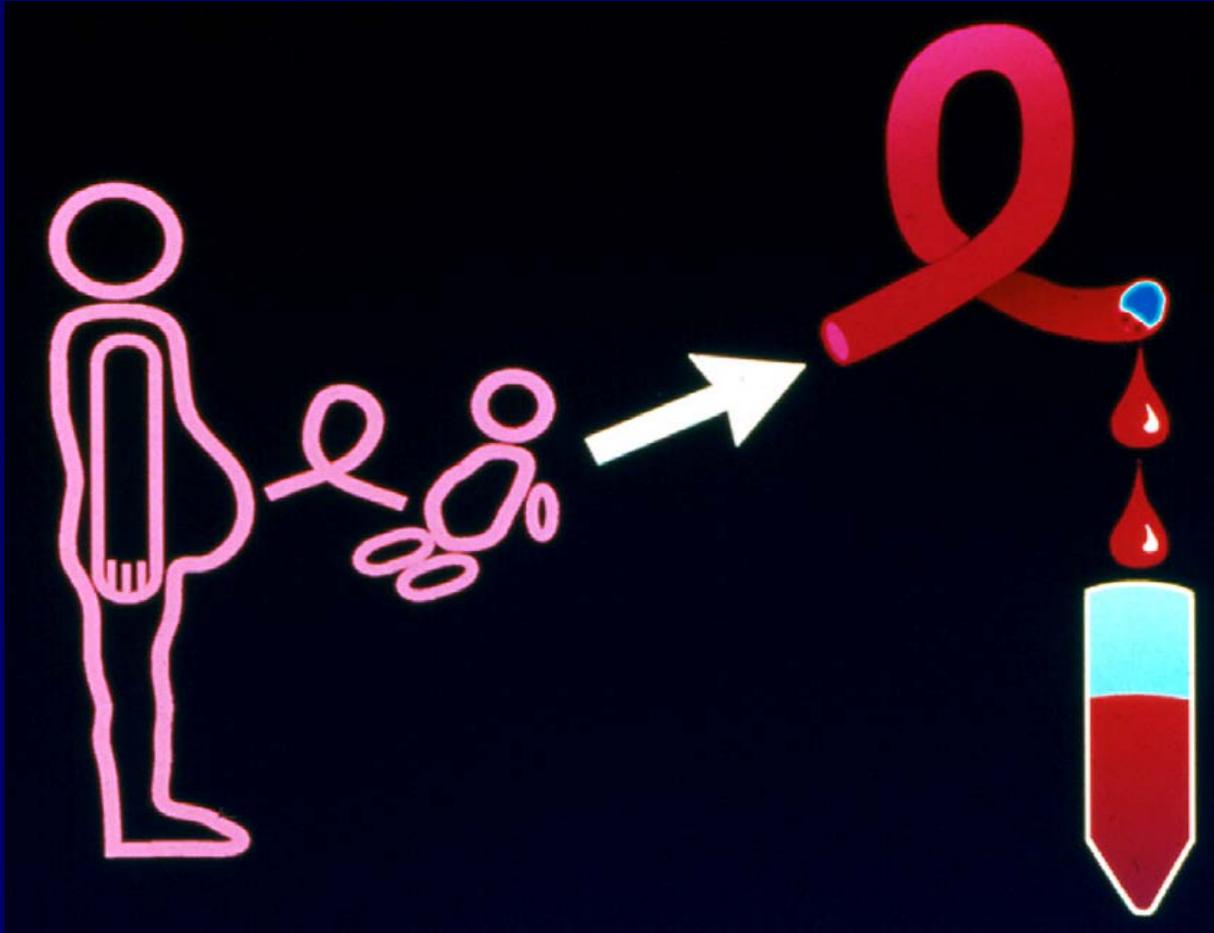
# Hypothesis

**The best strategy may be to combine adoptive transfer and in vivo expansion followed by HCT**

Adoptive Transfer + Transplant

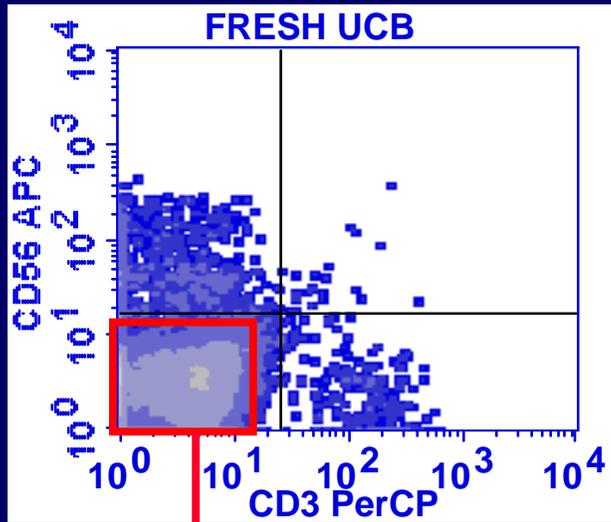
**The best of both worlds?**

# Umbilical Cord Blood

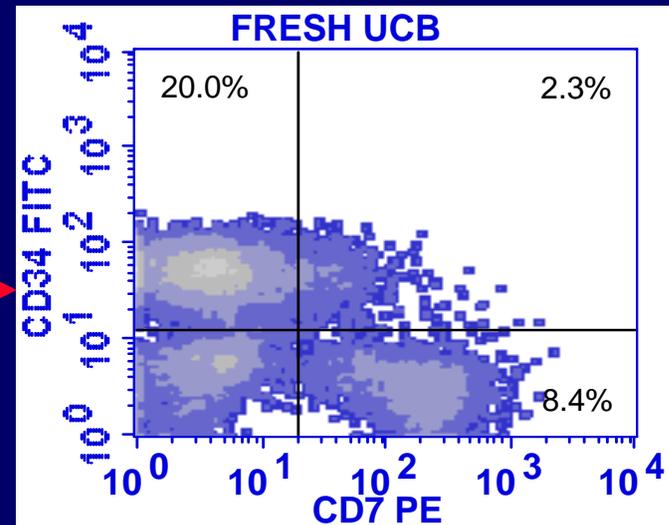


- 100-150 ml cord blood
- Usually discarded
- High concentration of hematopoietic and NK cell progenitors
- Stem cell source for related donor transplant

# Cord blood is rich in NK cell precursors



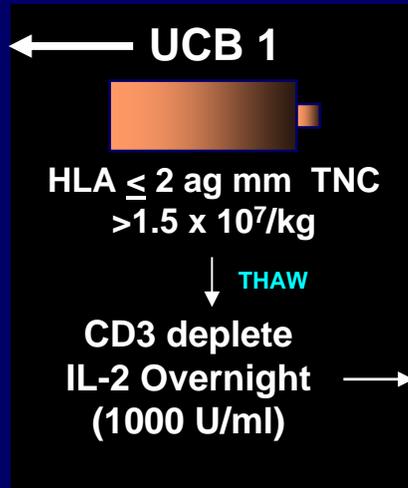
Testing this population clinically



# Full Prep Triple UCB strategy: UCB NK + double UCB Transplant for patients with refractory AML

**Pablo Rubinstein**  
**New York Blood Center**

KIR-L MM if possible



