

# Immunotherapy for the Treatment of Brain Malignancies

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# Disclosures

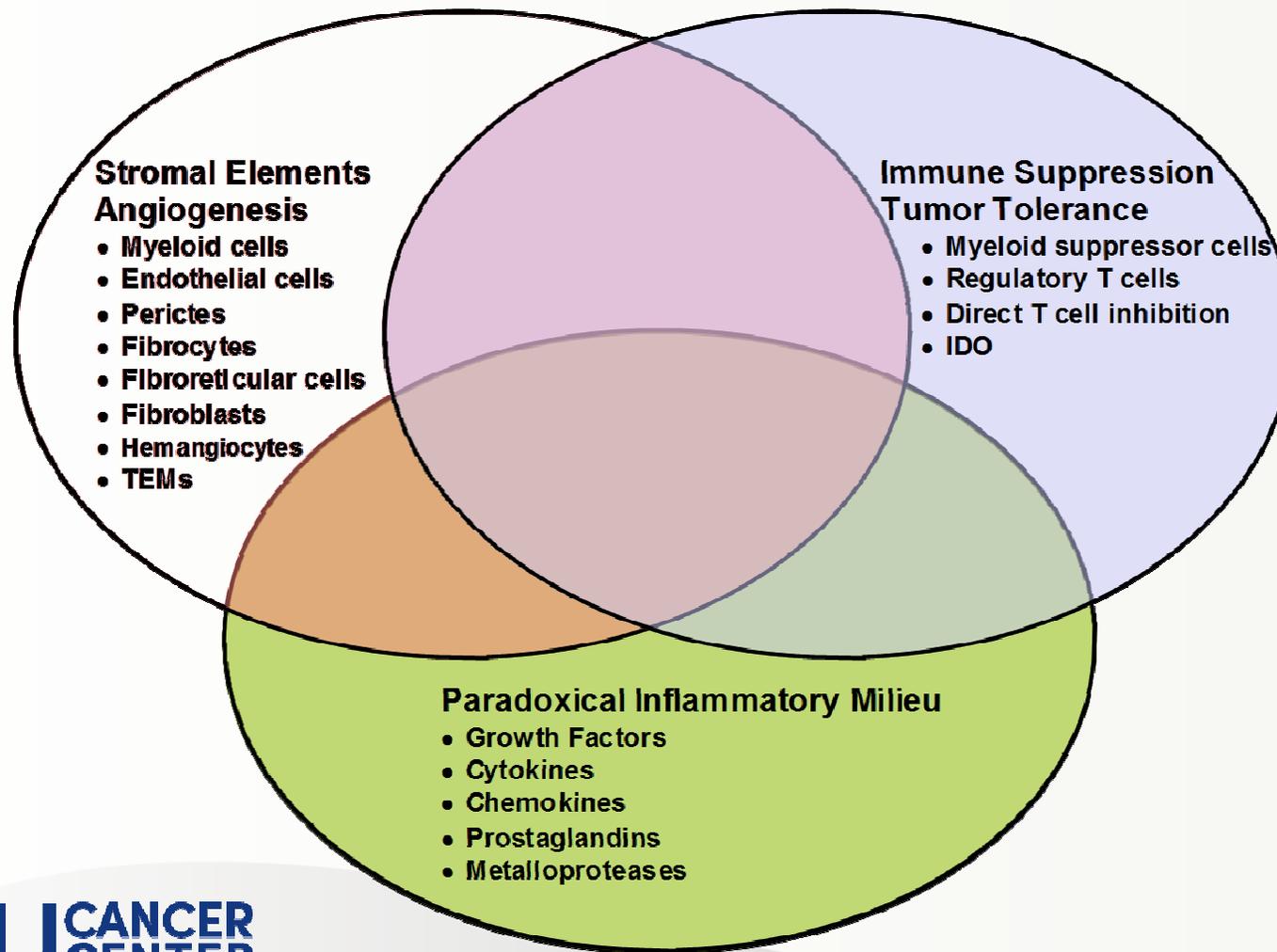
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- Theodore S. Johnson, M.D., Ph.D.
  - No relevant financial relationships exist with respect to this presentation
  - Off-label use of chemotherapy drugs will be discussed for pediatric patients

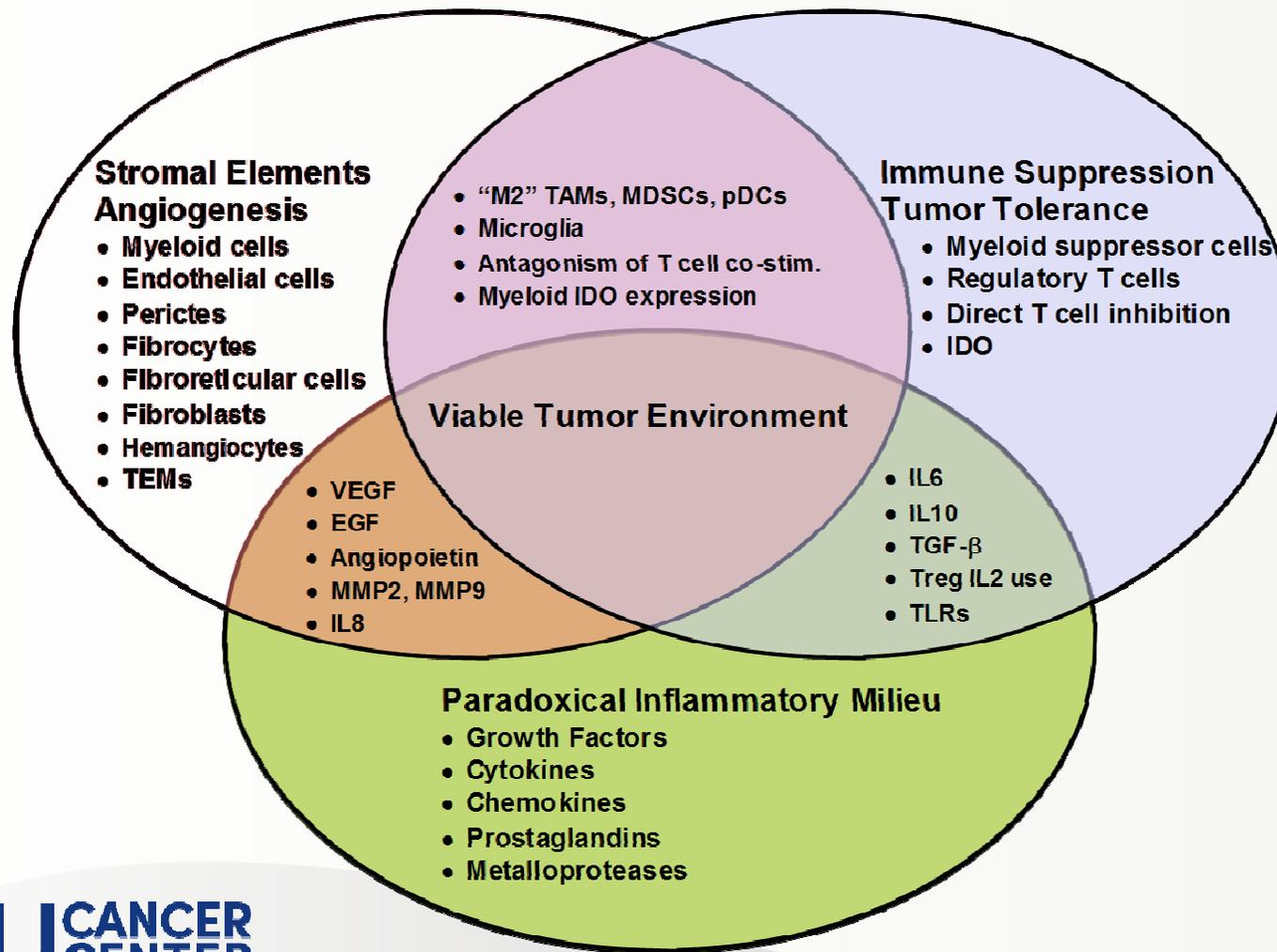
# Objectives

- Identify 3 immune checkpoint pathways that are being studied in brain cancer
- Discuss current clinical trials that use drugs to block immune checkpoints in patients with brain cancer
- Understand the concept of using combinatorial immune checkpoint blockade to treat brain cancer
- Discuss the concept of the Pediatric Piggyback Trial design