HLA  $\leq$  2 ag mm TNC  $>1.5 \times 10^7$ /kg

**UCB 2**

HLA  $\leq$  2 ag mm

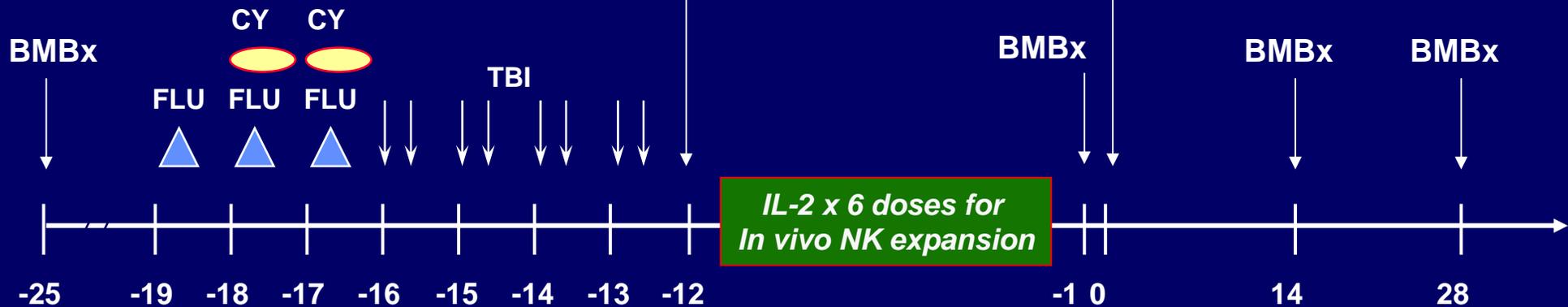
**UCB 3**

HLA  $\leq$  2 ag mm TNC  $>1.5 \times 10^7$ /kg

THAW

## ***Patient Eligibility***

- Age 2-45 years
- Refractory AML



**TIME**

CsA (day -1 to 6 months)

MMF (day -1 to day 35 unless GVHD)

# Conclusions

- **NK cells are important in cancer therapy and transplant.**
- **Better methods to optimally activate NK cells are still needed for refractory AML patients.**
- **KIR genotyping may be of value in selecting donors in addition to HLA-typing.**

# Acknowledgements



- Miller Lab
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NK PPG working group