# What makes a viable tumor environment?



# What makes a viable tumor environment?



# Specialized immunology of brain tumors

## General peripheral tolerance

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T cell negative selection in thymus

Natural (thymic) Tregs

Acquired (adaptive) Tregs

Local immunosuppression (IDO, TGF- $\beta$ , IL10, CTLA-4, PD1)

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## CNS-specific privilege

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Reduced lymphatic transport to draining lymph nodes

Lack of resident immunogenic APCs (dendritic cells)

Specialized endothelium excludes naïve T cells

Local immunosuppression by astrocytes and microglia

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## Tumor-induced immunosuppression (CNS and non-CNS)

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Local activation of natural Tregs

Tumor-specific (adaptive) Tregs

Local intratumoral immunosuppression

IDO

Arginase

TGF- $\beta$

IL10

CTLA-4

PD1/PD-L1

Myeloid-derived suppressor cells

Tolerogenic APCs

Tolerogenic draining lymph nodes

Quiescent vascular endothelium

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# Targets for Immunotherapy

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## Immune checkpoint blockade

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|          |            |                  |
|----------|------------|------------------|
| IDO      | inhibition | (small molecule) |
| CTLA-4   | blockade   | (antibody)       |
| PD1/PDL1 | blockade   | (antibody)       |

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## Vaccine

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Tumor lysates  
Dendritic cell-based  
Antigen/peptide-based  
Viral delivery of DNA  
Heat shock protein-peptide complex  
GMCSF-assisted

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## Adoptive lymphocyte transfer

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Autologous lymphocyte expansion/activation *ex vivo*  
Chimeric antigen receptor-modified (CAR) T cells

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## Antibody-based therapy

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Biological pathway modifier  
Antigen-directed toxin delivery  
Antigen-directed radiopharmaceutical delivery  
Bispecific T cell engager (BiTE)

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## Oncolytic virus therapy

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Adenovirus  
Herpes simplex virus  
Poliovirus

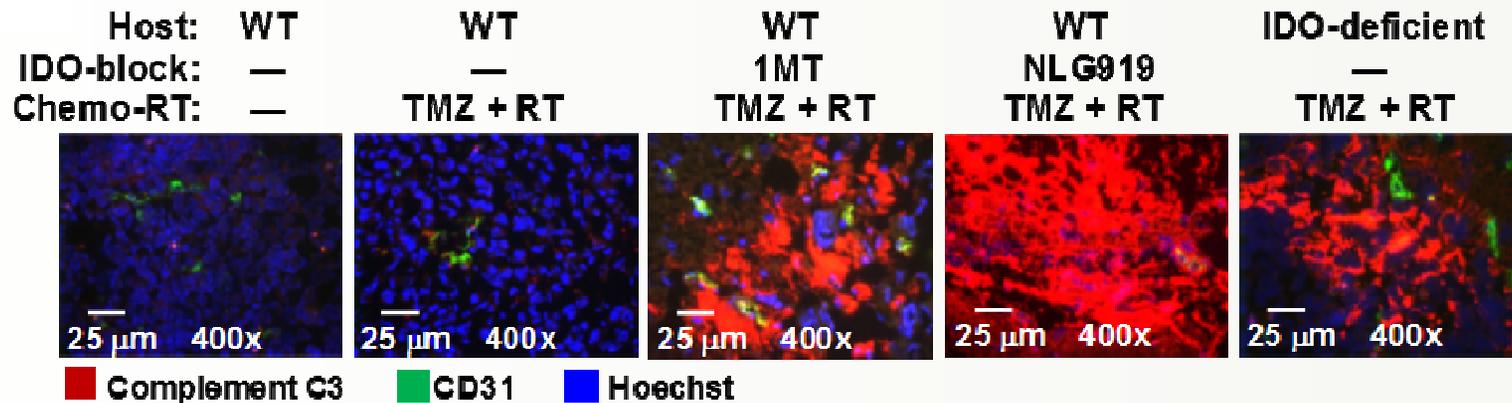
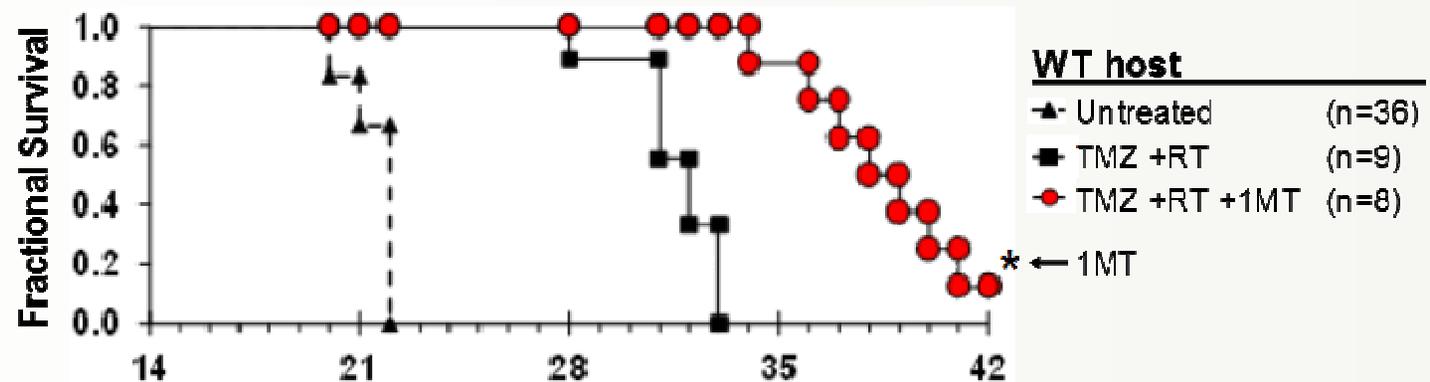
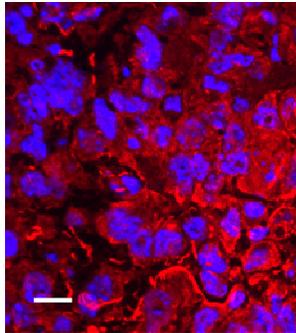
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# Indoleamine 2,3-dioxygenase (IDO)

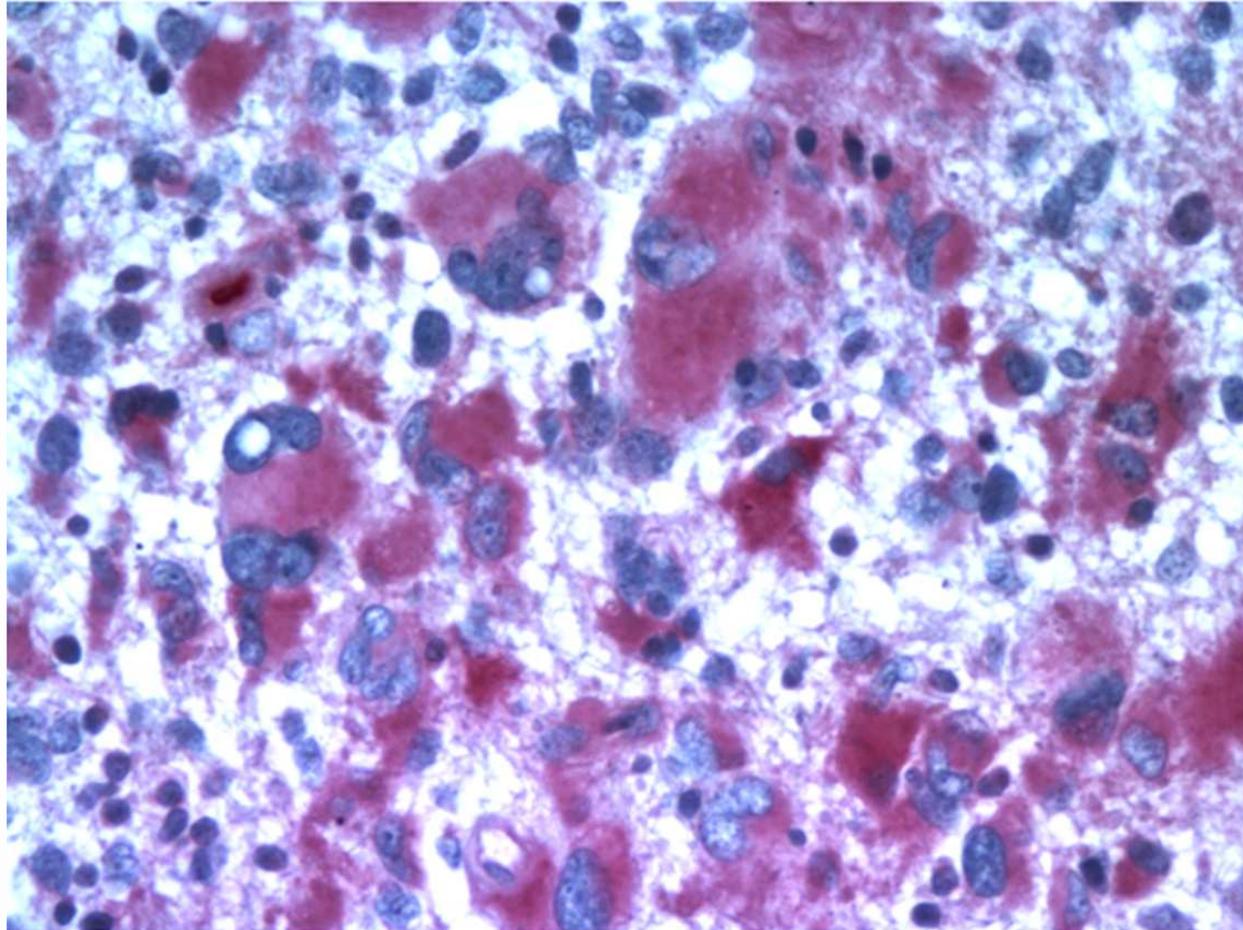
- IDO is the third of the known immune checkpoints
  - Along with the T cell checkpoints CTLA-4 and PD-1
- IDO is a natural endogenous molecular mechanism of immune suppression
  - IDO can impose *de novo* peripheral tolerance
- IDO is counter-regulatory
  - Induced by inflammation, but suppresses immune responses
- IDO regulates both adaptive and innate responses
  - suppresses effector T cells, activates Tregs
  - control of local inflammation, myeloid cell phenotype, etc.

# Animal model of glioblastoma: IDO blockade as a therapeutic strategy

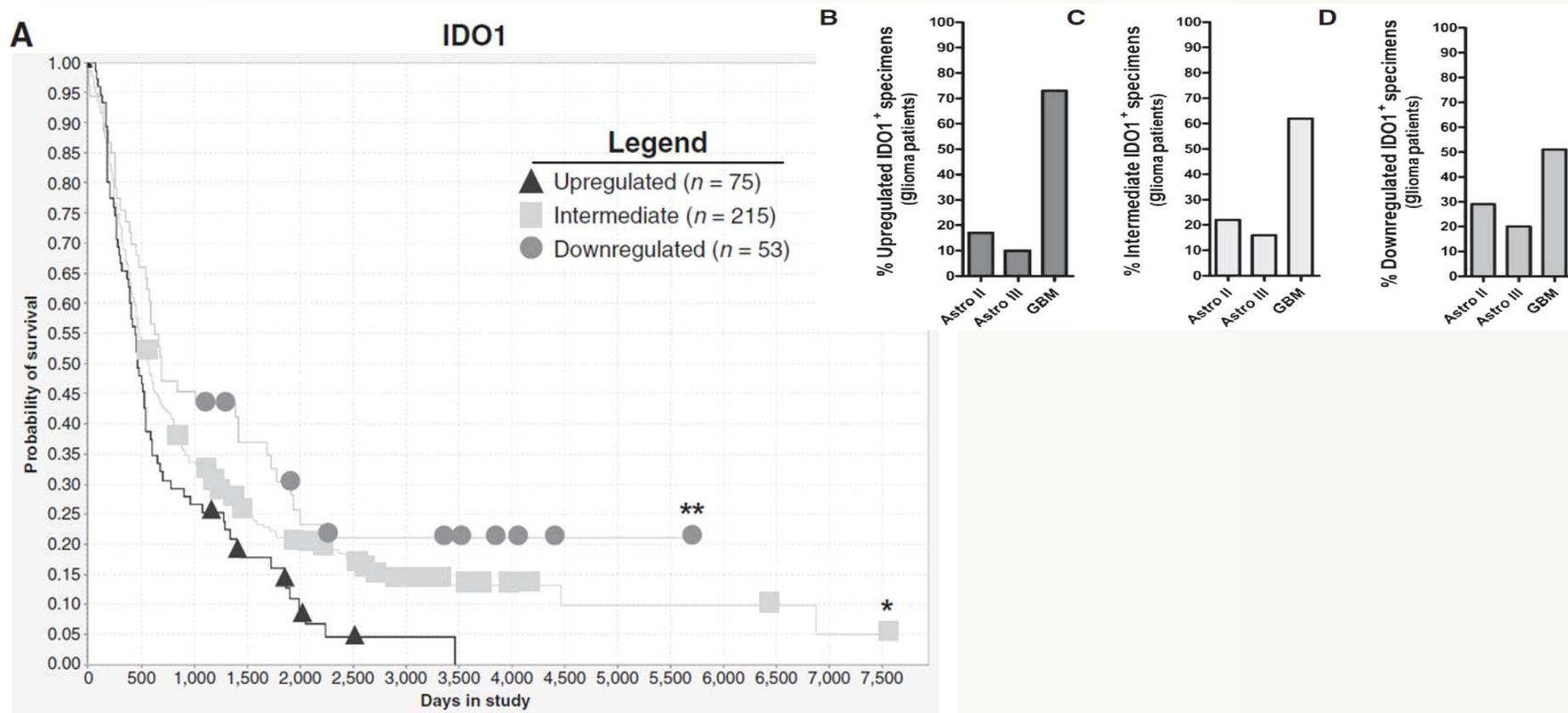
Mouse GL261



# IDO expression in glioblastoma patients



# IDO levels in first biopsy correlate with poor outcome in glioma patients



# 1. A phase Ib/II study of the combination of indoximod and temozolomide for adult patients with temozolomide-refractory primary malignant brain tumors (NCT02052648)

Phase I: Indoximod (dose-escalation, PO BID on days 1-28), in combination with temozolomide (qDay on days 1-5), for patients 18-70 with progressive glioblastoma;

28 day cycles until disease progression or unacceptable toxicity

# Phase Ib results: indoximod + temozolomide for refractory primary malignant brain tumors (NCT02052648)

**Table 2. Patient Demographic and Baseline Characteristics**

| Characteristic            | Indoximod + TMZ (N = 12) |
|---------------------------|--------------------------|
| Gender, n (%)             |                          |
| Female                    | 5 (41.7)                 |
| Male                      | 7 (58.3)                 |
| Race, n (%)               |                          |
| White                     | 9 (75.0)                 |
| Black/African American    | 3 (25.0)                 |
| Median age (range), years | 48.5 (27-62)             |
| Diagnosis                 |                          |
| GBM                       | 10 (83.3)                |
| Oligodendroglioma         | 1 (8.3)                  |
| Anaplastic astrocytoma    | 1 (8.3)                  |

TMZ, temozolomide; GBM, glioblastoma multiforme.

# Phase Ib results: indoximod + temozolomide for refractory primary malignant brain tumors (NCT02052648)

**Table 3. Summary of AEs for Indoximod + TMZ (N = 12)**

|                               | Number of<br>patients, n (%) |                | Number of<br>patients, n (%) |
|-------------------------------|------------------------------|----------------|------------------------------|
| <b>Grade ≥3 AEs</b>           |                              |                |                              |
| Fatigue                       | 2 (17)                       | Vomiting       | 1 (8)                        |
| Hyperglycemia                 | 1 (8)                        | Insomnia       | 1 (8)                        |
| Seizure                       | 1 (8)                        | Extremity pain | 1 (8)                        |
| Arm pain                      | 1 (8)                        |                |                              |
| <b>Treatment-related AEs*</b> |                              |                |                              |
| Nausea                        | 4 (33)                       | Pruritus       | 1 (8)                        |
| Fatigue                       | 2 (17)                       | Vomiting       | 1 (8)                        |
| Edema                         | 1 (8)                        |                |                              |

AE, adverse event; TMZ, temozolomide.

\*All treatment-related AEs were grade 1 or 2 events except for fatigue, in which 1 patient had a grade 3 event.

## Phase Ib results: indoximod + temozolomide for refractory primary malignant brain tumors (NCT02052648)

- The MTD for indoximod in combination with temozolomide was 1,200 mg PO BID
- One (8%) patient currently remains on therapy
- One (8%) patient showed an ongoing partial response after having exhibited stable disease for 10 months
- Four (33%) additional patients showed stable disease ranging from 4 to 11 months
- Among the 5 patients with responses better than progressive disease, 4 (80%) had a diagnosis of GBM

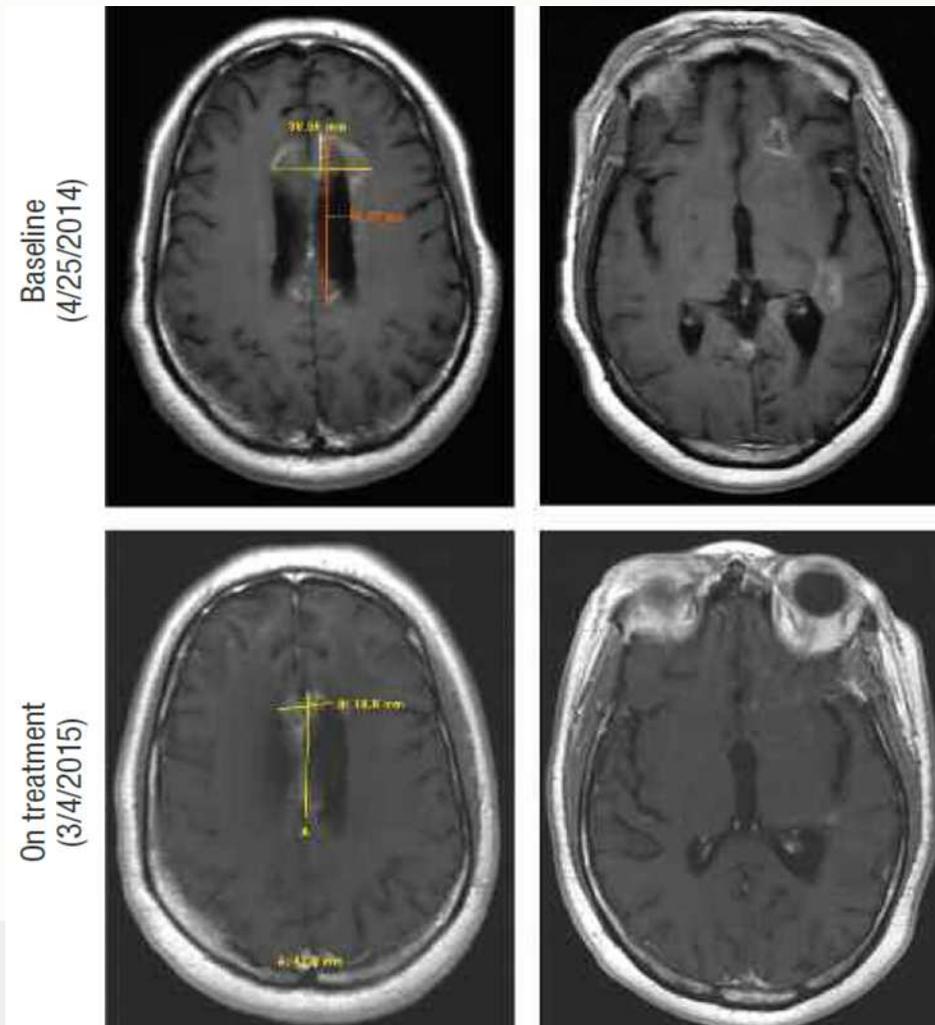
## Phase Ib results: indoximod + temozolomide for refractory primary malignant brain tumors (NCT02052648)

- One (8%) patient showed a partial response after having exhibited stable disease for 10 months
  - 42-year-old African American woman with a left fronto-parietal GBM
    - Unable to have definitive surgical resection due to location of tumor
    - Treated initially with standard chemoradiotherapy (60 Gy over 6 weeks with temozolomide [75 mg/m<sup>2</sup>/day]) followed by maintenance temozolomide
  - Progressive disease documented after 5 cycles of maintenance temozolomide
    - Subsequently, treated with single-agent bevacizumab
  - GBM progressed again 6 months later and bevacizumab was stopped

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  - Progressive disease documented after 5 cycles of maintenance temozolomide
    - Subsequently, treated with single-agent bevacizumab
  - GBM progressed again 6 months later and bevacizumab was stopped
  - Treated with indoximod + temozolomide
    - Stable disease with slow but modest reduction in tumor size over 10 months
    - Partial Response was achieved by RANO criteria after 12 months of therapy

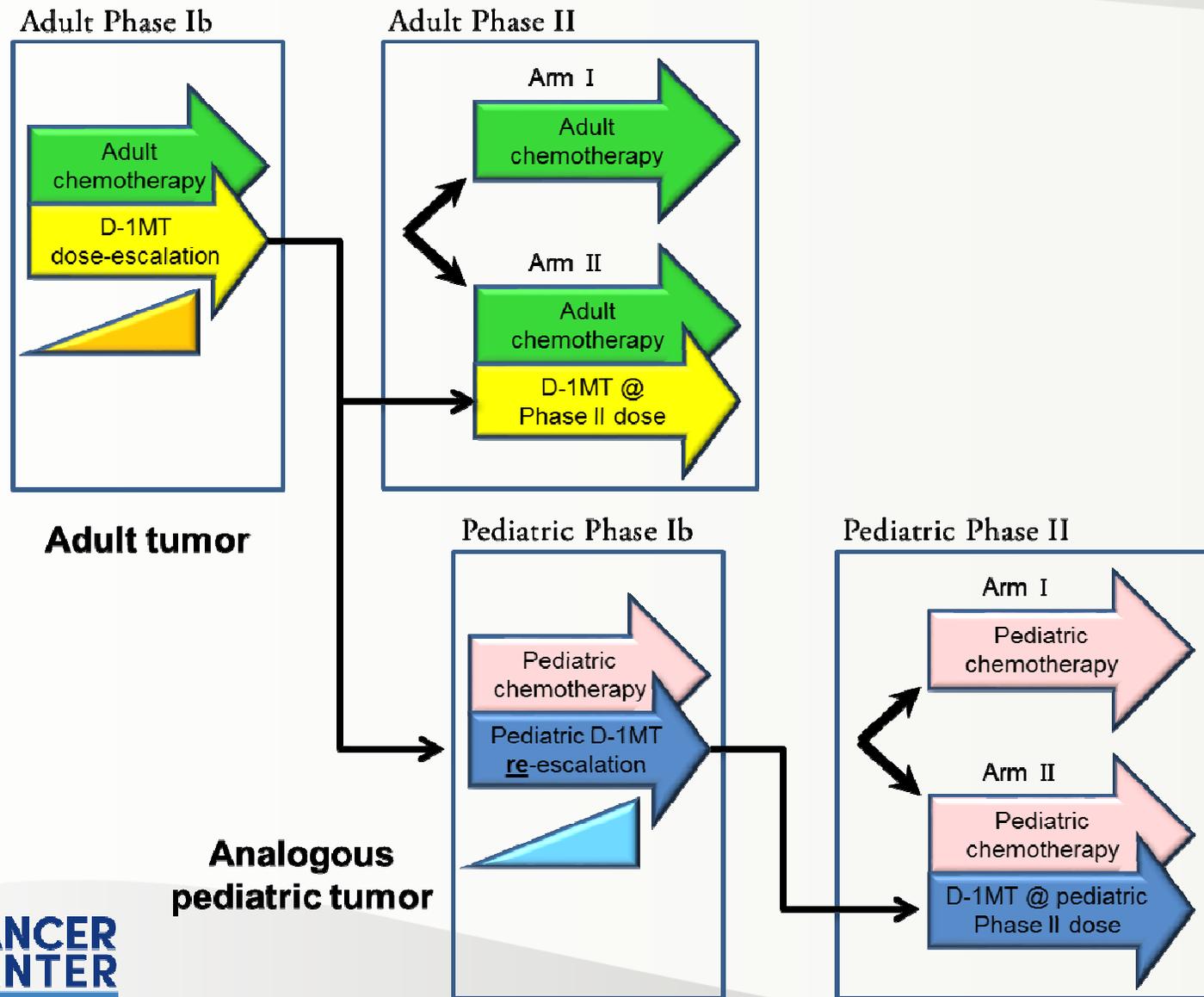
# Phase Ib results: indoximod + temozolomide for refractory primary malignant brain tumors (NCT02052648)



## Phase II: indoximod + temozolomide for refractory primary malignant brain tumors (NCT02052648)

- 28-day cycles until disease progression or toxicity
- Currently enrolling relapsed/refractory glioblastoma patients 16-70 years of age in 3 cohorts:
  - Indoximod (days 1-28) + temozolomide (days 1-5)
  - Indoximod + temozolomide and bevacizumab (q2 weeks)
    - patients who progressed while on bevacizumab
  - Indoximod + temozolomide and stereotactic radiosurgery
    - patients with GBM who may benefit from tumor debulking

# Pediatric Piggyback Trial Design

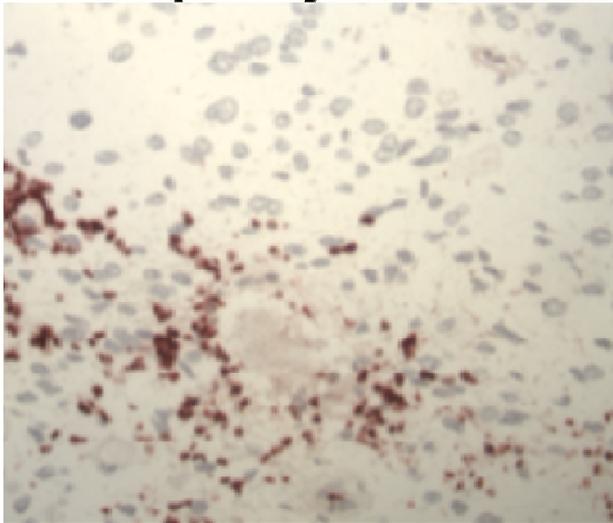


# Advantages of the Pediatric Piggyback Trial Design

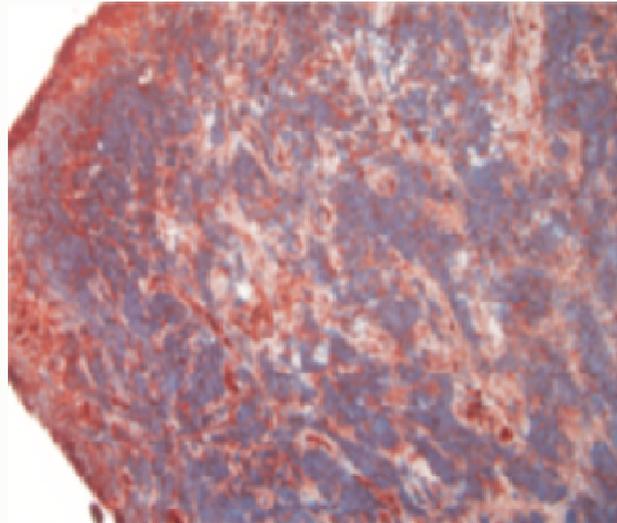
- The linked adult toxicity data provides a measure of protection for the pediatric cohort
- The incremental cost to drug companies is not large
- Foundations or institutions can fund the pediatric component at modest cost
  - ... thus leveraging the larger adult infrastructure

# IDO expression in pediatric brain tumors

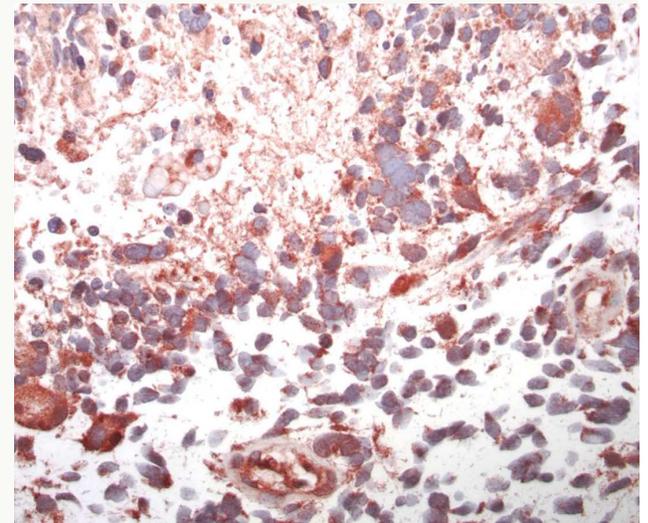
**Ependymoma**



**Medulloblastoma**



**Glioblastoma**



## 2. Phase I trial of indoximod in combination with temozolomide-based therapy for children with progressive primary brain tumors (NCT02502708)

- 28-day cycles x 12 planned cycles, until disease progression or unacceptable toxicity
- Relapsed/refractory brain tumor patients age 3-21 years enroll in one of 3 groups:

## 2. Phase I trial of indoximod in combination with temozolomide-based therapy for children with progressive primary brain tumors (NCT02502708)

Group 1: Indoximod (dose-escalation, PO BID on days 1-28), plus temozolomide (qDay on days 1-5), for children with progressive brain tumors (“Core Regimen”)

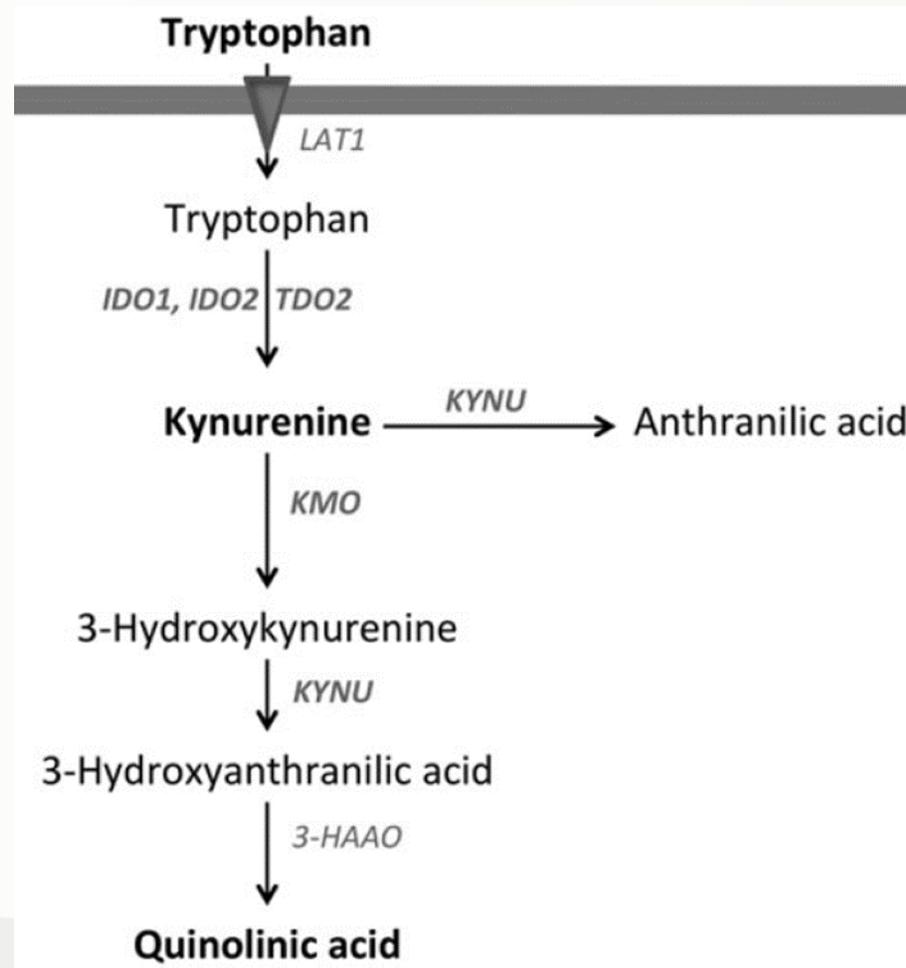
Group 2: Indoximod (RP2D) plus temozolomide “Core Regimen” for pediatric patients with progressive brain tumors (expansion cohorts)

- Group 2a: High-grade glioma
- Group 2b: Ependymoma
- Group 2c: Medulloblastoma

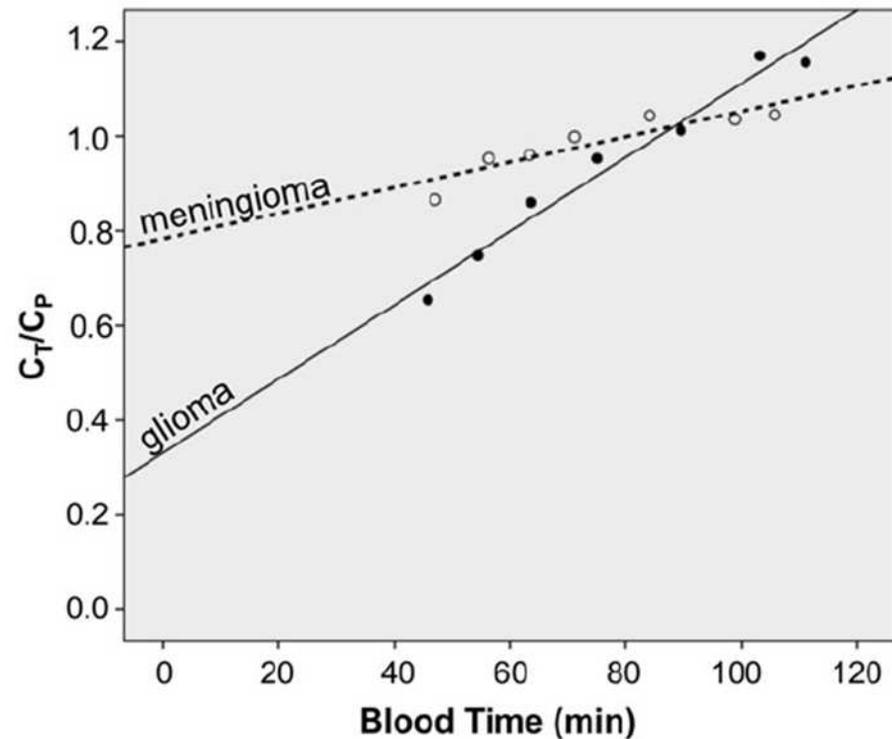
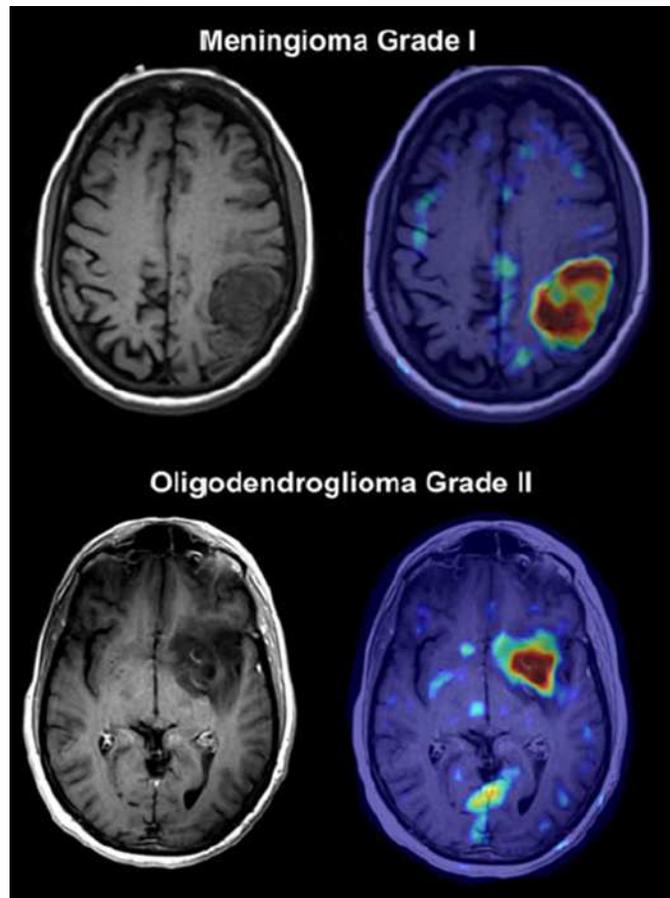
Group 3: Indoximod (dose-escalation), in combination with up-front conformal radiation therapy, for children with progressive brain tumors, followed by “Core Regimen” Maintenance therapy

- Patients who may benefit from tumor debulking

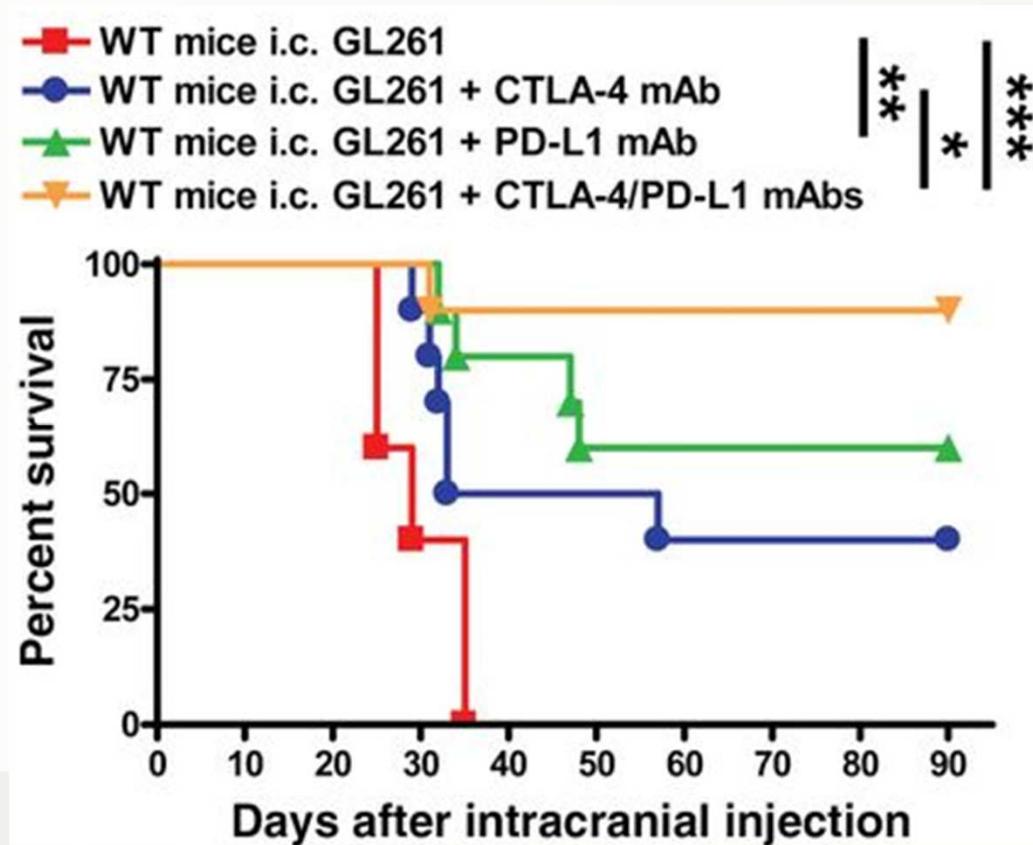
# Assessment of IDO activity *in vivo*: $\alpha$ -[ $^{11}\text{C}$ ]-methyl-L-tryptophan PET avidity



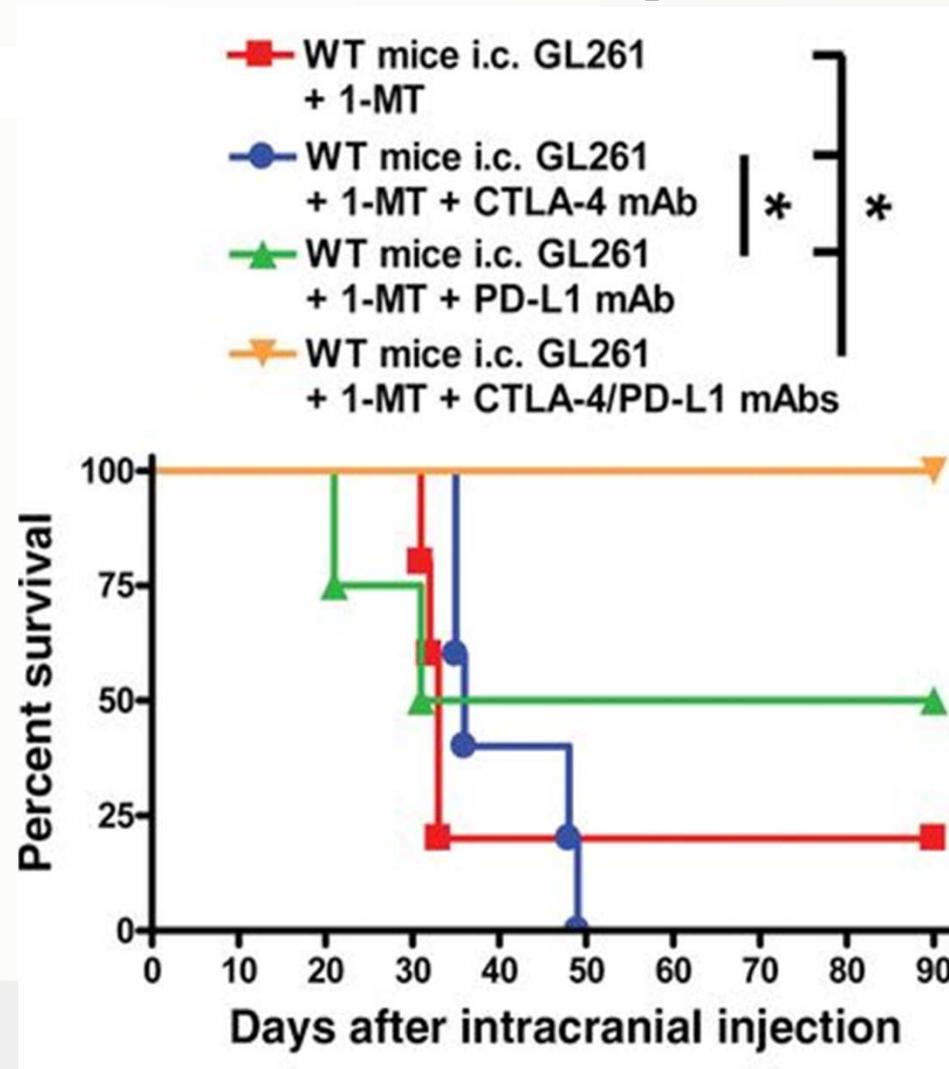
# Assessment of IDO activity *in vivo*: $\alpha$ -[ $^{11}\text{C}$ ]-methyl-L-tryptophan PET avidity



# Immune checkpoint blockade and combinatorial checkpoint blockade



# Immune checkpoint blockade and combinatorial checkpoint blockade



# PD1/PDL1 pathway blockade

### **3. A randomized phase III open label study of nivolumab versus bevacizumab, and multiple phase I safety cohorts of nivolumab or nivolumab in combination with ipilimumab for glioblastoma (NCT02017717)**

- Histologically confirmed Grade IV malignant glioma
- Cohorts 1, 1b, and 2:
  - Any recurrence of GBM
  - Previous treatment with radiotherapy and temozolomide
- Cohort 1c:
  - First diagnosis of GBM with resectable disease
- Cohort 1d:
  - First diagnosis of GBM with resectable disease, unmethylated MGMT

### 3. Multiple phase I safety cohorts of nivolumab or nivolumab with ipilimumab for glioblastoma (NCT02017717)

- Experimental Arm N: Nivolumab
  - Cohorts 1, 1c, 1d:
    - Nivolumab 3mg/kg intravenously q2 weeks until disease progression or unacceptable toxicity
- Experimental Arm N + I: Nivolumab + Ipilimumab
  - Cohort 1:
    - Nivolumab 1mg/kg + Ipilimumab 3mg/kg intravenously q3 weeks x 4 doses, then Nivolumab 3mg/kg q2 weeks until progression or toxicity
  - Cohort 1b:
    - Nivolumab 3mg/kg + Ipilimumab 1mg/kg intravenously q3 weeks x 4 doses, then Nivolumab 3mg/kg q2 weeks thereafter until progression or toxicity

### 3. Randomized phase III open label study of nivolumab versus bevacizumab for recurrent glioblastoma (NCT02017717)

- Experimental Arm N: Nivolumab
  - Cohort 2:
    - Nivolumab 3mg/kg intravenously q2 weeks until disease progression or unacceptable toxicity
- Comparator Arm B: Bevacizumab
  - Cohort 2:
    - Bevacizumab 10 mg/kg intravenously q2 weeks until disease progression or unacceptable toxicity

## **4. A phase I and open label, randomized, controlled phase II study testing the safety, toxicities, and efficacy of MK-3475 (pembrolizumab) in combination with MRI-guided laser ablation in recurrent malignant gliomas (NCT02311582)**

- Unequivocal evidence of tumor progression as documented by biopsy or brain MRI scan per RANO criteria
- Proscriptive prior chemo-radiotherapy requirements
- Phase I:
  - Histologically confirmed grade III or IV malignant glioma
  - Candidate for MLA based on the size, location, and shape of the recurrent tumor
    - Surgical resection/debulking prior to MLA is allowed per standard of care
- Phase II:
  - Histologically confirmed grade IV malignant glioma (GBM)
  - Candidate for surgical resection/debulking followed by MLA treatment of residual tumor based on the size, location, and shape of the recurrent tumor

## 4. A phase I and open label, randomized, controlled phase II study testing the safety, toxicities, and efficacy of MK-3475 in combination with MRI-guided laser ablation in recurrent malignant gliomas (NCT02311582)

- Phase I: MK-3475 + MLA
  - MK-3475 (dose-escalation) q3 weeks until progression or unacceptable toxicity
  - MLA will take place no more than 2 weeks after the first dose of MK-3475
- Phase II: MK-3475
  - MK-3475 (RP2D) will be given once prior to surgical debulking and again q3 weeks beginning 3 weeks after surgical debulking
- MK-3475 + MLA
  - MK-3475 (RP2D) will be given once prior to surgical debulking and again q3 weeks beginning no more than 1 week after MLA (if applicable).

## 5. Phase II study to evaluate the clinical efficacy and safety of MEDI4736 in patients with glioblastoma (NCT02336165)

- This is an open-label, non-randomized, multicenter Phase 2 study of MEDI4736 with three non-comparative cohorts:
- Cohort A:
  - Newly diagnosed unmethylated MGMT GBM will receive MEDI4736 every 2 weeks in combination with standard radiotherapy
- Cohort B:
  - Bevacizumab-naïve patients with recurrent GBM will receive MEDI4736 every 2 weeks as monotherapy
- Cohort C:
  - Bevacizumab-refractory patients with recurrent GBM will receive MEDI4736 every 2 weeks in combination with continued bevacizumab

# **PD1/PDL1 pathway blockade open for pediatric patient enrollment**

## 6. A phase I/II clinical trial of CT-011 (pidilizumab) in diffuse intrinsic pontine glioma and relapsed glioblastoma multiforme (NCT01952769)

- Age: 3-90 years
- Study location: Jerusalem
- Diagnosis:
  - Imaging diagnosis of diffuse intrinsic pontine glioma (DIPG)
  - Glioblastoma (GBM arm has filled accrual)
- Pidilizumab (CT-011) q2 weeks, until disease progression or a serious adverse event

## 7. Phase I pembrolizumab in treating younger patients with recurrent, progressive, or refractory high-grade gliomas or diffuse intrinsic pontine gliomas (NCT02359565)

- Age: 1-21 years
- Diagnosis:
  - Histologically confirmed recurrent, progressive or refractory non-brainstem high-grade glioma
  - Imaging or histological diagnosis of diffuse intrinsic pontine glioma (DIPG) that is recurrent, progressive, or refractory
- Excludes patients previously treated with immune checkpoint blockade
- Pembrolizumab (using recommended adult dose) q21 days x 34 courses, in the absence of disease progression or unacceptable toxicity

# Combinatorial checkpoint blockade

### 3. Phase I safety cohorts of nivolumab or nivolumab with ipilimumab for glioblastoma (NCT02017717)

- Cohorts 1 and 1b:
  - Recurrent glioblastoma
  - Previous treatment with radiotherapy and temozolomide
- Experimental Arm N: Nivolumab
  - Cohort 1:
    - Nivolumab 3mg/kg intravenously q2 weeks until disease progression or unacceptable toxicity
- Experimental Arm N + I: Nivolumab + Ipilimumab
  - Cohort 1:
    - Nivolumab 1mg/kg + Ipilimumab 3mg/kg intravenously q3 weeks x 4 doses, then Nivolumab 3mg/kg q2 weeks until progression or toxicity
  - Cohort 1b:
    - Nivolumab 3mg/kg + Ipilimumab 1mg/kg intravenously q3 weeks x 4 doses, then Nivolumab 3mg/kg q2 weeks thereafter until progression or toxicity

## 8. Phase I study of ipilimumab, nivolumab, and the combination in patients with newly diagnosed glioblastoma (NCT02311920)

- Histologically proven diagnosis of glioblastoma or gliosarcoma
- Must have:
  - a unifocal tumor confined to the supratentorial compartment,
  - and achieve a gross total or near gross total resection
- Excludes prior vaccine-based immunotherapy

## 8. Phase I study of ipilimumab, nivolumab, and the combination in patients with newly diagnosed glioblastoma (NCT02311920)

Following standard up-front temozolomide/radiation:

- Arm 1: Ipilimumab with temozolomide
  - Temozolomide 5 day course repeats every 28 days for up to 6 courses;
  - ipilimumab q4 weeks x 4 courses, then q3 months for 4 courses
- Arm 2: Nivolumab with temozolomide
  - Temozolomide 5 day course repeats every 28 days for up to 6 courses;
  - nivolumab q2 weeks x 64 weeks
- Arm 3: Ipilimumab and nivolumab with temozolomide
  - Temozolomide 5 day course repeats every 28 days for up to 6 courses;
  - ipilimumab q4 weeks x 4 courses;
  - nivolumab q2 weeks x 64 weeks

# Checkpoint blockade with DC vaccine

## 9. Phase I study of nivolumab with DC vaccines for recurrent brain tumors (NCT02529072)

- First or second recurrence of WHO Grade III or IV glioma or astrocytoma in surgically accessible areas with prior histologic diagnosis
- Bevacizumab-naïve
- Radiation Therapy with  $\geq 45$  Gray (Gy) tumor dose, completed  $\geq 8$  weeks prior to study entry

## 9. Phase I study of nivolumab with DC vaccines for recurrent brain tumors (NCT02529072)

Nivolumab plus hCMV pp65-LAMP mRNA-pulsed autologous DCs

- Group 1:
  - Nivolumab q2 weeks x 6 doses,
  - then surgery,
  - then nivolumab and vaccine q2 weeks x 4 vaccines,
  - then nivolumab q2 weeks and monthly vaccine x 4 more vaccines,
  - then nivolumab q2 weeks until progression
- Group 2:
  - Nivolumab q2 weeks x 3 doses,
  - then nivolumab and vaccine q2 weeks x 4 vaccines,
  - then surgery,
  - then nivolumab q2 weeks and monthly vaccine x 4 more vaccines,
  - then nivolumab q2 weeks until progression

# Summary and future directions

- Substantial preclinical data supports conducting clinical studies for brain cancer patients, using checkpoint blockade to target these pathways:
  - IDO
  - CTLA4
  - PD1 and PDL1
- Synergy may be achievable by combining immune checkpoint blockade with surgery, radiation therapy, laser ablation, chemotherapy, or other inflammatory treatments
- Synergy may be achievable by using combinatorial immune checkpoint therapy to target different pathways
- The Pediatric Piggyback Trial design allows pediatric trials to open while adult trials are still ongoing, once the adult dosing and toxicity data are available